



🏠 **Location:** Executive Boardroom

📅 **Date:** Wednesday, May 18, 2022

🕒 **Time:** 9:00 am

- LaToya Cantrell, President • Tamika Duplessis, President Pro Tem • Freddie King, Councilmember
- Joseph Peychaud • Robin Barnes • Ralph Johnson
- Alejandra Guzman • Lynes Sloss
- Maurice Sholas • Janet Howard • Nichelle Taylor

Board of Directors Meeting Agenda

I. Roll call

II. Resolution (R-091-2022) Authorization for Award of Advanced Metering Infrastructure, Meters, and System Installation with Thirkettle Corporation d/b/a Aqua-Metric Sales Company

Resolution (R-092-2022) Cooperative Endeavor Agreement Between the Sewerage and Water Board of New Orleans and Entergy New Orleans, LLC

Resolution (R-093-2022) Reconfiguration of Electric Services to the Sewerage and Water Board of New Orleans: Agreement in Principle

III. Information Items

- a) Safety – April 2022 Report

IV. Public Comment

V. Adjournment

**AUTHORIZATION FOR AWARD FOR ADVANCED METERING
INFRASTRUCTURE, METERS, AND SYSTEM INSTALLATION WITH
THIRKETTLE CORPORATION dba AQUA-METRIC SALES COMPANY.**

WHEREAS, the Sewerage and Water Board of New Orleans (SWBNO or “Board”) provides clean drinking water to the entirety of the city of New Orleans, Louisiana, which has a population of 391,249 according to the 2020 US Census making up approximately 140,000 service accounts; and

WHEREAS, currently, the Board reads water meters manually on a monthly basis; but, approximately 20% of customer bills are estimated due to lack of meter access or challenges reading meters; and

WHEREAS, Advanced Metering Infrastructure (“AMI”) has been identified as an effective solution to increase efficiency, accuracy, and the richness of data provided by the Board’s metering system; and

WEREAS, in order to engage with a qualified vendor to provide Advanced Metering Infrastructure, Meters, and System Installation Services the Board issued a request for proposals (RFP) on December 13, 2021

WHEREAS, the Board received seven (7) proposals in response to the RFP, including that of Thirkettle Corporation dba Aqua-Metric Sales Company, submitted on February 18, 2022, and after evaluation of all proposals, including that of Thirkettle Corporation dba Aqua-Metric Sales Company, the Selection Evaluation Committee on May 11, 2022, recommended that SWBNO enter into an agreement with Thirkettle Corporation dba Aqua-Metric Sales Company to provide the desired services;

NOW THEREFORE, BE IT RESOLVED, that the Board of Directors of the Sewerage and Water Board of New Orleans hereby accepts the Selection Evaluation Committee’s recommendation of Thirkettle Corporation dba Aqua-Metric Sales Company, to provide Advanced Metering Infrastructure, Meters, and System Installation Services; and

BE IT FURTHER RESOLVED, that staff is hereby authorized to negotiate cost of the contract in an amount not to exceed the project budget estimate of \$67 Million over 3 years and additional fees associated with annual subscriptions for software, network solutions, and other operating costs for the new AMI system; and

BE IT FURTHER RESOLVED, that the President or President Pro Tem of the Board be and is hereby authorized to execute an agreement with Thirkettle Corporation dba Aqua-Metric Sales Company, for Advanced Metering Infrastructure, Meters, and System Installation Services, for an initial term of three (3) years with up to five (5) successive one-year extensions with fees not to exceed \$67 Million over the initial term.

I, GHASSAN KORBAN, Executive Director,
Sewerage and Water Board of New Orleans, do hereby
certify that the above and foregoing is a true and
correct copy of a Resolution adopted at the Regular
Meeting of said Board, duly called and held,
according to law, on May 18, 2022.

GHASSAN KORBAN, EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**COOPERATIVE ENDEAVOR AGREEMENT BETWEEN THE SEWERAGE AND
WATER BOARD OF NEW ORLEANS AND ENTERGY NEW ORLEANS, LLC, FOR
WEST POWER COMPLEX NEW ELECTRICAL SUBSTATION FUNDING**

WHEREAS, Article 7, Section 14 of the Louisiana Constitution of 1974, as amended, permits public bodies to enter into cooperative endeavor agreements to serve valuable public purposes; and

WHEREAS, the Sewerage and Water Board of New Orleans (“the Board”) is the provider of water, sewer and drainage services for the City of New Orleans (the "City"); and

WHEREAS, Entergy New Orleans, LLC, (ENO) is the provider of electric services for the City; and

WHEREAS, the Board desires to increase the reliability of the electric service that operates equipment at its Carrollton Water Plant that is used in water purification and distribution, sewerage service, and drainage; and

WHEREAS, the Board intends that its self-owned power generation serve as back-up generation and that ENO-provided retail electric service delivered from ENO’s transmission system be the primary source of electric energy for the Board’s operations; and

