



🏠 **Location:** Microsoft Teams

📅 **Date:** Wednesday, February 16, 2022

🕒 **Time:** 9:00 am

- LaToya Cantrell, President • Tamika Duplessis, President Pro Tem • Freddie King, Councilmember
- Joseph Peychaud • Robin Barnes • Ralph Johnson
- Alejandra Guzman • Lynes Sloss
- Maurice Sholas • Janet Howard • Nichelle Taylor

Board of Directors Meeting Agenda

[February 2022 Board of Directors Link](#)

[+1 504-224-8698,,252958173#](#) United States, New Orleans

Phone Conference ID: 252 958 173#

PUBLIC COMMENT WILL BE ACCEPTED VIA EMAIL TO BOARDRELATIONS@SWBNO.ORG.

ALL PUBLIC COMMENTS MUST BE RECEIVED PRIOR TO

9:30 AM ON February 16, 2022. COMMENTS WILL BE READ VERBATIM INTO THE RECORD.

I. Roll call

II. Approval of Minutes dated January 19, 2021

III. Discussion Item

- a) Board of Directors Term Selection – Mayor LaToya Cantrell

IV. Executive Director’s Report

V. Committee Reports

- a) Strategy Committee – Director Barnes, Chair
- b) Audit Committee – Director Duplessis, Chair
- c) Finance and Administration Committee – Director Sloss, Chair
- d) Pension Committee – Director Peychaud, Chair

VI. Corresponding Resolutions

- a) Resolution (R-016-2022) Approval of the Sewerage and Water Board Strategic Plan
- b) Resolution (R-018-2022) Award of Contract 30228 – Mid-City Basin No. 2 Sewer Rehabilitation Between the Sewerage and Water Board of New Orleans and Fleming Construction Company, LLC.
- c) Resolution (R-020-2022) Award of Contract 2158 – Water Main Point Repair, Water Service Connection, Water Valve and Fire Hydrant Replacement at Various Sites Throughout Orleans Parish Between the Sewerage and Water Board of New Orleans and Wallace C. Drennan, LLC.
- d) Resolution (R-021-2022) Award of Contract 2159 – Water Main Point Repair, Water Service Connection, Water Valve and Fire Hydrant Replacement at Various Sites Throughout Orleans Parish Between the Sewerage and Water Board of New Orleans and Boh Bros Construction Co., LLC.



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- e) Resolution (R-026-2022) Award of Contract 8166 – Repaving Open Cuts in Streets, Driveways, and sidewalks resulting from the Repair of Underground Utilities Between the Sewerage and Water Board of New Orleans and Wallace C. Drennan., LLC.
- f) Resolution (R-004-2022) Ratification of Change Order No. 5 for Contract 1370A – 60Hz Transformers and Switchgear between the Sewerage and Water Board of New Orleans and Walter J. Barnes Electric Co., Inc.
- g) Resolution (R-025-2022) Ratification of Change Order No. 6 for Contract 1377 – Water Hammer Hazard Mitigation Program – Claiborne Ave Pumping Station and Off-Site Improvements between the Sewerage and Water Board of New Orleans and M.R. Pittman Group, LLC
- h) Resolution (R-024-2022) Ratification of Change Order 7 for Contract 1403 – Demolition of Abandoned C7 & C8 Basins and Other Site Improvements at the Carrollton Water Treatment Plant between the Sewerage and Water Board of New Orleans and Cycle Construction Company, LLC
- i) Resolution (R-002-2022) Approval of Change Order No. 1 for Contract 1417 – Static Frequency Converter Procurement Project between the Sewerage and Water Board of New Orleans and Walter J. Barnes Electric Co., Inc.
- j) Resolution (R-019-2022) Ratification of Amendment 1 for Emergency Contract 6266 – Turbine No. 5 Control System Upgrades between the Sewerage and Water Board of New Orleans and Nexus Controls, LLC
- k) Resolution (R-022-2022) Authorization of Amendment No. 9 to the Agreement Between the Sewerage and Water Board of New Orleans and Lindfield, Hunter& Junius, Inc. for Design and Engineering Services for the 12” Waterline Replacement at the Algiers Lock Forebay
- l) Resolution (R-023-2022) Authorization of Amendment No. 6 to the Agreement between the Sewerage and Water Board of New Orleans and Hartman Engineering, Inc. for Design and Engineering Services for the Water Main Replacement Program

VII. Executive Session

- a) Executive Director Evaluation: Pursuant to La. R.S. 42:17(A)(1), the Board of Directors of the Sewerage and Water Board of New Orleans will meet in Executive Session to discuss the character, professional competence, and fitness of its Executive Director.



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VIII. Information Items

- a) Finance – CFO Preliminary Report
- b) GSO – January 2022 Report
- c) Special Counsel – December 2021 and January 2022 Report
- d) Audit – Billing Dispute and Procurement Reports
- e) Safety – January 2022 Report
- f) FEMA – January 2022 Report
- g) Procurement – Executive Director’s Approval of Contracts of \$1,000,000.00 or less
- h) EDBP - DBE Participation on Contracts Report

IX. Public Comment

Public comments received until 30 minutes after the presentation of the Agenda will be read into the record.

X. Adjournment

This teleconference meeting is being held pursuant to and in accordance with the provisions of Section 4 of Proclamation Number JBE 2020-30, extended by Proclamation 6 JBE 2022, pursuant to Section 3 of Act 302 of 2020.

BOARD OF DIRECTORS' MEETING

January 19, 2022

MEETING MINUTES

SEWERAGE AND WATER BOARD OF NEW ORLEANS

ROLL CALL

The Board of Directors for the Sewerage and Water Board of New Orleans (SWBNO) met on Wednesday January 19, 2022 at 9:02 AM via Microsoft Teams. Special Counsel Ms. Yolanda Grinstead, called the roll and confirmed the following members were present: Director Dr. Tamika Duplessis, Director Joseph Peychaud, Director Lynes Sloss, Director Ralph Johnson, Director Alejandra Guzman, Director Robin Barnes, Director Janet Howard, Director Maurice Sholas, Director Nichelle Taylor and Councilmember Freddie King.

The following member(s) were absent: Hon. Mayor LaToya Cantrell

Staff present were: Mr. Ghassan Korban, Executive Director; Mr. Ron Spooner, Interim General Superintendent; Ms. Christy Harowski, Chief of Staff; Ms. Yolanda Grinstead, Special Counsel; Mr. Darryl Harrison, Deputy Special Counsel; Mr. Dexter Joseph, Budget Department; Customer Service; Purchasing; Ms. Irma Plummer, EDBP; Mr. Jason Higginbotham, Emergency Management, SWBNO; Ms. Tanyell Allen, Board Relations.

APPROVAL OF PREVIOUS MINUTES

Director Dr. Tamika Duplessis presented a motion to accept the minutes of the December 15, 2021, Board Meeting. Director Joseph Peychaud moved for approval of the minutes. Director Robin Barnes seconded. The motion carried.

REPORT OF THE EXECUTIVE DIRECTOR

Executive Director Ghassan Korban presented a slide overview that focused on the following topics:

- Executive Director Korban started his report by wishing everyone a Happy New Year and Carnival season. Mr. Korban welcomed two new members to the Board of Directors team, Councilmember Freddie King, III and Director Nichelle Taylor. The Sewerage and Water Board welcomes and thanks you for your willingness to join the Board and be active. Mr. Korban acknowledged a long-standing employee, Mr. Robert Jackson, who worked for SWBNO for 33 years. Mr. Jackson has retired from SWBNO and we thank him for his service and wish him well in his retirement and future endeavors.

2022 Goals

- **West Power Complex Construction:** Site is nearing completion. T7 procurement process started. Frequency changer is being built, complex long lead equipment
- **Begin Smart Meter Project Execution:** Selection anticipated late spring and first meters anticipated in Q4.
- **Intimates Master Plan:** Customizing plans to maximize available IJJA funding for Drinking Water and Sewer Treatment and Collection
- **Strategic Plan Implementation:** Conduct rate study, improve affordability program, procure asset and financial management systems and fill key positions.

Pumping Status/ Drainage:

96 out of 99 Pumps Available for Use

- **DPS 6:**

1 Pump is out of service for mechanical issues. Pump assembly shipped to Original Equipment Manufacturer in Wisconsin for repairs.

F Pump emergency rotor repairs complete; Facility Maintenance is repairing “slinger rings” that provide oil to the bearings.

- **DPS 12:**

D Pump is back online as of January 10, 2022.

- **DPS 14:**

#4 Pump gear box repairs underway --- Still with Vendor

Power Update:

- C7 and C8 Basin Site is almost complete for future West Power Complex.
- Turbine 4 and 6 are available for use. T6 ready anti-icing equipment undergoing additional testing during weather events.
- Turbine 1 out due to vacuum issue; contractor on site for repairs.
- Turbine 5 repairs continue.

COMMITTEE REPORTS

Strategy Committee Report

Director Robin Barnes reported on the summary and actions taken by the Strategy Committee. The Strategy Committee Report for the month of January was presented and recommended for approval.

Director Dr. Tamika Duplessis asked for a motion to adopt the Strategy reports as amended. Director Lynes Sloss move to adopt Strategy minutes as proposed. Director Janet Howard seconded. The motion carried.

Governance Committee Report

Director Ralph Johnson reported on the summary and actions taken by the Governance Committee. The Governance Committee Report for the month of January was presented and recommended for approval.

Director Dr. Tanika Duplessis asked for a motion to adopt the Governance reports as amended. Director Joseph Peychaud move to adopt Governance minutes as proposed. Director Robin Barnes seconded. The motion carried.

Finance and Administration Committee Report

Director Lynes Sloss reported on the summary and actions taken by the Finance and Administration Committee. The Finance and Administration Committee Report for the month of January was presented and recommended for approval.

Director Dr. Tamika Duplessis asked for a motion to adopt the Finance and Administration reports as amended. Director Maurice Sholas move to adopt Finance minutes as proposed. Director Alejandra Guzman seconded. The motion carried.

CORRESPONDING RESOLUTIONS

The following resolutions were discussed at the January 12, 2022 Finance and Administration Committee Meeting:

- Resolution (R-127-2021) Award of Contract 1376 – Water Hammer Hazard Mitigation Program - High Lift Pump Room and Panola Pumping Station Improvements

- Resolution (R-001-2022) Award of Contract 1407 – Sycamore Filter Gallery Structural/Filter Modifications Between the Sewerage and Water Board of New Orleans and Industrial & Mechanical Contractors, Inc.

- Resolution (R-003-2022) Award of Contract 2156 – 43” Water Main Replacement on Eden

- and Grape Street Between the Sewerage and Water Board of New Orleans and BLD Services, LLC
- Resolution (R-005-2022) Award of Requisitions AL210054 and CM210070- Furnishing Sodium Hypochlorite to the Algiers Water Plant and Carrollton Water Plant Between the Sewerage and Water Board of New Orleans and DPC Enterprises, LLC
 - Resolution (R-006-2022) First Renewal for Contract No. 2142 - Water Main Line Replacements and Extension at Scattered Locations throughout Orleans Parish Between the Sewerage and Water Board of New Orleans and Wallace C. Drennan, LLC
 - Resolution (R-007-2022) Ratification of Change Order No. 6 for Contract 1403 – Demolition of Abandoned C-7 and C-8 Basins and Other Site Improvements at the Carrollton Water Plant Between the Sewerage and Water Board of New Orleans and Cycle Construction Company, LLC

 - Resolution (R-012-2022) Ratification of Change Order No. 5 for Contract 1377 – Water Hammer Hazard Mitigation Program – Claiborne Ave Pumping Station and Off-Site Improvements Between the Sewerage and Water Board of New Orleans and M.R. Pittman Group, LLC

 - Resolution (R-008-2022) Authorization of Amendment No. 11 to the Agreement Between the Sewerage and Water Board of New Orleans and CH2M Hill, Inc for Program Management, Design and Engineering Services for the Retrofit Power Plant Hazard Mitigation Grant Project

 - Resolution (R-009-2022) Authorization of Amendment No. 7 to the Agreement between the Sewerage and Water Board of New Orleans and GEC, Inc. for Design and Engineering Services for the Water Line Replacement Program

 - Resolution (R-010-2022) Ratification of Amendment No. 2 to the Agreement Between the Sewerage and Water Board of New Orleans, the City of New Orleans and the New Orleans Redevelopment Authority for the implementation of the Pontilly Drainage HMGP Project

 - Resolution (R-011-2022) Authorization of Cooperative Endeavor Agreement Between the Sewerage and Water Board of New Orleans and the City of New Orleans for the Carrollton Power Plant Static Frequency Converters 2 and 3 Capital Bond Funds

 - Resolution (R-014-2022) Authorization of Amendment No. 1 to the Agreement between the Sewerage and Water Board of New Orleans and Echologics, LLC or Leak Detection and Fire Flow Testing Services January 2022

Director Dr. Tamika Duplessis presented a motion to accept the Corresponding Resolutions in globo. Director Janet Howard moved. Director Lynes Sloss seconded. The motion carried.

Director Dr. Tamika Duplessis presented a motion to enter executive session. Director Joseph Peychaud moved. Director Janet Howard seconded. The motion carried.

Director Dr. Tamika Duplessis presented a motion to exit executive session. Director Joseph Peychaud moved. Director Lynes Sloss seconded. The motion carried.

Director Dr. Tamika Duplessis presented a motion to Defer Executive Director compensation matters to the next meeting with Mayor Cantrell in attendance. Director Joseph Peychaud moved. Director Maurice Sholas seconded. The motion carried.

PUBLIC COMMENTS

The following person(s) appeared before the Board of Directors to make comments:

- Ms. Jacqueline Shine, representing herself, submitted a comment in reference to issues concerning Sewerage and Water Board employee compensation/merit pay increases.

INFORMATION ITEMS

The following items were submitted for informational purposes only:

- Preliminary Financial Results
- Report of the General Superintendent
- Safety Report
- Contracts Under \$1 Million or Less Report
- FEMA Project Worksheet Status
- DBE Participation on Contracts Report

ADJOURNMENT

There being no further business to come before the Board, the meeting adjourned at approximately 11:58 AM.



The Sewerage & Water Board

OF NEW ORLEANS

625 ST. JOSEPH STREET

NEW ORLEANS, LA 70165

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www.swbno.org

February 8, 2022

The Strategy Committee met on Tuesday, February 8, 2022 via Microsoft Teams. The meeting convened at approximately 9:00 AM.

1. ROLL CALL

Present:

Director Robin Barnes, Chair

Director Lynes Sloss

Director Janet Howard

Director Tamika Duplessis

Director Maurice Sholas

2. PRESENTATION ITEMS

Strategic Plan and Implementation Workplan Final Draft Director Barnes opened the meeting and turned it over to Executive Director Korban, Chief of Staff Christy Harowski, and Chief of Customer Service Rene Gonzalez for remarks on their impressions and use of the plan to date. Darin Thomas of Raftelis Financial Consultants then presented on the Strategic Plan and changes made based on the request at the January 2022 Strategy Committee meeting. Highlighted changes included the addition of Key Issues to each Focus Area and further refinement of the strategies and results on the framework.

3. ACTION ITEMS

Resolution (R-016-2022) Approval of the Sewerage and Water Board Strategic Plan Following the presentation Director Barnes asked for a motion to consider the Resolution, Director Sholas moved to approve the Resolution and Director Duplessis seconded.

In general, the Board members expressed concern with the level of detail within the plan documents and agreed that significant work is needed to enhance the Implementation Workplan with additional detail. The members agreed that the Strategic Plan document was sufficient to merit approval under the condition that the staff develop detailed plans

to report on implementation progress and continue to refine the workplan to ensure accountability.

After the discussion, Director Barnes asked for a vote and the motion carried unanimously.

4. PUBLIC COMMENT

None

There being no further business to come before the Strategy Committee, Director Barnes asked for a motion to adjourn. Director Sloss moved to adjourn. Director Howard seconded. The motion carried. The meeting adjourned at approximately 10:05 AM.

Respectfully submitted,

Robin Barnes, Chair



Sewerage & Water Board OF NEW ORLEANS

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February 8, 2022

The Audit Committee meeting met on Tuesday, February 8, 2022 via Microsoft Teams. The meeting was called to order at approximately 10:32 A.M.

PRESENT:

Dr. Tamika Duplessis, Chair
Director Robin Barnes
Director Maurice Sholas
Director Joseph Peychaud

ABSENT:

Director Alejandra Guzman

ALSO, IN ATTENDANCE:

Ghassan Korban, Executive Director, SWBNO; Yolanda Y. Grinstead, Special Counsel, SWBNO; E. Grey Lewis, Chief Financial Officer, SWBNO; Christy Harowski, Chief of Staff, SWBNO; Admiral David Callahan, Chief of Administration; Dave Cappel, Interim Director of Support Services; Ron Spooner, Interim General Superintendent.

MEETING NOTES

Director Duplessis gave an opening statement bringing the committee up to speed on the employment challenges of the Internal Audit department. Director Duplessis stated that Mr. Cardell Silas had tendered his resignation and the department is now in a staffing crisis. Director Duplessis continued by mentioning the Chief Auditor position is vacant and currently being advertised. Director Sholas asked to discuss the staffing issue later in the meeting per Special Counsel Grinstead's recommendation.

PRESENTATION ITEMS

Mr. Cardell Silas gave a presentation on the updated Take Home Vehicle report. Mr. Dave Cappel and GSO Ron Spooner gave an overview of what is to come in the Support Services department, as it relates to the Take Home Vehicle report.

DISCUSSION ITEM

Mr. Silas gave an introduction of the 2022 Internal Audit Plan. Director Duplessis added that the 2022 Internal Audit plan is to ensure that the needs of the Audit Committee are being met and to give an opportunity for committee input. Committee members expressed concerns about staffing, safety, risk management and a dynamic process within the audit plan. Leadership gave insight on the staffing process and what has been done to address the staffing needs. Committee members gave recommendations on how to address the staffing issues considering mandates.

INFORMATION ITEM:

The information items were received.

ADJOURNMENT:

The meeting adjourned at approximately 10:35 A.M. with a motion by Director Sholas; seconded by Director Barnes.



"RE-BUILDING THE CITY'S WATER SYSTEMS FOR THE 21ST CENTURY"

Sewerage & Water Board OF NEW ORLEANS

LATOYA CANTRELL, PRESIDENT

625 ST. JOSEPH STREET
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www.swbno.org

February 9, 2022

The Finance and Administration Committee met on Wednesday, February 9, 2022, via Microsoft Teams. The meeting convened at approximately 9:00 A.M.

1. **ROLL CALL**

Present:

Director Lynes Sloss
Director Joseph Peychaud
Director Janet Howard
Director Ralph Johnson
Director Alejandra Guzman

Absent:

2. **CASH FLOW OVERVIEW**

Mr. Grey Lewis, Chief Financial Officer, presented an update on the Preliminary December financials and cash flow situation at the SWB, as it is critical that the SWB maintain sufficient cash flow levels to continue operations, and meet bond covenant requirements. The 2021 water and sewer cash levels are above 2020 levels at the end of December, however there are additional operating expenses relative to 2021 due to hurricane IDA and additional delinquent accounts attributable to the COVID pandemic. The F&A committee received an update on the resumption of normal collections which has increased customer service activities due to disconnect notices and additional follow up for payment. Management continues to strive to reduce expenses and manage cash to meet all financial requirements as well increasing collection activities for past due customers while maintaining an overall consistently applied policy for customers. Currently, we are on track to meet Days Cash on Hand and the Debt Service Coverage Requirements and management is planning for the year end audit which will begin in March and conclude by the legislative deadline of June 30 for the 12/31/2021 fiscal year end.

GENERAL SUPERINTENDENT'S REPORT

CONTRACT AWARDS/RENEWALS

- Resolution (R-018-2022) Award of Contract 30228 – Mid-City Basin No. 2 Sewer Rehabilitation Between the Sewerage and Water Board of New Orleans and Fleming Construction Company, LLC.
- Resolution (R-020-2022) Award of Contract 2158 – Water Main Point Repair, Water Service Connection, Water Valve and Fire Hydrant Replacement at Various Sites Throughout Orleans Parish Between the Sewerage and Water Board of New Orleans and Wallace C. Drennan, LLC.
- Resolution (R-021-2022) Award of Contract 2159 – Water Main Point Repair, Water Service Connection, Water Valve and Fire Hydrant Replacement at Various Sites Throughout Orleans Parish Between the Sewerage and Water Board of New Orleans and Boh Bros Construction Co., LLC.
- Resolution (R-026-2022) Award of Contract 8166 – Repaving Open Cuts in Streets, Driveways, and sidewalks resulting from the Repair of Underground Utilities Between the Sewerage and Water Board of New Orleans and Wallace C. Drennan., LLC.
 - **Director Janet Howard moved to accept Contract Awards/Renewals. Director Alejandra Ralph Johnson seconded. The motion carried.**

CONTRACT CHANGE ORDERS

- Resolution (R-004-2022) Ratification of Change Order No. 5 for Contract 1370A – 60Hz Transformers and Switchgear between the Sewerage and Water Board of New Orleans and Walter J. Barnes Electric Co., Inc.
- Resolution (R-025-2022) Ratification of Change Order No. 6 for Contract 1377 – Water Hammer Hazard Mitigation Program – Claiborne Ave Pumping Station and Off-Site Improvements between the Sewerage and Water Board of New Orleans and M.R. Pittman Group, LLC
- Resolution (R-024-2022) Ratification of Change Order 7 for Contract 1403 – Demolition of Abandoned C7 & C8 Basins and Other Site Improvements at the Carrollton Water Treatment Plant between the Sewerage and Water Board of New Orleans and Cycle Construction Company, LLC
 - **Director Ralph Johnson moved to accept Contract Change Orders. Director Janet Hoard seconded. The motion carried.**

- Resolution (R-002-2022) Approval of Change Order No. 1 for Contract 1417 – Static Frequency Converter Procurement Project between the Sewerage and Water Board of New Orleans and Walter J. Barnes Electric Co., Inc.
 - o **Director Ralph Johnson moved to accept R-002-2022. Director Janet Howard seconded. The motion carried.**

CONTRACT ADMENDMENTS

- Resolution (R-019-2022) Ratification of Amendment 1 for Emergency Contract 6266 – Turbine No. 5 Control System Upgrades between the Sewerage and Water Board of New Orleans and Nexus Controls, LLC
- Resolution (R-022-2022) Authorization of Amendment No. 9 to the Agreement Between the Sewerage and Water Board of New Orleans and Lindfield, Hunter& Junius, Inc. for Design and Engineering Services for the 12” Waterline Replacement at the Algiers Lock Forebay
- Resolution (R-023-2022) Authorization of Amendment No. 6 to the Agreement between the Sewerage and Water Board of New Orleans and Hartman Engineering, Inc. for Design and Engineering Services for the Water Main Replacement Program
 - o **Director Ralph Johnson moved to accept Contract Amendments. Director Janet Howard seconded. The motion carried.**

3. **INFORMATION ITEMS** –

The information items were received.

4. **PUBLIC COMMENT** –

None.

5. **ADJOURNMENT**

There being no further business to come before the Finance and Administration Committee Meeting. The meeting adjourned at approximately 9:47 AM.

Respectfully submitted,

Lynes Sloss, Chair



“RE-BUILDING THE CITY’S WATER SYSTEMS FOR THE 21ST CENTURY”

EMPLOYEES’ RETIREMENT SYSTEM OF THE Sewerage & Water Board OF NEW ORLEANS

LaToya Cantrell, President

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www.swbno.org

February 9, 2022

The Pension Committee met virtually on Wednesday, February 9, 2022, via Microsoft Teams. The meeting convened at approximately 10:31 A.M.

Present:

Director Joseph Peychaud
Director Ralph Johnson
Director Alejandra Guzman
Director Maurice Sholas
Ms. Latressia Matthews
Mr. Harold Heller
Mr. Kenneth Davis, Sr.
Mr. Mubashir Maqbool

Other Board Members Present:

N/A

Absent:

N/A

Also, in attendance: Deputy Special Counsel Mr. Ed Morris; Mr. E. Grey Lewis, Chief Financial Officer; Mr. Kweku Obed and Mr. Jesus Jimenez, Marquette Associates, Inc.

PRESENTATION ITEMS

Director Peychaud introduced Mr. Kweku Obed and Mr. Jesus Jimenez of Marquette Associates, Inc. who provided an executive summary of the current financial markets.

Capital Markets Executive Summary

- Commodities and equities were the highest performing assets in 2021, while emerging markets were the worse, followed by fixed income as the only two asset classes with negative performances.
- Expecting a lot of volatility in 2022 as the market is expected to experience some mean reversion.

*Members of the Board: • JANET HOWARD • ROBIN BARNES • LATOYA CANTRELL •
• TAMIKA DUPLESSIS, PhD • RALPH JOHNSON • ALEJANDRA GUZMAN •
• JOSEPH PEYCHAUD • LYNES SLOSS • MAURICE SHOLAS, MD, PhD*

- Recent inflationary pressures continue and are expected to lead to higher interest rates, with the Federal Reserve expected to raise rates three times in 2022.
- Higher rates are expected to put pressure on the fixed asset component of the portfolio, but the new asset allocation has the pension fund transitioning out of some of its fixed asset positions.
- Now expect lower returns from the equity markets in 2022 compared to 2021's nearly 30% rate of return, with value stocks better positioned than growth stocks.
- U.S. equities market have been under pressure so far in 2022, with negative returns though the first few weeks of the year. However, this does not mean that 2022 will have negative returns for the whole year.
- Real estate saw a bounce back in 2021 and should be one of the better position asset classes going into an inflationary and volatile market.
- Private equity will also provide a good diversification tool to support the pension fund going forward.
- New portfolio mix resulted a decrease in fixed income, hedge funds, and REITS, while increasing exposure to stocks, core real estate, infrastructure, and private equity funds.

ACTION ITEMS

- Resolution (R-042-2022) to accept the Amended Sewerage & Water Board of New Orleans Employees' Pension Trust Fund Investment Policy Statement in accordance with (R-134-2021) which adopted the new Asset Allocation for the Employees' Retirement System of the Sewerage and Water Board of New Orleans
Director Psychaud made a motion to approve R-042-2022. Mr. Heller moved.
Director Johnson seconded. The motion carried.

PUBLIC COMMENT

None.

INFORMATION ITEMS

The information items were received.

ADJOURNMENT:

There being no further business to come before the Pension Committee, Director Psychaud made a motion to adjourn. Director Sholas accepted. Director Guzman seconded. The motion carried. The meeting adjourned at approximately 11:10 A.M.

Respectfully submitted,

Mr. Joseph Psychaud, Chair

APPROVAL OF THE SEWERAGE AND WATER BOARD STRATEGIC PLAN

WHEREAS, the Sewerage and Water Board of New Orleans (“SWBNO”) is undertaking an ambitious effort to plan for its water, wastewater, and drainage systems in a long-range, integrated fashion in order to direct investments in the systems in a way that solidifies the City’s future, improves quality of life, and provides the greatest community value through multiple benefits; and

WHEREAS, SWBNO’s last utility strategic plan expired in 2019 and part of the overall planning process identified the need to create a new Strategic Plan to define the utility’s direction and goals leading to better allocation of its resources to pursue the strategic direction and achieve the utility’s goals; and

WHEREAS, on June 1, 2021, SWBNO contracted with Raftelis Financial Consultants to facilitate the process of creating a new Strategic Plan for the utility; and

WHEREAS, development of the plan included extensive involvement of SWBNO Board members, leadership, staff, and external stakeholders whose input was critical to the process; and

WHEREAS, the plan updates SWBNO’s Mission, Vision, and Core Values to better reflect the core beliefs of the Board, leadership, staff, and external stakeholders; and

WHEREAS, the SWBNO Strategic Plan’s objectives are to improve performance by orienting decision making around six focus areas identified within the plan which consist of Financial Stability, Technology Modernization, Workforce Development and Enrichment, Customer Service Excellence and Stakeholder Engagement, Infrastructure Resiliency and Reliability, and Organizational and Operational Improvement; and

WHEREAS, across each focus area the plan contains 24 strategies that contain specific tactics for implementation and will lead to improved performance over the next 5 years.

NOW, THEREFORE, BE IT, RESOLVED, the SWBNO Board of Directors hereby officially approves and adopts the SWBNO Strategic Plan.

I, Ghassan Korban, P.E., Executive Director,
Sewerage and Water Board of New Orleans, do hereby certify
that the above and foregoing is a true
and correct copy of Resolution adopted at the Regular
Monthly Meeting of said Board, duly called and held,
according to law, on February 16, 2022.

GHASSAN KORBAN, P.E. EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**REQUEST FOR APPROVAL TO REVISE THE SEWERAGE & WATER BOARD OF
NEW ORLEANS EMPLOYEES' PENSION TRUST FUND INVESTMENT POLICY
STATEMENT**

WHEREAS, the Board of Trustees of the Sewerage and Water Board of New Orleans (“Board”), is entrusted with the fiduciary responsibility to properly oversee the investment and management of the pension fund of the Employees’ Retirement System of the Sewerage and Water Board of New Orleans (“Board Pension Plan”); and

WHEREAS, the purpose of the Sewerage & Water Board of New Orleans (SWBNO) Employees’ Pension Trust Fund Investment Policy Statement (“Investment Policy”) is to guide the Board of Trustees in effectively supervising, monitoring and evaluating the investment of the SWBNO Employees’ Retirement System assets; and

WHEREAS, the primary investment objective is to achieve full funding of the actuarial accrued liability so that such assets are preserved for providing benefits to participants and their beneficiaries and such long-term return without undue risk maximize the amounts available to provide such benefits; and

WHEREAS, Marquette Associates, Inc. (“Marquette”) performed an assessment of the Board Pension Plan investments allocations in order to determine if the plan’s current asset mix was projected to meet the 7% annualized rate of return over the next 10 years, as calculated by the Board Pension Plan’s Actuary, in order to meet its future commitments; and

WHEREAS, on November 17, 2021, the Board passed Resolution (R-134-2021), and accepted Marquette’s new asset allocation proposal of Portfolio E authorizing for the Investment Policy to be updated accordingly; and

WHEREAS, Marquette revised the Investment Policy to include the new asset allocation and target levels; and

NOW THEREFORE, BE IT RESOLVED, that the Investment Policy is approved as revised to incorporate the new asset allocation investment strategy.

I, GHASSAN KORBAN, Executive Director,
Sewerage and Water Board of New Orleans, do hereby
certify that the above and foregoing is a true and
correct copy of a Resolution adopted at the
Meeting of said Board of Trustees, duly called and held,
according to law, on February 16, 2022.

**GHASSAN KORBAN, EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS**

**GENERAL SUPERINTENDENT RECOMMENDATIONS
FOR THE FEBRUARY 8, 2022
FINANCE AND ADMINISTRATION COMMITTEE MEETING**

A listing of the bids, change orders, amendments and final acceptances received during the month of February is included in the following report. A brief summary is attached for your review.

CONTRACT AWARDS/RENEWALS (4)

- Page 3 R-018-2022 Award of Contract 30228 – Mid-City Basin No. 2 Sewer Rehabilitation Between the Sewerage and Water Board of New Orleans and Fleming Constructin Company, LLC.
- Page 6 R-020-2022 Award of Contract 2158 – Water Main Point Repair, Water Service Conneciton, Water Valve and Fire Hydrant Replacement at Various Sites Throughout Orleans Parish Between the Sewerage and Water Board of New Orleans and Wallace C. Drennan, LLC.
- Page 10 R-021-2022 Award of Contract 2159 – Water Main Point Repair, Water Service Conneciton, Water Valve and Fire Hydrant Replacement at Various Sites Throughout Orleans Parish Between the Sewerage and Water Board of New Orleans and Boh Bros Construction Co., LLC.
- Page 13 R-026-2022 Award of Contract 8166 – Repaving Open Cuts in Streets, Driveways, and sidewalks resulting from the Repair of Underground Utilities Between the Sewerage and Water Board of New Orleans and Wallace C. Drennan., LLC.

CONTRACT CHANGE ORDERS (4)

- Page 16 R-004-2022 Ratification of Change Order No. 5 for Contract 1370A – 60Hz Transformers and Switchgear between the Sewerage and Water Board of New Orleans and Walter J. Barnes Electric Co., Inc.
- Page 19 R-025-2022 Ratification of Change Order No. 6 for Contract 1377 – Water Hammer Hazard Mitigation Program – Claiborne Ave Pumping Station and Off-Site Improvements between the Sewerage and Water Board of New Orleans and M.R. Pittman Group, LLC
- Page 23 R-024-2022 Ratification of Change Order 7 for Contract 1403 – Demolition of Abandoned C7 & C8 Basins and Other Site Improvements at the Carrollton Water Treatment Plant between the Sewerage and Water Board of New ORleand and Cycle Construction Company, LLC
- Page 28 R-002-2022 Approval of Change Order No. 1 for Contract 1417 – Static Frequency Coverter Prouement Project between the Sewerage and Water Board of New Orleans and Walter J. Barnes Electric Co., Inc.

CONTRACT AMENDMENTS (3)

- Page 31 R-019-2022 Ratification of Amendment 1 for Emergency Contract 6266 – Turbine No. 5 Control System Upgrades between the Sewerage and Water Board of New Orleans and Nexus Controls, LLC
- Page 35 R-022-2022 Authorization of Amendment No. 9 to the Agreement Between the Sewerage and Water Board of New Orleans and Lindfield, Hunter & Junius, Inc. for Design and Engineering Services for the 12” Waterline Replacement at the Algiers Lock Forebay
- Page 39 R-023-2022 Authorization of Amendment No. 6 to the Agreement between the Sewerage and Water Board of New Orleans and Hartman Engineering, Inc. for Design and Engineering Services for the Water Main Replacement Program

CONTRACT 30228 – MID-CITY BASIN No. 2 SEWER REHABILITATION

WHEREAS, the Sewerage and Water Board of New Orleans advertised on August 5, 2021, according to public bid law, a Request for Bids for Contract 30228 – Mid-City Basin No. 2 Sewer Rehabilitation; and,

WHEREAS, two (2) bids were received on December 23, 2021; and,

NOW, THEREFORE BE IT RESOLVED, that the responsible and responsive low bid is hereby accepted, and contract awarded therefore to **Fleming Construction Company, LLC** in the amount of \$18,500,669.00.

I, Ghassan Korban, Executive Director,
Sewerage and Water Board of New Orleans,
do hereby certify that the above and foregoing
is a true and correct copy of a resolution adopted
at the Regular Meeting of said Board,
duly called and held, according to law, on
February 16, 2022.

Ghassan Korban,
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**Sewerage and Water Board of New Orleans
BOARD OF DIRECTORS CONTRACTOR FACT SHEET**



ACTION REQUESTED

CONTRACT AWARD

30228 - Mid-City Basin No. 2 Sewer Rehabilitation

Approval to execute a contract between the Sewerage and Water Board and Fleming Construction Company, LLC in the amount of \$18,500,669.00

CONTRACTOR/SUB/VENDOR INFORMATION

		DBE PARTICIPATION	
PRIME	SUBS	TARGET	
Fleming Construction Company, LLC	Advantage Manhole & Concrete Services, Inc	29.10%	
	DC Trucking and Equipment Service LLC	6.20%	
	Industry Junction, Inc	2.50%	
Total		37.80%	

DESCRIPTION AND PURPOSE

Original Contract Value	\$18,500,669.00
Has a NTP been Issued	No
Total Contract Value	\$18,500,669.00
Proposed Contract Completion Date	

Purpose and Scope of the Contract:

Contract 1376, as part of the Water Hammer Hazard Mitigation Program, includes the replacement of valves and piping, installation of meters, and the refurbishment of the pumps at the Panola and High Lift Pumping Stations at the Carrollton Water Treatment Plant.

Spending to Date:

Cumulative Contract Amount (as of 4//2022)	\$0.00
Cumulative Contract Spending (as of 4//2022)	\$0.00

Contractor's Past Performance:

Initial award.

PROCUREMENT INFORMATION

Contract Type	Base Bid	Award Based On	Lowest Competitive Bid
Commodity	Public Works Construction	Contract Number	30228
Contractor Market	Public Bid		
Compliance with Procurement Laws?	Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	CMRC Date (if nec.):

BUDGET INFORMATION

Funding	317-06	Department	Networks Engineering
System	Sewer	Project Manager	Kevin Braxton
Job Number		Purchase Order #	TBD

ESTIMATED FUND SOURCE

User	Share%	Dollar Amount	Reimbursable?
Sewer System	100%	\$ 18,500,669.00	
Water System			
Drainage System			
TOTAL		\$ 18,500,669.00	

I certify that this contract action complies with all Sewerage and Water Board procurement policies and guidance, ethics rules and meets necessary regulatory requirements, including compliance with financing sources.

Kevin Braxton
Senior Engineer
Networks Engineering

CONTRACT 2158- WATER MAIN POINT REPAIR, WATER SERVICE CONNECTION, WATER VALVE AND FIRE HYDRANT REPLACEMENT AT VARIOUS SITES THROUGHOUT ORLEANS PARISH

WHEREAS, the Sewerage and Water Board of New Orleans advertised, according to public bid law, a Request for Bids for Contract 2158- Water Main Point Repair, Water Service Connection, Water Valve and Fire Hydrant Replacement at Various Sites throughout Orleans Parish with an optional two (2) - one-year renewal periods; and,

WHEREAS, two (2) bids were received on Friday, January 21, 2022; and,

NOW, THEREFORE BE IT RESOLVED, that the responsible and responsive low bid is hereby accepted, and contract awarded therefore to **Wallace C Drennan, LLC** in the amount of \$4,894,445.00.

I, Ghassan Korban, Executive Director,
Sewerage and Water Board of New Orleans,
do hereby certify that the above and foregoing
is a true and correct copy of a resolution adopted
at the Regular Meeting of said Board,
duly called and held, according to law, on
February 16, 2022.

Ghassan Korban,
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**Sewerage and Water Board of New Orleans
BOARD OF DIRECTORS CONTRACTOR FACT SHEET**



ACTION REQUESTED

CONTRACT SERVICES CONTRACT AWARD

CONTRACT 2158: Water Main Point Repair, Water Service Connection, Water Valve and Fire Hydrant Replacement at Various Sites throughout Orleans Parish

Approval to Award Contract 2158, between the Sewerage and Water Board and Wallace C Drennan, LLC, in the amount of \$4,894,445.00

CONTRACTOR/SUB/VENDOR INFORMATION

		DBE PARTICIPATION	
PRIME	SUBS	TARGET	ACTUAL
Wallace C Drennan., LLC	C&M Construction Group, Inc.	8.17%	0.00%
	Choice Supply Solutions, LLC	26.56%	0.00%
	Coop Contracting Group, LLC	1.00%	0.00%
	Prince Dump Truck Service, LLC	1.20%	0.00%
Total		36.93%	0.00%

DESCRIPTION AND PURPOSE

Original Contract Value	\$4,894,445.00
Previous Change Orders	\$0.00
% Change of Contract To Date	0.0%
Value of Requested Change	\$0.00
% For This Change Order	0.0%
Has a NTP been Issued	No
Total Revised Contract Value	\$4,894,445.00
% Total Change of Contract	0.0%
Original Contract Completion Date	NA
Previously Approved Extensions (Days)	0
Time Extension Requested (Days)	0
Proposed Contract Completion Date	NA

Purpose and Scope of the Contract:

This contract is a maintenance contract required in order to perform water point repairs, water service connections, water valve and hydrant replacements throughout Orleans parish.

Reason for Change:

Error/Omission <input type="checkbox"/>	Differing Site Condition <input type="checkbox"/>	Regulatory Requirement <input type="checkbox"/>
Design Change <input type="checkbox"/>	Other <input type="checkbox"/> Award <input checked="" type="checkbox"/>	

The reason for this award is to continue to maintain the City's water distribution system. This contract is necessary, as it will be used to make repairs to existing water main system components to prevent further damage and loss of water to Orleans Parish customers.

Spending to Date:

Cumulative Contract Amount (as of 1/24/2022)	\$4,894,445.00
Cumulative Contract Spending (as of 1/24/2022)	\$0.00

Contractor's Past Performance:

The contractor's performance has been satisfactory to date.

PROCUREMENT INFORMATION

Contract Type	Fixed Unit Rate	Award Based On	Lowest Competitive Bid
Commodity	Construction Services	Contract Number	2158
Contractor Market	Open Market with DBE participation		
Compliance with Procurement Laws?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	CMRC Date (if nec.) :	

BUDGET INFORMATION

Funding	O & M 6620/4406	Department	Networks
System	Water	Project Manager	Ashraf Abdelbaqi
Job Number	2158	Purchase Order #	NA

ESTIMATED FUND SOURCE

User	Share%	Dollar Amount	Reimbursable?
Sewer System			
Water System	100%	\$4,894,445.00	No
Drainage System			
TOTAL		\$4,894,445.00	

I certify that this contract action complies with all Sewerage and Water Board procurement policies and guidance, ethics rules and meets necessary regulatory requirements, including compliance with financing sources.

Fred Tharp, P.E
Chief of Networks
Networks Department

CONTRACT 2159- WATER MAIN POINT REPAIR, WATER SERVICE CONNECTION, WATER VALVE AND FIRE HYDRANT REPLACEMENT AT VARIOUS SITES THROUGHOUT ORLEANS PARISH

WHEREAS, the Sewerage and Water Board of New Orleans advertised, according to public bid law, a Request for Bids for Contract 2159- Water Main Point Repair, Water Service Connection, Water Valve and Fire Hydrant Replacement at Various Sites throughout Orleans Parish with an optional two (2) - one-year renewal periods; and,

WHEREAS, one (1) bid was received on Friday, January 21, 2022; and,

NOW, THEREFORE BE IT RESOLVED, that the responsible and responsive low bid is hereby accepted, and contract awarded therefore to **Boh Bros. Construction Co., LLC** in the amount of \$1,095,075.00.

I, Ghassan Korban, Executive Director,
Sewerage and Water Board of New Orleans,
do hereby certify that the above and foregoing
is a true and correct copy of a resolution adopted
at the Regular Meeting of said Board,
duly called and held, according to law, on
February 16, 2022.

Ghassan Korban,
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**Sewerage and Water Board of New Orleans
BOARD OF DIRECTORS CONTRACTOR FACT SHEET**



ACTION REQUESTED

CONTRACT SERVICES CONTRACT AWARD

CONTRACT 2159: Water Main Point Repair, Water Service Connection, Water Valve and Fire Hydrant Replacement at Various Sites throughout Orleans Parish

Approval to Award Contract 2159, between the Sewerage and Water Board and Boh Bros Construction Co., LLC, in the amount of \$1,095,075.00

CONTRACTOR/SUB/VENDOR INFORMATION

		DBE PARTICIPATION	
PRIME	SUBS	TARGET	ACTUAL
<i>Boh Bros Construction Co., LLC</i>	<i>Industry Junction, Inc.</i>	32.27%	0.00%
	<i>Prince Dump Truck Services, LLC</i>	4.43%	0.00%
Total		36.70%	0.00%

DESCRIPTION AND PURPOSE

Original Contract Value	\$1,095,075.00
Previous Change Orders	\$0.00
% Change of Contract To Date	0.0%
Value of Requested Change	\$0.00
% For This Change Order	0.0%
<i>Has a NTP been Issued</i>	<i>No</i>
Total Revised Contract Value	\$1,095,075.00
% Total Change of Contract	0.0%
Original Contract Completion Date	NA
Previously Approved Extensions (Days)	0
Time Extension Requested (Days)	0
Proposed Contract Completion Date	NA

Purpose and Scope of the Contract:

This contract is a maintenance contract required in order to perform water point repairs, water service connections, water valve and hydrant replacements throughout Orleans parish.

Reason for Change:

Error/Omission <input type="checkbox"/>	Differing Site Condition <input type="checkbox"/>	Regulatory Requirement <input type="checkbox"/>
Design Change <input type="checkbox"/>	Other <input type="checkbox"/> Award <input checked="" type="checkbox"/>	

The reason for this award is to continue to maintain the City's water distribution system. This contract is necessary, as it will be used to make repairs to existing water main system components to prevent further damage and loss of water to Orleans Parish customers.

Spending to Date:

Cumulative Contract Amount (as of 1/24/2022)	\$1,095,075.00
Cumulative Contract Spending (as of 1/24/2022)	\$0.00

Contractor's Past Performance:

The contractor's performance has been satisfactory to date.

PROCUREMENT INFORMATION

Contract Type	Fixed Unit Rate	Award Based On	Lowest Competitive Bid
Commodity	Construction Services	Contract Number	2159
Contractor Market	Open Market with DBE participation		
Compliance with Procurement Laws?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	CMRC Date (if nec.) :	

BUDGET INFORMATION

Funding	O & M 6620/4406	Department	Networks
System	Water	Project Manager	Ashraf Abdelbaqi
Job Number	2159	Purchase Order #	NA

ESTIMATED FUND SOURCE

User	Share%	Dollar Amount	Reimbursable?
Sewer System			
Water System	100%	\$1,095,075.00	No
Drainage System			
TOTAL		\$1,095,075.00	

I certify that this contract action complies with all Sewerage and Water Board procurement policies and guidance, ethics rules and meets necessary regulatory requirements, including compliance with financing sources.

Fred Tharp, P.E
Chief of Networks
Networks Department

**CONTRACT 8166- REPAVING OPEN CUTS IN STREETS, DRIVEWAYS, AND
SIDEWALKS RESULTING FROM THE REPAIR TO THE SEWERAGE AND
WATER BOARD OF NEW ORLEANS UNDERGROUND UTILITIES**

WHEREAS, the Sewerage and Water Board of New Orleans advertised, according to public bid law, a Request for Bids for Contract 8166- Repaving Open Cuts in Streets, Driveways, and Sidewalks Resulting from the Repair to the Sewerage and Water Board of New Orleans Underground Utilities.

WHEREAS, one (1) bid was received on Monday, January 3, 2022; and,

NOW, THEREFORE BE IT RESOLVED, that the responsible and responsive low bid is hereby accepted, and contract awarded therefore to **Wallace C. Drennan., LLC** in the amount of \$1,247,050.00

I, Ghassan Korban, Executive Director,
Sewerage and Water Board of New Orleans,
do hereby certify that the above and foregoing
is a true and correct copy of a resolution adopted
at the Regular Meeting of said Board,
duly called and held, according to law, on
February 16, 2022.

Ghassan Korban,
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**Sewerage and Water Board of New Orleans
BOARD OF DIRECTORS CONTRACTOR FACT SHEET**



ACTION REQUESTED

CONSTRUCTION SERVICES CONTRACT AWARD

Contract 8166- Repaving Open Cuts in Streets, Driveways, and Sidewalks Resulting from the Repair to the Sewerage and Water Board of New Orleans Underground Utilities.

Approval to award contract 8166, between the Sewerage and Water Board and Wallace C. Drennan, in the amount of \$1,247,050.00

CONTRACTOR/SUB/VENDOR INFORMATION

		DBE PARTICIPATION	
PRIME	SUBS	TARGET	ACTUAL
<i>Wallace C. Drennan</i>	<i>C&M Construction Group</i>	36.00%	
Total		36.00%	

DESCRIPTION AND PURPOSE

Original Contract Value	\$1,247,050.00
Previous Change Orders	None
% Change of Contract To Date	0.0%
Value of Requested Change	\$0.00
% For This Change Order	0.0%
<i>Has a NTP been Issued</i>	<i>No</i>
Total Revised Contract Value	N/A
% Total Change of Contract	N/A
Original Contract Completion Date	N/A
Previously Approved Extensions (Days)	None
Time Extension Requested (Days)	Zero
Proposed Contract Completion Date	N/A

Purpose and Scope of the Contract:

The purpose of this contract is for the repaving open cuts in streets, driveways and, sidewalks resulting from the repair to the Sewerage and Water Board of New Orleans underground utilities.

Reason for Change:

Error/Omission <input type="checkbox"/>	Differing Site Condition <input type="checkbox"/>	Regulatory Requirement <input type="checkbox"/>
Design Change <input type="checkbox"/>	Other _____ <input type="checkbox"/>	

N/A

Spending to Date:

Cumulative Contract Amount (as of 12/4/2020)	\$0.00
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Cumulative Contract Spending (as of 12/4/2020)	\$0.00
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Contractor's Past Performance:

The contractor's performance has been satisfactory to date.

PROCUREMENT INFORMATION

Contract Type	Base Bid	Award Based On	Lowest Competitive Bid
Commodity	Public Works Construction	Contract Number	8166
Contractor Market	Public Bid with DBE participation		
Compliance with Procurement Laws?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	CMRC Date (if nec.) :	

BUDGET INFORMATION

Funding	O&M 6620/4409 and O&M 6621/4407	Department	Networks
System	Water and Sewer	Project Manager	Britton Husby
Job Number		Purchase Order #	Pending

ESTIMATED FUND SOURCE

User	Share%	Dollar Amount	Reimbursable?
Sewer System	50	\$623,525.00	No
Water System	50	\$623,525.00	No
Drainage System		\$ -	
TOTAL		\$ 1,247,050.00	

I certify that this contract action complies with all Sewerage and Water Board procurement policies and guidance, ethics rules and meets necessary regulatory requirements, including compliance with financing sources.

Fred Tharp, P.E.
Chief of Networks
Networks

RATIFICATION OF CHANGE ORDER NO. 5 FOR CONTRACT 1370A – 60HZ TRANSFORMERS AND SWITCHGEAR

WHEREAS, on April 22, 2020, the Sewerage and Water Board entered into Contract 1370A with Walter J. Barnes Electric Co, Inc. in the amount of \$11,890,364.45 for 60Hz Transformers and Switchgear; and,

WHEREAS, by Resolution R-122-2020, approved November 18, 2020, the Board authorized the ratification of Change Order 1, in the amount of \$89,435.80; and,

WHEREAS, by Resolution R-001-2021, approved February 24, 2021, the Board authorized the ratification of Change Order 2, in the amount of \$100,582.23; and,

WHEREAS, by Resolution R-055-2021, approved July 14, 2021, the Board authorized the ratification of Change Order 3, in the amount of \$387,262.75; and,

WHEREAS, by Resolution R-098-2021, approved December 15, 2021, the Board authorized the ratification of Change Order 4, in the amount of \$442,557.21; and,

WHEREAS, this change order addresses three (3) field change orders, FCO-018 through FCO-020 respectfully, composed of FEMA eligible items, in the amount of \$119,175.11; and,

WHEREAS, this Change Order, in the grand total of \$119,175.11 brings the accumulated Contract change order total to \$1,139,013.10, or 9.6% of the original Contract value; and,

NOW THEREFORE BE IT RESOLVED, the approval of Change Order No. 5 for Contract 1370A is hereby ratified by the Sewerage and Water Board of New Orleans increasing the amount of the contract by \$119,175.11, bringing the Contract total to \$13,029,377.55.

I, Ghassan Korban, Executive Director,
Sewerage and Water Board of New Orleans,
do hereby certify that the above and foregoing
is a true and correct copy of a resolution adopted
at the Regular Meeting of said Board,
duly called and held, according to law, on
February 16, 2022

Ghassan Korban
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**Sewerage and Water Board of New Orleans
BOARD OF DIRECTORS CONTRACTOR FACT SHEET**



ACTION REQUESTED

**CONTRACT ADMINISTRATIVE CHANGE ORDER #5
Contract 1370A - 60Hz Transformers and Switchgear**

Approval to modify Contract #1370A between the Sewerage and Water Board and Walter J. Barnes Electric Co. Inc. in the amount of \$119,175.11, consisting of the cumulative total amount of Field Change Orders FCO-018 thru FCO-020.

CONTRACTOR/SUB/VENDOR INFORMATION

		DBE PARTICIPATION	
PRIME	SUBS	TARGET	ACTUAL
<i>Walter J. Barnes Electric Co. Inc.</i>	<i>C. Watson Group</i>	13.00%	12.73%
	<i>Balthazar Elektriks</i>	3.00%	2.01%
	<i>Carter Electric Supply</i>	4.00%	7.84%
Total		20.00%	22.58%

DESCRIPTION AND PURPOSE

Original Contract Value	\$11,890,364.45
Previous Change Orders	\$1,019,837.99
% Change of Contract To Date	8.6%
Value of Requested Change	\$119,175.11
% For This Change Order	1.0%
<i>Has a NTP been Issued</i>	<i>Yes</i>
Total Revised Contract Value	\$13,029,377.55
% Total Change of Contract	9.6%
Original Contract Completion Date	8/8/2021
Previously Approved Extensions (Days)	195
Time Extension Requested (Days)	90
Proposed Contract Completion Date	5/20/2022

Purpose and Scope of the Contract:

The project consists of the construction of an elevated concrete platform used for the installation of one large 4160V walk-in switchgear and two (2) 60 Hertz, 25MVA rated transformers. This new equipment will power future and existing medium voltage (4160V) loads using existing and future power sources. The work site is located at the Carrollton Water Purification Plant, adjacent to Central Control.

Reason for Change:

In Scope <input checked="" type="checkbox"/>	Differing Site Condition <input type="checkbox"/>	Regulatory Requirement <input type="checkbox"/>
Design Change <input type="checkbox"/>	Other Non-FEMA <input type="checkbox"/>	

WCD-023 or FCO-018 - Clean the electrical manhole South of Chemical House and the large electrical power vault, North of the Plant Frequency Changer. Change Order total is \$13,199.95.

WCD-028 or FCO-019 - Reroute CFC-2 feeder to CFC-1 breaker for parallel use on CFC-1 breaker at the new Outside Switchgear. Contract document does not include parallel feeders. Change Order total is \$13,569.00.

WCD-029 or FCO-020 - Work associated with the installation of Feeder RS-T6 onto the existing 60Hz switchgear at Oak Street Raw Water Pumping Station. Change Order total is \$92,406.16.

Spending to Date:

Cumulative Contract Amount (as of 1/25/2022)	\$12,910,202.44
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Cumulative Contract Spending (as of 1/25/2022)	\$12,081,784.18
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Contractor's Past Performance:

The contractor's timeliness of deliverables, conformance to SWBNO policies and procedures, quality meet expectations.

PROCUREMENT INFORMATION

Contract Type	Base Bid	Award Based On	Lowest Competitive Bid
Commodity	Public Works Construction	Contract Number	1370A
Contractor Market	Public Bid with DBE participation		
Compliance with Procurement Laws?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	CMRC Date (if nec.):	1/27/2022

BUDGET INFORMATION

Funding	CP 676-05	Department	ELECTRICAL ENGR.
System	Sewer, Water, Drainage	Project Manager	CELISO ANTUNEZ, EI
Job Number	01370FEM	Purchase Order #	6000064 PG2020

ESTIMATED FUND SOURCE

User	Share%	Dollar Amount	Reimbursable?
Sewer System	13%	\$15,492.76	YES
Water System	34%	\$40,519.54	YES
Drainage System	53%	\$63,162.81	YES
TOTAL		\$119,175.11	

I certify that this contract action complies with all Sewerage and Water Board procurement policies and guidance, ethics rules and meets necessary regulatory requirements, including compliance with financing sources.

CELISO ANTUNEZ, EI
PROJECT MANAGER
ELECTRICAL ENGINEERING

RATIFICATION OF CHANGE ORDER NO. 6 FOR CONTRACT 1377 – WATER HAMMER HAZARD MITIGATION PROGRAM – CLAIBORNE AVE. PUMPING STATION AND OFF-SITE IMPROVEMENTS

WHEREAS, on November 20, 2019, by Resolution R-175-2019, the Sewerage and Water Board of New Orleans awarded Contract 1377 to **M.R. Pittman Group, LLC** in the amount of \$35,345,100.00 for Water Hammer Hazard Mitigation Program – Claiborne Ave. Pumping Station and Off-Site Improvements; and,

WHEREAS, the Board by Resolution R-054-2021 approved Change Order 1 on May 19, 2021, increasing the Contract Value by \$1,161,235.98; and

WHEREAS, the Board by Resolution R-056-2021 approved Change Order 2 on June 16, 2021, increasing the Contract Value by \$261,260.92; and

WHEREAS, the Board by Resolution R-079-2021 approved Change Order 3 on July 14, 2021, increasing the Contract Value by \$148,321.11; and

WHEREAS, the Board by Resolution R-088-2021 approved Change Order 4 on October 22, 2021, increasing the Contract Value by \$62,347.42; and

WHEREAS, the Board by Resolution R-012-2022 approved Change Order 5 on January 19, 2022, increasing the Contract Value by \$62,347.42; and

WHEREAS, this Change Order includes the replacement of the Claiborne Pumping Station windows and the addition of uninterruptible power supply units for control power back-up on the variable frequency drives; and

WHEREAS, this Change Order, in the amount of \$197,488.11, or 0.6% of the Original Contract Value, brings the accumulated Contract Change Order Total to \$1,899,782.14, or 5.4% of the Original Contract Value.

NOW THEREFORE BE IT RESOLVED, the approval of Change Order No. 6 for Contract 1377 is ratified by the Sewerage and Water Board of New Orleans increasing the amount of the contract by \$197,488.11, bringing the Contract total to \$37,244,882.14.

I, Ghassan Korban, Executive Director,
Sewerage and Water Board of New Orleans,
do hereby certify that the above and foregoing
is a true and correct copy of a resolution adopted
at the Regular Meeting of said Board,
duly called and held, according to law, on
February 16, 2022

Ghassan Korban
EXECUTIVE DIRECTOR

**Sewerage and Water Board of New Orleans
BOARD OF DIRECTORS CONTRACTOR FACT SHEET**



ACTION REQUESTED

CONTRACT CHANGE ORDER NO. 006

CONTRACT No. 1377 Water Hammer Mitigation Program, Claiborne Ave. Pump Station & Offsite Improvements

Approval to modify Contract No. 1377 in the amount of \$197,488.11

CONTRACTOR/SUB/VENDOR INFORMATION

DBE PARTICIPATION GOAL: 36%			
PRIME	SUBS	TARGET	ACTUAL (as of 12/31/2021)
<i>M.R. Pittman Group</i>	<i>Bayou Concrete Pumping LLC</i>	0.50%	0.00%
	<i>Bayou Construction Group</i>	0.10%	0.01%
	<i>The Beta Group</i>	0.40%	0.17%
	<i>Choice Supply Solutions, LLC</i>	5.80%	5.57%
	<i>EBE Fencing</i>	0.10%	0.01%
	<i>Gainey's Concrete Products</i>	0.40%	0.20%
	<i>JEI Solutions, Inc.</i>	15.80%	11.30%
	<i>Landrieu Concrete & Cement Industries</i>	2.50%	1.00%
	<i>FP Richard, LLC, d/b/a Rue Contractors</i>	6.40%	1.30%
	<i>Thornhill Services, Inc.</i>	3.30%	2.20%
	<i>Traffic Commander</i>	0.70%	0.60%
	<i>Waldo Brothers, Inc.</i>	0.10%	0.10%
	Total		36.10%

DESCRIPTION AND PURPOSE

Original Contract Value	\$35,345,100.00
Previous Change Orders	\$1,702,294.03
% Change of Contract To Date	4.8%
Value of Requested Change	\$197,488.11
% For This Change Order	0.6%
<i>Has a NTP been Issued</i>	<i>No</i>
Total Revised Contract Value	\$37,244,882.14
% Total Change of Contract	5.4%
Original Contract Completion Date	6/27/2022
Previously Approved Extensions (Days)	134
Time Extension Requested (Days)	0
Proposed Contract Completion Date	11/8/2022

Purpose and Scope of the Contract:

The contemplated project consists of general construction of the Claiborne Avenue Pump Station and Off-Site Improvements, rebuilding existing pumps, new electric pump motors with variable frequency drives (VFD's), vacuum priming system, building structures, concrete meter structures, valve and meter vaults, yard piping, site work, earthwork, traffic rerouting, valves and fittings,

electrical, control and instrumentation equipment and integration and miscellaneous appurtenances. Work is primarily located at the Carrollton Water Purification Plant at 8800 S. Claiborne Ave for the Sewerage and Water Board of New Orleans, Owner. Off-site work is located at 8933 Stroelitz Street.

Reason for Change:

Error/Omission	Differing Site Condition	Regulatory Requirement
Design Change	Other <input checked="" type="checkbox"/> X <input type="checkbox"/>	

FCO-035, ITC-023 - Claiborne Pump Station North and West Side Window Replacment: Remove and replace 14 - Type "A" and 2 - Type "C" exterior windows, per Sewerage and Water Board Drawing No. 10766-W-25, S-3, in the Claiborne Pump Station located on the North and West sides of the building. The window will be provided with impact rated materials, operable window at the bottom, and louvers with air filters, all configured to match original windows. \$147,918.38

FCO-036, WCD-035-UPS Power to VFDs: Time and Material Costs for labor, equipment, materials, and supplies to complete the installation of UPS units and racks for the control power backup on the VFDs 721, 722, 761, and 762 along with the installation of voltage monitors on power feeds for the blowers within the VFDs. \$49,569.73

Spending to Date:

Cumulative Contract Amount (as of 12/31/2021)	\$37,047,394.03
Cumulative Contract Spending (as of 12/31/2021)	\$30,506,725.01

Contractor's Past Performance:

M.R. Pittman Group, LLC. work on this project has been acceptable.

PROCUREMENT INFORMATION

Contract Type	Base Bid	Award Based On	Lowest Competitive Bid
Commodity	Public Works Construction	Contract Number	1377
Contractor Market	Public Bid with DBE participation		
Compliance with Procurement Laws?	Yes <input checked="" type="checkbox"/> X <input type="checkbox"/> No	CMRC Date (if nec.) :	1/27/2022

BUDGET INFORMATION

Funding	CP 175-00	Department	Mechanical Engineering
System	Water	Project Manager	Chris Bergeron
Job Number	01377FEM	Purchase Order #	PG2020600094

ESTIMATED FUND SOURCE

User	Share%	Dollar Amount	Reimbursible?
Sewer System			
Water System	75%	\$ 147,918.38	No
Drainage System			
FEMA PW 18836	25%	\$ 49,569.73	Yes
Other			
TOTAL		\$ 197,488.11	

I certify that this contract action complies with all Sewerage and Water Board procurement policies and guidance, ethics rules and meets necessary regulatory requirements, including compliance with financing sources.

Chris Bergeron

Engineering Division Manager
Mechanical Engineering

RATIFICATION OF CHANGE ORDER NO. 7 FOR CONTRACT 1403 – DEMOLITION OF ABANDONED C-7 AND C-8 BASINS AND OTHER SITE IMPROVEMENTS AT THE CARROLLTON WATER PLANT

WHEREAS, on October 27, 2020 the Sewerage and Water Board (S&WB) entered into Contract 1403 with Cycle Construction Company, LLC in the amount of \$7,619,394.00 for the Construction of Carrollton Water Plant West Substation/Demolition of C7 and C8 Basins; and,

WHEREAS, the Board by Resolution No, R-042-2021 approved Change Order No. 1 on May 19, 2021, increasing the Contract value by \$300,000; and

WHEREAS, the Board by Resolution No. R-054-2021 approved Change Order No. 2 on June 15, 2021, increasing the Contract value by \$240,162.38 and adding 60 days to the Contract Time; and

WHEREAS, the Board by Resolution No. R-066-2021 approved Change Order No. 3 on July 14, 2021, increasing the contract value by \$1,645,679.15 and adding 66 days to the Contract Time; and

WHEREAS, the Board by Resolution No. R-084-2021 approved Change Order No. 4 on October 20, 2021, increasing the contract value by \$381,731.98; and

WHEREAS, the Board by Resolution No. R-124-2021 approved Change Order No. 5 on December 15, 2021 increasing the contract value by \$2,253,184.14; and

WHEREAS, the Board by Resolution No. R007-2022 approved Change Order No. 6 on January 19, 2022, increasing the contract value by \$19,907.17 and adding 30 days to the Contract Time; and

WHEREAS, This Change Order addresses FCO-009 comprising the compensation for a quantity overrun in sand and lightweight aggregate due to a design change that raised the elevation in the C7 area by one foot and also due to the discovery of voids under the concrete bottom slabs of both basins; and

WHEREAS, This Change Order addresses FCO-019 comprising compensation for the installation of junction boxes conduits and conductors to address lighting and fiber optic camera connections along the Monticello Access Road from Claiborne Avenue to the L4 Electrical Building; and

WHEREAS, This Change Order addresses FCO-020 comprising compensation for constructing a French drain system for the C7 basin and compensating contractor for leak repairs already accomplished; and

WHEREAS, This Change Order, in the amount of \$210,651.99 or 2.76% of the original contract value, brings the accumulated Contract total to \$12,639,707.47; and

WHEREAS, This Change Order adds twenty (20) days to the Contract Time which results in a total of 506 days, thereby keeping the Contract completion date of April 5, 2022;

NOW THEREFORE BE IT RESOLVED, the approval of Change Order No. 7 for Contract 1403 is ratified by the Sewerage and Water Board of New Orleans increasing the amount of the Contract by \$210,651.99, bringing the Contract Total to \$12,639,707.47 and increasing the Contract Time to a total of 506 days.

I, Ghassan Korban, Executive Director,
Sewerage and Water Board of New Orleans,
do hereby certify that the above and foregoing
is a true and correct copy of a resolution adopted
at the Regular Meeting of said Board,
duly called and held, according to law, on
February 16, 2022

Ghassan Korban
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**Sewerage and Water Board of New Orleans
BOARD OF DIRECTORS CONTRACTOR FACT SHEET**



ACTION REQUESTED

CONTRACT CHANGE ORDER NO 7 - FCO 019 and 020

CN 1403 - Demolition of Abandoned C-7 & C-8 Basins and Other Site Improvements at the Carrollton Water Plant

This Change Order encompasses FCO-019 and FCO-020. FCO-019 authorizes work related to the conduits, conductors, and junction boxes related to site lighting. FCO-020 authorizes the installation of a French drain system to control ongoing leaks into the C7 basin and compensated the contractor for work already completed by Python Corporation in this basin.

CONTRACTOR/SUB/VENDOR INFORMATION

DBE PARTICIPATION GOAL: 36%			
PRIME	SUBS	TARGET	ACTUAL (as of 1/27/22)
<i>Cycle Construction Company, LLC</i>	<i>Three C's Properties</i>	24.63%	33.86%
	<i>Twin Shores</i>	5.45%	7.30%
	<i>Industry Junction</i>	1.42%	3.43%
Total		31.50%	44.59%

DESCRIPTION AND PURPOSE

Original Contract Value	\$ 7,619,394.00
Previous Change Orders	\$ 4,809,661.48
% Change of Contract To Date	63.1%
Value of Requested Change	\$ 210,651.99
% For This Change Order	2.8%
<i>Has a NTP been Issued</i>	Yes
Total Revised Contract Value	\$ 12,639,707.47
% Total Change of Contract	65.9%
Original Contract Completion Date	10/11/2021
Previously Approved Extensions (Days)	126
Time Extension Requested (Days)	30
Proposed Contract Completion Date	3/16/2022

Purpose and Scope of the Contract:

This project converts the abandoned C7 and C8 basins into the new West Power Complex for Carrollton Water Plant. A new Entergy substation will occupy the C8 basin and S&WB generation facilities will occupy the C7 basin. Eventually three combustion gas turbines, three static frequency converters, and a grid control building will occupy C7.

Reason for Change:

Error/Omission <input type="checkbox"/>	Differing Site Condition <input checked="" type="checkbox"/>	Regulatory Requirement <input type="checkbox"/>
Design Change <input checked="" type="checkbox"/>	Other _____ <input type="checkbox"/>	

FCO-019: This FCO adds junction boxes to the Monticello pole line where poles are added and adjusts conduit connecting conduit. Additionally, 13 of 19 new poles that are part of the original contract are no longer needed, so a credit is taken for the decreased quantity. **FCO-020:** This project has dealt with unforeseen water leaks that originate from the C5 and C6 basins since the first month. This FCO provides for the installation of a French drain system similar to that in C8 that was installed under FCO-012, but the C7 system is less extensive. This FCO also compensates the contractor for work already performed by Python Corporation in addressing with C7 leaks.

Spending to Date:

Cumulative Contract Amount (Through CO #6)	\$12,429,055.48
Cumulative Contract Spending (as of 12/30/2021)	\$11,238,069.90

Contractor's Past Performance:

Satisfactory

PROCUREMENT INFORMATION

Contract Type	Base Bid + Alt #1	Award Based On	Lowest Competitive Bid
Commodity	Construction	Contract Number	1403
Contractor Market	Public Bid with DBE participation		
Compliance with Procurement Laws?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	CMRC Date (if nec.):	1/27/2022

BUDGET INFORMATION

Funding	610-02	Department	Civil Engineering
System	Multiple	Project Manager	Frank C. Fromherz II
Job Number	01403XXX	Purchase Order #	600174 PG2020

ESTIMATED FUND SOURCE

User	Share%	Dollar Amount	Reimbursable?
Sewer System	40%	\$ 84,260.80	Project is funded through Capital Outlay Program. 75% of eligible costs are reimbursable to a max of \$6,399,786.27.
Water System	40%	\$ 84,260.80	
Drainage System	20%	\$ 42,130.40	
TOTAL	100%	\$ 210,651.99	

I certify that this contract action complies with all Sewerage and Water Board procurement policies and guidance, ethics rules and meets necessary regulatory requirements, including compliance with financing sources.

Frank C. Fromherz II, P.E.
Senior Project Manager
Civil Engineering

RATIFICATION OF CHANGE ORDER NO. 1 FOR CONTRACT 1417 – STATIC FREQUENCY CONVERTER PROCUREMENT PROJECT

WHEREAS, on June 28, 2021, the Sewerage and Water Board entered into Contract 1417 with Walter J. Barnes Electric Co, Inc. in the amount of \$9,878,000.00 for one (1) static frequency converter; and

WHEREAS, the City desires to utilize \$22,000,000 (the “Funds”) in proceeds from its 2021A Public Improvement Bonds which generated additional funding due to the bonds being sold at a premium to assist the Board’s Carrollton Power Plant by specifically funding the purchase of (2) additional Static Frequency Changers (SFC 2 and SFC 3) and auxiliary power equipment to be used in connection with any existing and proposed power generation equipment as well as the future electrical substation; and

WHEREAS, The Funds are to be used for the exclusive purchase of SFC 2, SFC 3 and auxiliary power equipment and remain deposited until such time as the amounts are payable to the third-party equipment vendor(s); and

WHEREAS, this Change Order, in the grand total of \$21,590,000.00 brings the accumulated Contract change order total to \$31,468,000.00, or 218.6% of the original Contract value; and

NOW THEREFORE BE IT RESOLVED, the approval of Change Order No. 1 for Contract 1417 is hereby ratified by the Sewerage and Water Board of New Orleans increasing the amount of the contract by \$21,590,000.00, bringing the Contract total to \$31,468,000.00.