WHEREAS, out of a mutual desire to accomplish the valuable public purpose of constructing a new electrical substation at the Board’s Carrollton Water Plant to establish a stable, reliable, and dedicated power source for the Board, which will allow it to provide potable water, sewerage and drainage services, the Board and the City have entered into a Cooperative Endeavor Agreement for the public purpose of constructing a new, dedicated electrical substation to serve the vital public interest; and,

WHEREAS, the Council of the City of New Orleans approved Ordinance Nos. 33,618 and 33,619 establishing the City Council SWBNO Substation Support Fund and appropriated \$30 million to said fund to finance construction of the new electrical substation at the West Power Complex; and

WHEREAS, ENO, as the public utility that provides electric service to the New Orleans area, together with its affiliated companies, has expertise in constructing and obtaining regulatory approval for transmission-voltage substations, which said expertise the Board does not have; and

WHEREAS, ENO will provide its labor and expertise in order to construct, own, operate, and maintain a dedicated transmission substation, as well as to obtain and maintain regulatory approval for such facility, for the sole benefit of the Board; and

WHEREAS, the Board will make a contribution in aid of construction of \$30 million in two equal installments and ENO will fund the remainder of the cost of the substation, which will be recovered through Large Municipal Electric Service Rate Schedule; and

WHEREAS, ENO's contribution of expertise in the construction, operation, maintenance, and regulatory compliance of the substation is expected to materially benefit the Board by significantly reducing fuel purchases required for operation of its own aging generation units, and more importantly, by providing the incalculable benefits of a more secure and reliable source of power for the Board's pumping stations and other facilities which are vital to the City of New Orleans.

NOW, THEREFORE, BE IT RESOLVED, the Sewerage and Water Board be authorized to negotiate and enter into a Cooperative Endeavor Agreement with Entergy New Orleans, LLC, to provide funds for the construction of a dedicated electrical substation for the valuable public purpose of providing reliable and improved drainage, water, and sewer services to the citizens of New Orleans.

I, Ghassan Korban, Executive Director of the
Sewerage and Water Board of New Orleans, do hereby
certify that the above and foregoing is a true and
correct copy of a Resolution adopted at the Regular
Monthly Meeting of said Board, duly called and held,
according to law, on May 18, 2022.

GHASSAN KORBAN, EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF ORLEANS

**AUTHORIZATION TO ENTER AGREEMENT IN PRINCIPLE FOR
RECONFIGURATION OF ELECTRIC SERVICES BETWEEN THE SEWERAGE AND
WATER BOARD AND ENTERGY NEW ORLEANS, LLC**

WHEREAS, the Sewerage and Water Board of New Orleans (“the Board”) is the provider of water, sewer and drainage services for the City of New Orleans (the "City"); and

WHEREAS, Entergy New Orleans, LLC (ENO) is the provider of electric services for the City; and

WHEREAS, the Board, in accordance with its Strategic Plan and Power Master Plan, is designing and constructing a West Power Complex for the purpose of providing reliable and resilient power to its drainage and water pumps across the city; and

WHEREAS, the West Power Complex will include a dedicated electrical substation on the site of the Carrollton Water Plant to be used as the Board’s primary source of power; and

WHEREAS, ENO, as the public utility that provides electric service to the New Orleans area, together with its affiliated companies, has expertise in constructing and obtaining regulatory approval for transmission-voltage substations, which said expertise the Board does not have; and

WHEREAS, ENO will provide its labor and expertise in order to construct, own, operate, and maintain a dedicated substation, as well as to obtain and maintain regulatory approval for such facility, for the sole benefit of the Board; and

WHEREAS, the Board and ENO intend to enter a Cooperative Endeavor Agreement that will govern the transfer of funds from the Board to ENO for the construction of the substation; and

WHEREAS, the Board and ENO additionally have negotiated an Agreement in Principle (AIP) to govern the technical terms applicable to the construction and operation of the substation; and

WHEREAS, the AIP incorporates the Electric Service Agreement, Large Municipal Electric Service Rate Schedule, and Additional Facilities Agreement, which are additional documents negotiated by the Board and ENO that set forth the applicable rates for ENO’s recovery of its investment in the dedicated substation and the Board’s energy purchased utilizing the substation as well as the use and regulation of the substation itself; and

WHEREAS, the AIP and incorporated documents will govern the actions of the Board and ENO during the construction and life of the substation and include terms satisfactory to both parties; and

WHEREAS, the substation will materially benefit the Board by significantly reducing fuel purchases required for operation of its own aging generation units, and more importantly, by providing the incalculable benefits of a more secure and reliable source of power for the Board's pumping stations and other facilities which are vital to the City of New Orleans.

NOW, THEREFORE, BE IT RESOLVED, the Sewerage and Water Board be authorized to negotiate and enter into an Agreement in Principle with Entergy New Orleans, LLC, as well as the Electrical Service Agreement, Large Municipal Electric Service Rate Schedule, and Additional Facilities Agreement incorporated as part of the Agreement in Principle, to provide for technical terms governing the construction and operation of a dedicated electrical substation for the valuable public purpose of providing reliable and improved drainage, water, and sewer services to the citizens of New Orleans.

I, Ghassan Korban, Executive Director of the
Sewerage and Water Board of New Orleans, do hereby
certify that the above and foregoing is a true and
correct copy of a Resolution adopted at the Regular
Monthly Meeting of said Board, duly called and held,
according to law, on May 18, 2022.

GHASSAN KORBAN, EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF ORLEANS

April 2022

SWBNO SAFETY REPORT



Executive Summary

We are pleased to present the April 2022 Safety Report. The purpose of this report is to present data tracked by the Safety Department, Risk Management Office, Claims Office, Accident Review Board, and the Workers' Compensation Unit, and to provide a summary of actions taken to improve employee safety and reduce risks in our operations. The data collected by the departments are analyzed on a monthly basis for both leading and lagging indicators to identify emerging risks, measure the impact of previously implemented policies, and to pinpoint areas of operations where additional safety training would be most beneficial.

The quarterly driver's license audit conducted in April detected 10 expired, suspended, or revoked licenses among the authorized driver population of 579 drivers. Those drivers have had their driving privileges suspended pending reinstatement, and the new GPS system allowed Risk Management to deactivate the unlicensed drivers' key fobs the instant each invalid license was discovered. The license audits, along with the GPS system on Board vehicles, is helping to ensure that we keep as many unlicensed drivers off the road as possible.

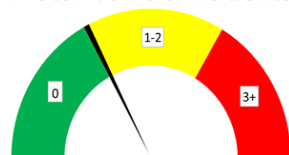
The Safety Department's incident data indicates an uptick in non-motor vehicle incidents in April. Safety is working with various departments' management and supervisors to review practices to make sure that safety measures and equipment are being used consistently to avoid further preventable incidents and injuries.

The Workers' Compensation Unit settled five aged, extremely costly Workers' Comp claims in April. The oldest of the claims had been open since 1996. The savings from the five April settlements totals over \$775,000 in future payroll and medical indemnity costs. Workers' Compensation is aggressively closing out extended claims by availing itself of statutory relief options when available, and pursuing settlements when they are the only avenue to terminating a claim and it is in the best interest of the Board to do so. Workers' Compensation is exploring all options on every case to handle employee injury claims in the most economical manner possible.

Please note that this report is the product of a collaboration between the Safety and Risk Management departments, and is broken down into five sections:

1. Safety
2. Accident Review Board
3. Workers' Compensation
4. Claims Office
5. Risk Management

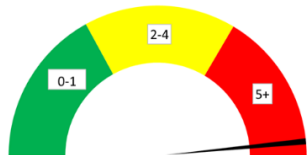
Motor Vehicle Incidents



1 Motor Vehicle Incidents

Target: 0 Motor Vehicle Incidents

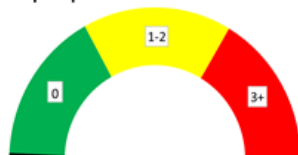
Non-Motor Vehicle Incidents



9 Non-Motor Vehicle Incidents

Target: 0 Non-Motor Vehicle Incidents

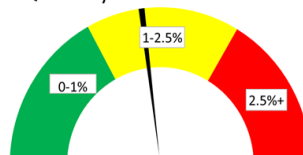
Improper PPE Use Incidents



0 Improper PPE Use Incidents

Target: 0 Improper PPE Use Incidents

Quarterly Drivers License Audit



1.73% Invalid Licenses

Target: 0-1% Invalid Licenses

OSHA Training Completions



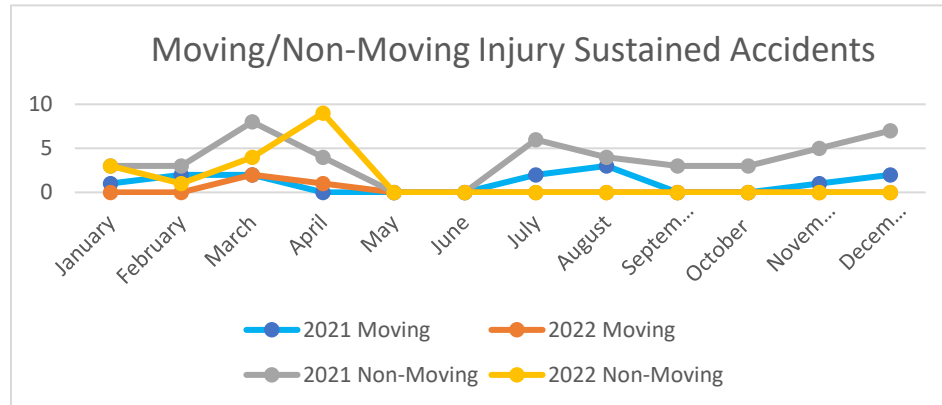
17 OSHA Training Completions

Target: 10+ OSHA Training Completions

Quick Look Dashboard

Safety

2022 Motor Vehicle & Non-Motor Vehicle Injury Sustained Incidents



During 2022, there were a total of three (3) Employee Sustained Incidents caused by Motor Vehicle Incidents. A total of seventeen (17) were Non-Motor Vehicle Incidents.

During 2021, there were a total of fourteen (14) Employee Sustained incidents caused by Motor Vehicle Incidents. A total of fifty-six (56) were Non-Motor Vehicle Incidents.