I, Ghassan Korban, Executive Director,
Sewerage and Water Board of New Orleans,
do hereby certify that the above and foregoing
is a true and correct copy of a resolution adopted
at the Regular Meeting of said Board,
duly called and held, according to law, on
February 16, 2022

Ghassan Korban
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**Sewerage and Water Board of New Orleans
BOARD OF DIRECTORS CONTRACTOR FACT SHEET**



ACTION REQUESTED

CONTRACT CHANGE ORDER

1417 - Static Frequency Converter Procurement Project

Approval to modify contract 1417, between the Sewerage and Water Board and Walter J. Barnes Electric Co., Inc., in the amount of \$21,590,000.00

CONTRACTOR/SUB/VENDOR INFORMATION

		DBE PARTICIPATION	
PRIME	SUBS	TARGET	ACTUAL
Water Board and Walter J. Barnes Electric Co.		0.00%	0.00%
		0.00%	0.00%
		0.00%	0.00%
Total		0.00%	0.00%

DESCRIPTION AND PURPOSE

Original Contract Value	\$9,878,000.00
Previous Change Orders	\$0.00
% Change of Contract To Date	0.0%
Value of Requested Change	\$21,590,000.00
% For This Change Order	218.6%
<i>Has a NTP been Issued</i>	Yes
Total Revised Contract Value	\$31,468,000.00
% Total Change of Contract	218.6%
Original Contract Completion Date	1/24/2023
Previously Approved Extensions (Days)	0
Time Extension Requested (Days)	297
Proposed Contract Completion Date	11/17/2023

Purpose and Scope of the Contract:

The Contract 1417, Static Frequency Converter Procurement Project, includes design, procurement, assembly, testing, delivery and commissioning of one (1) new Static Frequency Converter (SFC) and associated auxiliary equipment, which will provide for an interconnection between the existing 60-Hz Turbine 6 and critical 25-Hz loads throughout the system. Improvements in overall system reliability, safety, operations, and maintenance will be achieved.

Reason for Change:

Error/Omission <input type="checkbox"/>	Differing Site Condition <input type="checkbox"/>	Regulatory Requirement <input type="checkbox"/>
Design Change <input type="checkbox"/>	Other <input checked="" type="checkbox"/>	

The ultimate build-out of the SWBNO West Power Complex (WPC) includes three (3) total static frequency converters (SFC) and adding the two (2) additional SFCs to this contract will help ensure SWBNO reaches the end goal of the WPC in a timely manner. In addition, having three (3) identical SFCs will aid in operation efficiency and continuity within the power system. Lastly, engineering has seen significant increases in costs for equipment procurement over the last couple of years and further delay in securing two (2) additional SFCs is almost certain to add to the current proposed cost.

Spending to Date:

Cumulative Contract Amount (as of 1/1/2020)	\$9,878,000.00
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Cumulative Contract Spending (as of 1/1/2020)	\$875,805.00
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Contractor's Past Performance:

Detail Contractor Performance here

PROCUREMENT INFORMATION

Contract Type	Base Bid	Award Based On	Lowest Competitive Bid
Commodity	Public Works Construction	Contract Number	1417
Contractor Market	Public Bid without DBE participation		
Compliance with Procurement Laws?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	CMRC Date (if nec.) :	

BUDGET INFORMATION

Funding	CAP	Department	7500
System	Drainage	Project Manager	Jamie Rowe, PE
Job Number		Purchase Order #	PG20216000145

ESTIMATED FUND SOURCE

User	Share%	Dollar Amount	Reimbursable?
Sewer System			
Water System			
Drainage System	100%	\$ 21,590,000.00	Yes via City CEA
TOTAL		\$ 21,590,000.00	

I certify that this contract action complies with all Sewerage and Water Board procurement policies and guidance, ethics rules and meets necessary regulatory requirements, including compliance with financing sources.

Jamie Rowe, PE
Senior Engineer
Civil Engineering

**RATIFICATION OF AMENDMENT NO. 1 OF EMERGENCY CONTRACT 6266
– TURBINE 5 CONTROLS SYSTEM UPGRADE**

WHEREAS, on December 14, 2019, there was an explosion at the Carrollton Water Plant, involving Turbine No. 5 (T5) in particular (the “Incident”); and

WHEREAS, pursuant to the Board’s February 6, 2020 Declaration of Emergency, the Board began to undertake investigation into the cause, results, impacts, and possible repair or replacement of T5, and reached the decision that repair was feasible and should be undertaken as part of the extreme emergency response in order for T5 to provide power and/or redundancy for the 2021 hurricane season; and

WHEREAS, the Sewerage and Water Board of New Orleans accepted a proposal to perform and provide the professional and other services and new parts, materials, components and other goods as necessary for the turnkey replacement and upgrade to Turbine 5 controls system. The proposal was accepted, contract awarded to **Nexus Controls, LLC** (Nexus), and Ratified by the Board of Directors in the total amount of \$1,514,055.00 on November 18, 2020 by Resolution R-119-2020; and

WHEREAS, under the authority given by the Declaration of Emergency, the Executive Director approved an increase to Nexus’s Contract via **ED-009-2021** in the amount of \$217,197.46. This change consisted of automating a gas isolation valve, a pre-start borescope of the unit, and a realignment of the cranking motor bearing and seal; and

WHEREAS, this Amendment consists of additional scope of work on a time and materials basis performed by the Contractor due to delays and unforeseen challenges while repairing the unit; and

WHEREAS, this Amendment, in the amount of \$451,492.52, or 29.8% of the Original Contract Amount, brings the accumulated Contract Total to \$2,182,744.98, or 44.2% of the Original Contract; and

NOW, THEREFORE BE IT RESOLVED, Amendment No. 1 for Contract 6266 is hereby ratified by the Sewerage and Water Board of New Orleans, increasing the amount of the contract by \$451,492.52 bring the total Contract Amount to \$2,182,744.98.

I, Ghassan Korban, Executive Director,
Sewerage and Water Board of New Orleans,
do hereby certify that the above and foregoing
is a true and correct copy of a resolution adopted
at the Regular Meeting of said Board,
duly called and held, according to law, on
February 16, 2022.

Ghassan Korban ,
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**Sewerage and Water Board of New Orleans
BOARD OF DIRECTORS CONTRACTOR FACT SHEET**



ACTION REQUESTED

CONTRACT Amendment No. 1

Contract 6266 Operating Controls System Upgrade for Turbine 5

Approval to modify contract 6266, between the Sewerage and Water Board and Nexus Controls, LLC, in the amount of \$451,492.52

CONTRACTOR/SUB/VENDOR INFORMATION

		DBE PARTICIPATION	
PRIME	SUBS	TARGET	ACTUAL
<i>Nexus Controls, LLC</i>	<i>General Electric</i>	0.00%	0.00%
Total		0.00%	0.00%

DESCRIPTION AND PURPOSE

Original Contract Value	\$1,514,055.00
Previous Change Orders	\$217,197.46
% Change of Contract To Date	14.3%
Value of Requested Change	\$451,492.52
% For This Change Order	29.8%
<i>Has a NTP been Issued</i>	<i>Yes</i>
Total Revised Contract Value	\$2,182,744.98
% Total Change of Contract	44.2%

Purpose and Scope of the Contract:

This contract is a response to the Turbine 5 failure. The purpose is to upgrade the controls system to provide a safer and more reliable system. This contract is in response to a Declared Extreme Emergency.

Reason for Change:

Error/Omission <input type="checkbox"/>	Differing Site Condition <input type="checkbox"/>	Regulatory Requirement <input type="checkbox"/>
Design Change <input checked="" type="checkbox"/>	Other _____ <input type="checkbox"/>	

FCOs 7, 8, and 9 all account for the delays, inefficiencies, and challenges associated with the repair and start-up of the unit. These costs were tracked on a time and materials basis.

Spending to Date:

Cumulative Contract Amount (as of 11/10/2021)	\$1,731,252.46
Cumulative Contract Spending (as of 11/10/2021)	\$1,731,252.46

Contractor's Past Performance:

Satisfactory

PROCUREMENT INFORMATION

Contract Type	Emergency Proposal	Award Based On	Emergency Proposal
Commodity	Professional Services	Contract Number	6266
Contractor Market	Received Proposals from Turbine Controls Specialists		
Compliance with Procurement Laws?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	CMRC Date (if nec.):	1/27/2022

BUDGET INFORMATION

Funding	Capital 676-06	Department	Mechanical Engineering
System	Drainage, Water, & Sewer	Project Manager	Thomas Moore
Job Number	6266	Purchase Order #	6000178 PG2020

ESTIMATED FUND SOURCE

User	Share%	Dollar Amount	Reimbursable?
Sewer System	13%	\$ 58,694.03	
Water System	34%	\$ 153,507.46	
Drainage System	53%	\$ 239,291.04	
TOTAL		\$ 451,492.52	No

I certify that this contract action complies with all Sewerage and Water Board procurement policies and guidance, ethics rules and meets necessary regulatory requirements, including compliance with financing sources.

Thomas Moore
Senior Engineer
Mechanical Engineering

AUTHORIZATION OF CONTRACT AMENDMENT NO. 9 TO THE AGREEMENT BETWEEN THE SEWERAGE AND WATER BOARD OF NEW ORLEANS AND LINFIELD, HUNTER & JUNIUS, INC., FOR DESIGN AND ENGINEERING SERVICES FOR THE WATERLINE REPLACEMENT PROGRAM

WHEREAS, pursuant to Resolution R-042-2012 approved March 15, 2012, **THE SEWERAGE AND WATER BOARD OF NEW ORLEANS** (Board) and **LINFIELD, HUNTER & JUNIUS, INC.**, (Consultant) executed an agreement dated September 10, 2012, for Consultant to provide engineering and design services for the Water Line Replacement Program (WLRP) for the Leonidas, Marlyville-Fountainbleau, East Carrollton and Black Pearl neighborhoods (the “Original Agreement”); and

WHEREAS, the fee under the Original Agreement was not to exceed 18% of the construction cost and the Consultant provided a DBE participation summary; and

WHEREAS, pursuant to Resolution R-161-2014 on September 17, 2014, the Board and Contractor executed Amendment No. 1 to the Original Agreement (as amended, the “Agreement”), adding program/project management services, design and inspection services for repair or replacement of drain lines damaged by Katrina as approved and funded by FEMA PWs for the City Department of Public Works, and payment of fees therefor; and

WHEREAS, the fee under Amendment No. 1 was not to exceed 10% of the construction cost; and

WHEREAS, pursuant to Resolution R-007-2020 on June 4, 2020, the Board and Contractor executed Amendment No. 2, amending the Agreement to delete any future program/project management services and all unaccrued program/project management services fees, to include engineering construction services in the RR009 Black Pearl, East Carrollton Group A and RR118 Marlyville-Fontainebleau Group C at a fee not to exceed \$26,274.82 (for a total fee not to exceed \$813,913.28) and to establish a contract expiration date of June 30, 2023; and

WHEREAS, the Board executed Amendment No. 3 on July 15, 2020 for engineering construction services in projects RR008 Black Pearl Group B and RR009 Black Pearl, East Carrollton Group A, and RR118 Marleyville Fontainebleau Group C at a fee not to exceed \$119,651.11 (for a total fee not to exceed \$933,564.39); and

WHEREAS, the Board executed Amendment No. 4 on October 21, 2020 for surveying, geotechnical, design and engineering construction service fees for RRTM006 Leonidas/Fig Transmission Main Project for fee not to exceed \$832,977.91, and a total contract fee not to exceed \$1,766,542.30; and

WHEREAS, the Board executed Amendment No. 5 on May 12, 2021 for bidding, construction administration, record drawings and resident inspection for RR097 Leonidas Group A for fee not to exceed \$83,608.00, and a total contract fee not to exceed \$1,850,150.30; and

WHEREAS, the Board executed Amendment No. 6 on May 12, 2021 for bidding, construction administration, record drawings and resident inspection for RR098 Leonidas Group C and RR099 Leonidas Group D; for fees not to exceed \$275,838.00 and no change in the total contract fee of not to exceed \$1,850,150.30; and

WHEREAS, the Board executed Amendment No. 7 on May 12, 2021 for design, bidding, construction administration, record drawings and resident inspection for TM009 Spruce Transmission Main for a fee not to exceed \$356,951.00 and a total contract fee not to exceed \$2,207,101.30; and

WHEREAS, the Board executed Amendment No. 8 on December 16, 2021 for engineering services for projects RR120 Marlyville-Fountainbleau Group E - Resident Inspection and RR123 Maryville-Fountainbleau Group H Final bid documents, Basic Services (bid/award, construction administration, record drawings), and Resident Inspection for fees not to exceed \$251,192.38 and a total contract fee not to exceed \$2,458,293.68; and

WHEREAS, the Board needs engineering design, bid phase services, construction administration and record drawings for the directional drilling of a new 12-inch HDPE waterline across the Intracoastal Waterway at the Algiers Lock Forebay to replace an existing 12-inch waterline; and the Consultant is willing to perform the described services for fee not to exceed \$103,650.00 and a total contract fee not to exceed \$2,561,943.68.

NOW, THEREFORE BE IT RESOLVED, that the President or President Pro Tem of the Sewerage and Water Board is hereby authorized to execute on behalf of the Sewerage and Water Board of New Orleans Contract Amendment No. 9 to the existing agreement with Linfield, Hunter and Junius, Inc. to include work not to exceed \$103,650.00, for a total contract fee not to exceed \$2,561,943.68.

I, Ghassan Korban, Executive Director,
Sewerage and Water Board of New Orleans,
do hereby certify that the above and foregoing
is a true and correct copy of a resolution adopted
at the Regular Meeting of said Board,
duly called and held, according to law, on
February 16, 2022.

Ghassan Korban
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**Sewerage and Water Board of New Orleans
BOARD OF DIRECTORS PROFESSIONAL SERVICES FACT SHEET**



ACTION REQUESTED

**Amendment to Professional Engineering Services Agreement
Linfield, Hunter & Junius, Inc. WLRP Agreement Amendment No. 9**

Request authorization for Amendment No. 9 to our WLRP Agreement for Algiers Lock Forebay waterline replacement not to exceed \$103,650.00

CONTRACTOR/SUB/VENDOR INFORMATION

		DBE PARTICIPATION	
PRIME	SUBS	TARGET	ACTUAL
<i>Linfield, Hunter & Junius, Inc.</i>		36.00%	
Total		36.00%	0.00%

DESCRIPTION AND PURPOSE

Original Contract - Fee not to exceed 18% of construction cost	
Amendments 1-8	\$2,458,293.68
Amendment 9	\$103,650.00
<i>Has a NTP been Issued</i>	<i>No</i>
Total Revised Contract Value	\$2,561,943.68
Original Contract Completion Date	
Previously Approved Extensions (Days)	
Time Extension Requested (Days)	
Proposed Contract Completion Date	12/31/2022

Purpose and Scope of the Contract:

Engineering Design, Bid Phase Services, Construction Administration and Record Drawings for the directional drilling of a new 12-inch HDPE waterline across the Intracoastal Waterway at the Algiers Lock Forebay to replace an existing 12-inch waterline.

Reason for Change:

Negotiate Fees <input type="checkbox"/>	Differing Site Condition <input type="checkbox"/>	Regulatory Requirement <input type="checkbox"/>
Design Change <input checked="" type="checkbox"/>	Other - Enhanced TM <input type="checkbox"/>	

Amendment No. 9 is to redesign and acquire a new USACE permit for the Algiers Lock Forebay 12-inch waterline.

Spending to Date:

Cumulative Contract Amount (as of 1/24/2022)	\$2,458,293.68
Cumulative Contract Spending (as of 1/24/2022)	\$1,001,708.59

Contractor's Past Performance:

The designer's timeliness of deliverables, conformance to SWBNO policies and procedures, quality meet expectations. To date the consultant has

achieved a DBE participation rate of 33.25% of the 35% goal. Remaining DBE participation will be met by Resident Inspection Services during Construction.

PROCUREMENT INFORMATION

Contract Type	Professional Services	Award Based On	RFQ
Commodity		Name & FEMA PW	WLRP
Contractor Market	Public RFQ with DBE participation		
Compliance with Procurement Laws?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	CMRC Date (if nec.) :	1/27/2022

BUDGET INFORMATION

Funding	CP 239-01	Department	Network Engineering
System	Water	Project Manager	Huy Tran
Job Number		Purchase Order #	

ESTIMATED FUND SOURCE

User	Share%	Dollar Amount	Reimbursible?
Sewer System	0%		
Water System	100%	\$103,650.00	No
Drainage System			
TOTAL		\$103,650.00	

I certify that this contract action complies with all Sewerage and Water Board procurement policies and guidance, ethics rules and meets necessary regulatory requirements, including compliance with financing sources.

 Huy Tran, EIT
 Engineer Intern II
 Network Engineering

AUTHORIZATION OF AMENDMENT NO. 6 TO THE AGREEMENT BETWEEN THE SEWERAGE AND WATER BOARD OF NEW ORLEANS AND HARTMAN ENGINEERING, INC., FOR DESIGN AND ENGINEERING SERVICES FOR THE WATER LINE REPLACEMENT PROGRAM

WHEREAS, by Resolution R-053-2012 approved March 15, 2012, **THE SEWERAGE AND WATER BOARD OF NEW ORLEANS** (“Board”) authorized execution of a contract with **HARTMAN ENGINEERING, INC.**, (Consultant) of the Original Agreement for Consultant to provide engineering and design services for the Board’s Water Line Replacement Program (“WLRP”) for the Audubon, Uptown, and West Riverside neighborhoods at a fee not to exceed ten (10) percent of construction for design, six (6) percent for inspection and two (2) percent for supplemental services, excluding surveying services, for a sum total not to exceed eighteen (18) percent of the cost of construction, with DBA participation of 36%; and

WHEREAS, Amendment No. 1, dated September 17, 2014 (per Resolution R-158-2014, approved August 20, 2014) included program/project management services for coordination efforts as a percentage of the construction cost up to the approved cost and as approved by Board staff, to reflect Project Worksheets (PWs) approved by the Federal Emergency Management Agency (“FEMA”) for design and construction inspection of the work performed and payment of compensation not to exceed 10% of the construction cost; and

WHEREAS, Amendment No. 2 dated April 24, 2020 included engineering construction services for projects designated RR001 Audubon Group A, RR185 Uptown West Riverside Group A, and RR184 Uptown West Riverside Group B, deleted any future program/project management services and all unaccrued program/project management services fees, removed percentage based fees, established fees for services at the rate structure under Amendment No. 2 of \$314,461.35 for total compensation under the Agreement as amended not to exceed \$535,185.89, and established a contract expiration date of June 30, 2023; and

WHEREAS, Amendment No. 3 dated November 5, 2020 included engineering and construction services for projects RR002 Audubon Group B and RR141 Pontchartrain Park Group B and the established fees for services at the rate structure under Amendment No. 3 of \$367,215.33 for total compensation under the Agreement as amended not to exceed \$902,401.22; and

WHEREAS, Amendment No. 4 dated July 19, 2021 included surveying services for TM007 Magnolia, Joseph and Willow Enhanced Transmission Main not to exceed \$30,092.00 and continuing construction services RR001 Audubon Group A Project not to exceed \$54,000.00, Amendment No.4 not to exceed \$84,092.00 and to a total fee of \$986,493.22; and

WHEREAS, Amendment No. 5 dated August 12, 2021 included basic engineering, geotechnical, and resident inspection services for project TM007 Magnolia, Joseph and Willow Enhanced Transmission Main not to exceed \$481,453.00 and agreement total fee of \$1,467,946.22; and

WHEREAS, the Board is in need of resident inspection services for projects RR184 Uptown Group B in the Not to Exceed amount of \$283,020.00 and RR056 Gentilly Terrace Group F in the Not to Exceed amount of \$183,340.00. Amendment No.6 not to exceed \$466,360.00 and agreement total fee of \$ \$1,934,306.22;

NOW THEREFORE, BE IT RESOLVED, that the President or President Pro Tem of the Sewerage and Water Board is hereby authorized to execute on behalf of the Sewerage and Water Board of New Orleans Amendment No. 6 with Hartman Engineering, Inc. for JIRR work not to exceed \$466,360.00 and for a maximum agreement value not to exceed \$1,934,306.22 and to update required FEMA Clauses.

I, Ghassan Korban, Executive Director,
Sewerage and Water Board of New Orleans,
do hereby certify that the above and foregoing
is a true and correct copy of a Resolution adopted
at the Regular Monthly Meeting of said Board,
duly called and held, according to law, on
February 16, 2022.

Ghassan Korban,
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**Sewerage and Water Board of New Orleans
BOARD OF DIRECTORS PROFESSIONAL SERVICES FACT SHEET**



ACTION REQUESTED

**Amendment 6 to Professional Engineering Services Agreement
Hartman Engineering, Inc. - RR056, RR184**

Request authorization for Amendment No.6 to our WLRP Agreement for Projects RR056 Gentilly Terrace Group F not to exceed \$183,340.00, and RR184 Uptown Group B not to exceed \$283,020.00.

CONTRACTOR/SUB/VENDOR INFORMATION

		DBE PARTICIPATION	
PRIME	SUBS	TARGET	ACTUAL
<i>Hartman Engineering, Inc.</i>	<i>APS Engineering and Testing</i>	36.00%	14.32%
Total		36.00%	14.32%

DESCRIPTION AND PURPOSE

Original Contract - Not to Exceed 18% Cost of Construction	
Amendments 1-5	\$1,467,946.22
Value of Amendment 6	\$466,360.00
<i>Has a NTP been Issued</i>	<i>No</i>
Total Revised Contract Value	\$1,934,306.22
Original Contract Completion Date	End of Construction
Previously Approved Extensions (Days)	6/30/2023
Time Extension Requested (Days)	
Proposed Contract Completion Date	6/30/2023

Purpose and Scope of the Contract:

This agreement provides engineering services for the Joint Infrastructure Recovery Request (JIRR) program in coordination with the City of New Orleans (CNO) Recovery Roads (RR) Program for the Gentilly Terrace, Audubon, Uptown, and West Riverside neighborhoods. The WLRP Agreement is FEMA reimbursible. Engineering design services are funded through in Donor Project Worksheet (PW) 20666 and PW 20679 Audubon. Engineering construction services are funded through the FEMA Settlement, PW 21031.

Reason for Change:

Negotiate Fees <input checked="" type="checkbox"/>	Differing Site Condition <input type="checkbox"/>	Regulatory Requirement <input type="checkbox"/>
Design Change <input type="checkbox"/>	Other _____ <input type="checkbox"/>	

Amendment No. 6 for RR184 Uptown Group B in the Not to Exceed amount of \$283,020.00, and RR056 Gentilly Terrace Group F in the Not to Exceed amount of \$183,340.00.

Spending to Date:

Cumulative Contract Amount (as of 1/1/2022)	\$1,467,946.22
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Cumulative Contract Spending (as of 1/1/2022)	\$505,110.12
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Contractor's Past Performance:

The designer's timeliness of deliverables, conformance to SWBNO policies, procedures, and quality meet expectations. DBE participation will be met by Resident Inspection services during Construction.

PROCUREMENT INFORMATION

Contract Type	Professional Services	Award Based On	Qualified List
Commodity	Public Works Construction	Name & FEMA PW	WLRP PW21031
Contractor Market	Public RFQ with DBE participation		
Compliance with Procurement Laws?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	CMRC Date (if nec.):	1/27/2022

BUDGET INFORMATION

Funding	CP-175-13; CP 317-06	Department	JIRR
System	Water; Sewer	Project Managers	Susan Diehl
Job Number	A1370FEM; C1399WIF	Purchase Order #	PG20206000093

ESTIMATED FUND SOURCE

User	Share%	Dollar Amount	Reimbursible?
Sewer System	56%	\$261,660.00	WIFIA - 49% FEMA - 100%
Water System	44%	\$204,700.00	
Drainage System			
TOTAL		\$466,360.00	

I certify that this contract action complies with all Sewerage and Water Board procurement policies and guidance, ethics rules and meets necessary regulatory requirements, including compliance with financing sources.

 Mark D. Van Hala, P.E.
 Program Administrator
 Joint Infrastructure Recovery Request

EXECUTIVE SUMMARY

2021

A summary analysis of the financial results and other performance goals is attached for your further review.

All Systems (in millions)	December	December	Variance	December	December	Variance	Annual Budget
	MTD Actual	MTD Budget	MTD	YTD Actual	YTD Budget	YTD	
Operating Revenues	\$26.1	\$24.3	\$1.8	\$275.9	\$261.7	\$14.2	\$261.7
Ad Valorem / Other Revenues	\$1.6	\$2.1	(\$0.5)	\$68.6	\$67.3	\$1.3	\$67.3
Operating Expenditures	\$32.5	\$38.4	\$5.9	\$309.3	\$317.9	\$8.6	\$317.9

Revenues Less Adjusted Expenses (December 2021)

Revenues	Actual (MTD)	Budget (MTD)	2020		2020		Actual YTD as % of Budget YTD
			Actual (MTD)	Actual (YTD)	Budget (YTD)	Actual (YTD)	
Water Service	10,990,202	10,696,426	11,661,521	118,458,677	115,626,250	116,164,952	102%
Sewer Service	14,001,934	13,421,313	14,635,883	150,692,547	144,889,010	145,696,462	104%
Non Operating Revenue	1,619,040	2,148,736	1,330,336	68,577,910	67,303,200	75,743,872	102%
Misc Revenues	1,060,214	134,556	1,309,009	6,729,704	1,199,274	5,497,763	561%
Total Revenues	27,671,390	26,401,033	28,936,750	344,458,838	329,017,734	343,103,049	105%
Operating Expenses							
Water Service	12,983,245	14,689,552	8,152,542	108,817,921	127,533,659	99,549,153	85%
Sewer Service	11,425,388	16,779,358	8,323,671	125,866,759	135,554,057	113,891,750	93%
Drainage Service	8,130,564	6,931,193	2,193,862	74,660,207	54,809,696	61,941,557	136%
Total Expenses	32,539,197	38,400,103	18,670,075	309,344,887	317,897,413	275,382,460	97%
Interest Expense	1,293,588	1,880,635	(15,978,860)	17,634,226	22,567,617	2,620,693	78%
Revenues less Expenses	(6,161,396)	(13,879,705)	26,245,534	17,479,725	(11,447,296)	65,099,897	-153%
Non-Cash Expenses	10,304,309	10,535,218	9,104,298	113,605,154	110,344,237	93,255,356	103%
Revenues less Adjusted Expenses	4,142,913	(3,344,487)	35,349,832	131,084,878	98,896,941	158,355,253	133%

Cash Collections

Monthly Cash Collected (Sewer & Water)

January	\$19,008,112
February	\$18,164,856
March	\$21,854,443
April	\$17,825,957
May	\$17,664,723
June	\$21,188,989
July	\$21,022,314
August	\$18,529,785
September	\$15,870,821
October	\$20,146,729
November	\$20,681,914
December	\$22,501,773
2021 Monthly Average	\$19,576,120
2020 Monthly Average	\$19,486,901
2019 Monthly Average	\$19,540,080

Cash Collections

Historical Monthly Water and Sewerage System Cash Collections

2021 Water Collections			
Months	Water Service Charges & Fees	Delinquent Fees	Total
January	\$ 7,795,274	\$ 25,951	\$ 7,821,225
February	\$ 7,291,874	\$ 21,589	\$ 7,313,463
March	\$ 8,468,654	\$ 32,902	\$ 8,501,556
April	\$ 6,992,561	\$ 82,149	\$ 7,074,710
May	\$ 6,842,265	\$ 301,020	\$ 7,143,285
June	\$ 8,791,128	\$ 16,617	\$ 8,807,745
July	\$ 8,685,082	\$ 28,675	\$ 8,713,757
August	\$ 10,180,011	\$ 119,475	\$ 10,299,486
September	\$ 6,636,161	\$ 17,056	\$ 6,653,218
October	\$ 9,774,863	\$ 88,954	\$ 9,863,817
November	\$ 11,191,043	\$ 140,851	\$ 11,331,894
December	\$ 10,369,258	\$ 73,306	\$ 10,442,564
TOTAL	\$103,018,174	\$ 948,545	\$ 103,966,719

2020 Water Collections			
Months	Water Service Charges & Fees	Delinquent Fees	Total
January	\$ 9,370,008	\$ 193,867	\$ 9,563,875
February	\$ 8,510,690	\$ 162,949	\$ 8,673,639
March	\$ 8,510,036	\$ 162,272	\$ 8,672,308
April	\$ 6,519,253	\$ 279,649	\$ 6,798,902
May	\$ 7,900,869	\$ 105,513	\$ 8,006,382
June	\$ 7,267,122	\$ 82,830	\$ 7,349,952
July	\$ 8,048,453	\$ 43,613	\$ 8,092,066
August	\$ 8,528,091	\$ 39,029	\$ 8,567,120
September	\$ 8,829,034	\$ 28,497	\$ 8,857,531
October	\$ 7,613,451	\$ 24,426	\$ 7,637,877
November	\$ 7,190,806	\$ 24,456	\$ 7,215,262
December	\$ 9,225,677	\$ 46,307	\$ 9,271,984
TOTAL	\$ 97,513,490	\$ 1,193,408	\$ 98,706,898

2019 Water Collections			
Months	Water Service Charges & Fees	Delinquent Fees	Total
January	\$ 7,269,033	\$ 145,536	\$ 7,414,569
February	\$ 6,261,340	\$ 136,461	\$ 6,397,801
March	\$ 6,835,909	\$ 98,609	\$ 6,934,519
April	\$ 8,024,726	\$ 182,469	\$ 8,207,195
May	\$ 8,128,278	\$ 148,478	\$ 8,276,756
June	\$ 7,547,372	\$ 150,562	\$ 7,697,934
July	\$ 9,662,101	\$ 194,163	\$ 9,856,264
August	\$ 8,483,471	\$ 190,224	\$ 8,673,696
September	\$ 8,246,459	\$ 171,490	\$ 8,417,949
October	\$ 10,133,620	\$ 192,930	\$ 10,326,549
November	\$ 9,064,555	\$ 213,662	\$ 9,278,216
December	\$ 8,126,348	\$ 141,736	\$ 8,268,084
TOTAL	\$ 97,783,213	\$ 1,966,318	\$ 99,749,531

2021 Sewerage Collections			
Months	Sewerage Service Charges & Fees	Delinquent Fees	Total
January	\$ 11,154,460	\$ 32,427	\$ 11,186,887
February	\$ 10,824,014	\$ 27,378	\$ 10,851,392
March	\$ 13,313,818	\$ 39,070	\$ 13,352,888
April	\$ 10,674,611	\$ 76,636	\$ 10,751,247
May	\$ 10,202,126	\$ 319,313	\$ 10,521,439
June	\$ 12,359,408	\$ 21,836	\$ 12,381,244
July	\$ 12,271,372	\$ 37,186	\$ 12,308,558
August	\$ 8,094,146	\$ 136,152	\$ 8,230,299
September	\$ 9,200,616	\$ 16,988	\$ 9,217,603
October	\$ 10,624,959	\$ 110,971	\$ 10,735,930
November	\$ 9,180,160	\$ 169,860	\$ 9,350,020
December	\$ 11,974,133	\$ 85,076	\$ 12,059,209
TOTAL	\$129,873,823	\$ 1,072,892	\$ 130,946,715

2020 Sewerage Collections			
Months	Sewerage Service Charges & Fees	Delinquent Fees	Total
January	\$ 12,683,216	\$ 247,883	\$ 12,931,099
February	\$ 11,510,258	\$ 213,939	\$ 11,724,198
March	\$ 12,337,081	\$ 207,785	\$ 12,544,866
April	\$ 9,460,656	\$ 149,191	\$ 9,609,847
May	\$ 10,703,694	\$ 136,628	\$ 10,840,322
June	\$ 10,485,228	\$ 105,070	\$ 10,590,298
July	\$ 11,609,615	\$ 54,036	\$ 11,663,651
August	\$ 11,850,512	\$ 47,804	\$ 11,898,316
September	\$ 11,927,583	\$ 35,569	\$ 11,963,153
October	\$ 10,112,092	\$ 29,194	\$ 10,141,285
November	\$ 8,981,302	\$ 29,429	\$ 9,010,731
December	\$ 12,159,920	\$ 58,229	\$ 12,218,149
TOTAL	\$ 133,821,157	\$ 1,314,758	\$ 135,135,915

2019 Sewerage Collections			
Months	Sewerage Service Charges & Fees	Delinquent Fees	Total
January	\$ 10,283,064	\$ 183,074	\$ 10,466,138
February	\$ 8,856,052	\$ 174,247	\$ 9,030,299
March	\$ 10,920,960	\$ 123,706	\$ 11,044,666
April	\$ 11,750,766	\$ 171,101	\$ 11,921,867
May	\$ 11,709,701	\$ 184,362	\$ 11,894,064
June	\$ 10,666,270	\$ 193,564	\$ 10,859,833
July	\$ 12,418,687	\$ 240,120	\$ 12,658,808
August	\$ 11,484,227	\$ 244,491	\$ 11,728,718
September	\$ 11,249,671	\$ 225,192	\$ 11,474,863
October	\$ 13,327,187	\$ 246,420	\$ 13,573,607
November	\$ 7,943,403	\$ 256,514	\$ 8,199,917
December	\$ 11,698,150	\$ 180,495	\$ 11,878,645
TOTAL	\$ 132,308,137	\$ 1,966,318	\$ 134,274,455

Monthly Financial Dashboard

	December 2021			December 2020		
	Water	Sewer	Drainage	Water	Sewer	Drainage
1 Operating Revenues by class of service	\$10,990,202	\$14,001,934		\$11,661,521	\$14,635,883	
2 Revenues per Active account	\$79	\$100		\$84	\$106	
3 Cash Collections	\$10,442,564	\$12,059,209		\$9,271,984	\$12,218,149	
4 Ad Valorem - other revenues			\$2,320,709			\$0
5 Operating & Maintenance (O&M) Expenses	\$12,983,245	\$11,425,388	\$8,130,564	\$8,152,542	\$8,323,671	\$2,193,862
6 O&M cost per Active account	\$93	\$82	\$58	\$59	\$60	\$16
7 Cash, Cash Equivalents and Funds on Deposit	\$36,549,568	\$54,722,898	\$29,279,076	\$34,241,765	\$46,071,241	\$21,008,167
Liquidity						
8 Current ratio (current assets/current liabilities)	1.9	4.6	2.7	1.0	2.8	0.9
9 Days cash on hand (>90 days required)	149	222	n/a	182 ¹	216 ¹	n/a
					¹ - as of Nov 2021	
Leverage						
10 Debt (bonds)	\$204,657,530	\$342,542,074	\$1,969,175	\$204,657,530	\$340,475,265	\$3,969,175
11 Preliminary Debt Service Coverage (>1.25 required)	5.47	5.48	n/a	2.80 ²	2.75 ²	n/a
12 Total Assets	\$843,974,770	\$1,340,569,164	\$1,668,494,220	\$799,863,801	\$1,313,836,870	\$1,667,019,151
13 Net Position	\$478,848,945	\$869,341,382	\$1,308,307,466	\$426,577,730	\$848,456,908	\$1,230,809,589
14 Leverage (total debt/ total assets)	24%	26%	0%	26%	26%	0%
15 Debt/ net position	43%	39%	0%	48%	40%	0%
16 Long term debt per Active Accounts	\$1,465	\$2,452	\$14	\$1,482	\$2,465	\$29
					² - as of 2020 AFR	
Receivables						
17 Customer Receivables, net of allowance		<u>Water / Sewer</u> \$50,481,477			<u>Water / Sewer</u> \$41,029,499	
18 Active Customer Receivables past due > 60 Days		\$82,032,547			\$48,361,791	
19 Average Customer Balance past due >60 Days		\$2,924			\$1,788	
20 Uncollected Ratio (1-(cash collections/revenues)) - last 12 mos		13.5%			8.8%	
21 Total Number of Active Accounts		139,688			138,126	
22 Total Number of Delinquent Active Accounts		28,056			27,041	
23 Total Number of Accounts in Dispute		3,675			2,090	
24 Total Number of New Payment Plans		3,025			117	
25 Total Number of Disconnect Notices Sent		8,605			0	
26 Total Number of Disconnects		1,440			0	

Debt Obligations

Debt Information - As of December 31, 2021

	Water	Sewer	Drainage	Total
Debt Outstanding:				
Revenue Bonds*	\$ 222,760,000	\$ 283,000,000		\$ 505,760,000
Limited Tax Bonds			\$ 2,000,000	\$ 2,000,000
EPA WIFIA Bond*		\$ 936,848		\$ 936,848
DEQ SRF Loans*		\$ 9,250,959		\$ 9,250,959
GoZone Loan		\$ 37,271,042		\$ 37,271,042
Total Debt Outstanding	\$ 222,760,000	\$ 330,458,848	\$ 2,000,000	\$ 555,218,848
Southeast Louisiana Project liability			\$ 206,480,939	
Available Borrowed Funds:				
Unspent Bond Proceeds ⁽¹⁾	\$ 8,107,846	\$ 91,622,040	\$ 18,434	\$ 99,748,320
Available Undrawn EPA WIFIA Bond		\$ 274,063,152		\$ 274,063,152
Available Undrawn DEQ SRF Loan				
Proceeds (South Shore Basin Project)		\$ 5,907,041		\$ 5,907,041
Total Available Borrowed Funds	\$ 8,107,846	\$ 371,592,233	\$ 18,434	\$ 379,718,513

(1) As reported by BLCD.