*Incident: any occurrence of an event in which Workers' Compensation is notified (minor or serious consequences)

*Motor Vehicle: Incident that involves motorized mobile machinery (vehicle, riding lawnmower, backhoe machine, etc.)

*Non-Motor Vehicle: Incident that does not involve motorized mobile machinery.

Departmental view of MVI and Non-MVIs reported in 2022

Department	MVI	Non-MVI	Total
Zone 5	0	1	1
Zone 6	0	1	1
Unmanned Drainage Stations	0	1	1
Automatic-Stations Algiers	0	1	1
Meter Reading & Investigations	2	4	6
Zone 7	0	1	1
Building Maintenance	0	1	1
Welding & Fabrication	0	1	1
Maintenance and Relief-MWP	0	2	2
Satellite Garage	0	1	1
Central Yard Garage	0	2	2
Zone 2	0	1	1
In Plant Systems	1	0	1
Total	3	17	20

Safety Training

The Safety Department has conducted the following safety training since last month:

Eye Strain

Hazard Assessment

OSHA 10 in-class training (17 employees)

Safety Coordinators

Completed 12 jobsite visits

Safety Liaison Program

The Safety Liaison Program participants conducted the following tasks since last month:

1 Job Hazard Analysis each

Safety Incident Investigation Report

Below is the Safety Incident Investigation tracking report for incidents that required a Safety Investigation. The report outlines the type of incident, date the investigation was closed, and recommended action to prevent the same type of accident from happening again.

Department	Date of Incident	Type of Incident	Description	Recommended Action	Closed Date
Meter Reading	3/23/2022	Injury	Employee suffered a back injury during a vehicle accident when a Board vehicle was hit on the side by another driver attempting to change lanes.	No recommendation to avoid this type of incident because the incident was non-preventable.	4/8/2022
Meter Reading	3/23/2022	Injury	Employee suffered abrasions on back and right ear, a shoulder sprain, neck pain, and injury to lip as a result of a vehicular incident in which the Board vehicle was hit on the side and rear by another driver attempting to change lanes.	No recommendation to avoid this type of incident because the incident was non-preventable.	4/8/2022
Networks Zone 7	3/22/2022	Injury	Employee was climbing on a flush truck when he slipped off a step, causing him to hit and injure his right knee.	The Safety Department recommends that a 5-10 minute remedial training be given to the workers prior to leaving the yard in the morning or at the beginning of their shift emphasizing the correct way to enter or exit Board vehicles. The training should be given to all drivers and workers.	4/11/2022
Facility Maintenance	3/31/2022	Injury	Employee was inside a reservoir using a 4-inch grinder with a cutting wheel that was not meant for that tool to cut a handrail when the grinder became stuck in the pipe and kicked back, causing a laceration on the left wrist that penetrated a main artery. Also note the safety handle was removed from the tool.	Team members should follow manufacturers' recommendations when using power tools. No tool should be modified without manufactures' approval. Current grinders need guards and handles replaced. Management is responsible for implementing rules and procedures immediately.	4/14/2022
Meter Reading	4/6/2022	Injury	Employee was attempting to read a meter when a car came from behind the employee and hit him. As a result, the employee backed into a utility box, twisting his right ankle and hitting his back and the back of his head. Employee injured his back and toe on right foot during the incident.	Management should provide high-visibility shirts to employees to avoid further accidents such as this. Vests should be issued as a required part of work attire. It is imperative that management and supervisors ensure that employees adhere to the work attire policy given. Also, while working, employees should be alert and keep in mind that work environments are constantly changing.	4/22/2022

OSHA 10 and OSHA 30 Training

SWBNO Employee OSHA 10 Completions by Month of 2022-2021-2020

Year	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	Total
2022	12	17	19	17	-	-	-	-	-	-	-	-	65
2021	1	5	1	0	0	9	10	0	0	1	0	0	27
2020	0	0	0	0	0	0	0	0	4	1	1	2	8

SWBNO Employee OSHA 30 Completions by Month of 2022-2021-2020

Year	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	Total
2022	1	0	1	0	-	-	-	-	-	-	-	-	2
2021	1	0	0	0	1	0	0	0	1	2	0	0	5
2020	0	0	0	0	0	1	0	0	0	0	1	0	2



Accident Review Board

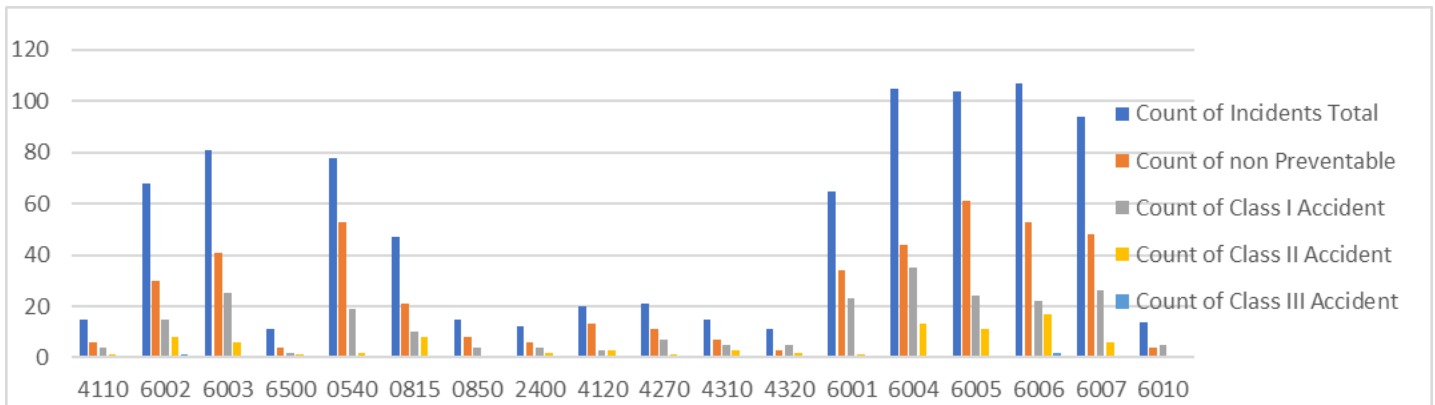
2007-2022

The Purpose and Mission of the Accident Review Board is to reduce the number of safety related incidents and injuries to Sewerage and Water Board equipment and staff. We strive to reduce incidents by recognizing areas that need improvement and create training opportunities to mitigate any future incidents.

Accident Review Board Data

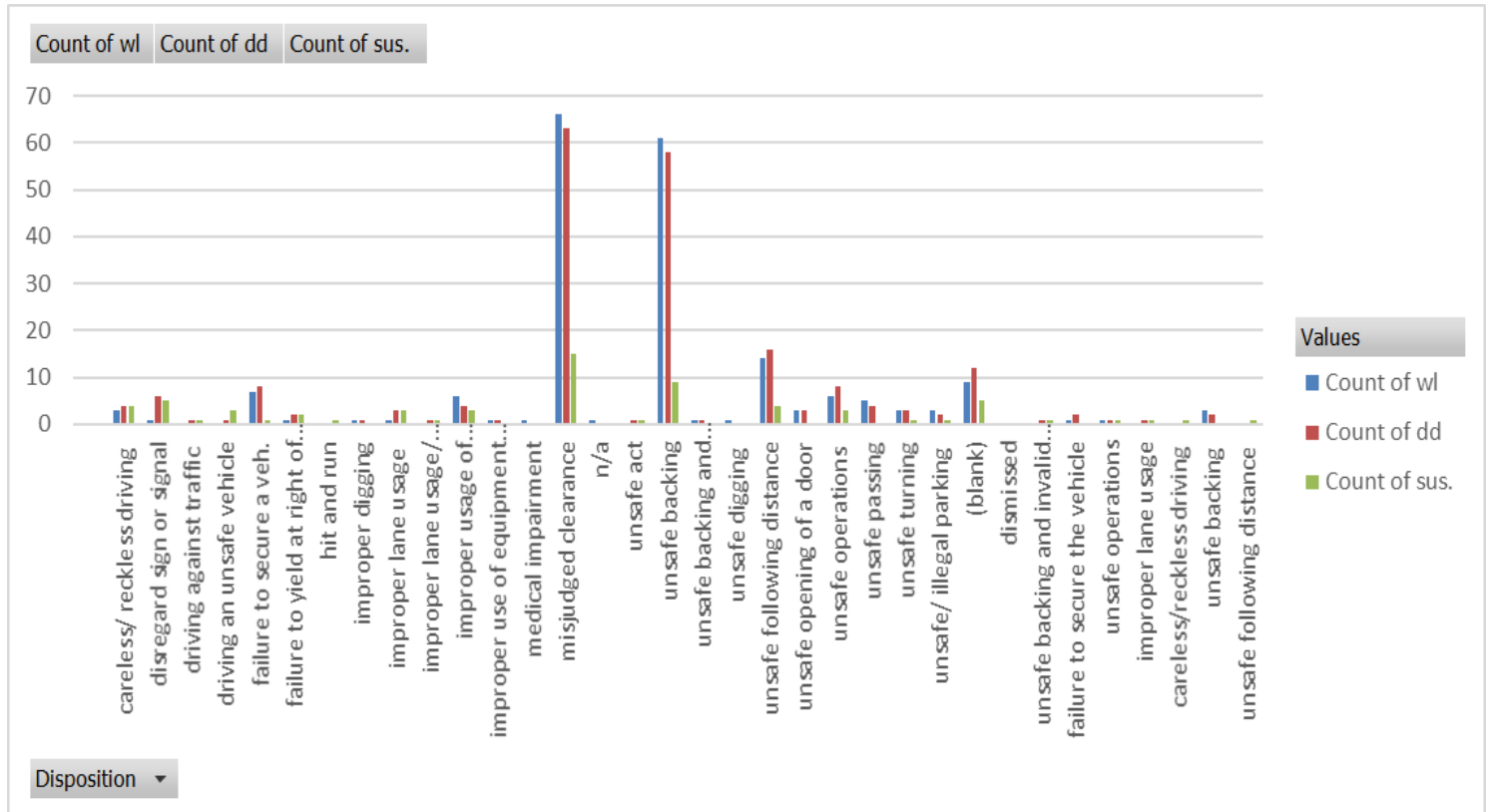
The above graph reflects the information collected in the Safety Unit, ARB folders with 10 or more accidents from 2007-2022 by Department Organization Codes. Please see the next page for ARB Classification Descriptions.