* Included in Debt Service Coverage Tests

Capital Disbursements

2021 CAPITAL DISBURSEMENTS (As of 12/31/2021)

	Annual Budget	YTD Disbursements
Water	\$ 87,045,733	\$ 82,767,049
Sewer	\$ 188,632,679	\$ 44,744,847
Drainage	\$ 58,367,652	\$ 24,419,131
Total	\$ 334,046,064	\$ 151,931,027
Water - pending funding sources	\$ 131,444,196	
Total Capital Budget	<u>\$ 465,490,260</u>	

Federal Grant/Funding Status

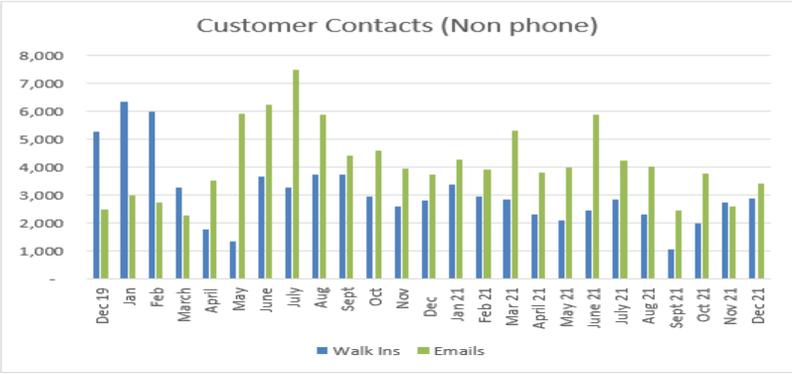
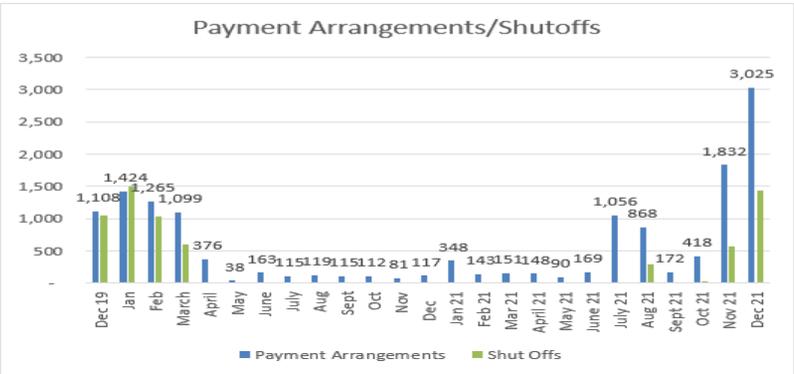
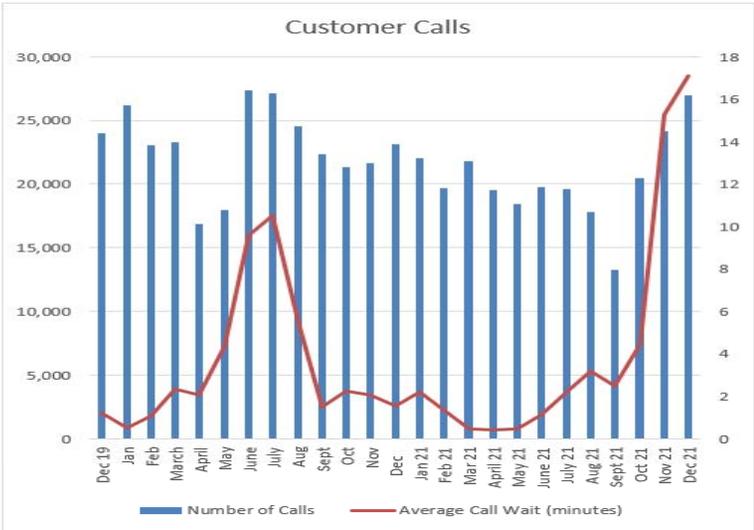
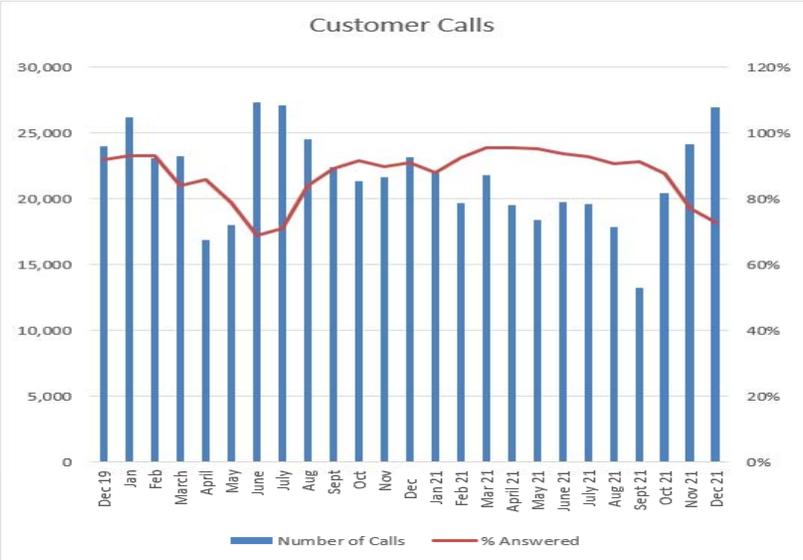
FEDERAL GRANT/FUNDING STATUS (As of 12/31/2021)

	Obligated	Expended	Reimbursed	Completion
Hurricane PA Projects	\$ 576,238,282	\$ 531,493,701	\$ 493,558,480	86%
HMGP Projects	\$ 187,771,769	\$ 202,271,054	\$ 157,039,549	84%
JIRR Projects	\$ 268,448,968	\$ 54,684,004	\$ 50,930,485	19%
Total	\$ 1,032,459,019	\$ 788,448,759	\$ 701,528,514	

Customer Experience

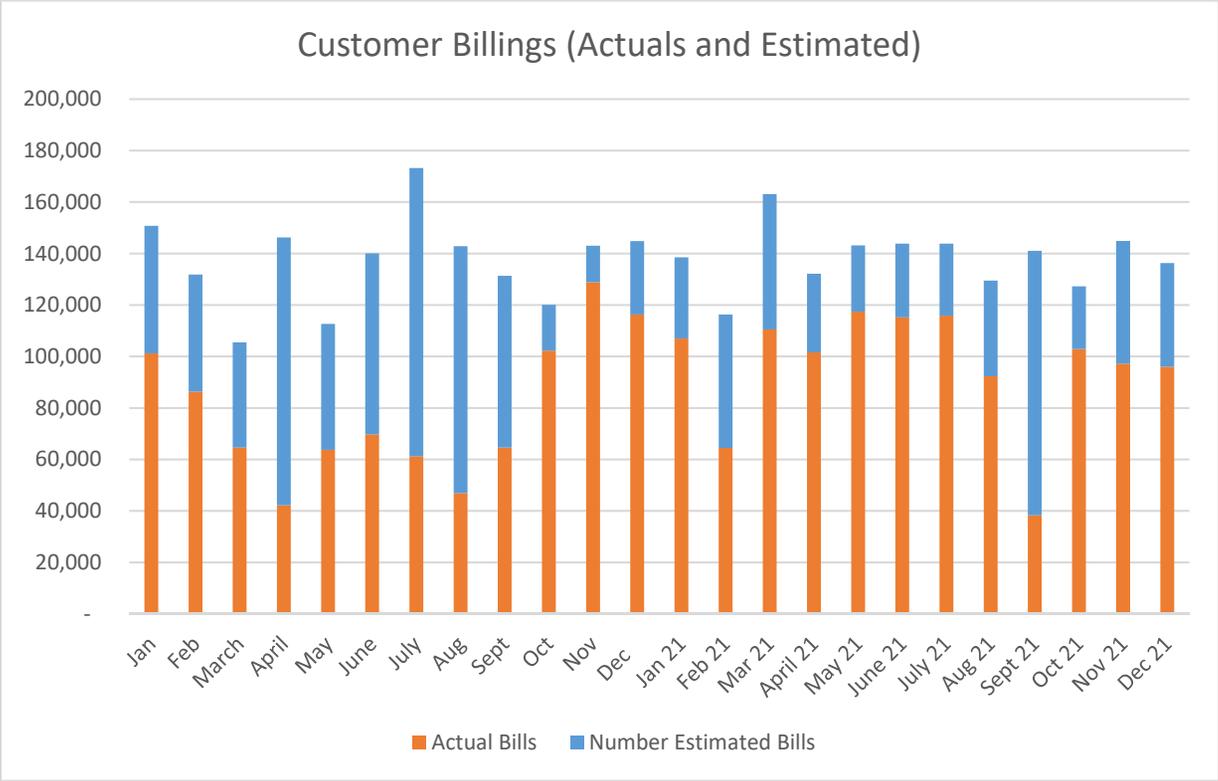
In December 2021, a total of **44,376** customers were served. These reports do not include actions and responses related to investigations.

	Total # Calls	Total calls Answered	Total Dropped Calls	Average Call Wait	% Calls Answered
December	26,959	19,612	7,347	17:08 sec.	73%



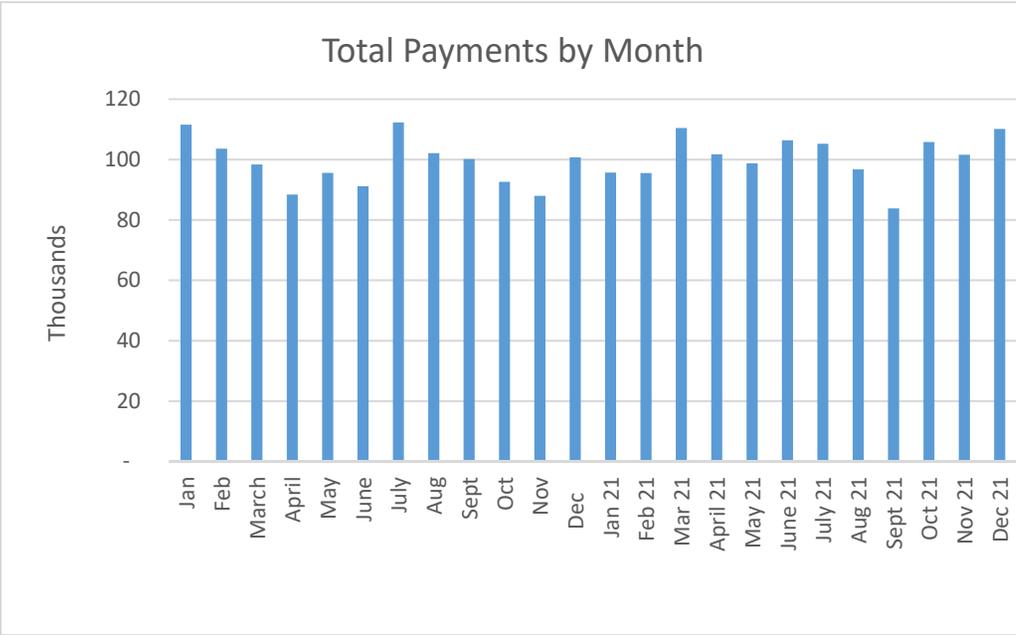
Billing Accuracy

The following metrics are monitored to help management measure and improve the bill accuracy, improve the customer response time and implement best practice collection activity.



Collections

A total of **101,584** payments were received in the month. These payments were received via mail, walk-ins, online payments, and the interactive voice response phone system.



Active Accounts

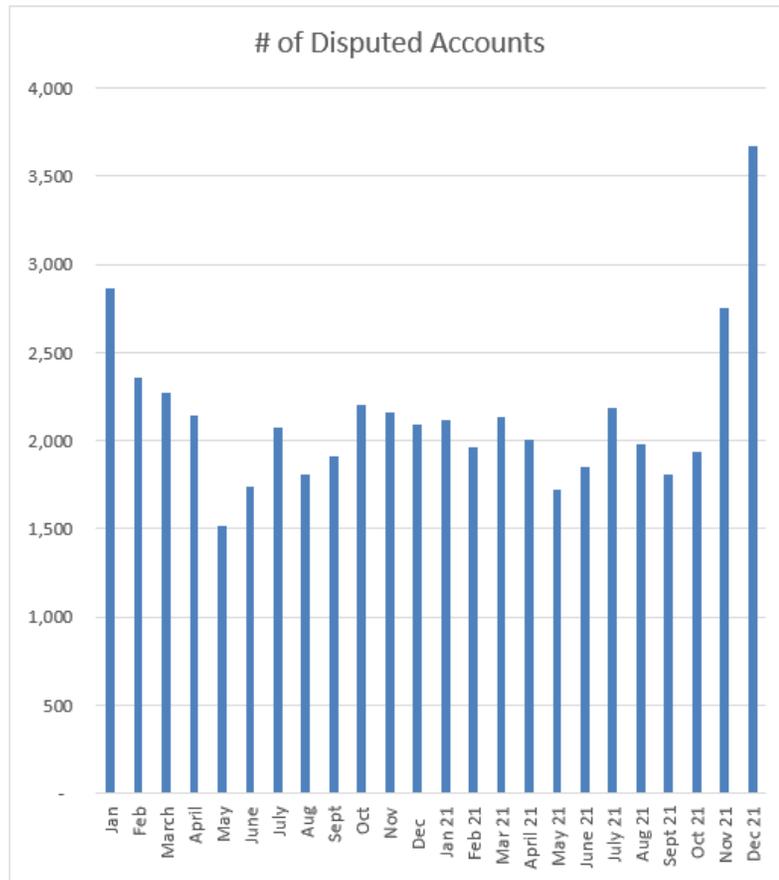
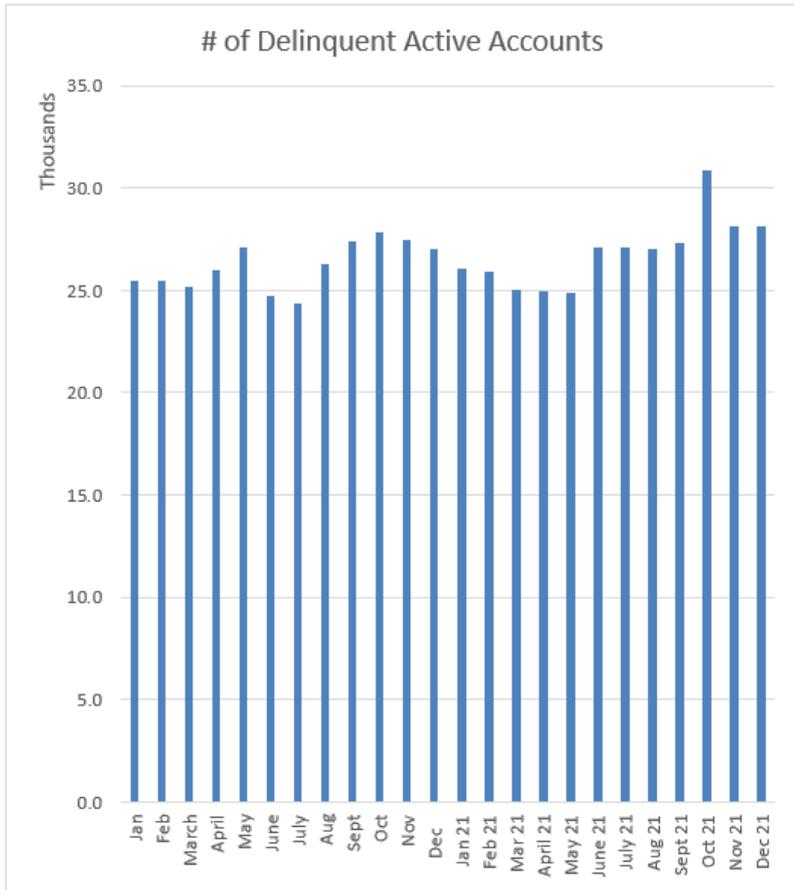
CUSTOMER ACCOUNT AGING REPORT

As of December 31, 2021

	ACTIVE ACCOUNTS		Dec 31	Nov 30	% CHANGE FROM PRIOR MONTH
Residential	122,103	Total Accounts in Dispute	3,675	2,756	33%
Multi-Family	4,594				
Commercial	12,961				
Industrial	30				
TOTALS	139,688				

	DELINQUENT ACTIVE ACCOUNTS	PAST DUE \$ AMOUNT (60+ DAYS)	% CHANGE FROM PRIOR MONTH
Residential	25,700	54,051,425	-3%
Multi-Family	782	5,239,463	-2%
Commercial	1,566	22,732,313	4%
Industrial	8	9,346	-90%
TOTALS	28,056	82,032,547	-1%

Inactive Accounts >60 days	26,929	26,399	2%
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December 2021 Financial Statements (unaudited)

Pages 13-28 as follows:

- 13. All System Funds Statements of Revenues and Expenses and Changes in Net Position with Prior year (PY)
- 14. All System Funds Statements of Revenues and Expenses and Changes in Net Position with Budget
- 15. Water Fund Statements of Revenues and Expenses and Changes in Net Position with PY
- 16. Water Fund Statements of Revenues and Expenses and Changes in Net Position with Budget
- 17. Sewer Fund Statements of Revenues and Expenses and Changes in Net Position with PY
- 18. Sewer Fund Statements of Revenues and Expenses and Changes in Net Position with Budget
- 19. Drainage Fund Statements of Revenues and Expenses and Changes in Net Position with PY
- 20. Drainage Fund Statements of Revenues and Expenses and Changes in Net Position with Budget
- 21-22. All System Funds Statements of Net Position
- 23-24. Water Fund Statements of Net Position
- 25-26. Sewer Fund Statements of Net Position
- 27-28. Drainage Fund Statements of Net Position

SEWERAGE AND WATER BOARD OF NEW ORLEANS
ALL SYSTEM FUNDS
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH PRIOR YEAR COMPARISONS
Preliminary 12th period December 2021 Financials

	A	B	C	D	E	F	G	H		
	MTD	MTD	MTD		YTD	YTD	YTD			
	Actual	Prior Year	Variance	%	Actual	Prior Year	Variance	%		
Operating revenues:										
1	Sales of water and delinquent fees	10,990,202	11,661,521	(671,319)	-5.8%	118,458,677	116,164,952	2,293,725	2.0%	1
2	Sewerage service charges and del fees	14,001,934	14,635,883	(633,949)	-4.3%	150,692,547	145,696,462	4,996,085	3.4%	2
3	Plumbing inspection and license fees	51,420	67,960	(16,540)	-24.3%	461,410	462,987	(1,577)	-0.3%	3
4	Other revenues	1,008,794	1,241,049	(232,256)	-18.7%	6,268,294	5,034,777	1,233,517	24.5%	4
5	Total operating revenues	26,052,350	27,606,414	(1,554,064)	-5.6%	275,880,928	267,359,177	8,521,751	3.2%	5
Operating Expenses:										
6	Power and pumping	2,109,542	3,545,412	(1,435,870)	-40.5%	21,818,677	20,375,702	1,442,976	7.1%	6
7	Treatment	1,350,386	1,420,536	(70,149)	-4.9%	22,436,864	21,680,572	756,292	3.5%	7
8	Transmission and distribution	3,563,300	6,215,118	(2,651,818)	-42.7%	35,758,568	34,160,672	1,597,896	4.7%	8
9	Customer accounts	366,066	398,462	(32,396)	-8.1%	4,932,269	4,656,250	276,019	5.9%	9
10	Customer service	367,622	1,134,344	(766,722)	-67.6%	8,180,282	7,817,084	363,198	4.6%	10
11	Administration and general	2,586,586	5,690,087	(3,103,502)	-54.5%	17,807,737	29,794,951	(11,987,214)	-40.2%	11
12	Payroll related	5,389,332	(12,749,801)	18,139,133	-142.3%	57,830,231	37,298,513	20,531,718	55.0%	12
13	Maintenance of general plant	6,502,054	3,911,620	2,590,434	66.2%	26,975,104	26,343,359	631,745	2.4%	13
14	Depreciation	6,937,121	5,343,313	1,593,808	29.8%	81,077,380	64,119,761	16,957,619	26.4%	14
15	Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16	Provision for doubtful accounts	2,343,000	3,078,855	(735,855)	-23.9%	29,066,201	26,960,778	2,105,423	7.8%	16
17	Provision for claims	1,024,188	682,129	342,059	50.1%	3,461,573	2,174,818	1,286,755	59.2%	17
18	Total operating expenses	32,539,197	18,670,075	13,869,122	74.3%	309,344,887	275,382,460	33,962,427	12.3%	18
19	Operating income (loss)	(6,486,847)	8,936,339	(15,423,186)	-172.6%	(33,463,959)	(8,023,283)	(25,440,676)	317.1%	19
Non-operating revenues (expense):										
20	Two-mill tax	19	-	19	0.0%	284	701	(416)	-59.4%	20
21	Three-mill tax	18,352	48,712	(30,360)	-62.3%	16,469,951	17,998,565	(1,528,614)	-8.5%	21
22	Six-mill tax	16,992	51,333	(34,340)	-66.9%	17,580,437	18,969,600	(1,389,163)	-7.3%	22
23	Nine-mill tax	30,725	76,945	(46,220)	-60.1%	26,363,215	28,434,023	(2,070,807)	-7.3%	23
24	Interest income	104,772	678,276	(573,504)	-84.6%	1,053,373	3,028,630	(1,975,258)	-65.2%	24
25	Other Taxes	-	297,387	(297,387)	-100.0%	99,129	595,099	(495,971)	-83.3%	25
26	Other Income	1,443,984	193,427	1,250,556	646.5%	6,996,364	6,657,172	339,192	5.1%	26
27	Bond Issuance Costs	-	-	-	0.0%	-	-	-	0.0%	27
28	Interest expense	(1,293,588)	15,978,860	(17,272,448)	-108.1%	(17,634,226)	(2,620,693)	(15,013,533)	572.9%	28
29	Operating and maintenance grants	4,195	(15,745)	19,940	-126.6%	15,157	60,082	(44,925)	-74.8%	29
30	Provision for grants	-	-	-	0.0%	-	-	-	0.0%	30
31	Total non-operating revenues	325,451	17,309,196	(16,983,744)	-98.1%	50,943,684	73,123,180	(22,179,496)	-30.3%	31
32	Income before capital contributions	(6,161,396)	26,245,534	(32,406,930)	-123.5%	17,479,725	65,099,897	(47,620,172)	-73.1%	32
33	Capital contributions	801,579	63,335,612	(62,534,033)	-98.7%	65,333,397	84,476,277	(19,142,880)	-22.7%	33
34	Change in net position	(5,359,817)	89,581,146	(94,940,964)	-106.0%	82,813,122	149,576,174	(66,763,052)	-44.6%	34
35	Transfer of Debt Service Assistance Fund loan payable									35
36	Net position, beginning of year					2,572,607,445	2,356,268,052	216,339,393	9.2%	36
37	Net position, end of year					2,656,497,793	2,505,844,226	150,653,567	6.0%	37

SEWERAGE AND WATER BOARD OF NEW ORLEANS
ALL SYSTEM FUNDS
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH BUDGET COMPARISONS
Preliminary 12th period December 2021 Financials

	A	B	C	D	E	F	G	H		
	MTD	MTD	MTD		YTD	YTD	YTD			
	Actual	Budget	Variance	%	Actual	Budget	Variance	%		
Operating revenues:										
1	Sales of water and delinquent fees	10,990,202	10,696,426	293,776	2.7%	118,458,677	115,626,250	2,832,427	2.4%	1
2	Sewerage service charges and del fees	14,001,934	13,421,313	580,621	4.3%	150,692,547	144,889,010	5,803,537	4.0%	2
3	Plumbing inspection and license fees	51,420	64,745	(13,325)	-20.6%	461,410	613,597	(152,187)	-24.8%	3
4	Other revenues	1,008,794	69,811	938,983	1345.0%	6,268,294	585,677	5,682,617	970.3%	4
5	Total operating revenues	26,052,350	24,252,296	1,800,054	7.4%	275,880,928	261,714,534	14,166,394	5.4%	5
Operating Expenses:										
6	Power and pumping	2,109,542	2,530,053	(420,511)	-16.6%	21,818,677	17,593,833	4,224,844	24.0%	6
7	Treatment	1,350,386	2,435,227	(1,084,841)	-44.5%	22,436,864	24,813,830	(2,376,966)	-9.6%	7
8	Transmission and distribution	3,563,300	5,662,338	(2,099,038)	-37.1%	35,758,568	40,912,287	(5,153,719)	-12.6%	8
9	Customer accounts	366,066	449,832	(83,766)	-18.6%	4,932,269	5,547,703	(615,434)	-11.1%	9
10	Customer service	367,622	807,374	(439,752)	-54.5%	8,180,282	6,928,433	1,251,849	18.1%	10
11	Administration and general	2,586,586	8,317,624	(5,731,039)	-68.9%	17,807,737	51,085,534	(33,277,797)	-65.1%	11
12	Payroll related	5,389,332	1,921,848	3,467,484	180.4%	57,830,231	31,677,629	26,152,602	82.6%	12
13	Maintenance of general plant	6,502,054	5,740,588	761,466	13.3%	26,975,104	28,993,927	(2,018,823)	-7.0%	13
14	Depreciation	6,937,121	7,201,104	(263,983)	-3.7%	81,077,380	73,739,042	7,338,338	10.0%	14
15	Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16	Provision for doubtful accounts	2,343,000	2,776,434	(433,434)	-15.6%	29,066,201	33,306,195	(4,239,994)	-12.7%	16
17	Provision for claims	1,024,188	557,680	466,508	83.7%	3,461,573	3,299,000	162,573	4.9%	17
18	Total operating expenses	32,539,197	38,400,103	(5,860,905)	-15.3%	309,344,887	317,897,413	(8,552,526)	-2.7%	18
19	Operating income (loss)	(6,486,847)	(14,147,807)	7,660,959	-54.1%	(33,463,959)	(56,182,879)	22,718,920	-40.4%	19
Non-operating revenues (expense):										
20	Two-mill tax	19	-	19	0.0%	284	-	284	0.0%	20
21	Three-mill tax	18,352	189,580	(171,227)	-90.3%	16,469,951	16,289,595	180,356	1.1%	21
22	Six-mill tax	16,992	186,129	(169,136)	-90.9%	17,580,437	17,202,689	377,748	2.2%	22
23	Nine-mill tax	30,725	267,522	(236,797)	-88.5%	26,363,215	25,785,772	577,444	2.2%	23
24	Interest income	104,772	212,226	(107,454)	-50.6%	1,053,373	2,546,714	(1,493,341)	-58.6%	24
25	Other Taxes	-	-	-	0.0%	99,129	-	99,129	0.0%	25
26	Other Income	1,443,984	1,264,717	179,267	14.2%	6,996,364	5,112,085	1,884,279	36.9%	26
27	Interest expense	(1,293,588)	(1,880,635)	587,046	-31.2%	(17,634,226)	(22,567,617)	4,933,391	-21.9%	27
28	Operating and maintenance grants	4,195	28,564	(24,369)	-85.3%	15,157	366,345	(351,188)	-95.9%	28
29	Provision for grants	-	-	-	0.0%	-	-	-	0.0%	29
30	Total non-operating revenues	325,451	268,102	57,350	21.4%	50,943,684	44,735,583	6,208,101	13.9%	30
31	Income before capital contributions	(6,161,396)	(13,879,705)	7,718,309	-55.6%	17,479,725	(11,447,296)	28,927,021	-252.7%	31
32	Capital contributions	801,579	-	801,579	0.0%	65,333,397	-	65,333,397	0.0%	32
33	Change in net position	(5,359,817)	(13,879,705)	8,519,887	-61.4%	82,813,122	(11,447,296)	94,260,418	-823.4%	33
35	Audit Adjustment									35
36	Net position, beginning of year					2,572,607,445	2,356,268,052	216,339,393	9.2%	36
37	Net position, end of year					2,659,931,820	2,344,820,756	315,111,065	13.4%	37

SEWERAGE AND WATER BOARD OF NEW ORLEANS
WATER SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH PRIOR YEAR COMPARISONS
Preliminary 12th period December 2021 Financials

	A	B	C	D	E	F	G	H		
	MTD	MTD	MTD		YTD	YTD	YTD			
	Actual	Prior Year	Variance	%	Actual	Prior Year	Variance	%		
Operating revenues:										
1	Sales of water and delinquent fees	10,990,202	11,661,521	(671,319)	-5.8%	118,458,677	116,164,952	2,293,725	2.0%	1
2	Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%	2
3	Plumbing inspection and license fees	25,997	37,530	(11,533)	-30.7%	244,933	236,398	8,535	3.6%	3
4	Other revenues	174,039	159,925	14,114	8.8%	1,623,780	4,059,675	(2,435,894)	-60.0%	4
5	Total operating revenues	11,190,238	11,858,976	(668,739)	-5.6%	120,327,390	120,461,024	(133,634)	-0.1%	5
Operating Expenses:										
6	Power and pumping	222,872	368,367	(145,495)	-39.5%	2,591,193	2,540,139	51,054	2.0%	6
7	Treatment	771,419	1,017,469	(246,050)	-24.2%	9,173,066	9,518,816	(345,750)	-3.6%	7
8	Transmission and distribution	1,530,084	3,527,283	(1,997,198)	-56.6%	14,560,368	16,878,602	(2,318,235)	-13.7%	8
9	Customer accounts	182,546	198,745	(16,199)	-8.2%	2,459,219	2,318,806	140,413	6.1%	9
10	Customer service	181,235	562,112	(380,877)	-67.8%	4,052,621	3,866,962	185,660	4.8%	10
11	Administration and general	895,201	1,605,061	(709,860)	-44.2%	8,644,613	10,014,154	(1,369,541)	-13.7%	11
12	Payroll related	2,005,309	(3,988,200)	5,993,510	-150.3%	21,301,883	14,564,114	6,737,769	46.3%	12
13	Maintenance of general plant	4,480,678	2,285,242	2,195,436	96.1%	15,590,560	15,079,077	511,483	3.4%	13
14	Depreciation	1,275,225	975,185	300,040	30.8%	15,794,791	11,702,222	4,092,569	35.0%	14
15	Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16	Provision for doubtful accounts	1,029,000	1,328,563	(299,563)	-22.5%	13,286,436	12,028,333	1,258,102	10.5%	16
17	Provision for claims	409,675	272,717	136,959	50.2%	1,363,173	1,037,928	325,245	31.3%	17
18	Total operating expenses	12,983,245	8,152,542	4,830,703	59.3%	108,817,921	99,549,153	9,268,769	9.3%	18
19	Operating income (loss)	(1,793,007)	3,706,434	(5,499,442)	-148.4%	11,509,469	20,911,872	(9,402,403)	-45.0%	19
Non-operating revenues (expense):										
20	Two-mill tax	-	-	-	0.0%	-	-	-	0.0%	20
21	Three-mill tax	-	-	-	0.0%	-	-	-	0.0%	21
22	Six-mill tax	-	-	-	0.0%	-	-	-	0.0%	22
23	Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%	23
24	Interest income	6,890	287,300	(280,410)	-97.6%	35,167	1,260,885	(1,225,719)	-97.2%	24
25	Other Taxes	-	132,337	(132,337)	-100.0%	44,112	264,819	(220,707)	-83.3%	25
26	Other Income	(806,749)	193,427	(1,000,176)	-517.1%	2,848,138	5,626,547	(2,778,409)	-49.4%	26
27	Bond Issuance Costs	-	-	-	0.0%	-	-	-	0.0%	27
28	Interest expense	(503,476)	7,786,202	(8,289,679)	-106.5%	(6,937,603)	(1,058,302)	(5,879,301)	555.5%	28
29	Operating and maintenance grants	1,678	(14,773)	16,451	-111.4%	7,130	15,439	(8,309)	-53.8%	29
30	Provision for grants	-	-	-	0.0%	-	-	-	0.0%	30
31	Total non-operating revenues	(1,301,657)	8,384,493	(9,686,150)	-115.5%	(4,003,056)	6,109,388	(10,112,445)	-165.5%	31
32	Income before capital contributions	(3,094,664)	12,090,928	(15,185,592)	-125.6%	9,676,559	27,021,260	(17,344,701)	-64.2%	32
33	Capital contributions	301,388	14,761,657	(14,460,269)	-98.0%	48,064,725	28,362,922	19,701,803	69.5%	33
34	Change in net position	(2,793,276)	26,852,585	(29,645,860)	-110.4%	57,741,284	55,384,182	2,357,102	4.3%	34
35	Transfer of Debt Service Assistance Fund loan payable									35
36	Net position, beginning of year					421,107,661	371,193,548	49,914,113	13.4%	36
37	Net position, end of year					478,848,945	426,577,730	52,271,215	12.3%	37

SEWERAGE AND WATER BOARD OF NEW ORLEANS
WATER SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH BUDGET COMPARISONS
Preliminary 12th period December 2021 Financials