*2021 data still in review



6001-Networks Zone 1
 6002-Networks Zone 2
 6003-Networks Zone 3
 6004-Networks Zone 4
 6005-Networks Zone 5
 6006-Networks Zone 6
 6007-Networks Zone 7
 6010-Field Service Center
 6500-Technical Services
 0540-Meter Reading
 0815-Grounds Maintenance
 0850-Warehouse & Grounds
 4110-Outside Systems
 4120-In Plant Systems
 4270-Meter Repairs
 4310-Carrolton

Accident Review Board Data



Disposition Legend

wl: warning letter

dd: defensive driving

sus.: suspension

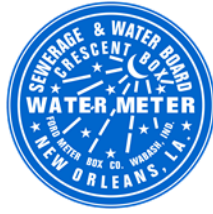


ARB CLASSIFICATION DESCRIPTIONS

Offense Classifications

A preventable “Vehicle”, “Heavy Equipment” and “Non-Vehicular” accident falls into one of three classifications, Class I, II, or III, defined as follows:

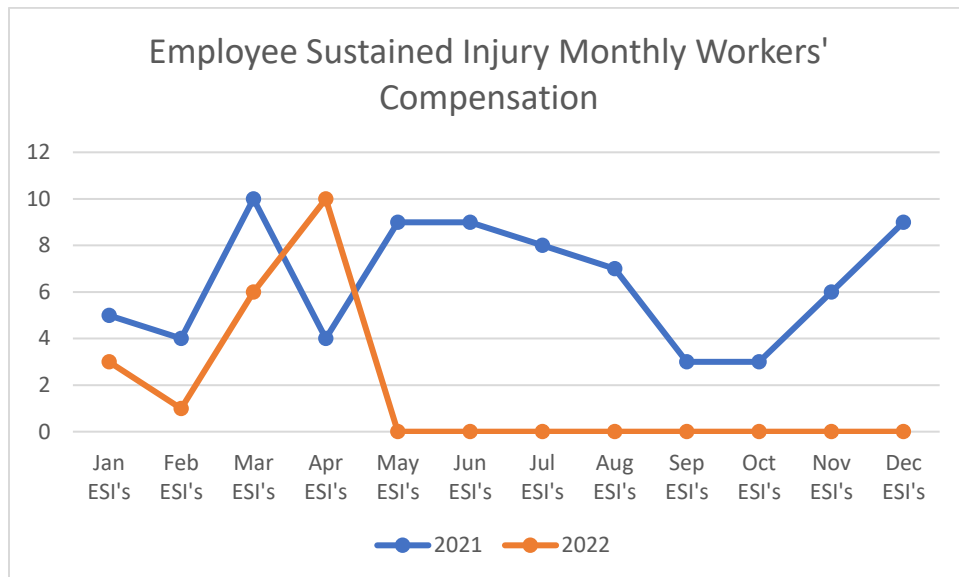
- **Class I Accident** is an accident in which the involved employee(s) shared a portion or all the responsibility for its occurrence. The potential severity of a Class I accident is considered by the ARB to be minor in nature. A Class I accident remains on an employee’s record for one (1) year.
- **Class II Accident** is an accident in which the involved employee(s) shared a portion or all the responsibility for its occurrence. The potential severity of a Class II accident is considered by the ARB to be of serious consequence. A Class II accident remains on an employee’s record for two (2) years.
- **Class III Accident** is an accident in which the involved employee(s) has flagrantly disregarded traffic laws and safe driving or operational practices. Any accident in which there is the potential for loss of life, severe, and long-lasting debilitating injuries and/or extensive property damage is classified as a Class III accident. A Class III accident remains on an employee’s record for three years.



Workers' Compensation

2022 Statistics

The charts below represent claims that were reported to Workers' Compensation where employees sustained injuries (ESI).



Total number of claims reported in April: 10

Workers' Comp Employee Sustained Injuries: 2022 and 2021													
Year	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	Total
2022	3	1	6	10	0	0	0	0	0	0	0	0	20
2021	5	4	10	4	9	9	8	7	3	3	6	9	77