	A	B	C	D	E	F	G	H		
	MTD	MTD	MTD		YTD	YTD	YTD			
	Actual	Budget	Variance	%	Actual	Budget	Variance	%		
Operating revenues:										
1	Sales of water and delinquent fees	10,990,202	10,696,426	293,776	2.7%	118,458,677	115,626,250	2,832,427	2.4%	1
2	Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%	2
3	Plumbing inspection and license fees	25,997	24,651	1,346	5.5%	244,933	307,078	(62,145)	-20.2%	3
4	Other revenues	174,039	53,622	120,417	224.6%	1,623,780	260,626	1,363,154	523.0%	4
5	Total operating revenues	11,190,238	10,774,699	415,538	3.9%	120,327,390	116,193,954	4,133,436	3.6%	5
Operating Expenses:										
6	Power and pumping	222,872	413,988	(191,116)	-46.2%	2,591,193	2,554,994	36,199	1.4%	6
7	Treatment	771,419	905,970	(134,551)	-14.9%	9,173,066	10,174,574	(1,001,508)	-9.8%	7
8	Transmission and distribution	1,530,084	2,716,550	(1,186,466)	-43.7%	14,560,368	18,222,445	(3,662,078)	-20.1%	8
9	Customer accounts	182,546	224,418	(41,872)	-18.7%	2,459,219	2,768,252	(309,033)	-11.2%	9
10	Customer service	181,235	400,057	(218,822)	-54.7%	4,052,621	3,425,891	626,730	18.3%	10
11	Administration and general	895,201	2,819,310	(1,924,109)	-68.2%	8,644,613	16,958,639	(8,314,026)	-49.0%	11
12	Payroll related	2,005,309	802,285	1,203,025	149.9%	21,301,883	13,179,833	8,122,049	61.6%	12
13	Maintenance of general plant	4,480,678	2,554,515	1,926,164	75.4%	15,590,560	12,948,553	2,642,007	20.4%	13
14	Depreciation	1,275,225	2,322,431	(1,047,206)	-45.1%	15,794,791	30,819,814	(15,025,023)	-48.8%	14
15	Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16	Provision for doubtful accounts	1,029,000	1,264,255	(235,255)	-18.6%	13,286,436	15,171,065	(1,884,629)	-12.4%	16
17	Provision for claims	409,675	265,772	143,904	54.1%	1,363,173	1,309,600	53,573	4.1%	17
18	Total operating expenses	12,983,245	14,689,552	(1,706,306)	-11.6%	108,817,921	127,533,659	(18,715,738)	-14.7%	18
19	Operating income (loss)	(1,793,007)	(3,914,852)	2,121,845	-54.2%	11,509,469	(11,339,705)	22,849,174	-201.5%	19
Non-operating revenues (expense):										
20	Two-mill tax	-	-	-	0.0%	-	-	-	0.0%	20
21	Three-mill tax	-	-	-	0.0%	-	-	-	0.0%	21
22	Six-mill tax	-	-	-	0.0%	-	-	-	0.0%	22
23	Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%	23
24	Interest income	6,890	89,725	(82,835)	-92.3%	35,167	1,076,700	(1,041,533)	-96.7%	24
25	Other Taxes	-	-	-	0.0%	44,112	-	44,112	0.0%	25
26	Other Income	(806,749)	143,800	(950,549)	-661.0%	2,848,138	1,725,600	1,122,538	65.1%	26
27	Interest expense	(503,476)	(788,854)	285,378	-36.2%	(6,937,603)	(9,466,250)	2,528,647	-26.7%	27
28	Operating and maintenance grants	1,678	-	1,678	0.0%	7,130	-	7,130	0.0%	28
29	Provision for grants	-	-	-	0.0%	-	-	-	0.0%	29
30	Total non-operating revenues	(1,301,657)	(555,329)	(746,327)	134.4%	(4,003,056)	(6,663,950)	2,660,894	-39.9%	30
31	Income before capital contributions	(3,094,664)	(4,470,181)	1,375,517	-30.8%	9,676,559	(18,003,655)	27,680,214	-153.7%	31
32	Capital contributions	301,388	-	301,388	0.0%	48,064,725	-	48,064,725	0.0%	32
33	Change in net position	(2,793,276)	(4,470,181)	1,676,906	-37.5%	57,741,284	(18,003,655)	75,744,939	-420.7%	33
35	Audit Adjustment	-	-	-	-	-	-	-	-	35
36	Net position, beginning of year	421,107,661	371,193,548	49,914,113	13.4%	479,327,577	353,189,893	126,137,684	35.7%	36
37	Net position, end of year	479,327,577	353,189,893	126,137,684	35.7%					37

SEWERAGE AND WATER BOARD OF NEW ORLEANS
SEWER SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH PRIOR YEAR COMPARISONS
Preliminary 12th period December 2021 Financials

	A	B	C	D	E	F	G	H	
	MTD Actual	MTD Prior Year	MTD Variance	%	YTD Actual	YTD Prior Year	YTD Variance	%	
Operating revenues:									
1	-	-	-	0.0%	-	-	-	0.0%	1
2	14,001,934	14,635,883	(633,949)	-4.3%	150,692,547	145,696,462	4,996,085	3.4%	2
3	25,423	30,430	(5,007)	-16.5%	216,477	226,589	(10,112)	-4.5%	3
4	827,333	23,919	803,415	3358.9%	1,267,836	884,296	383,540	43.4%	4
5	14,854,691	14,690,232	164,459	1.1%	152,176,860	146,807,346	5,369,513	3.7%	5
Operating Expenses:									
6	479,370	901,708	(422,338)	-46.8%	6,102,260	5,788,570	313,690	5.4%	6
7	578,968	403,067	175,901	43.6%	13,263,798	12,161,756	1,102,042	9.1%	7
8	1,577,419	2,260,444	(683,025)	-30.2%	16,590,247	13,080,099	3,510,148	26.8%	8
9	182,546	198,745	(16,199)	-8.2%	2,460,652	2,318,803	141,848	6.1%	9
10	181,235	562,111	(380,877)	-67.8%	4,058,893	3,866,958	191,935	5.0%	10
11	1,124,496	2,913,240	(1,788,744)	-61.4%	6,025,040	13,528,542	(7,503,502)	-55.5%	11
12	2,015,862	(3,969,584)	5,985,446	-150.8%	21,426,320	14,741,797	6,684,523	45.3%	12
13	860,839	837,042	23,797	2.8%	6,635,585	6,594,432	41,153	0.6%	13
14	2,700,979	2,153,085	547,894	25.4%	32,128,745	25,837,020	6,291,725	24.4%	14
15	-	-	-	0.0%	-	-	-	0.0%	15
16	1,314,000	1,791,096	(477,096)	-26.6%	15,775,286	14,932,444	842,842	5.6%	16
17	409,675	272,717	136,959	50.2%	1,399,933	1,041,327	358,605	34.4%	17
18	11,425,388	8,323,671	3,101,717	37.3%	125,866,759	113,891,750	11,975,009	10.5%	18
19	3,429,303	6,366,561	(2,937,258)	-46.1%	26,310,101	32,915,596	(6,605,496)	-20.1%	19
Non-operating revenues (expense):									
20	-	-	-	0.0%	-	-	-	0.0%	20
21	-	-	-	0.0%	-	-	-	0.0%	21
22	-	-	-	0.0%	-	-	-	0.0%	22
23	-	-	-	0.0%	-	-	-	0.0%	23
24	94,832	378,321	(283,489)	-74.9%	991,138	1,600,691	(609,553)	-38.1%	24
25	-	165,050	(165,050)	-100.0%	55,017	330,280	(275,264)	-83.3%	25
26	-	-	-	0.0%	-	-	-	0.0%	26
27	-	-	-	0.0%	-	-	-	0.0%	27
28	(790,112)	8,272,930	(9,063,042)	-109.6%	(10,696,623)	(1,482,118)	(9,214,505)	621.7%	28
29	1,678	(760)	2,438	-320.8%	5,505	27,383	(21,878)	-79.9%	29
30	-	-	-	0.0%	-	-	-	0.0%	30
31	(693,602)	8,815,541	(9,509,143)	-107.9%	(9,644,964)	476,236	(10,121,200)	-2125.2%	31
32	2,735,701	15,182,102	(12,446,401)	-82.0%	13,648,041	33,391,833	(19,743,792)	-59.1%	32
33	321,544	(364,818)	686,362	-188.1%	7,996,187	2,952,261	5,043,926	170.8%	33
34	3,057,245	14,817,284	(11,760,039)	-79.4%	21,644,228	36,344,094	(14,699,866)	-40.4%	34
35	-	-	-	-	-	-	-	-	35
36	847,697,154	812,112,814	35,584,340	4.4%	869,341,382	848,456,908	20,884,474	2.5%	36
37	-	-	-	-	-	-	-	-	37

SEWERAGE AND WATER BOARD OF NEW ORLEANS
SEWER SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH BUDGET COMPARISONS
Preliminary 12th period December 2021 Financials

	A	B	C	D	E	F	G	H		
	MTD	MTD	MTD		YTD	YTD	YTD			
	Actual	Budget	Variance	%	Actual	Budget	Variance	%		
Operating revenues:										
1	Sales of water and delinquent fees	-	-	0.0%	-	-	-	0.0%	1	
2	Sewerage service charges and del fees	14,001,934	13,421,313	580,621	4.3%	150,692,547	144,889,010	5,803,537	4.0%	2
3	Plumbing inspection and license fees	25,423	40,094	(14,671)	-36.6%	216,477	306,519	(90,042)	-29.4%	3
4	Other revenues	827,333	16,189	811,144	5010.4%	1,267,836	325,051	942,785	290.0%	4
5	Total operating revenues	14,854,691	13,477,597	1,377,094	10.2%	152,176,860	145,520,580	6,656,280	4.6%	5
Operating Expenses:										
6	Power and pumping	479,370	615,404	(136,035)	-22.1%	6,102,260	4,153,239	1,949,022	46.9%	6
7	Treatment	578,968	1,529,257	(950,289)	-62.1%	13,263,798	14,639,256	(1,375,458)	-9.4%	7
8	Transmission and distribution	1,577,419	2,537,535	(960,116)	-37.8%	16,590,247	18,083,945	(1,493,698)	-8.3%	8
9	Customer accounts	182,546	224,969	(42,423)	-18.9%	2,460,652	2,768,252	(307,601)	-11.1%	9
10	Customer service	181,235	400,303	(219,068)	-54.7%	4,058,893	3,425,891	633,003	18.5%	10
11	Administration and general	1,124,496	3,587,352	(2,462,856)	-68.7%	6,025,040	23,030,921	(17,005,881)	-73.8%	11
12	Payroll related	2,015,862	762,267	1,253,595	164.5%	21,426,320	10,930,091	10,496,229	96.0%	12
13	Maintenance of general plant	860,839	2,123,422	(1,262,583)	-59.5%	6,635,585	10,682,663	(4,047,078)	-37.9%	13
14	Depreciation	2,700,979	3,270,306	(569,327)	-17.4%	32,128,745	28,493,554	3,635,191	12.8%	14
15	Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16	Provision for doubtful accounts	1,314,000	1,503,054	(189,054)	-12.6%	15,775,286	18,036,645	(2,261,359)	-12.5%	16
17	Provision for claims	409,675	225,490	184,186	81.7%	1,399,933	1,309,600	90,333	6.9%	17
18	Total operating expenses	11,425,388	16,779,358	(5,353,970)	-31.9%	125,866,759	135,554,057	(9,687,299)	-7.1%	18
19	Operating income (loss)	3,429,303	(3,301,762)	6,731,064	-203.9%	26,310,101	9,966,523	16,343,578	164.0%	19
Non-operating revenues (expense):										
20	Two-mill tax	-	-	-	0.0%	-	-	-	0.0%	20
21	Three-mill tax	-	-	-	0.0%	-	-	-	0.0%	21
22	Six-mill tax	-	-	-	0.0%	-	-	-	0.0%	22
23	Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%	23
24	Interest income	94,832	108,167	(13,334)	-12.3%	991,138	1,298,000	(306,862)	-23.6%	24
25	Other Taxes	-	-	-	0.0%	55,017	-	55,017	0.0%	25
26	Other Income	-	58,617	(58,617)	-100.0%	-	703,400	(703,400)	-100.0%	26
27	Interest expense	(790,112)	(1,084,772)	294,660	-27.2%	(10,696,623)	(13,017,267)	2,320,644	-17.8%	27
28	Operating and maintenance grants	1,678	-	1,678	0.0%	5,505	-	5,505	0.0%	28
29	Provision for grants	-	-	-	0.0%	-	-	-	0.0%	29
30	Total non-operating revenues	(693,602)	(917,989)	224,387	-24.4%	(9,644,964)	(11,015,867)	1,370,903	-12.4%	30
31	Income before capital contributions	2,735,701	(4,219,751)	6,955,451	-164.8%	16,665,137	(1,049,344)	17,714,481	-1688.1%	31
32	Capital contributions	321,544	-	321,544	0.0%	7,996,187	-	7,996,187	0.0%	32
33	Change in net position	3,057,245	(4,219,751)	7,276,996	-172.5%	24,661,324	(1,049,344)	25,710,668	-2450.2%	33
35	Audit Adjustment									35
36	Net position, beginning of year					847,697,154	812,112,814	35,584,340	4.4%	36
37	Net position, end of year					874,220,953	811,063,470	63,157,483	7.8%	37

SEWERAGE AND WATER BOARD OF NEW ORLEANS
DRAINAGE SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH PRIOR YEAR COMPARISONS
Preliminary 12th period December 2021 Financials

	A	B	C	D	E	F	G	H	
	MTD Actual	MTD Prior Year	MTD Variance	%	YTD Actual	YTD Prior Year	YTD Variance	%	
Operating revenues:									
1	-	-	-	0.0%	-	-	-	0.0%	1
2	-	-	-	0.0%	-	-	-	0.0%	2
3	-	-	-	0.0%	-	-	-	0.0%	3
4	7,422	1,057,206	(1,049,784)	-99.3%	3,376,678	90,806	3,285,872	3618.6%	4
5	7,422	1,057,206	(1,049,784)	-99.3%	3,376,678	90,806	3,285,872	3618.6%	5
Operating Expenses:									
6	1,407,300	2,275,337	(868,037)	-38.1%	13,125,224	12,046,992	1,078,232	9.0%	6
7	-	-	-	0.0%	-	-	-	0.0%	7
8	455,796	427,391	28,405	6.6%	4,607,953	4,201,971	405,982	9.7%	8
9	974	972	2	0.2%	12,398	18,641	(6,242)	-33.5%	9
10	5,153	10,121	(4,968)	-49.1%	68,768	83,164	(14,396)	-17.3%	10
11	566,889	1,171,786	(604,897)	-51.6%	3,138,084	6,252,255	(3,114,171)	-49.8%	11
12	1,368,160	(4,792,017)	6,160,177	-128.6%	15,102,029	7,992,602	7,109,427	89.0%	12
13	1,160,537	789,337	371,200	47.0%	4,748,960	4,669,851	79,109	1.7%	13
14	2,960,917	2,215,043	745,874	33.7%	33,153,845	26,580,518	6,573,326	24.7%	14
15	-	-	-	0.0%	-	-	-	0.0%	15
16	-	(40,803)	40,803	-100.0%	4,479	-	4,479	0.0%	16
17	204,838	136,695	68,142	49.8%	698,468	95,563	602,904	630.9%	17
18	8,130,564	2,193,862	5,936,702	270.6%	74,660,207	61,941,557	12,718,650	20.5%	18
19	(8,123,142)	(1,136,656)	(6,986,486)	614.7%	(71,283,529)	(61,850,751)	(9,432,778)	15.3%	19
Non-operating revenues (expense):									
20	19	-	19	0.0%	284	701	(416)	-59.4%	20
21	18,352	48,712	(30,360)	-62.3%	16,469,951	17,998,565	(1,528,614)	-8.5%	21
22	16,992	51,333	(34,340)	-66.9%	17,580,437	18,969,600	(1,389,163)	-7.3%	22
23	30,725	76,945	(46,220)	-60.1%	26,363,215	28,434,023	(2,070,807)	-7.3%	23
24	3,049	12,655	(9,605)	-75.9%	27,069	167,054	(139,986)	-83.8%	24
25	-	-	-	0.0%	-	-	-	0.0%	25
26	2,250,732	-	2,250,732	0.0%	4,148,226	1,030,625	3,117,601	302.5%	26
27	-	-	-	0.0%	-	-	-	0.0%	27
28	-	(80,273)	80,273	-100.0%	-	(80,273)	80,273	-100.0%	28
29	839	(211)	1,050	-496.7%	2,522	17,260	(14,738)	-85.4%	29
30	-	-	-	0.0%	-	-	-	0.0%	30
31	2,320,709	109,161	2,211,548	2025.9%	64,591,704	66,537,555	(1,945,851)	-2.9%	31
32	(5,802,433)	(1,027,495)	(4,774,938)	464.7%	(4,767,649)	4,686,804	(9,454,453)	-201.7%	32
33	178,646	48,938,772	(48,760,126)	-99.6%	9,272,485	53,161,095	(43,888,609)	-82.6%	33
34	(5,623,787)	47,911,277	(53,535,064)	-111.7%	4,504,836	57,847,898	(53,343,062)	-92.2%	34
35	-	-	-	-	-	-	-	-	35
36	1,303,802,630	1,172,961,690	130,840,940	11.2%	1,308,307,466	1,230,809,588	77,497,878	6.3%	36
37	1,308,307,466	1,230,809,588	77,497,878	6.3%	1,308,307,466	1,230,809,588	77,497,878	6.3%	37

SEWERAGE AND WATER BOARD OF NEW ORLEANS
DRAINAGE SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH BUDGET COMPARISONS
Preliminary 12th period December 2021 Financials

	A	B	C	D	E	F	G	H	
	MTD	MTD	MTD		YTD	YTD	YTD		
	Actual	Budget	Variance	%	Actual	Budget	Variance	%	
Operating revenues:									
1	Sales of water and delinquent fees	-	-	0.0%	-	-	-	0.0%	1
2	Sewerage service charges and del fees	-	-	0.0%	-	-	-	0.0%	2
3	Plumbing inspection and license fees	-	-	0.0%	-	-	-	0.0%	3
4	Other revenues	7,422	-	7,422	100.0%	3,376,678	-	3,376,678	100.0%
5	Total operating revenues	7,422	-	7,422	100.0%	3,376,678	-	3,376,678	100.0%
Operating Expenses:									
6	Power and pumping	1,407,300	1,500,661	(93,361)	-6.2%	13,125,224	10,885,601	2,239,624	20.6%
7	Treatment	-	-	-	0.0%	-	-	-	0.0%
8	Transmission and distribution	455,796	408,252	47,544	11.6%	4,607,953	4,605,897	2,057	0.0%
9	Customer accounts	974	445	529	118.9%	12,398	11,198	1,200	10.7%
10	Customer service	5,153	7,015	(1,862)	-26.5%	68,768	76,652	(7,884)	-10.3%
11	Administration and general	566,889	1,910,962	(1,344,074)	-70.3%	3,138,084	11,095,974	(7,957,891)	-71.7%
12	Payroll related	1,368,160	357,296	1,010,864	282.9%	15,102,029	7,567,705	7,534,324	99.6%
13	Maintenance of general plant	1,160,537	1,062,651	97,886	9.2%	4,748,960	5,362,711	(613,752)	-11.4%
14	Depreciation	2,960,917	1,608,367	1,352,550	84.1%	33,153,845	14,425,674	18,728,171	129.8%
15	Amortization	-	-	-	0.0%	-	-	-	0.0%
16	Provision for doubtful accounts	-	9,125	(9,125)	-100.0%	4,479	98,485	(94,006)	-95.5%
17	Provision for claims	204,838	66,419	138,419	208.4%	698,468	679,800	18,668	2.7%
18	Total operating expenses	8,130,564	6,931,193	1,199,371	17.3%	74,660,207	54,809,696	19,850,511	36.2%
19	Operating income (loss)	(8,123,142)	(6,931,193)	(1,191,950)	17.2%	(71,283,529)	(54,809,696)	(16,473,833)	30.1%
Non-operating revenues (expense):									
20	Two-mill tax	19	-	19	0.0%	284	-	284	0.0%
21	Three-mill tax	18,352	189,580	(171,227)	-90.3%	16,469,951	16,289,595	180,356	1.1%
22	Six-mill tax	16,992	186,129	(169,136)	-90.9%	17,580,437	17,202,689	377,748	2.2%
23	Nine-mill tax	30,725	267,522	(236,797)	-88.5%	26,363,215	25,785,772	577,444	2.2%
24	Interest income	3,049	14,335	(11,285)	-78.7%	27,069	172,014	(144,946)	-84.3%
25	Other Taxes	-	-	-	0.0%	-	-	-	0.0%
26	Other Income	2,250,732	1,062,300	1,188,432	111.9%	4,148,226	2,683,085	1,465,141	54.6%
27	Interest expense	-	(7,008)	7,008	-100.0%	-	(84,100)	84,100	-100.0%
28	Operating and maintenance grants	839	28,564	(27,725)	-97.1%	2,522	366,345	(363,823)	-99.3%
29	Provision for grants	-	-	-	0.0%	-	-	-	0.0%
30	Total non-operating revenues	2,320,709	1,741,420	579,290	33.3%	64,591,704	62,415,400	2,176,305	3.5%
31	Income before capital contributions	(5,802,433)	(5,189,773)	(612,660)	11.8%	(6,691,825)	7,605,703	(14,297,528)	-188.0%
32	Capital contributions	178,646	-	178,646	0.0%	9,272,485	-	9,272,485	0.0%
33	Change in net position	(5,623,787)	(5,189,773)	(434,014)	8.4%	2,580,660	7,605,703	(5,025,043)	-66.1%
35	Audit Adjustment	-	-	-	-	-	-	-	-
36	Net position, beginning of year	1,303,802,630	1,172,961,690	130,840,940	11.2%				
37	Net position, end of year	1,306,383,290	1,180,567,393	125,815,897	10.7%				

SEWERAGE AND WATER BOARD OF NEW ORLEANS
ALL SYSTEM FUNDS
STATEMENTS OF NET POSITION
WITH PRIOR YEAR AND BEGINNING OF YEAR COMPARISONS
Preliminary 12th period December 2021 Financials

Assets	A	B	C	D	E	F	G	
	Prior Year	Variance	%	Current Year	Variance	%	Beginning of Year	
Noncurrent assets:								
1 Property, plant and equipment	4,614,715,529	115,629,172	2.5%	4,730,344,701	109,505,592	2.4%	4,620,839,109	1
2 Less: accumulated depreciation	1,161,068,502	60,465,015	5.2%	1,221,533,517	74,014,394	6.4%	1,147,519,123	2
3 Property, plant, and equipment, net	<u>3,453,647,027</u>	<u>55,164,157</u>	<u>1.6%</u>	<u>3,508,811,184</u>	<u>35,491,198</u>	<u>1.0%</u>	<u>3,473,319,986</u>	<u>3</u>
Restricted cash, cash equivalents, and investments								
4 Cash and cash equivalents restricted for capital projects	102,300,133	1,005,460	1.0%	103,305,593	1,005,460	1.0%	102,300,133	4
5 Debt service	11,190,820	16,162,917	144.4%	27,353,737	16,252,533	146.4%	11,101,204	5
6 Debt service reserve	33,442,960	(20,748,147)	-62.0%	12,694,813	(20,748,147)	-62.0%	33,442,960	6
7 Health insurance reserve	1,887,000	626,000	33.2%	2,513,000	0	0.0%	2,513,000	7
8 Total restricted cash, cash equivalents, and investments	<u>148,820,912</u>	<u>(2,953,769)</u>	<u>-2.0%</u>	<u>145,867,143</u>	<u>(3,490,154)</u>	<u>-2.3%</u>	<u>149,357,297</u>	<u>8</u>
Current assets:								
Unrestricted and undesignated								
9 Cash and cash equivalents	88,027,425	18,579,922	21.1%	106,607,347	19,195,855	22.0%	87,411,492	9
Accounts receivable:								
10 Customers (net of allowance for doubtful accounts)	41,029,499	9,451,978	23.0%	50,481,477	8,476,331	20.2%	42,005,146	10
11 Taxes	4,123,123	(3,858,758)	-93.6%	264,365	(2,880,095)	-91.6%	3,144,460	11
12 Interest	1,557	-	0.0%	1,557	0	0.0%	1,557	12
13 Grants	23,328,862	(4,306,787)	-18.5%	19,022,074	(9,659,526)	-33.7%	28,681,600	13
14 Miscellaneous	2,024,069	(21,968)	-1.1%	2,002,101	(312,071)	-13.5%	2,314,172	14
15 Due from (to) other internal departments	254,461	(452,483)	-177.8%	(198,022)	(197,200)	23990.2%	(822)	15
16 Inventory of supplies	5,311,052	208,883	3.9%	5,519,934	448	0.0%	5,519,486	16
17 Prepaid expenses	858,087	(143,288)	-16.7%	714,799	(143,288)	-16.7%	858,087	17
18 Total unrestricted current assets	<u>164,958,134</u>	<u>19,457,498</u>	<u>11.8%</u>	<u>184,415,633</u>	<u>14,480,455</u>	<u>8.5%</u>	<u>169,935,178</u>	<u>18</u>
Other assets:								
19 Funds from/for customer deposits	13,242,433	650,446	4.9%	13,892,879	660,665	5.0%	13,232,214	19
20 Deposits	51,315	-	0.0%	51,315	-	0.0%	51,315	20
21 Total other assets	<u>13,293,748</u>	<u>650,446</u>	<u>4.9%</u>	<u>13,944,194</u>	<u>660,665</u>	<u>5.0%</u>	<u>13,283,529</u>	<u>21</u>
22 TOTAL ASSETS	<u>3,780,719,822</u>	<u>72,318,332</u>	<u>16.3%</u>	<u>3,853,038,154</u>	<u>47,142,164</u>	<u>12.2%</u>	<u>3,805,895,990</u>	<u>22</u>
Deferred outflows or resources:								
23 Deferred amounts related to net pension liability	16,276,899	(2,299,669)	-14.1%	13,977,230	(2,528,980)	-18.1%	16,506,210	23
24 Deferred amounts related to OPEB	24,816,033	45,599,277	183.7%	70,415,310	24,390,310	53.0%	46,025,000	24
25 Deferred loss on bond refunding	2,338,487	-	0.0%	2,338,487	(1)	0.0%	2,338,488	25
26 TOTAL DEFERRED OUTFLOWS OF RESOURCES	<u>43,431,420</u>	<u>43,299,607</u>	<u>99.7%</u>	<u>86,731,027</u>	<u>21,861,329</u>	<u>33.7%</u>	<u>64,869,698</u>	<u>26</u>
27 TOTAL ASSETS AND TOTAL DEFERRED OUTFLOWS	<u>3,824,151,242</u>	<u>115,617,939</u>	<u>116.0%</u>	<u>3,939,769,181</u>	<u>69,003,493</u>	<u>45.9%</u>	<u>3,870,765,688</u>	<u>27</u>

SEWERAGE AND WATER BOARD OF NEW ORLEANS
ALL SYSTEM FUNDS
STATEMENTS OF NET POSITION
WITH PRIOR YEAR COMPARISONS
Preliminary 12th period December 2021 Financials

	A	B	C	D	E	F	G	
	Prior Year	Variance	%	Current Year	Variance	%	Beginning of Year	
NET ASSETS AND LIABILITIES								
Net position								
1	2,824,154,816	963,808,328	34.1%	3,787,963,144	931,221,428	32.6%	2,856,741,716	1
2	44,633,780	(4,585,229)	-10.3%	40,048,550	(4,495,614)	-10.1%	44,544,164	2
3	(362,944,369)	(808,569,532)	222.8%	(1,171,513,901)	(842,835,932)	256.4%	(328,677,969)	3
4	<u>2,505,844,226</u>	<u>150,653,567</u>	<u>6.0%</u>	<u>2,656,497,793</u>	<u>83,889,882</u>	<u>3.3%</u>	<u>2,572,607,911</u>	4
Long-term liabilities								
5	43,209,163	81,693	0.2%	43,290,856	-	0.0%	43,290,856	5
6	9,224,089	722,404	7.8%	9,946,493	41,596	0.4%	9,904,897	6
7	103,315,149	(14,455,305)	-14.0%	88,859,844	(8,655,261)	-8.9%	97,515,105	7
8	187,712,286	82,031,409	43.7%	269,743,695	43,877,266	19.4%	225,866,429	8
9	491,609,883	2,066,809	0.4%	493,676,692	2,066,809	0.4%	491,609,883	9
10	-	-	0.0%	-	-	0.0%	-	10
11	286,849,222	(80,368,283)	-28.0%	206,480,939	(3,334,581)	-1.6%	209,815,520	11
12	37,265,290	-	0.0%	37,265,290	-	0.0%	37,265,290	12
13	13,242,433	650,446	4.9%	13,892,879	660,665	5.0%	13,232,214	13
14	<u>1,172,427,515</u>	<u>(9,921,273)</u>	<u>-0.8%</u>	<u>1,163,156,687</u>	<u>34,656,493</u>	<u>3.1%</u>	<u>1,128,500,194</u>	14
Current liabilities (payable from current assets)								
15	82,482,769	(49,399,775)	-59.9%	33,082,994	(61,546,980)	-65.0%	94,629,974	15
16	2,122,803	900,463	42.4%	3,023,266	996,257	49.1%	2,027,009	16
17	-	-	0.0%	-	-	0.0%	-	17
18	6,593,297	2,914,555	44.2%	9,507,851	3,279,616	52.7%	6,228,235	18
19	558,390	-	0.0%	558,390	(1)	0.0%	558,391	19
20	(548,201)	(0)	0.0%	(548,201)	(2,343,801)	-130.5%	1,795,600	20
21	2,882,675	1,432,563	49.7%	4,315,238	(0)	0.0%	4,315,238	21
22	7,515,819	(2,035,065)	-27.1%	5,480,754	(1,088,523)	-16.6%	6,569,277	22
23	2,773,797	-	0.0%	2,773,797	0	0.0%	2,773,797	23
24	4,731,374	-	0.0%	4,731,374	1	0.0%	4,731,373	24
25	1,298,677	434,299	33.4%	1,732,975	434,298	33.4%	1,298,677	25
26	<u>110,411,400</u>	<u>(45,752,961)</u>	<u>-41.4%</u>	<u>64,658,438</u>	<u>(60,269,133)</u>	<u>-76.9%</u>	<u>124,927,571</u>	26
Current liabilities (payable from restricted assets)								
27	2,618,169	-	0.0%	2,618,169	-	0.0%	2,618,169	27
28	17,453,000	-	0.0%	17,453,000	-	0.0%	17,453,000	28
29	86,441	(86,441)	-100.0%	-	(86,441)	-100.0%	86,441	29
30	<u>20,157,610</u>	<u>(86,441)</u>	<u>-0.4%</u>	<u>20,071,169</u>	<u>(86,441)</u>	<u>-0.4%</u>	<u>20,157,610</u>	30
31	<u>130,569,010</u>	<u>(45,839,402)</u>	<u>-35.1%</u>	<u>84,729,607</u>	<u>(60,355,574)</u>	<u>-41.6%</u>	<u>145,085,181</u>	31
32	<u>1,302,996,524</u>	<u>(45,839,402)</u>	<u>-3.5%</u>	<u>1,247,886,295</u>	<u>(25,699,080)</u>	<u>-2.0%</u>	<u>1,273,585,375</u>	32
Deferred inflows or resources:								
33	7,278,740	16,927,059	232.6%	24,205,799	9,129,122	60.6%	15,076,677	33
34	8,031,751	3,147,543	39.2%	11,179,294	1,683,569	17.7%	9,495,725	34
35	<u>15,310,491</u>	<u>16,927,059</u>	<u>110.6%</u>	<u>35,385,093</u>	<u>10,812,691</u>	<u>44.0%</u>	<u>24,572,402</u>	35
36	<u>3,824,151,242</u>	<u>104,814,164</u>	<u>2.7%</u>	<u>3,939,769,181</u>	<u>69,003,493</u>	<u>1.8%</u>	<u>3,870,765,688</u>	36

SEWERAGE AND WATER BOARD OF NEW ORLEANS
WATER SYSTEM FUND
STATEMENTS OF NET POSITION
WITH PRIOR YEAR AND BEGINNING OF YEAR COMPARISONS
Preliminary 12th period December 2021 Financials

Assets	A	B	C	D	E	F	G	
	Prior Year	Variance	%	Current Year	Variance	%	Beginning of Year	
Noncurrent assets:								
1 Property, plant and equipment	1,076,453,550	59,767,648	5.6%	1,136,221,198.00	55,369,846	5.1%	1,080,851,352	1
2 Less: accumulated depreciation	378,938,394	13,994,134	3.7%	392,932,528.00	15,039,987	4.0%	377,892,541	2
3 Property, plant, and equipment, net	<u>697,515,156</u>	<u>45,773,514</u>	<u>6.6%</u>	<u>743,288,670</u>	<u>40,329,859</u>	<u>5.7%</u>	<u>702,958,811</u>	<u>3</u>
Restricted cash, cash equivalents, and investments								
4 Cash and cash equivalents restricted for capital projects	13,274,887	(2,495,367)	-18.8%	10,779,520	(2,495,367)	-18.8%	13,274,887	4
5 Debt service	3,107,377	1,135,801	36.6%	4,243,178	(1,225,417)	-28.9%	3,017,761	5
6 Debt service reserve	13,473,550	(7,874,550)	-58.4%	5,599,000	7,874,550	140.6%	13,473,550	6
7 Health insurance reserve	638,113	250,400	39.2%	888,513	0	0.0%	888,513	7
8 Total restricted cash, cash equivalents, and investments	<u>30,493,927</u>	<u>(8,983,717)</u>	<u>-29.5%</u>	<u>21,510,210</u>	<u>(9,144,501)</u>	<u>-29.8%</u>	<u>30,654,711</u>	<u>8</u>
Current assets:								
Unrestricted and undesignated								
9 Cash and cash equivalents	20,976,382	1,657,357	7.9%	22,633,739	1,900,202	8.4%	20,733,537	9
Accounts receivable:								
10 Customers (net of allowance for doubtful accounts)	19,102,313	5,806,231	30.4%	24,908,544	5,395,506	21.7%	19,513,038	10
11 Taxes	-	-	0.0%	-	-	0.0%	-	11
12 Interest	-	-	0.0%	-	-	0.0%	-	12
13 Grants	19,729,756	(3,250,019)	-16.5%	16,479,737	(7,232,096)	-30.5%	23,711,833	13
14 Miscellaneous	675,273	(137,014)	-20.3%	538,258	(137,015)	-20.3%	675,273	14
15 Due from (to) other internal departments	(4,682,153)	2,505,267	-53.5%	(2,176,886)	2,646,840	-54.9%	(4,823,726)	15
16 Inventory of supplies	2,387,940	146,218	6.1%	2,534,158	449	0.0%	2,533,709	16
17 Prepaid expenses	399,825	(57,315)	-14.3%	342,510	(57,315)	-14.3%	399,825	17
18 Total unrestricted current assets	<u>58,589,336</u>	<u>6,670,725</u>	<u>11.4%</u>	<u>65,260,060</u>	<u>2,516,571</u>	<u>4.0%</u>	<u>62,743,489</u>	<u>18</u>
Other assets:								
19 Funds from/for customer deposits	13,242,433	650,446	4.9%	13,892,879	660,665	5.0%	13,232,214	19
20 Deposits	22,950	-	0.0%	22,950	-	0.0%	22,950	20
21 Total other assets	<u>13,265,383</u>	<u>650,446</u>	<u>4.9%</u>	<u>13,915,829</u>	<u>660,665</u>	<u>5.0%</u>	<u>13,255,164</u>	<u>21</u>
22 TOTAL ASSETS	<u>799,863,801</u>	<u>44,110,968</u>	<u>-6.6%</u>	<u>843,974,770</u>	<u>34,362,595</u>	<u>-15.1%</u>	<u>809,612,175</u>	<u>22</u>
Deferred outflows or resources:								
23 Deferred amounts related to net pension liability	5,425,633	(766,556)	-14.1%	4,659,077	(842,993)	-15.3%	5,502,070	23
24 Deferred amounts related to OPEB	8,272,011	15,199,759	183.7%	23,471,770	8,130,103	53.0%	15,341,667	23
25 Deferred loss on bond refunding	6,524	-	0.0%	6,524	(0)	0.0%	6,524	25
26 TOTAL DEFERRED OUTFLOWS OF RESOURCES	<u>13,704,168</u>	<u>14,433,203</u>	<u>105.3%</u>	<u>28,137,371</u>	<u>7,287,110</u>	<u>34.9%</u>	<u>20,850,261</u>	<u>26</u>
27 TOTAL ASSETS AND TOTAL DEFERRED OUTFLOWS	<u>813,567,969</u>	<u>58,544,171</u>	<u>98.7%</u>	<u>872,112,140</u>	<u>41,649,704</u>	<u>19.9%</u>	<u>830,462,436</u>	<u>27</u>

SEWERAGE AND WATER BOARD OF NEW ORLEANS
WATER SYSTEM FUND
STATEMENTS OF NET POSITION
WITH PRIOR YEAR COMPARISONS
Preliminary 12th period December 2021 Financials

	A	B	C	D	E	F	G	
	Prior Year	Variance	%	Current Year	Variance	%	Beginning of Year	
NET ASSETS AND LIABILITIES								
Net position								
1	517,834,735	32,149,011	6.2%	549,983,746	38,407,577	7.5%	511,576,169	1
2	16,580,927	(6,738,749)	-40.6%	9,842,178	(6,649,133)	-40.3%	16,491,311	2
3	(107,837,932)	26,860,954	-24.9%	(80,976,978)	25,982,842	-24.3%	(106,959,820)	3
4	<u>426,577,730</u>	<u>52,271,215</u>	<u>12.3%</u>	<u>478,848,945</u>	<u>57,741,285</u>	<u>13.7%</u>	<u>421,107,660</u>	4
Long-term liabilities								
5	3,879,578	170,731	4.4%	4,050,309	-	0.0%	4,050,309	5
6	3,728,018	284,850	7.6%	4,012,868	17,120	0.4%	3,995,748	6
7	34,438,383	(4,818,435)	-14.0%	29,619,948	(2,885,087)	-8.9%	32,505,035	7
8	62,570,762	27,343,804	43.7%	89,914,566	14,625,756	19.4%	75,288,810	8
9	200,652,530	-	0.0%	200,652,530	-	0.0%	200,652,530	9
10	-	-	0.0%	-	-	0.0%	-	10
11	-	-	0.0%	-	-	0.0%	-	11
12	-	-	0.0%	-	-	0.0%	-	12
13	13,242,433	650,446	4.9%	13,892,879	660,665	5.0%	13,232,214	13
14	<u>318,511,704</u>	<u>22,980,950</u>	<u>7.2%</u>	<u>342,143,100</u>	<u>12,418,454</u>	<u>3.8%</u>	<u>329,724,646</u>	14
Current liabilities (payable from current assets)								
15	46,456,938	(28,207,848)	-60.7%	18,249,090	(35,373,382)	-66.0%	53,622,472	15
16	2,122,803	900,463	42.4%	3,023,266	996,257	49.1%	2,027,009	16
17	-	-	0.0%	-	-	0.0%	-	17
18	2,729,917	3,476,712	127.4%	6,206,628	3,430,665	123.6%	2,775,963	18
19	272,553	-	0.0%	272,553	(0)	0.0%	272,553	19
20	(400,947)	(164,958)	41.1%	(565,905)	(1,028,890)	-222.2%	462,985	20
21	1,089,171	400,088	36.7%	1,489,259	(0)	0.0%	1,489,259	21
22	2,505,273	(678,355)	-27.1%	1,826,918	(362,841)	-16.6%	2,189,759	22
23	-	-	0.0%	-	-	0.0%	-	23
24	2,755,068	-	0.0%	2,755,068	0	0.0%	2,755,068	24
25	971,135	303,199	31.2%	1,274,335	303,200	31.2%	971,135	25
26	<u>58,501,911</u>	<u>(23,970,700)</u>	<u>-41.0%</u>	<u>34,531,211</u>	<u>(32,034,992)</u>	<u>-48.1%</u>	<u>66,566,203</u>	26
Current liabilities (payable from restricted assets)								
27	788,854	-	0.0%	788,854	-	0.0%	788,854	27
28	4,005,000	-	0.0%	4,005,000	-	0.0%	4,005,000	28
29	79,273	(79,273)	-100.0%	-	(79,273)	-100.0%	79,273	29
30	<u>4,873,127</u>	<u>(79,273)</u>	<u>-1.6%</u>	<u>4,793,854</u>	<u>(79,273)</u>	<u>-1.6%</u>	<u>4,873,127</u>	30
31	<u>63,375,038</u>	<u>(24,049,973)</u>	<u>-37.9%</u>	<u>39,325,065</u>	<u>(32,114,265)</u>	<u>-45.0%</u>	<u>71,439,330</u>	31
32	<u>381,886,742</u>	<u>(1,069,024)</u>	<u>-0.3%</u>	<u>381,468,165</u>	<u>(19,695,811)</u>	<u>-4.9%</u>	<u>401,163,976</u>	32
Deferred inflows or resources:								
33	2,426,247	5,642,353	232.6%	8,068,600	1,868,773	37.2%	5,025,559	33
34	2,677,250	1,049,181	39.2%	3,726,431	4,015,877	126.9%	3,165,241	34
35	<u>5,103,497</u>	<u>6,691,534</u>	<u>131.1%</u>	<u>11,795,030</u>	<u>5,884,650</u>	<u>71.8%</u>	<u>8,190,800</u>	35
36	<u>813,567,969</u>	<u>51,202,192</u>	<u>6.3%</u>	<u>872,112,140</u>	<u>41,649,704</u>	<u>5.0%</u>	<u>830,462,436</u>	36

SEWERAGE AND WATER BOARD OF NEW ORLEANS
SEWER SYSTEM FUND
STATEMENTS OF NET POSITION
WITH PRIOR YEAR AND BEGINNING OF YEAR COMPARISONS
Preliminary 12th period December 2021 Financials

Assets	A	B	C	D	E	F	G	
	Prior Year	Variance	%	Current Year	Variance	%	Beginning of Year	
Noncurrent assets:								
1 Property, plant and equipment	1,472,060,466	39,857,889	2.7%	1,511,918,355	36,214,272	2.5%	1,475,704,083	1
2 Less: accumulated depreciation	360,400,004	23,035,773	6.4%	383,435,777	30,246,694	8.6%	353,189,083	2
3 Property, plant, and equipment, net	<u>1,111,660,462</u>	<u>16,822,116</u>	<u>1.5%</u>	<u>1,128,482,578</u>	<u>5,967,578</u>	<u>0.5%</u>	<u>1,122,515,000</u>	<u>3</u>
Restricted cash, cash equivalents, and investments								
4 Cash and cash equivalents restricted for capital projects	84,795,172	7,464,854	8.8%	92,260,026	7,464,854	8.8%	84,795,172	4
5 Debt service	8,083,443	12,988,017	160.7%	21,071,459	12,988,016	160.7%	8,083,443	5
6 Debt service reserve	19,969,410	(12,873,597)	-64.5%	7,095,813	(12,873,597)	-64.5%	19,969,410	6
7 Health insurance reserve	603,855	250,400	41.5%	854,255	0	0.0%	854,255	7
8 Total restricted cash, cash equivalents, and investments	<u>113,451,880</u>	<u>7,829,674</u>	<u>6.9%</u>	<u>121,281,554</u>	<u>7,579,274</u>	<u>6.7%</u>	<u>113,702,280</u>	<u>8</u>
Current assets:								
Unrestricted and undesignated								
9 Cash and cash equivalents	46,053,276	8,651,656	18.8%	54,704,933	8,899,172	19.4%	45,805,761	9
Accounts receivable:								
10 Customers (net of allowance for doubtful accounts)	21,927,186	3,650,226	16.6%	25,577,412	3,085,304	13.7%	22,492,108	10
11 Taxes	-	-	0.0%	-	-	0.0%	-	11
12 Interest	1,557	-	0.0%	1,557	0	0.0%	1,557	12
13 Grants	445,160	515,154	115.7%	960,314	(241,152)	-20.1%	1,201,466	13
14 Miscellaneous	650,187	(323,085)	-49.7%	327,101	(323,086)	-49.7%	650,187	14
15 Due from (to) other internal departments	17,636,923	(10,397,908)	-59.0%	7,239,016	(10,236,475)	-58.6%	17,475,491	15
16 Inventory of supplies	1,747,030	41,777	2.4%	1,788,806	0	0.0%	1,788,806	16
17 Prepaid expenses	245,244	(57,315)	-23.4%	187,929	(57,315)	-23.4%	245,244	17
18 Total unrestricted current assets	<u>88,706,563</u>	<u>2,080,505</u>	<u>2.3%</u>	<u>90,787,067</u>	<u>1,126,447</u>	<u>1.3%</u>	<u>89,660,620</u>	<u>18</u>
Other assets:								
19 Funds from/for customer deposits	-	-	0.0%	-	-	0.0%	-	19
20 Deposits	17,965	-	0.0%	17,965	-	0.0%	17,965	20
21 Total other assets	<u>17,965</u>	<u>-</u>	<u>0.0%</u>	<u>17,965</u>	<u>-</u>	<u>0.0%</u>	<u>17,965</u>	<u>21</u>
22 TOTAL ASSETS	<u>1,313,836,870</u>	<u>26,732,295</u>	<u>10.8%</u>	<u>1,340,569,164</u>	<u>14,673,299</u>	<u>8.5%</u>	<u>1,325,895,865</u>	<u>22</u>
Deferred outflows or resources:								
23 Deferred amounts related to net pension liability	5,425,633	(766,556)	-14.1%	4,659,077	(842,993)	-18.1%	5,502,070	23
24 Deferred amounts related to OPEB	8,272,010	15,199,759	183.7%	23,471,769	8,130,103	53.0%	15,341,666	24
25 Deferred loss on bond refunding	2,268,021	-	0.0%	2,268,021	0	0.0%	2,268,021	25
26 TOTAL DEFERRED OUTFLOWS OF RESOURCES	<u>15,965,664</u>	<u>14,433,203</u>	<u>90.4%</u>	<u>30,398,867</u>	<u>7,287,110</u>	<u>31.5%</u>	<u>23,111,757</u>	<u>26</u>
27 TOTAL ASSETS AND TOTAL DEFERRED OUTFLOWS	<u>1,329,802,534</u>	<u>41,165,498</u>	<u>101.2%</u>	<u>1,370,968,031</u>	<u>21,960,409</u>	<u>40.0%</u>	<u>1,349,007,622</u>	<u>27</u>

SEWERAGE AND WATER BOARD OF NEW ORLEANS
SEWER SYSTEM FUND
STATEMENTS OF NET POSITION
WITH PRIOR YEAR COMPARISONS
Preliminary 12th period December 2021 Financials

	A	B	C	D	E	F	G	
NET ASSETS AND LIABILITIES	Prior Year	Variance	%	Current Year	Variance	%	Beginning of Year	
Net position								
1 Net investments in capital assets	921,856,475	(2,282,626)	-0.2%	919,573,849	12,699,856	1.4%	906,873,993	1
2 Restricted for Debt Service	28,052,853	114,420	0.4%	28,167,273	114,420	0.4%	28,052,853	2
3 Unrestricted	(101,452,420)	23,052,680	-22.7%	(78,399,740)	8,829,864	-10.1%	(87,229,604)	3
4 Total net position	848,456,908	20,884,474	2.5%	869,341,382	21,644,140	2.6%	847,697,242	4
Long-term liabilities								
5 Claims payable	4,937,099	235,231	4.8%	5,172,330	-	0.0%	5,172,330	5
6 Accrued vacation and sick pay	3,518,524	322,318	9.2%	3,840,842	17,250	0.5%	3,823,592	6
7 Net pension obligation	34,438,383	(4,818,435)	-14.0%	29,619,948	(2,885,087)	-8.9%	32,505,035	7
8 Other postretirement benefits liability	62,570,761	27,343,803	43.7%	89,914,564	14,625,755	19.4%	75,288,809	8
9 Bonds payable (net of current maturities)	288,943,178	2,066,809	0.7%	291,009,987	2,066,809	0.7%	288,943,178	9
10 Special Community Disaster Loan Payable	-	-	0.0%	-	-	0.0%	-	10
11 Southeast Louisiana Project liability	-	-	0.0%	-	-	0.0%	-	11
12 Debt Service Assistance Fund Loan payable	37,265,290	-	0.0%	37,265,290	-	0.0%	37,265,290	12
13 Customer deposits	-	-	0.0%	-	-	0.0%	-	13
14 Total long-term liabilities	431,673,235	25,149,726	5.8%	456,822,961	13,824,727	3.1%	442,998,234	14
Current liabilities (payable from current assets)								
15 Accounts payable and other liabilities	20,852,825	(11,587,380)	-55.6%	9,265,445	(16,189,116)	-63.6%	25,454,561	15
16 Due to City of New Orleans	-	-	0.0%	-	-	0.0%	-	16
17 Disaster Reimbursement Revolving Loan	-	-	0.0%	-	-	0.0%	-	17
18 Retainers and estimates payable	1,786,754	342,345	19.2%	2,129,098	337,634	18.8%	1,791,464	18
19 Due to other fund	129,513	-	0.0%	129,513	0	0.0%	129,513	19
20 Accrued salaries	(146,988)	(158,365)	107.7%	(305,353)	(1,022,297)	-142.6%	716,944	20
21 Claims payable	1,057,413	397,588	37.6%	1,455,001	0	0.0%	1,455,001	21
22 Other postretirement benefits liability	2,505,273	(678,355)	-27.1%	1,826,918	(362,841)	-16.6%	2,189,759	22
23 Debt Service Assistance Fund Loan payable	2,773,797	-	0.0%	2,773,797	0	0.0%	2,773,797	23
24 Advances from federal government	1,976,305	-	0.0%	1,976,305	0	0.0%	1,976,305	24
25 Other Liabilities	311,526	131,099	42.1%	442,625	131,099	42.1%	311,526	25
26 Total current liabilities (payable from current assets)	31,246,419	(11,553,068)	-37.0%	19,693,350	(17,105,520)	-46.5%	36,798,870	26
Current liabilities (payable from restricted assets)								
27 Accrued interest	1,822,307	-	0.0%	1,822,307	-	0.0%	1,822,307	27
28 Bonds payable	11,493,000	-	0.0%	11,493,000	-	0.0%	11,493,000	28
29 Retainers and estimates payable	7,168	(7,168)	-100.0%	-	7,168	100.0%	7,168	29
30 Total current liabilities (payable from restricted assets)	13,322,475	(7,168)	-0.1%	13,315,307	(7,168)	-0.1%	13,322,475	30
31 TOTAL CURRENT LIABILITIES	44,568,894	(11,560,236)	-25.9%	33,008,657	(17,112,688)	-34.1%	50,121,345	31
32 TOTAL LIABILITIES	476,242,129	13,589,489	2.9%	489,831,618	(3,287,961)	-0.7%	493,119,579	32
Deferred inflows or resources:								
33 Amounts related to net pension liability	2,426,247	5,642,353	232.6%	8,068,600	3,043,041	60.6%	5,025,559	33
34 Amounts related to total OPEB liability	2,677,250	1,049,182	39.2%	3,726,432	561,190	17.7%	3,165,242	34
35 TOTAL DEFERRED INFLOWS OF RESOURCES	5,103,497	6,691,535	131.1%	11,795,031	3,604,230	44.0%	8,190,801	35
36 Total Net Position, Liabilities and Deferred Inflows of Resources	1,329,802,534	34,473,963	2.6%	1,370,968,032	21,960,410	1.6%	1,349,007,622	36

SEWERAGE AND WATER BOARD OF NEW ORLEANS
DRAINAGE SYSTEM FUND
STATEMENTS OF NET POSITION
WITH PRIOR YEAR AND BEGINNING OF YEAR COMPARISONS
Preliminary 12th period December 2021 Financials

Assets	A	B	C	D	E	F	G	
	Prior Year	Variance	%	Current Year	Variance	%	Beginning of Year	
Noncurrent assets:								
1 Property, plant and equipment	2,066,201,513	16,003,635	0.8%	2,082,205,148	17,921,474	0.9%	2,064,283,674	1
2 Less: accumulated depreciation	421,730,103	23,435,109	5.6%	445,165,212	28,727,713	6.9%	416,437,499	2
3 Property, plant, and equipment, net	<u>1,644,471,410</u>	<u>(7,431,474)</u>	<u>-0.5%</u>	<u>1,637,039,936</u>	<u>(10,806,239)</u>	<u>-0.7%</u>	<u>1,647,846,175</u>	3
Restricted cash, cash equivalents, and investments								
4 Cash and cash equivalents restricted for capital projects	4,230,074	(3,964,027)	0.0%	266,047	(3,964,027)	-93.7%	4,230,074	4
5 Debt service	-	2,039,100	0.0%	2,039,100	2,039,100	0.0%	-	5
6 Debt service reserve	-	-	0.0%	-	-	0.0%	-	6
7 Health insurance reserve	645,032	125,200	19.4%	770,232	(0)	0.0%	770,232	7
8 Total restricted cash, cash equivalents, and investments	<u>4,875,106</u>	<u>(1,799,727)</u>	<u>-36.9%</u>	<u>3,075,379</u>	<u>(1,924,927)</u>	<u>-38.5%</u>	<u>5,000,306</u>	8
Current assets:								
Unrestricted and undesignated								
9 Cash and cash equivalents	20,997,767	8,270,909	39.4%	29,268,676	8,396,482	40.2%	20,872,194	9
Accounts receivable:								
10 Customers (net of allowance for doubtful accounts)	0	(4,479)	-44791200.0%	(4,479)	(4,479)	0.0%	-	10
11 Taxes	4,123,123	(3,858,758)	-93.6%	264,365	(2,880,095)	-91.6%	3,144,460	11
12 Interest	-	-	0.0%	-	-	0.0%	-	12
13 Grants	3,153,946	(1,571,923)	-49.8%	1,582,023	(2,186,278)	-58.0%	3,768,301	13
14 Miscellaneous	698,609	438,132	62.7%	1,136,741	148,029	15.0%	988,712	14
15 Due from (to) other internal departments	(12,700,309)	7,440,158	-58.6%	(5,260,152)	7,392,435	-58.4%	(12,652,587)	15
16 Inventory of supplies	1,176,082	20,888	1.8%	1,196,971	(0)	0.0%	1,196,971	16
17 Prepaid expenses	213,018	(28,658)	-13.5%	184,360	(28,658)	-13.5%	213,018	17
18 Total unrestricted current assets	<u>17,662,236</u>	<u>10,706,269</u>	<u>60.6%</u>	<u>28,368,505</u>	<u>10,837,436</u>	<u>61.8%</u>	<u>17,531,069</u>	18
Other assets:								
19 Funds from/for customer deposits	-	-	0.0%	-	-	0.0%	-	19
20 Deposits	10,400	-	0.0%	10,400	-	0.0%	10,400	20
21 Total other assets	<u>10,400</u>	<u>-</u>	<u>0.0%</u>	<u>10,400</u>	<u>-</u>	<u>0.0%</u>	<u>10,400</u>	21
22 TOTAL ASSETS	<u>1,667,019,151</u>	<u>1,475,069</u>	<u>23.2%</u>	<u>1,668,494,220</u>	<u>(1,893,730)</u>	<u>22.7%</u>	<u>1,670,387,950</u>	22
Deferred outflows or resources:								
23 Deferred amounts related to net pension liability	5,425,633	(766,556)	-14.1%	4,659,077	(842,993)	-15.3%	5,502,070	23
24 Deferred amounts related to OPEB	8,272,012	15,199,758	183.7%	23,471,770	8,130,103	53.0%	15,341,667	24
25 Deferred loss on bond refunding	63,943	-	0.0%	63,943	(0)	0.0%	63,943	25
26 TOTAL DEFERRED OUTFLOWS OF RESOURCES	<u>13,761,588</u>	<u>14,433,202</u>	<u>104.9%</u>	<u>28,194,790</u>	<u>7,287,110</u>	<u>34.9%</u>	<u>20,907,680</u>	26
27 TOTAL ASSETS AND TOTAL DEFERRED OUTFLOWS	<u>1,680,780,739</u>	<u>15,908,270</u>	<u>128.1%</u>	<u>1,696,689,009</u>	<u>5,393,379</u>	<u>57.5%</u>	<u>1,691,295,630</u>	27

SEWERAGE AND WATER BOARD OF NEW ORLEANS
DRAINAGE SYSTEM FUND
STATEMENTS OF NET POSITION
WITH PRIOR YEAR COMPARISONS
Preliminary 12th period December 2021 Financials

	A	B	C	D	E	F	G	
	Prior Year	Variance	%	Current Year	Variance	%	Beginning of Year	
NET ASSETS AND LIABILITIES								
Net position								
1 Net investments in capital assets	1,384,463,606	933,941,943	67.5%	2,318,405,549	880,113,995	61.2%	1,438,291,554	1
2 Restricted for Debt Service	-	2,039,100	0.0%	2,039,100	2,039,100	0.0%	-	2
3 Unrestricted	(153,654,017)	(858,483,166)	558.7%	(1,012,137,183)	(877,648,638)	652.6%	(134,488,545)	3
4 Total net position	1,230,809,589	77,497,877	6.3%	1,308,307,466	4,504,457	0.3%	1,303,803,009	4
Long-term liabilities								
5 Claims payable	34,392,486	(324,269)	-0.9%	34,068,217	-	0.0%	34,068,217	5
6 Accrued vacation and sick pay	1,977,547	115,236	5.8%	2,092,783	7,226	0.3%	2,085,557	6
7 Net pension obligation	34,438,383	(4,818,435)	-14.0%	29,619,948	(2,885,087)	-8.9%	32,505,035	7
8 Other postretirement benefits liability	62,570,762	27,343,803	43.7%	89,914,565	14,625,755	19.4%	75,288,810	8
9 Bonds payable (net of current maturities)	2,014,175	-	0.0%	2,014,175	-	0.0%	2,014,175	9
10 Special Community Disaster Loan Payable	-	-	0.0%	-	-	0.0%	-	10
11 Southeast Louisiana Project liability	286,849,222	(80,368,283)	-28.0%	206,480,939	(3,334,581)	-1.6%	209,815,520	11
12 Debt Service Assistance Fund Loan payable	-	-	0.0%	-	-	0.0%	-	12
13 Customer deposits	-	-	0.0%	-	-	0.0%	-	13
14 Total long-term liabilities	422,242,575	(58,051,949)	-13.7%	364,190,627	8,413,313	2.4%	355,777,314	14
Current liabilities (payable from current assets)								
15 Accounts payable and other liabilities	15,173,006	(9,604,547)	-63.3%	5,568,459	(9,984,482)	-64.2%	15,552,941	15
16 Due to City of New Orleans	-	-	0.0%	-	-	0.0%	-	16
17 Disaster Reimbursement Revolving Loan	-	-	0.0%	-	-	0.0%	-	17
18 Retainers and estimates payable	2,076,626	(904,502)	-43.6%	1,172,125	(488,683)	-29.4%	1,660,808	18
19 Due to other fund	156,325	-	0.0%	156,325	(0)	0.0%	156,325	19
20 Accrued salaries	(266)	323,324	-121605.1%	323,058	(292,613)	-47.5%	615,671	20
21 Claims payable	736,090	634,888	86.3%	1,370,978	(0)	0.0%	1,370,978	21
22 Total OPEB liability, due within one year	2,505,273	(678,355)	-27.1%	1,826,918	(362,841)	-16.6%	2,189,759	22
23 Debt Service Assistance Fund Loan payable	-	-	0.0%	-	-	0.0%	-	23
24 Advances from federal government	-	-	0.0%	-	-	0.0%	-	24
25 Other Liabilities	16,016	-	0.0%	16,016	(0)	0.0%	16,016	25
26 Total current liabilities (payable from current assets)	20,663,070	(10,229,193)	-49.5%	10,433,877	(11,128,621)	-51.6%	21,562,498	26
Current liabilities (payable from restricted assets)								
27 Accrued interest	7,008	-	0.0%	7,008	-	0.0%	7,008	27
28 Bonds payable	1,955,000	-	0.0%	1,955,000	-	0.0%	1,955,000	28
29 Retainers and estimates payable	-	-	0.0%	-	-	0.0%	-	29
30 Total current liabilities (payable from restricted assets)	1,962,008	-	0.0%	1,962,008	-	0.0%	1,962,008	30
31 TOTAL CURRENT LIABILITIES	22,625,078	(10,229,193)	-45.2%	12,395,885	(11,128,621)	-47.3%	23,524,506	31
32 TOTAL LIABILITIES	444,867,653	(68,281,141)	-15.3%	376,586,512	(2,715,308)	-0.7%	379,301,820	32
Deferred inflows or resources:								
33 Amounts related to net pension liability	2,426,247	5,642,353	232.6%	8,068,600	3,043,041	60.6%	5,025,559	33
34 Amounts related to total OPEB liability	2,677,251	-	0.0%	3,726,432	-	0.0%	3,165,242	34
35 TOTAL DEFERRED INFLOWS OF RESOURCES	5,103,498	6,691,534	131.1%	11,795,031	3,604,230	44.0%	8,190,801	35
36 Total Net Position, Liabilities and Deferred Inflows of Resources	1,680,780,739	9,216,736	0.5%	1,696,689,009	5,393,379	0.3%	1,691,295,630	36

**OFFICE OF GENERAL SUPERINTENDENT
SEWERAGE AND WATER BOARD OF NEW ORLEANS**

February 16, 2022

To the Honorable President and members of the Sewerage and Water Board of New Orleans:

The following report for the month of January presented herewith:

Contract 1368 – M.R. Pittman Group, LLC – Hazard Mitigation Grant Project. Oak Street Pumping Station. This work is 100% complete. (CP Item 112).

Contract 1370A – Walter J. Barnes Electric Co., Inc. – 60Hz Transformer/Switchgear. This work is approximately 96% complete. (CP Item 676-05).

Contract 1377 – M.R. Pittman Group, LLC – Water Hammer Hazard Mitigation Program. Claiborne Avenue Pump Station & Off-Site Improvements. This work is approximately 52% complete. (CP Item 175).

Contract 1403 – Cycle Construction, LLC – Demolition of abandoned C7/C8 Basins & Other Site Improvements at the Carrollton Water Plant. This work is approximately 95% complete. (CP Item 610-02).

Contract 1413 – M.R. Pittman Group, LLC – Turbine 6 Air Inlet Heater. This work is approximately 99% complete. (CP Item 175).

Contract 180034 – Tree Remediation Services. This work is approximately 23% complete. (O & M Item).

Contract 2129 – Wallace C. Drennan, Inc. – Water Point Repair. This work is approximately 96% complete. (CP Item 214).

Contract 2130 – Wallace C. Drennan, Inc. – Water Point Repair. This work is approximately 96% complete. (CP Item 175).

Contract 2145 – Boh Bros. Construction Co., LLC – Water Point Repair. This work is approximately 35% complete. (O & M Item).

Contract 3799 – Industrial & Mechanical Contractors, Inc. – Installation of Sludge Dryer at the East Bank Wastewater Treatment Plant. This work is approximately 99% complete. (CP Item 348).

Contract 30109 – Cycle Construction – Sewer Pumping Station A Structural Rehabilitation. This work is 90% complete. (CP Item 326).

Contract 30213 – Grady Crawford – South Shore Sewer Rehabilitation No. 1. This work is 100% complete. (CP Item 317).

Contract 30214 – Grady Crawford – South Shore Sewer Rehabilitation No. 2. This work is 100% complete. (CP Item 317).

Contract 30217 – CES – Cleaning & CCTV Inspection. This work is approximately 64% complete. (CP Item 375).

Contract 30218 – Wallace C. Drennan, Inc. – Sewer Point Repair. This work is approximately 69% complete. (O & M Item).

Contract 30219 – Wallace C. Drennan, Inc. – Manhole to Manhole & Sewer Point Repair. This work is approximately 70% complete. (CP Item 175).

Contract 30222 – Grady Crawford – South Shore Sewer Rehabilitation No. 3. This work is 100% complete. (CP Item 317).

Contract 30223 – BLD Services – South Shore Sewer Rehabilitation No. 4. This work is 100% complete. (CP Item 317).

Contract 30224 – BLD Services – South Shore Sewer Rehabilitation No. 5. This work is 100% complete. (CP Item 317).

Contract 5224 – Industrial & Mechanical Contractors, Inc. – Hurricane Katrina Related Repairs to New Carrollton Hospital, Marconi, Paris & Press Drainage Underpass Stations. This work is approximately 99% complete. (CP Item 511).

Contract 5243 – Industrial & Mechanical Contractors, Inc. – Drainage Pump Station #4 Anti-Siphon Project. This work is 100% complete. (CP Item 535).

Contract 6266 – Baker Hughes Holdings, LLC. – Operating Controls System Upgrade for Turbine 5. This work is approximately 99% complete. (CP Item 676-14).

Contract 6267 – M.R. Pittman Group, LLC. – Turbine 5 Exhaust System Fabrication & Installation. This work is approximately 99% complete. (CP Item 676-14).

Contract 8145 – Wallace C. Drennan, Inc. – Repaving Zone 4. This work is approximately 96% complete. (O & M Item).

Contract 8162 – Fleming Construction Co., Inc. – Paving Zone 3. This work is approximately 14% complete. (O & M Item).

Contract 8163 – Fleming Construction Co., Inc. – Paving Zone 4. This work is approximately 24% complete. (O & M Item).

PLUMBING DEPARTMENT

Submitted herewith, you will find the monthly report for work performed by the Plumbing Department;

There were 219 Plumbing and 3 Backflow permits issued during the month of **January**. This department complied with 334 requests for Plumbing Inspections, which consists of inspections conducted with Licensed Plumbing Contractors, Property Owners, Tenants, Department of Health and Hospitals Sanitarians, and Environmental Inspectors.

For your information, the following numbers are of the permits issued and inspections conducted.

	<u>Nov</u>	<u>Dec</u>	<u>2022 Jan</u>	<u>2021 Jan</u>	<u>YTD 2022</u>
Plumbing Permits Issued	151	268	219	221	
Backflow Permits Issued	001	002	003	001	
	152	270	222	222	222
Inspections Conducted					
Water	144	278	247	131	
Special	000	007	003	000	
Final	061	101	079	078	
Totals	205	386	329	209	329

RAINFALL REPORT FOR JANUARY 2022

The rainfall for the month of **January** was **0.96"**, compared to the 129-year average of **4.66"** for the month of **January**. The cumulative rainfall through the First month of the year was **0.96"** compared to the 129-year average of **4.46"**.

AVERAGE DAILY PUMPAGE FOR THE MONTH OF JANUARY

New Orleans Side
Algiers Side

134.38 Million Gallons Per Day
9.86 Million Gallons Per Day

Respectfully submitted,



M. RON SPOONER
INTERIM GENERAL SUPERINTENDENT

MRS/zfg
GENSUP%2-16-22

**SEWERAGE AND WATER BOARD OF NEW ORLEANS
OFFICE OF SPECIAL COUNSEL**

February 16, 2022

**To the Honorable President and Members of the
Sewerage and Water Board of New Orleans:**

The following represents Legal Department activities during the month of **December 2021 and January 2022.**

CONTRACTS EXECUTED BEFORE SPECIAL COUNSEL:

December 2021

LARD OIL COMPANY – Amendment to Contract for Furnishing Gasoline and Diesel Fuel - \$1,308,852.76

BADGER METER, INC. – Contract for Furnishing Commercial Water Meters and Related Devices - \$264,702.12

A.Y. MCDONALD MANUFACTURING – Contract for Furnishing Brass Water Service Fittings - \$622,799.00

COBURN SUPPLY COMPANY – Contract for Furnishing Kennedy Gate Valves - \$601,750.00

PENCCO, INC. – Amendment No. 1 to Contract for Furnishing Fluorosilicic Acid - \$285,350.00

January 2022

CONTRACTS EXECUTED BEFORE SPECIAL COUNSEL:

CHENEY LINE COMPANY – Lime (Requisition #CM21-0072) – signed 01/14/2022 - \$822,255.00

CIMSCO, INC. – 4" and 5" Fire Hydrants with McGard Anti-Tampering Device) Contract #YW21-0062 – signed 01/04/2022 - \$1,297,020.00

**SimpleLegal – Practic Management System – signed 12/29/2022* - \$16,000.00
Furnishing Contract between SWBNO & SimpleLegal for a Practice Management System (\$16,000.00) signed 12/29/2022**

*NOTE: The fully executed contract was received from SimpleLegal and routed to everyone on January 4th; it was signed by SimpleLegal on December 29th.

CIVIL SUITS FILED AGAINST BOARD:

December 2021

New:

TIMOTHY J. SMITH V. SEWERAGE AND WATER BOARD OF NEW ORLEANS, No. 2021-05478, First City Court. In this case, plaintiff filed a Statement of Claim and Citation regarding a bill for a water leak at 3722 Constance Street.

PATRICIA CLAVERIE V. IAM TUCKER, INTEGRATED LOGISTICAL SUPPORT, INC. AND THE SEWERAGE AND WATER BOARD OF NEW ORLEANS, No. 2021-08443, Civil District Court. In this case, plaintiff sued seeking damages in the form of lost wages and legal expenses, emotional and mental distress under the theory of detrimental reliance alleging she resigned from her previous employer based on the promise of employment by the Sewerage and Water Board of New Orleans.

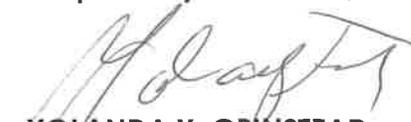
DELEAH ALEXANDER V. SEWERAGE AND WATER BOARD OF NEW ORLEANS, No. 2021-10008, Civil District Court. In this case, plaintiff sued seeking property damages and injuries when her vehicle was allegedly struck by a S&WB truck while she was driving westbound on Earhart Boulevard approaching the intersection of Joliet Street, on or about December 10, 2020.

MUBASHIR MAQBOOL P.E. MR. v. SEWERAGE AND WATER BOARD OF NEW ORLEANS, No. 2021-09144, Civil District Court. In this case plaintiff sued seeking an award of back pay, emotional distress-based damages, lost benefits, and other damages he allegedly suffered including legal costs and expenses he incurred by the S&WB, on or about October of 2018.

January 2022

New: None.

Respectfully submitted,



**YOLANDA Y. GRINSTEAD
SPECIAL COUNSEL**

YYG:sas

Sewerage & Water Board of New Orleans



Billing Dispute Report

February 7, 2022

Billing Dispute

- The Internal Audit Department conducted this review to provide an update on the status of (SWBNO) implementation of recommendations made in the OIG audit that was conducted in June of 2020. In addition, S&WB has a unique governance structure as it is created in state law as an independent entity of the City of New Orleans.
-
- The IA team requested that the Revenue Supervisor provide an implementation status update. Upon update, the IA team requested the following documentation:
 - Updated training documents are scheduled to be completed by July 2021
 - A copy of the Procurement Manual and Procedures
 - A copy of the scheduling checklist
 - Access to hearing related documents



Recommendation 1

- The S&WB should ensure its policies and procedure for bill adjustments comply with the Louisiana Constitution, are limited to those criteria specified by law and are applied consistently and transparently
- Implemented



Recommendation 2

- The S&WB should design and control the process for scheduling hearings and billing rehearing's to ensure hearings comply with the criteria set forth by the Louisiana Administrative Procurement Act
- Partially Implemented



Recommendation 3

- The S&WB should develop and implement a strategic plan for data management to help the agency identify needs related to data collection and control. Data retention and retrieval and processes to accurately and efficiently report and maintain aggregate data on administrative hearings and bill adjustments. The agency should also use the information to proactively monitor and evaluate their process, review adjustment policies, and perform continuous improvement. Administrative hearing program more efficient and develop a better data management, and then to be tested on the information towards the future report.
- Implemented



Conclusion

- From the documents provided recommendation 1 & 3 are determined to both be fully implemented while recommendation 2 is partially implemented.