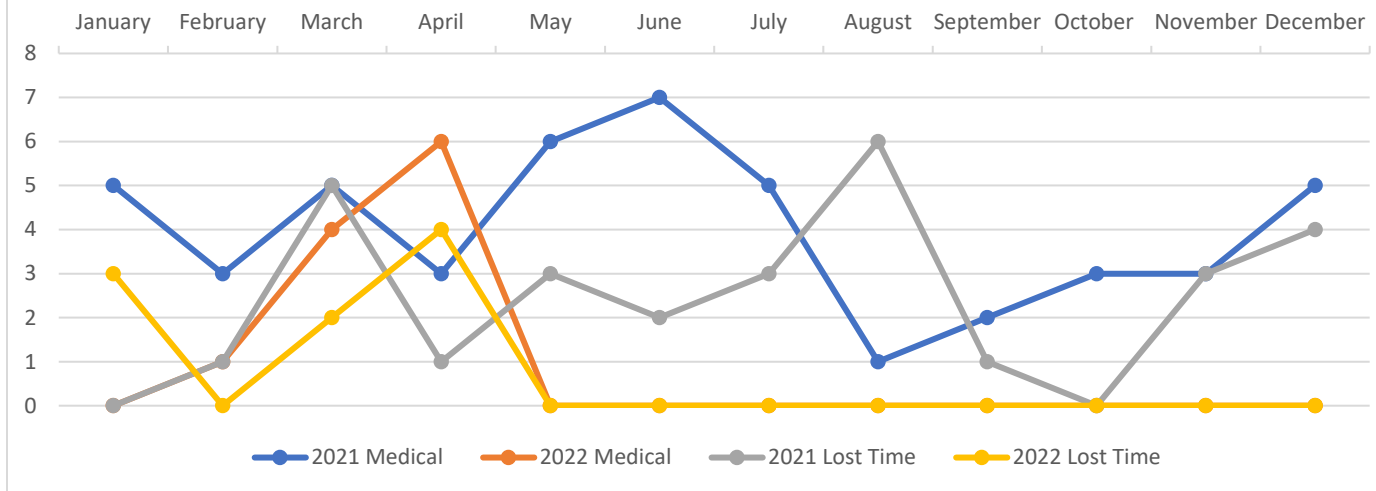
Comparison of 2022 & 2021 ESIs: As of April 2022, injury cases decreased by 3 over April 2021. The overall percentage has decreased by 13%, or 3 injuries, as of April 2022 as compared to 23 injuries as of April 2021.

Goal: To educate and communicate with departments on data found and collaborate on a plan that reduces the amount of injuries and risk for the agency.

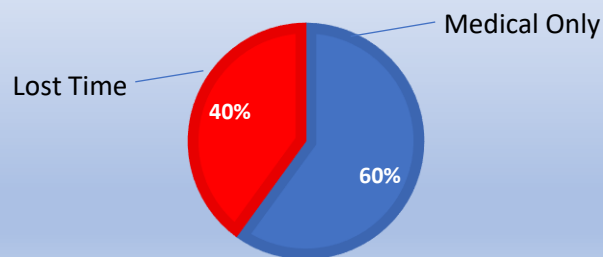


Workers' Compensation 2022 Claims Statistics

Workers' Compensation Medical/Lost Time Statistics



APRIL 2022 WORKERS' COMPENSATION CLAIMS



Medical Only: Of the 10 Claims, 6 claims required medical treatment only and employees returned to work within a week.

Lost Time: Of the 10 Claims, 4 claims required medical treatment and paid wages as the employees were not able to return to work within the week of the injury.

Goal: Safety Department will evaluate claims in a timely manner that ensures proper Personal Protective Equipment (PPE) and safety training is made available to department managers to reduce accidents. The Workers' Compensation Unit will evaluate claims in a timely manner that ensures prompt medical treatment so that employees are returned into the workforce as efficiently and medically stable as possible. This will aid in maintaining an active and healthy workforce.



Workers' Compensation Personal Protection Equipment (PPE) 2022 Statistics

Workers' Compensation tracks the use of Personal Protection Equipment (PPE). This safety equipment should be used during work activity to protect against health and safety risks. The information below details the use of PPE as it relates to the body part injured as a result of the accident.

April 2022 Personal Protection Equipment Statistics

Department	IMS #	Body Part Injured	Accident Type	PPE? Yes/No or N/A
Maintenance and Relief-MWP	10285	Right Hand	Vehicle or Equipment Collisions	Yes
Maintenance and Relief-MWP	10291	Left Hand	Slips, Trips, & Falls	Yes
Meter Reading & Investigations	10295	Arm	Insect/Animal Bites or Stings	Yes
Satellite Garage	10296	Left & Right Hand	Chemical Burns	N/A
Meter Reading & Investigations	10297	Foot	Vehicle or Equipment Collisions	Yes
Central Yard Garage	10301	Face	Struck by falling/flying objects	N/A
Meter Reading & Investigations	10302	Eye	Struck by falling/flying objects	Yes
Zone Two	10303	Back	Muscle/Repetitive Strains	Yes
In Plant Systems	10305	Back	Vehicle or Equipment Collisions	Yes
Central Yard Garage	10306	Arm	Struck by falling/flying objects	N/A



Workers' Compensation Claims Tracking

Total Open Claims By Date*

Number of Open Claims (03-31-2022)	Number of Open Claims (05-11-2022)	Net Change
42	40	-2

Total Extended Open Claims By Date (Claims Open Longer than One Year)

Number of Extended Open Claims (04-01-2022)	Number of Extended Open Claims (04-30-2022)	Net Change
34	29	-5

*Number of claims are captured on payroll dates and are based on total weekly and bi-weekly payroll indemnity recipients, and do not include medical-only claims.