Sewerage & Water Board of New Orleans



Re-Building the City's Water Systems for the 21st Century

Sewerage & Water Board of New Orleans



LLA Contracts Management Audit Follow-Up (SWBNO Procurement Department)

February 7, 2022

Table of Contents

- Background
- Audit Objectives
- Methodology
- Findings and analysis
- Conclusion



LLA Contracts Management Audit Follow-Up (SWBNO
Procurement Department)

BACKGROUND



Sewerage & Water Board of New Orleans

<http://swbno.org>

Background

- November 2018
 - Louisiana Legislative Auditors (LLA) conducted an audit on SWBNO Contracts Management via Procurement
 - Assurance to compliance with House Resolution 92
 - 14 findings and recommendations where accepted by SWBNO



LLA Contracts Management Audit Follow-Up (SWBNO
Procurement Department)

AUDIT OBJECTIVES



Sewerage & Water Board of New Orleans

<http://swbno.org>

Audit Objectives

- Determine the status of recommendations accepted by SWBNO in the LLA's Contracts Management audit.
- Provide a status update for all recommendations set forth by the LLA in the audit.



LLA Contracts Management Audit Follow-Up (SWBNO
Procurement Department)

METHODOLOGY



Sewerage & Water Board of New Orleans

<http://swbno.org>

Methodology

- Review the recommendations administered by the Louisiana Legislator Auditors
- Obtain a status update on recommendations via Procurement Department
 - Obtained required documents to verify recommendations have been implemented



LLA Contracts Management Audit Follow-Up (SWBNO
Procurement Department)

FINDINGS AND ANALYSIS



Sewerage & Water Board of New Orleans

<http://swbno.org>

Findings and Analysis

- As of April 2021
 - 9 of the 14 recommendations implemented
 - 1 recommendation partially implemented
 - 4 recommendations not implemented



LLA Contracts Management Audit Follow-Up (SWBNO
Procurement Department)

EXHIBIT 1



Sewerage & Water Board of New Orleans

<http://swbno.org>

Number	November 2018 Recommendation	April 2021 Status Update	Documents Reviewed
Recommendation 1	Develop, adopt, and implement final comprehensive written Policies and Procedures over: (1) Record keeping and reporting (2) Procurement (3) Monitoring (4) Change orders and amendments (5) Approving payments, including required personnel	Partially Implemented. The process-initiated Manual is in the review stage. Management and Board are adjusting the manual as needed. The estimated completion date is May of 2021.	A copy of the Procurement Manual Draft
Recommendation 2	Centrally track all contracts and total costs to identify trends and improve management decisions. In accordance with best practices, management should establish and maintain a master list of all contracts, including vendor information, start and end dates, cost of services, type of service to be received, and the employee responsible for monitoring the contract.	Not Implemented. Management has made no progress on creating a master list of contracts for tracking purposes. No automated process has been acquired. No progress has been made to create such a list nor implement a software with such capability.	Screenshot/report from the access database
Recommendation 3	Either comply with its specified bid law statutes (R.S. 33:4084 and 33: 4085) or seek AG opinion as to whether these two specific statutes have been tacitly repealed.	Implemented. IA will follow up with Legal to confirm that these bid law statutes. It is confirmed the Procurement department follows Title 38 as guidance for contracts	N/A
Recommendation 4	Expedite the implementation of a centralized contract management system.	Not Implemented. Procurement currently does not have a permanent Procurement Director in place. The former Procurement Director retired in December 2020. Before departure, no centralized management system was inquired for. The Procurement Department has dealt with issues of budget, leadership, and staffing.	N/A
Recommendation 5	Cease spending public funds on meals honoring employees and consult with legal counsel and/or Attorney General's Office about the legality of expenditures made for employee awards.	Implemented. IA confirmed that SWBNO no longer uses public funds on meals honoring employees.	N/A
Recommendation 6	Require all invoiced items and related amounts to be agreed to the approved contract, including change orders. Any differences noted should be immediately investigated and resolved, in writing.	Implemented with exceptions. Departments are responsible for confirming that all invoiced items have been received. Accounts Payable reviews the invoice to ensure that the agreed upon amount is being paid to the vendor. If a department reports a modification the modification is investigated and reviewed in writing through the Weekly Change order meetings.	Documentation from a 2020 contract that requires a change order
Recommendation 7	Verify that payment documentation is complete and includes all appropriate approvals before making payments.	Implemented with exceptions. Accounts Payable is responsible for this process. Procurement does not receive/review invoices before payment. This review process is completed by the Accounts Payable department. Per Accounts Payable all contracts are reviewed before making payment to vendors.	Copy of RFP with description: Licensed Professional Property Appraisal Services
Recommendation 8	Designate staff to search the federal government's web site for registration and exclusion records on all potential vendors to determine if they are in good standing.	Implemented. Procurement Department keeps a record of the Sam.gov status on all vendors accepted for contracts both digitally and physically.	Documentation for Sealed Bid
Recommendation 9	Designate staff to search the Louisiana Secretary of State's web site to identify the people registered as officials of potential vendors to evaluate possible conflicts of interest.	Implemented. Procurement Department keeps a record of the Louisiana Secretary of State registration on all accepted vendors for contracts both digitally and physically.	Documentation for Sealed Bid
Recommendation 10	Verify that change orders and amendments are properly approved, in writing, prior to the start of the work.	Implemented. Change order meetings are held on a weekly basis and changes orders have to be heard by the committee and approved prior to the start of work.	N/A
Recommendation 11	Prepare and maintain contract approval sheets for each professional services contract, as required by policy.	Implemented. Approval sheets are maintained within the file folder and hard copy.	Property/casualty insurance marketing and other ancillary services: opening date 5/21/2020
Recommendation 12	Prepare post contract evaluations, in writing, and maintain for each completed contract.	Not Implemented. Post contract evaluations are not the responsibility of the Procurement Department. The previous Procurement Director did not implement this following the audit.	N/A
Recommendation 13	Organize all contract related documentation, including monitoring and payment records, so that the documentation can be located timely and understood in the absence of the assigned contract manager. When there are multiple contracts with a single vendor, the documentation for each contract should be kept separate.	Not Implemented. Recommendation is incomplete. Procurement does not have a centralized software system to be able to monitor payments and the accuracy of payments.	N/A
Recommendation 14	Verify that the detailed cost analysis prepared to determine the reasonableness of change orders includes all documentation to support the analyses.	Implemented. The Construction Modification Review Committee (CMRC) was created to review change orders.	Copy of CMRC Agenda

Sewerage & Water Board of New Orleans



Re-Building the City's Water Systems for the 21st Century

JANUARY 2022

SWBNO SAFETY REPORT



Executive Summary

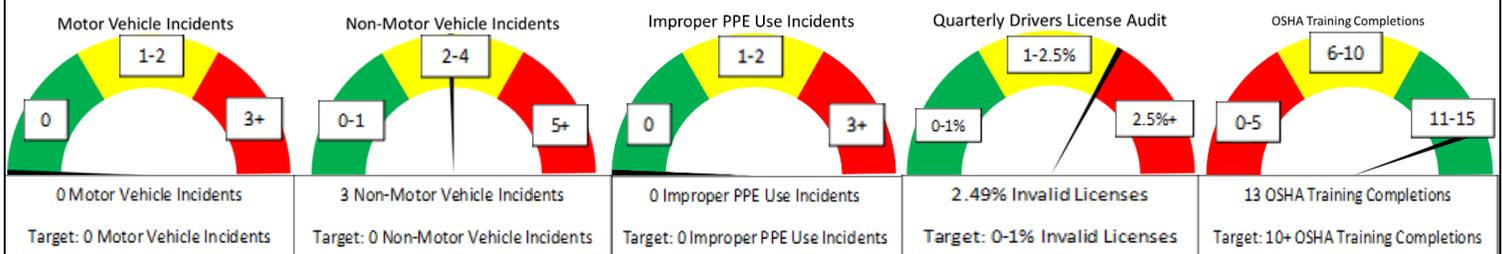
We are pleased to present the January 2022 Safety Report. The purpose of this report is to present data tracked by the Safety Department, Risk Management Office, Claims Office, Accident Review Board, and the Workers' Compensation Unit, and to provide a summary of actions taken to improve employee safety and reduce risks in our operations. The data collected by the departments are analyzed on a monthly basis for both leading and lagging indicators to identify emerging risks, measure the impact of previously implemented policies, and to pinpoint areas of operations where additional safety training would be most beneficial.

January's report includes updated OSHA 10 and OSHA 30 training completion numbers; in-person OSHA training resumed at the beginning of the year, and already OSHA completions for 2022 are at 40% of the total completions from 2021, and have surpassed 2020's total. OSHA training completions are up 130% for January 2022 alone over 2020's year-end total.

The first quarterly drivers' license audit of 2022 found that approximately 2.5% of all active SWB employees and contractors with driving privileges and active keys fobs had expired or suspended licenses. Upon discovering a suspended or expired license, Risk Management immediately notifies the affected employee or contractor and their supervisor that driving privileges are suspended, requests the return of the issued key fob, and deactivates the key fob until the license is renewed or reinstated. Risk Management will continue to audit drivers' licenses as well as CDL credentials to ensure that unlicensed individuals are not driving SWB vehicles.

Please note that this report is the product of a collaboration between the Safety and Risk Management departments, and is broken down into five sections:

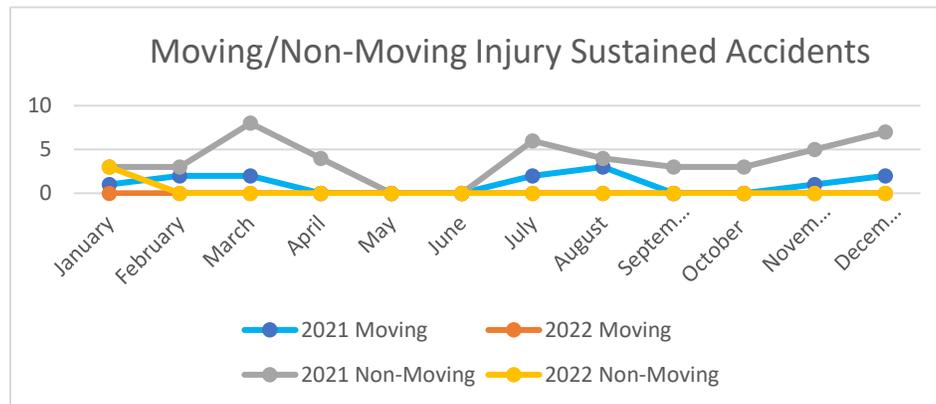
1. Safety
2. Accident Review Board
3. Workers' Compensation
4. Claims Office
5. Risk Management



Quick Look Dashboard

Safety

2022 Motor Vehicle & Non-Motor Vehicle Injury Sustained Incidents



During 2022, there were a total of zero (0) Employee Sustained Incidents caused by Motor Vehicle Incidents. A total of three (3) were Non-Motor Vehicle Incidents.

During 2021, there were a total of fourteen (14) Employee Sustained incidents caused by Motor Vehicle Incidents. A total of fifty-six (56) were Non-Motor Vehicle Incidents.

*Incident: any occurrence of an event in which workers' compensation is notified (minor or serious consequences)

*Motor Vehicle: Incident that involves motorized mobile machinery (vehicle, riding lawnmower, backhoe machine, etc.)

*Non-Motor Vehicle: Incident that does not involve motorized mobile machinery.

Departmental view of MVI and Non-MVIs reported in 2022

Department	MVI	Non-MVI	Total
Zone 5	0	1	1
Zone 6	0	1	1
Unmanned Drainage Stations	0	1	1
Total	0	3	3

Safety Training

The Safety Department has conducted the following safety training since last month:

Defensive Driving
Eye Protection

Safety Liaison Program

The Safety Liaison Program participants conducted the following tasks since last month:

Completed 3 Job Hazard Assessments
Attended a meeting to cover Safety Liaison duties and expectations

Safety Incident Investigation Report

Below is the Safety Incident Investigation tracking report for incidents that required a Safety Investigation. The report outlines the type of incident, date the investigation was closed, and recommended action to prevent the same type of accident from happening again.

Department	IMS Incident#	Date of Accident	Incident Type	Description	Recommended Action	Closed Date
Drainage Pump Station	10207	1/8/2022	Injury	Employee suffered a broken 5th meniscus in the left foot.	The recommendation to prevent this accident from occurring again, is to prepare by observing the area of your walking space and environment. The employee is responsible for implementing the corrective action.	1/20/2022
Zone 6	10205	1/3/2022	Injury	Employee injured right shoulder	Safety Dept. recommends prior to starting each job, a job hazard analysis (JHA) must be conducted in alerting the workers of the various risks, hazards, equipment, training, weather, etc. before they began their tasks.	1/21/2022

Please note the employee was admitted to Touro Infirmary as a result of incident #10207.

OSHA 10 and OSHA 30 Training

SWBNO Employee OSHA 10 Completions by Month of 2022-2021-2020

Year	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	Total
2022	12	-	-	-	-	-	-	-	-	-	-	-	12
2021	1	5	1	0	0	9	10	0	0	1	0	0	27
2020	0	0	0	0	0	0	0	0	4	1	1	2	8

SWBNO Employee OSHA 30 Completions by Month of 2022-2021-2020

Year	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	Total
2022	1	-	-	-	-	-	-	-	-	-	-	-	1
2021	1	0	0	0	1	0	0	0	1	2	0	0	5
2020	0	0	0	0	0	1	0	0	0	0	1	0	2



Accident Review Board

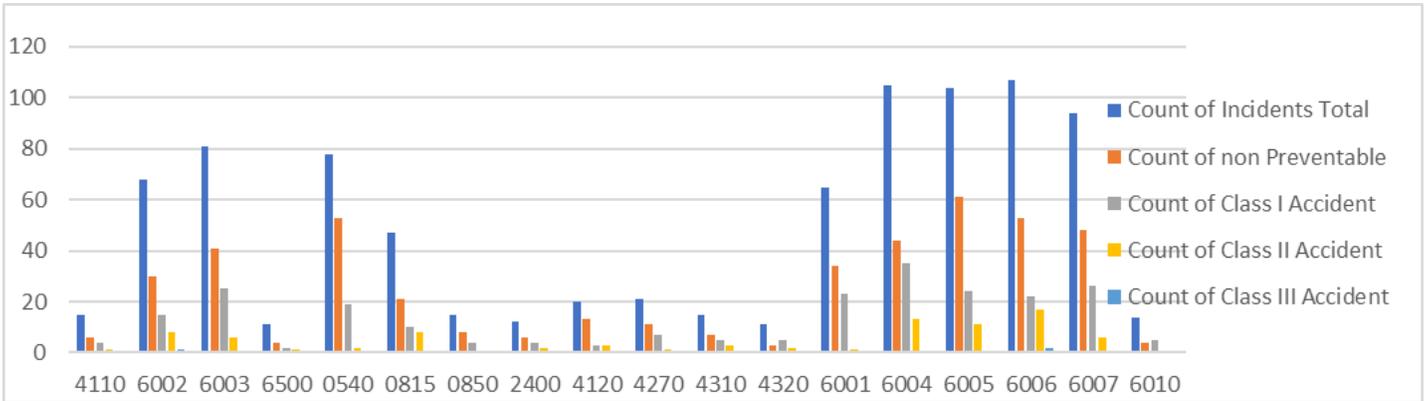
2007-2022

The Purpose and Mission of the Accident Review Board is to reduce the number of safety related incidents and injuries to Sewerage and Water Board equipment and staff. We strive to reduce incidents by recognizing areas that need improvement and create training opportunities to mitigate any future incidents.

Accident Review Board Data

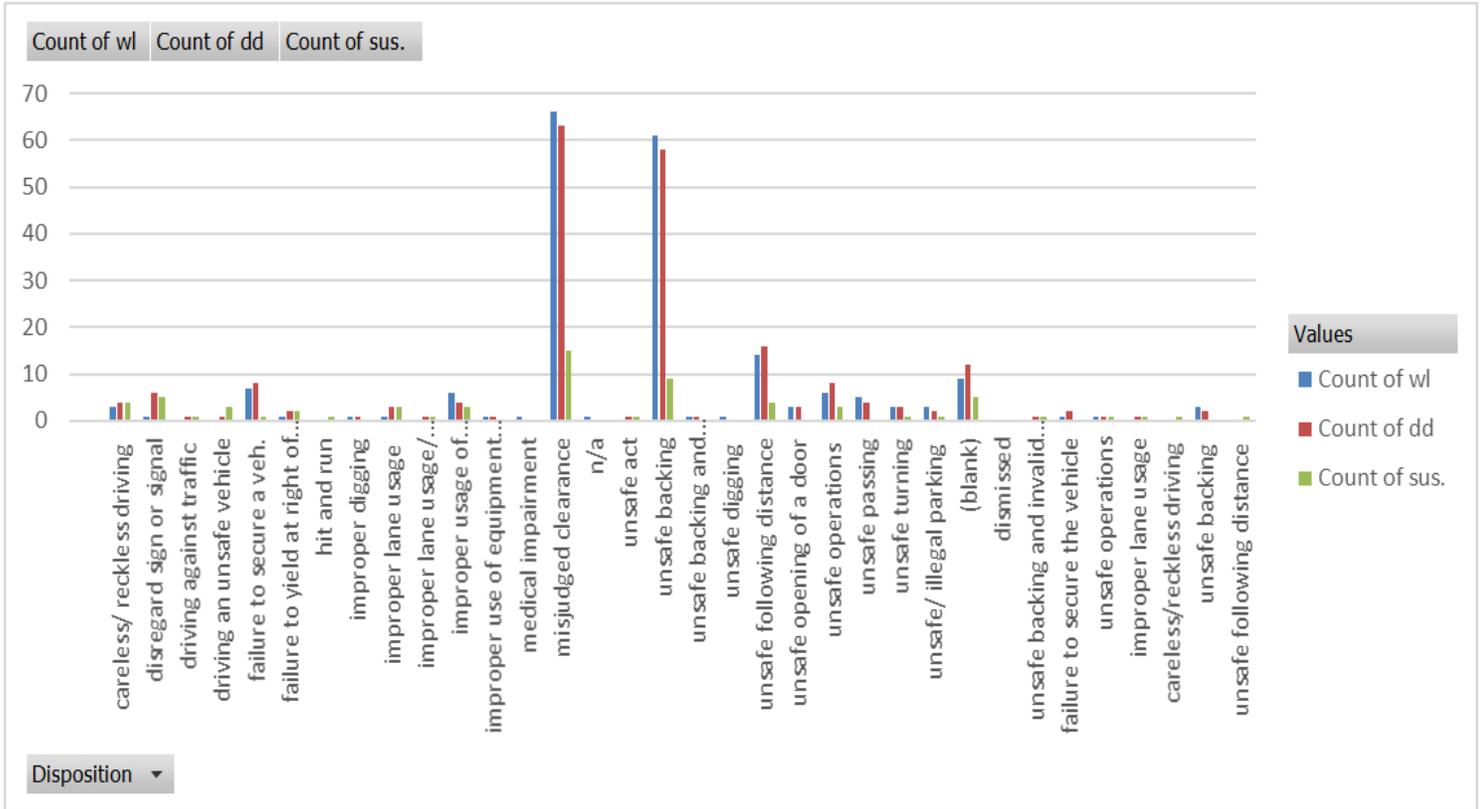
The above graph reflects the information collected in the Safety Unit, ARB folders with 10 or more accidents from 2007-2022 by Department Organization Codes. Please see the next page for ARB Classification Descriptions.

*2021 data still in review



- 6001-Networks Zone 1
- 6002-Networks Zone 2
- 6003-Networks Zone 3
- 6004-Networks Zone 4
- 6005-Networks Zone 5
- 6006-Networks Zone 6
- 6007-Networks Zone 7
- 6010-Field Service Center
- 6500-Technical Services
- 0540-Meter Reading
- 0815-Grounds Maintenance
- 0850-Warehouse & Grounds
- 4110-Outside Systems
- 4120-In Plant Systems
- 4270-Meter Repairs
- 4310-Carrolton

Accident Review Board Data



Disposition Legend

wl: warning letter

dd: defensive driving

sus.: suspension



ARB CLASSIFICATION DESCRIPTIONS

Offense Classifications

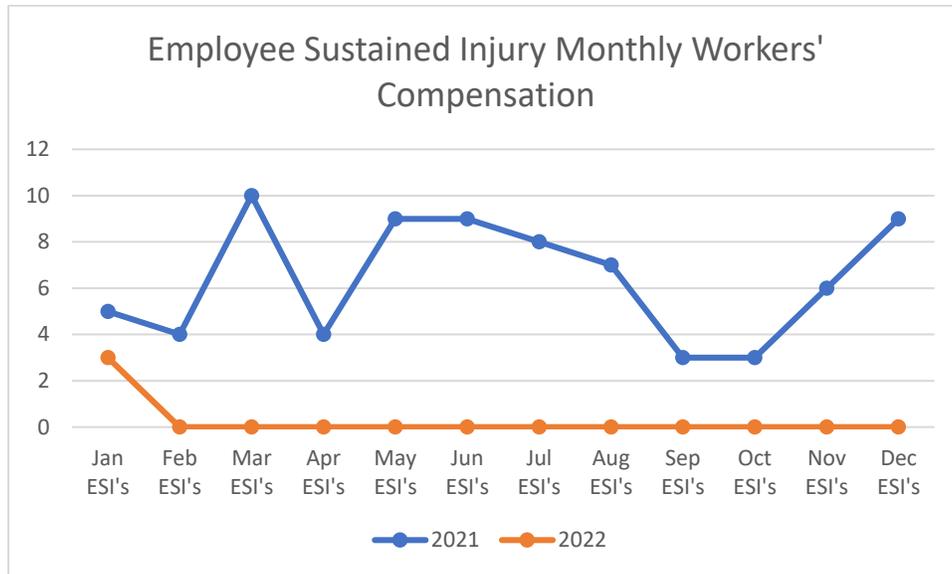
A preventable “Vehicle”, “Heavy Equipment” and “Non-Vehicular” accident falls into one of three classifications, Class I, II, or III, defined as follows:

- **Class I Accident** is an accident in which the involved employee(s) shared a portion or all the responsibility for its occurrence. The potential severity of a Class I accident is considered by the ARB to be minor in nature. A Class I accident remains on an employee’s record for one (1) year.
- **Class II Accident** is an accident in which the involved employee(s) shared a portion or all the responsibility for its occurrence. The potential severity of a Class II accident is considered by the ARB to be of serious consequence. A Class II accident remains on an employee’s record for two (2) years.
- **Class III Accident** is an accident in which the involved employee(s) has flagrantly disregarded traffic laws and safe driving or operational practices. Any accident in which there is the potential for loss of life, severe, and long-lasting debilitating injuries and/or extensive property damage is classified as a Class III accident. A Class III accident remains on an employee’s record for three years.



Workers' Compensation 2022 Statistics

The charts below represent claims that were reported to Workers' Compensation where employees sustained injuries (ESI).



Total number of claims reported in January: 3

Workers' Comp Employee Sustained Injuries: 2021 and 2020													
Year	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	Total
2022	3	0	0	0	0	0	0	0	0	0	0	0	3
2021	5	4	10	4	9	9	8	7	3	3	6	9	77

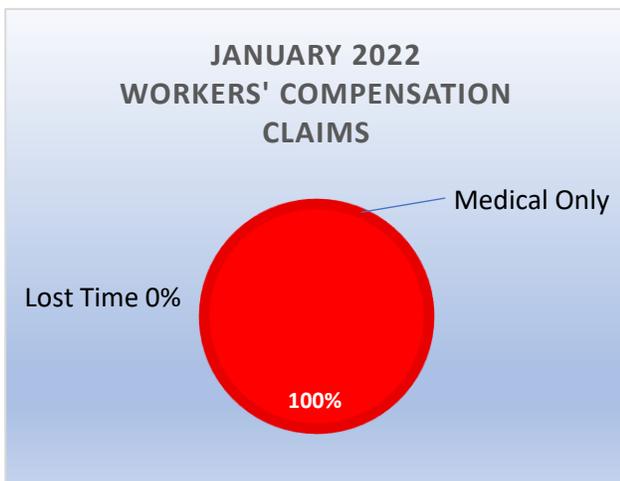
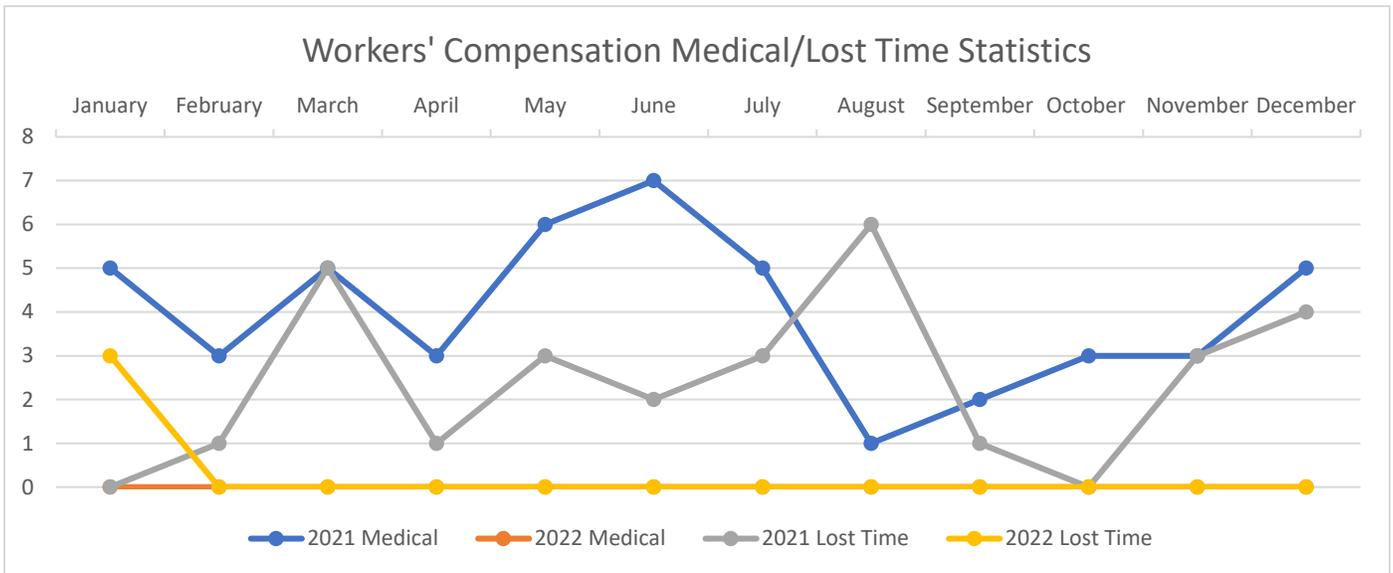
Comparison of 2022 & 2021 ESIs: As of January 2022, injury cases decreased by 2 over January 2021. The overall percentage has decreased by 26%, or 3 injuries, as of January 2022 as compared to 5 injuries as of January 2021.

Goal: To educate and communicate with departments on data found and collaborate on a plan that reduces the amount of injuries and risk for the agency.



Workers' Compensation 2022 Claims Statistics

Workers' Compensation Medical/Lost Time Statistics



Medical Only: Of the 3 Claims, 0 claims required medical treatment only and employees returned to work within a week.

Lost Time: Of the 3 claims, 3 claims required medical treatment and paid wages as employee was not able to return to work within the week of the injury.

Goal: Safety Department will evaluate claims in a timely manner that ensures proper Personal Protective Equipment (PPE) and safety training is made available to department managers to reduce accidents. Workers' Compensation Unit will evaluate claims in a timely manner that ensures prompt medical treatment so that employees are returned into the workforce as efficiently and medically stable as possible. This will aid in maintaining an active and healthy workforce.



Workers' Compensation Personal Protection Equipment (PPE) 2022 Statistics

Workers' Compensation tracks the use of Personal Protection Equipment (PPE). This safety equipment should be used during work activity to protect against health and safety risks. The information below details the use of PPE as it relates to the body part injured as a result of the accident.

January 2022 Personal Protection Equipment Statistics

Department	IMS #	Body Part Injured	Accident Type	PPE? Yes/No or N/A
Zone 6	10205	Right Shoulder	Muscle/Repetitive Strains	Yes
Unmanned Drainage Stations	10207	Left Foot	Slips, Trips, Falls	N/A
Zone 5	10220	Lower Back	Muscle/Repetitive Strains	Yes



Workers' Compensation Claims Tracking

Total Open Claims By Date

Number of Open Claims (01-05-2022)	Number of Open Claims (02-03-2022)	Net Change
50	46	-4

Total Extended Open Claims By Date (Claims Open Longer than One Year)

Number of Extended Open Claims (01-05-2022)	Number of Extended Open Claims (02-03-2022)	Net Change
37	36	-1

Number of claims are captured on payroll dates and are based on total weekly and bi-weekly payroll indemnity recipients.

Workers' Compensation Claims Settled

Date of Injury	Date Settlement Finalized	Projected Future Indemnities	Projected Future Medicals	Projected Future Exposure Total	Settlement Amount	Projected Savings
April 16, 2014	December 20, 2021	\$24,286.08	\$60,481.74	\$84,767.82	\$24,000.00	\$60,767.82



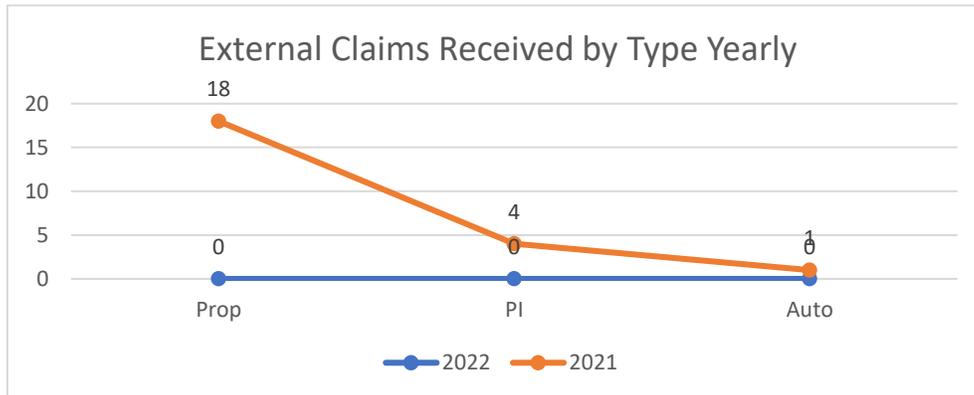
Claims Office

External Claims:

There has been report of **0** total claims since last month's report. These claims include vehicular, property, and personal injury external claims from members of the public and do not include employee claims. Compared to last month's report of 23 claims total for the year, the claims neither increased nor decreased.

All Major Claims (Claims over an estimated value of 5k in damages) with Safety response are listed below.

None.



External Claims Received by Month of 2022 and 2021

Year	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	Total
2022	0	0	0	0	0	0	0	0	0	0	0	0	0
2021	6	4	4	4	1	1	0	1	0	1	1	0	23



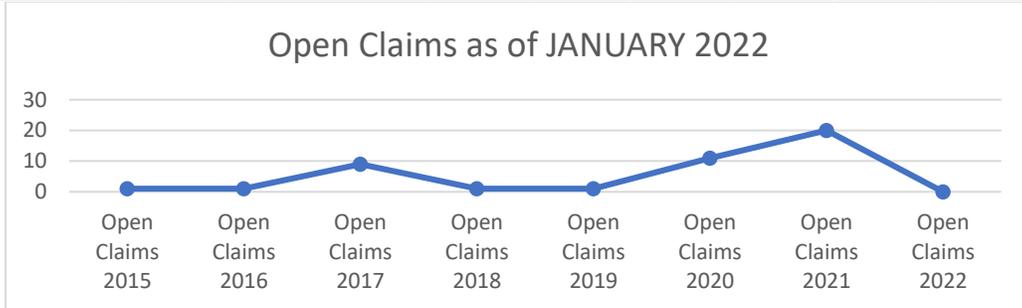
2022 Open and Closed Damage Claims

Month	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	Total
Received Claims	0	0	0	0	0	0	0	0	0	0	0	0	0
Closed Claims	3	0	0	0	0	0	0	0	0	0	0	0	3

2021 Open and Closed Damage Claims

Month	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	Total
Received Claims	6	4	4	4	1	1	0	1	0	1	1	0	23
Closed Claims	8	8	5	9	5	9	8	6	10	9	3	7	87

Open Claims as of JANUARY 2022



External Open Claims by Year

Year	Number	Status
2015	1	In Litigation
2016	1	In Litigation
2017	9	In Litigation
2018	1	In Litigation
2019	1	In Litigation
2020	11	In Review
2021	20	In Review
2022	0	Up for Review
Total	44	Total Number of Claims

External Open Claims by Type 2015-2022

Number of Claims	Type of Claim
41	Property
2	Personal Injury
1	Auto
44	Total # of Claims

External Non-Vehicle Incident Claims:

There have been claims made for 0 non-vehicle incidents since last month's report. These incidents include occurrences where injury may or may not have occurred. Compared to last month's report of 38 non-vehicle incidents, the incidents neither increased nor decreased. This number reflects how many claims were received over the past month, which is not indicative of the incident date.

All Major Incidents (Electrical/Gas line incidents or excessive damage to property) with appropriate Safety response are listed below.

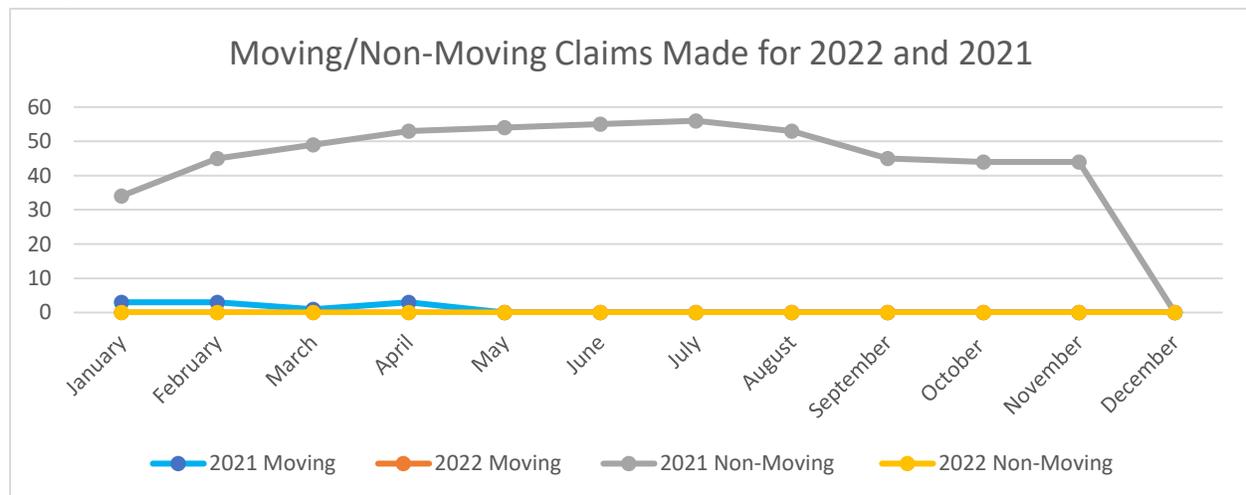
None.

External Vehicle Incident Claims:

There have been claims made for 0 vehicle incidents since last month's report. These incidents include occurrences where injury may or may not have occurred. Compared to last month's report of 0 vehicle incidents, the claims have not increased for the year total. This number reflects how many claims were received over the past month, which is not indicative of the incident date.

All Major Incidents (Vehicle Accidents resulting in injury or excessive damage to vehicles) with appropriate Safety response are listed below.

None.



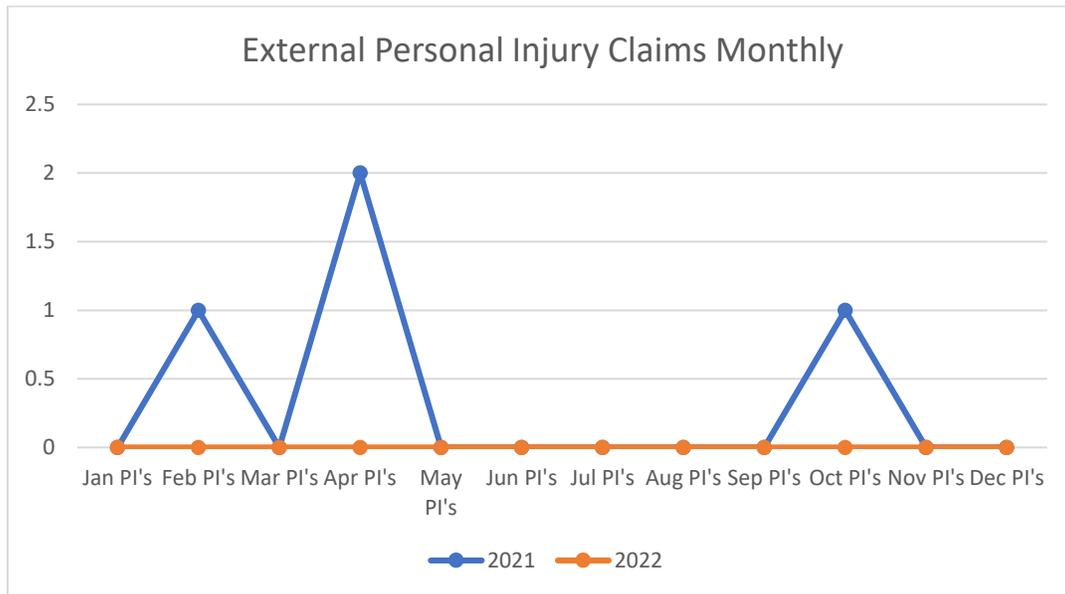
Moving/Non-Moving Claims Made for 2022 and 2021														
Accident Type	Year	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	Total
Moving	2022	0	0	0	0	0	0	0	0	0	0	0	0	0
Moving	2021	3	3	1	3	0	0	0	0	0	0	0	0	10
Non-Moving	2022	0	0	0	0	0	0	0	0	0	0	0	0	0
Non-Moving	2021	34	45	49	53	54	55	56	53	45	44	44	38	515

External Personal Injury Claims

There has been report of 0 external personal injury claims since last month's report. These claims include those made by members of the public and do not include employee claims. Compared to last month's report of 0 total personal injury claim, the incidents neither increased nor decreased for a total of 0 for the year.

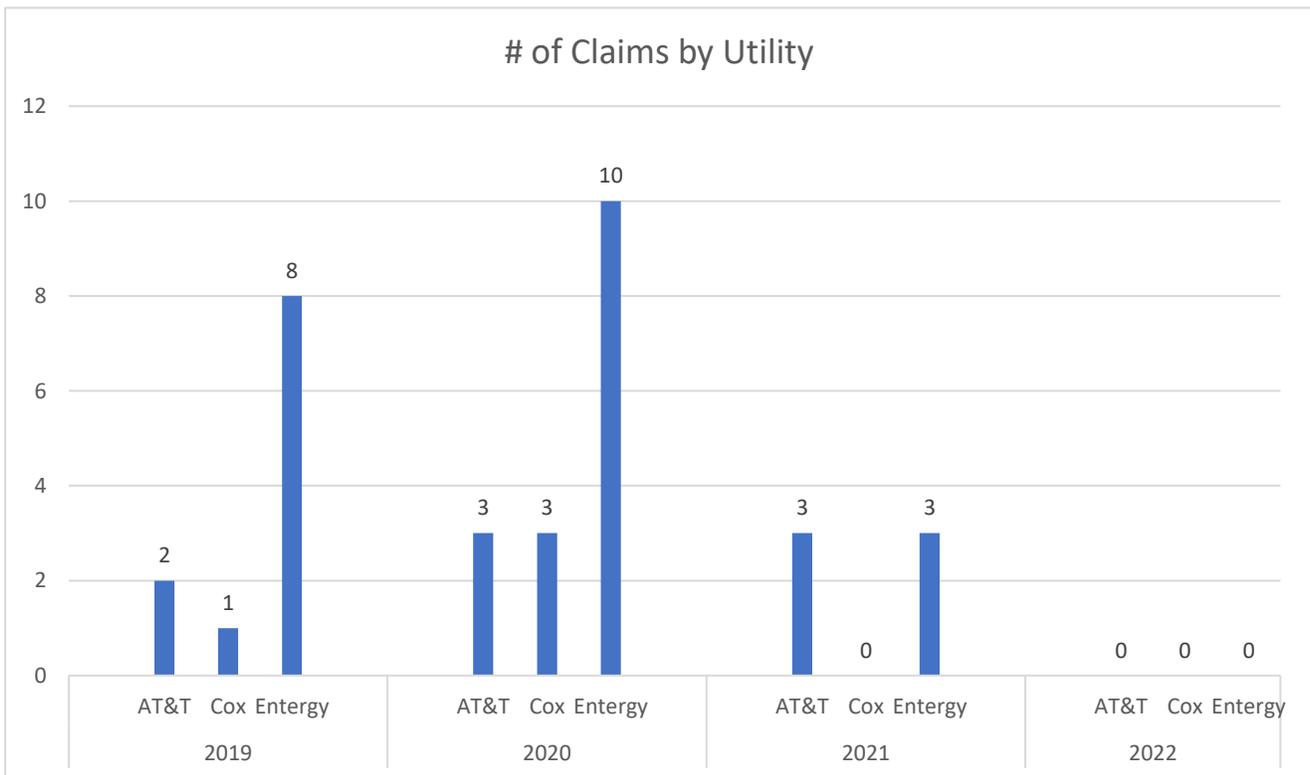
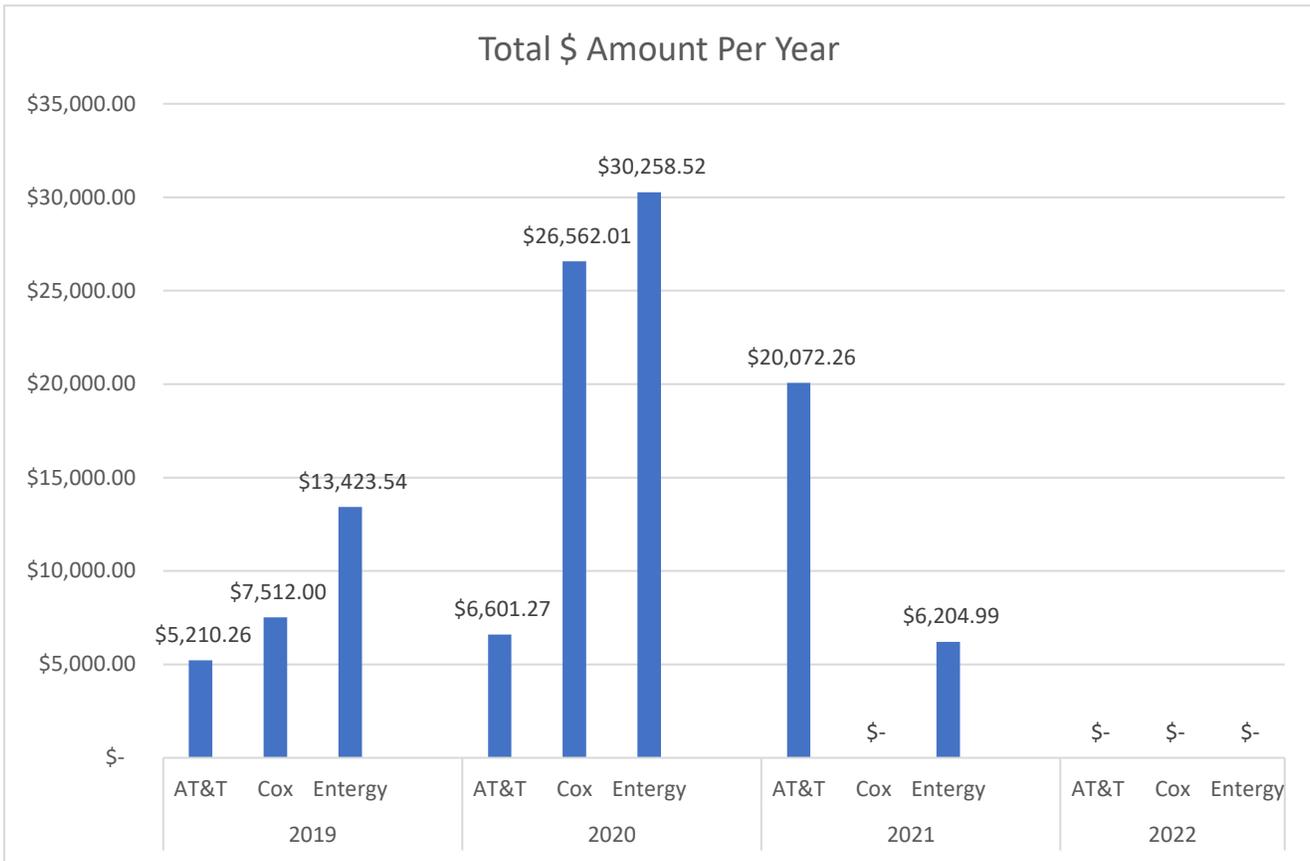
All Major Incidents (claims of over \$5,000) are listed below:

None.



External Personal Injuries of 2022 and 2021													
Year	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	Total
2022	0	0	0	0	0	0	0	0	0	0	0	0	0
2021	0	1	0	2	0	0	0	0	0	1	0	0	4

External Damage Claims by Utility





Risk Management Quarterly Driver's License Review January 2022

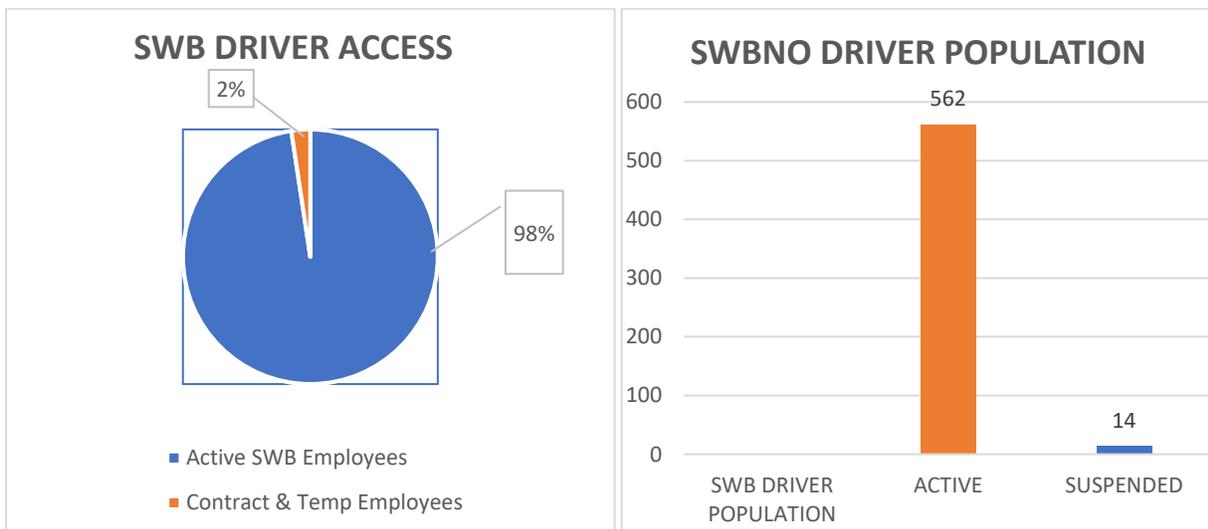
Methodology:

The Risk Management Office conducted a review of driver's license records for 100% of the active employee, contractor, and temporary employee driver population as of January 19, 2022. The Risk Management Office used multiple resources such as SWBNO records of active employees and Office of Motor Vehicles (OMV) records to retrieve an Official Driver's Record (ODR) that shows if an individual's license is expired or suspended.

Findings:

A thorough review and assessment of each active SWBNO driver revealed the following:

- Total population included 562 individuals that have clearance to drive a SWB vehicle.
- 14 of 562 individuals were found to have suspended or expired licenses during this audit.
- Statistically, this is 2.49% of all active, contract, and temporary drivers.
- These findings represent a substantial risk to the SWBNO.
- The Risk Management Office will continue quarterly and random sample verifications throughout 2022.



This review will be conducted quarterly by Risk Management to proactively detect invalid or expired licenses of fleet drivers.

**Project Delivery Unit
January 2022 Closeout Snapshot**

FEMA Public Assistance	# of Project Worksheets	FEMA Obligated	FEMA Revenue Received per LAPA	FEMA Obligation Balance	% Financially Complete	# of Projects Submitted for Closeout	OBLIGATED Value of Projects Submitted for Closeout	POTENTIAL Value of Projects Submitted to Closeout	# of Projects Officially Closed	OBLIGATED Value of Projects Officially Closed	% Submitted	% Closed	# of Projects Submitted but Not Officially Closed	OBLIGATED Value of Projects Submitted but Not Officially Closed
Hurricane Katrina - St. Joseph Headquarters	20	\$ 5,601,694.51	\$ 5,265,176.07	\$ 336,518.44	94%	19	\$ 2,236,513.15	\$ 2,236,513.15	19	\$ 2,236,513.15	95%	95%	0	\$ -
Hurricane Katrina - Central Yard	42	\$ 28,139,947.74	\$ 26,580,209.81	\$ 1,559,737.93	94%	42	\$ 28,139,947.74	\$ 27,858,548.26	32	\$ 22,025,919.44	100%	76%	10	\$ 6,114,028.30
Hurricane Katrina - Wastewater Treatment Plant	128	\$ 90,803,270.37	\$ 83,014,642.92	\$ 7,788,627.45	91%	127	\$ 83,765,860.77	\$ 82,928,202.16	121	\$ 40,127,240.69	99%	95%	6	\$ 43,638,620.08
Hurricane Katrina - Carrollton Water Plant	55	\$ 76,019,829.37	\$ 67,935,783.12	\$ 8,084,046.25	89%	54	\$ 64,304,777.65	\$ 63,661,729.87	51	\$ 13,730,451.46	98%	93%	3	\$ 50,574,326.19
Hurricane Katrina - Distribution Network	27	\$ 223,162,760.17	\$ 181,225,810.33	\$ 41,936,949.84	81%	25	\$ 114,411,682.59	\$ 113,267,565.76	18	\$ 30,028,021.61	93%	67%	7	\$ 84,383,660.98
Hurricane Katrina - Pump Stations	166	\$ 81,316,755.22	\$ 73,428,431.27	\$ 7,888,323.95	90%	163	\$ 80,525,942.05	\$ 79,720,682.63	145	\$ 59,074,767.54	98%	87%	18	\$ 21,451,174.51
Hurricane Gustav	9	\$ 667,553.90	\$ 668,689.06	\$ (1,135.16)	100%	9	\$ 667,553.90	\$ 660,878.36	9	\$ 667,553.90	100%	100%	0	\$ -
Hurricane Isaac	8	\$ 1,459,598.43	\$ 1,090,219.63	\$ 369,378.80	75%	8	\$ 1,459,598.43	\$ 1,445,002.45	3	\$ 7,391.29	100%	38%	5	\$ 1,452,207.14
Tropical Storm Nate	2	\$ 439,728.39	\$ 346,207.41	\$ 93,520.98	79%	1	\$ 418,788.94	\$ 414,601.05	0		50%	0%	1	\$ 418,788.94
Hurricane Barry	2	\$ 947,134.10	\$ 5,625.10	\$ 941,509.00	1%	0	\$ -	\$ -	0	\$ -	0%	0%	0	\$ -
Hurricane Sally	2	\$ 15,767.10	\$ 14,781.65	\$ 985.45	94%	1	\$ 14,781.65	\$ 14,781.65	1	\$ 14,781.65	0%	50%	0	
Hurricane Zeta	7	\$ 751,240.54	\$ -	\$ 751,240.54	0%	0			0			0%		
Disaster Winter Storm														
Hurricane Ida														
Total	468	\$ 509,325,279.84	\$ 439,575,576.37	\$ 69,749,703.47	86%	449	\$ 375,945,446.87	\$ 372,208,505.35	399	\$ 167,912,640.73	96%	85%	50	\$ 208,032,806.14

FEMA Joint Infrastructure	# of Project Worksheets	FEMA Obligated	FEMA Revenue Received per LAPA	FEMA Obligation Balance	% Financially Complete	# of Projects Submitted for Closeout	OBLIGATED Value of Projects Submitted for Closeout	POTENTIAL Value of Projects Submitted to Closeout	# of Projects Officially Closed	OBLIGATED Value of Projects Officially Closed	% Submitted	% Closed	# of Projects Submitted but Not Officially Closed	OBLIGATED Value of Projects Submitted but Not Officially Closed
Hurricane Katrina - JIRR settlement	2	\$ 268,448,968.15	\$ 53,293,153.93	\$ 215,155,814.22	20%	0	\$ -	\$ -	0	\$ -	0%	0%	0	\$ -
Hurricane Katrina - JIRR Donors	53	\$ 67,021,146.37	\$ 54,387,568.41	\$ 12,633,577.96	81%	44	\$ 48,839,243.67	\$ 48,350,851.23	8	\$ 680,885.32	83%	15%	36	\$ 48,158,358.35
Total	55	\$ 335,470,114.52	\$ 107,680,722.34	\$ 227,789,392.18	32%	44	\$ 48,839,243.67	\$ 48,350,851.23	8	\$ 680,885.32	80%	15%	36	\$ 48,158,358.35

FEMA Hazard Mitigation Grant Program	# of Contracts	FEMA Obligated	FEMA Revenue Received per LAHM	FEMA Obligation Balance	% Financially Complete	# of Contracts Completed	OBLIGATED Value of Projects Submitted for Closeout	POTENTIAL Value of Projects Submitted to Closeout	# of Projects Officially Closed	OBLIGATED Value of Projects Officially Closed	% Submitted	% Closed	# of Projects Submitted but Not Officially Closed	OBLIGATED Value of Projects Submitted but Not Officially Closed
Hurricane Katrina - Retrofit of Power House	18	\$ 166,795,389.00	\$ 136,996,755.95	\$ 29,798,633.05	82%	13	\$ -	\$ -	0	\$ -	0%	0%	0	\$ -
Hurricane Katrina - Flood Mitigation of 9 SPS	9	\$ 19,987,722.00	\$ 19,299,825.10	\$ 687,896.90	97%	9	\$ -	\$ -	0	\$ -	0%	0%	0	\$ -
Hurricane Ike - Five Underpass Generators	1	\$ 988,658.00	\$ 839,129.23	\$ 149,528.77	85%	1	\$ -	\$ -	0	\$ -	100%	0%	1	\$ 985,079.09
Total	28	\$ 187,771,769.00	\$ 157,135,710.28	\$ 30,636,058.72	84%	23	\$ -	\$ -	0	\$ -	82%	0%	1	\$ 985,079.09

TOTALS as of 2.2.2022	FEMA Obligated	FEMA Revenue Received	FEMA Obligation Balance
	\$ 1,032,567,163.36	\$ 704,392,008.99	\$ 328,175,154.37



SEWERAGE AND WATER BOARD

Inter-Office Memorandum

Date: February 2, 2022

To: Tanyell Allen,
Board Relations

Through: Ghassan Korban,
SWBNO Executive Director

From: Cashanna K Moses
Purchasing Department

Re: Executive Director's Approval of Contracts of \$1,000,000.00 or less

Cheney Lime & Cement Company

P.O. Box 160, Allgood, AL 35013

- Furnishing Lime
- Requisition# CM210072
- Start Date: 02/01/2022 – 01/31/2023
- Renewal options: one (1) year.
- \$822,255.00 annually
- DBE Goals 0%

Python Corporation

29279 Hwy. 190, Lacombe, LA 70445

- CV210019 Concrete Repair at Sewer Pumping Station No. 5
- Effective date: January 20, 2022
- Initial term: 30 days
- Renewal options: N/A
- Compensation: \$42,800.00
- DBE Goals: 0%

Alfred Conhagen, Inc.

1020 Industry Rd., Kenner, LA 70062

- ME-21-0031 Labor to Install Exhaust Expansion Joint on T1
- Effective date: January 20, 2022
- Initial term: 7 days
- Renewal options: N/A
- Compensation: \$19,000.00
- DBE Goals: 0%



SEWERAGE AND WATER BOARD

Inter-Office Memorandum

Date: February 3, 2022

To: Ghassan Korban, Executive Director

From: Irma Plummer, EDBP Director

(IP)

Re: EDBP Department Summary – January 2022

MONTHLY SLDBE CERTIFICATION ACTIVITY

Applications received	
New	4
Recertification	25
Applications approved	27
Applications denied	1
Decertified	1

(Background: Since 1997, SWBNO and NOAB have jointly conducted the SLDBE (State and Local Disadvantaged Business Enterprise) program which seeks to expand the number of eligible socially and economically disadvantaged companies doing business in Orleans Parish on projects receiving non-federal funding. By 2007, this initiative was extended to include the City of New Orleans and Harrah's New Orleans. Currently, it is administered by the CNO Office of Supplier Diversity. Data reported here reflects certification activity for January 2022.)

ANALYSES CONDUCTED BY EDBP

For the month of January 2022, the EDBP Department received one (1) Goods and Services contract to review.

Furnishing #1 All Purpose Rag Wipers – REO NO. YW22-0001

On Thursday, January 6, 2022 two (2) bids were received for subject contract. The bid total is as follows:

Crescent City Industrial Services	\$ 40,500.00
Industrial Welding Supply of Harvey, Inc.	\$ 58,250.00

Thirty percent (30%) DBE participation was requested on this contract.

Crescent City Industrial Services, the apparent lowest bidder, submitted a Participation Summary Sheet, but did not list an eligible DBE to provide services, nor submit a notarized affidavit of Good Faith Effort.

Total Participation: \$ 0,000– 0%

Industrial Welding Supply of Harvey, Inc., the second lowest bidder, submitted a Participation Summary Sheet, but did not list an eligible DBE to provide services, nor submit a notarized affidavit of Good Faith Effort.

Total Participation: \$ 0,000– 0%

Based upon analysis of SLDBE participation, the Economically Disadvantaged Business Program recommends the SLDBE participation submitted by **Crescent City Industrial Services** and **Industrial Welding Supply of Harvey, Inc.** be accepted as non-responsive to meeting EDBP bid requirements.

For the month of January 2022, the EDBP Department did not receive any Professional Services contracts to review.

For the month of January 2022, the EDBP Department received four (4) Construction projects to review. They are as follows:

Contract #8166 – Repaving Open Cuts in Driveways and Sidewalks Resulting from the Repair to Sewerage and Water Board of New Orleans Underground Utilities

On Monday, January 3, 2022, one (1) bid was received for subject contract. The bid total is as follows:

Wallace C. Drennan, Inc.	\$ 1,247,050.00
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Thirty-six percent (36%) DBE participation was established for this project.

The sole bidder, **Wallace C. Drennan, Inc.**, submitted C&M Construction Group Inc. (eligible certified SLDBE) to perform concrete and asphalt restoration; \$462,000.00 – 37.05% Correspondence from DBE on their own letterhead reaffirming negotiated terms was provided.

Based upon analysis of DBE participation, the Economically Disadvantaged Business Program recommends that the DBE participation submitted by **Wallace C. Drennan, Inc.**, be considered as responsive to meeting EDBP bid requirements.

Contract #30244 – Cleaning and CCTV Inspection of Sanitary Sewer Mains at Scattered Sites within Orleans Parish

On Friday, January 21, 2022, two (2) bids were received for subject contract. The bid totals are as follows:

Compliance EnviroSystems	\$ 641,498.00
Atakapa Services, LLC	\$ 832,140.00

Thirty-six percent (36%) DBE participation was requested on this contract.

-The apparent lowest bidder, **Compliance EnviroSystems**, submitted the following subcontractor:

Blue Flash Sewer Service, Inc. (eligible certified SLDBE) to perform Pipe Cleaning and CCTV Inspection

Total Participation: \$232,489.01 – 36.24%

Signed correspondence from DBE on their own letterhead reaffirming negotiated terms was provided.

-The apparent second lowest bidder, **Atakapa Services, LLC**, submitted the following subcontractor:

Blue Flash Sewer Service, Inc. (eligible certified SLDBE) to perform Cleaning and CCTV Inspection of various sized sanitary sewer mains at scattered sites throughout Orleans Parish

Total Participation: \$299,570.40 – 36.00%

Signed correspondence from DBE on their own letterhead reaffirming negotiated terms was provided.

Based upon analysis of DBE participation, the Economically Disadvantaged Business Program recommends that the DBE participation submitted by **Compliance EnviroSystems** and **Atakapa Services, LLC** be considered as responsive to meeting EDBP bid requirements.

Contract #2158 – Water Main Point Repairs, Water Service Connection, Water Valve and Fire Hydrant Replacement

On Monday, January 24, 2022, two (2) bids were received for subject contract. The bid totals are as follows:

Wallace C. Drennan, Inc.	\$ 4,894,445.00
Boh Bros. Construction Co., LLC	\$ 5,299,825.00

Thirty-six percent (36%) DBE participation was requested on this contract.

-The apparent lowest bidder, **Wallace C. Drennan, Inc.**, submitted the following subcontractors:

C&M Construction Group, Inc. (eligible certified SLDBE) to perform Municipal and Public Works Construction; Fencing; Concrete and Asphalt
\$400,000.00 – 8.17%

Choice Supply Solutions (eligible certified SLDBE) Material Supplier of Industrial Pipe Fittings, valves, hydrants, and all associated products. Construction Material Wholesaler
\$1,300,000.00 – 26.56%

Cooper Contracting Group, LLC (eligible certified SLDBE) to perform Municipal and Public Works Contractors, Construction of Underground Utilities, Site Prep Contractors; Concrete Contractors; Asphalt Paving
\$50,000.00 – 1.00%

Prince Dump Truck Services, LLC (eligible certified SLDBE) to furnish and spread sand and aggregate materials, trucking and hauling services
\$60,000.00 – 1.20%

Total Participation: \$1,810,000.00 — 36.98%
Signed correspondence from DBEs on their own letterhead reaffirming negotiated terms was provided.

-The apparent second lowest bidder, **Boh Bros. Construction Co., LLC**, submitted the following subcontractors:

Industry Junction, Inc. (eligible certified SLDBE) to provide water pipe and fittings
\$1,802,950.00 – 34.02%

Prince Dump Truck Services, LLC (eligible certified SLDBE) to provide Dump Truck (Hourly Haul)
\$806,019.69 – 14.86%

Total Participation: \$2,608,969.69 — 49.23%
Signed correspondence from DBEs on their own letterhead reaffirming negotiated terms was provided.

Based upon analysis of DBE participation, the Economically Disadvantaged Business Program recommends that the DBE participation submitted by **Wallace C. Drennan, Inc.**, and **Boh Bros. Construction Co., LLC** be considered as responsive to meeting EDBP bid requirements.

Contract #2159 – Water Main Point Repairs, Water Service Connection, Water Valve and Fire Hydrant Replacement

On Monday, January 24, 2022, one (1) bid was received for subject contract. The bid total was:

Boh Bros. Construction Co., LLC \$1,095,075.00

Thirty-six percent (36%) DBE participation was requested on this contract.

-The sole bidder, **Boh Bros. Construction Co., LLC**, submitted the following subcontractors:
Industry Junction, Inc. (eligible certified SLDBE) to provide water pipe and fittings
\$353,350.00 – 32.27%

Prince Dump Truck Services, LLC (eligible certified SLDBE) to provide Dump Truck
(Hourly Haul)
\$48,500.00 – 4.43%

Total Participation: \$ 401,850.00 — 36.70%

Signed correspondence from DBEs on their own letterhead reaffirming negotiated terms was provided.

Based upon analysis of DBE participation, the Economically Disadvantaged Business Program recommends that the DBE participation submitted by **Boh Bros. Construction Co., LLC** be considered as responsive to meeting EDBP bid requirements.

CONSTRUCTION REVIEW COMMITTEE RECOMMENDATIONS

The Construction Review Committee convened on Thursday, January 13, 2022 where the following recommendations were made:

- | | |
|-------------------------|--|
| 1) Contract 1425 | Purchase of Two GSU Transformers |
| Budget Amount: | \$2,600,000.00 |
| EDBP | 0% |
| Recommended Goal: | |
| Justification | Equipment will be purchased and delivered directly from manufacturer |
| Renewal Option(s): | None |

Information Item

On April 8, 2021, Cont #30227 (Mid-City Basin – Sewer Rehabilitation No. 3) was presented to CRC and approved at \$2,748,300 with a 36% DBE Participation Goal. The project has yet to be released for bid.

The originating department is requesting to update the prior approval with an increased cost estimate of \$4,296,150. The recalculation is based upon supply shortages and cost increases in labor, equipment, material and fuel since the initial estimate. Consideration is also given to a similar project (Contract #30228; bid on December 23, 2021) where bids were 95% - 97% above engineer's estimate, and unit prices ranged between 12% to 264% above estimates.

The DBE goal for this project will remain at 36%.

STAFF CONTRACT REVIEW COMMITTEE RECOMMENDATIONS

The Staff Contract Review Committee convened on Thursday, January 13, 2022 where the following recommendations were made:

1. Request for RFQ for Furnishing Professional Engineering, Land Surveying and Geo-technical Services

Budget Amount: \$ N/A
Renewal Option(s): None
Initial term of five (5) years
Recommended Percentage Goal: 35%
Justification:

2. Request for RFP for Procurement Support for Contract Operations and Maintenance Services at the East and West Bank WWTPs

Budget Amount: \$ 90,000.00
Renewal Option(s): None
Recommended Percentage Goal: 10%
Justification:

3. Request for Furnishing Liquid Polyphosphate

Budget Amount: \$ 380,000.00
Renewal Option(s): Initial term of One (1) year with one (1) one-year renewal options
Recommended Percentage Goal: 0%
Justification: Specialized nature of manufacturing and transport

4. Request for Furnishing Solid Wall (PVC) Pipe SDR 26 & 35 and Polyvinyl Chloride (PVC) C-900 Pipe

Budget Amount: \$ 150,000.00
Renewal Option(s): Initial term of One (1) year with two (1) one-year renewal options
Recommended Percentage Goal: 0%
Justification: Project does not lend itself to DBE participation

RENEWAL CONTRACTS

5. Renewal of Contract for Furnishing Laboratory and Welding Gases

Budget Amount:	\$ 55,204.00
Renewal Option(s):	Initial term of One (1) year with two one-year renewal options
Amount Spent:	\$24,420.00
Percentage Goal:	0%
Prime Contractor:	Industrial Welding Supply Co.

6. Renewal of Contract for Furnishing Paper Products and Janitorial Supplies

Budget Amount:	\$ 64,410.00
Renewal Option:	First of two (2) one-year renewal options
Amount Spent:	\$ 52,211.54
Percentage Goal:	30%
Prime Contractor:	Southeast Safety & Supply Co.

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CONSTRUCTION RENEWAL CONTRACTS with DBE PARTICIPATION

There were no construction renewal projects with a DBE Participation Goal to review for the month of January 2022.

FINAL ACCEPTANCE CONSTRUCTION CONTRACTS with DBE PARTICIPATION

There were no final acceptance construction contracts with a DBE participation goal to review for the month of January 2022

SEWERAGE & WATER BOARD OF NEW ORLEANS CONTRACTS WITH DBE PARTICIPATION

There were four (4) construction contracts with DBE participation awarded for the month of January 2022. See the attached spreadsheet.

Sewerage & Water Board New Orleans Awarded Projects with DBE Participation January 2022

Category	Category Dollar Amount	SLDBE Dollar Value
Goods & Services Projects	\$ -	\$ -
Professional Services Projects	\$ -	\$ -
Construction Projects	\$ 32,314,977	\$ 8,684,324
Grand Total	\$ 32,314,977	\$ 8,684,324

Sewerage & Water Board of New Orleans Open Market Bids with DBE Participation January 2022

Goods & Services Projects

Contract No./Description	%DBE Goal	Contract \$	Prime	Sub(s)	% DBE Part (Prime)	\$ Sub Award	Award date
Total Goods & Services Projects		\$0.00			0%	\$0.00	

Sewerage & Water Board of New Orleans Open Market Bids with DBE Participation January 2022

Professional Services Projects

Contract No./Description	%DBE Goal	Contract \$	Prime	Sub(s)	% DBE Part (Prime)	\$ Sub Award	Award date
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Total Professional Services Projects	#DIV/0!	\$0.00			#DIV/0!	\$0.00	
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Sewerage & Water Board of New Orleans Open Market Bids with DBE Participation January 2022

Construction Projects

Contract No./Description	%DBE Goal	Contract \$	Prime	Sub(s)	% DBE Part (Prime)	\$ Sub Award	Award date
Cont #1376; High Lift Pump Room and Panola Pump Station Improvements	25%	\$ 20,130,000.00	M.R. Pittman Group, LLC	The Beta Group Engineering and Construction Services, LLC	0.45%	\$ 90,000.00	1/19/2022
				Choice Supply Solutions, LLC	12.05%	\$ 2,424,864.75	
				Landrieu Concrete and Cement Industries	0.25%	\$ 50,000.00	
				Industry Junction, Inc.	2.68%	\$ 540,000.00	
				Chester Electric, LLC	9.83%	\$ 1,977,806.00	
				Total	25.25%	\$ 5,082,670.75	
Cont #2156; Transmission Main Replacement 005	30%	\$ 9,738,689.00	BLD Services, LLC	C&M Construction Group, Inc.	14.77%	\$ 1,438,803.00	1/19/2022
				Choice Supply Solutions, LLC	14.46%	\$ 1,408,000.00	
				Advantage Manhole & Concrete Services	0.82%	\$ 79,750.00	
				Total	30.05%	\$ 2,926,553.00	

Contract No./Description	%DBE Goal	Contract \$	Prime	Sub(s)	% DBE Part (Prime)	\$ Sub Award	Award date
Cont #1407; Structural and Filter Rehabilitation in the Area of Sycamore Filter Gallery Filters 11,12,14, &16 (17 alternate)	20%	\$ 2,446,288.00	Industrial & Mechanical Contractors, Inc.	Gridline Services, LLC	27.60%	\$ 675,100.00	1/19/2022
Total Construction Projects		\$ 32,314,977.00			26.87%	\$8,684,323.75	