Workers' Compensation Claims Settled

Date of Injury	Date Settlement Finalized	Projected Future Indemnities	Projected Future Medicals	Projected Future Exposure Total	Settlement Amount	Projected Savings
April 16, 2014	December 20, 2021	\$24,286.08	\$60,481.74	\$84,767.82	\$24,000.00	\$60,767.82
July 20, 1983	February 25, 2022	\$0.00*	\$15,000.00	\$15,000.00	\$14,000.00	\$1,000.00
June 20, 2019	<u>April 13, 2022</u>	\$210,702.96	\$10,000.00	\$220,702.96	\$67,500.00	\$153,202.96
July 2, 2018	<u>April 13, 2022</u>	\$197,664.04	\$19,289.42	\$216,953.46	\$75,000.00	\$141,953.46
January 22, 1996	<u>April 13, 2022</u>	\$251,059.38	\$65,000.00	\$316,059.38	\$150,000.00	\$166,059.38
September 14, 2018	<u>April 13, 2022</u>	\$118,950.28	\$37,175.22	\$156,125.50	\$67,000.00	\$89,125.50
June 11, 2018	<u>April 13, 2022</u>	\$175,000.00	\$160,000.00	\$335,000.00	\$110,000.00	\$225,000.00

Total projected savings for claims settled since October, 2021: \$837,109.12

*Although there was no statutory future indemnity exposure, indemnities were still being paid, and would have continued to be paid in the absence of settlement or termination of indemnities, which likely would have resulted in litigation and associated costs.



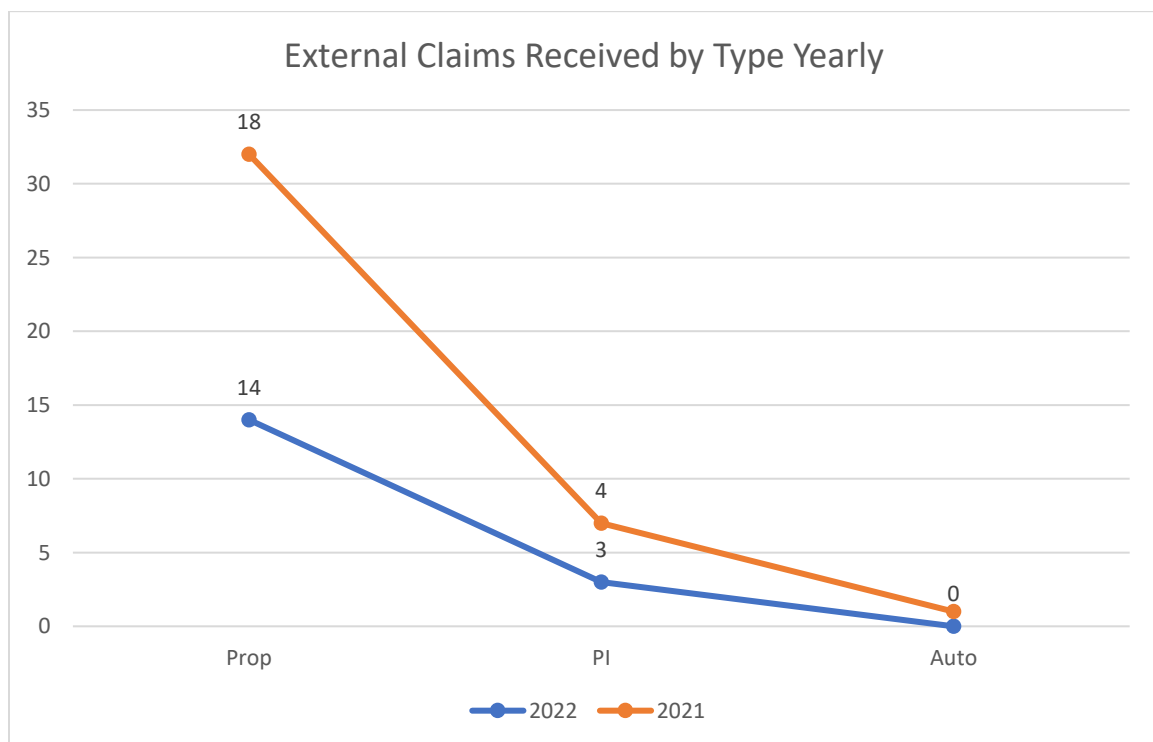
Claims Office

External Claims:

There has been report of 1 total claim since last month's report. These claims include vehicular, property, and personal injury external claims from members of the public and do not include employee claims. Compared to last month's report of 6 claims total for the year, the claims increased by 1.

All Major Claims (Claims over an estimated value of 5k in damages) with Safety response are listed below.

None.



External Claims Received by Month of 2022 and 2021

Year	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	Total
2022	3	7	6	1	0	0	0	0	0	0	0	0	17
2021	6	4	4	4	1	1	0	1	0	1	1	0	23

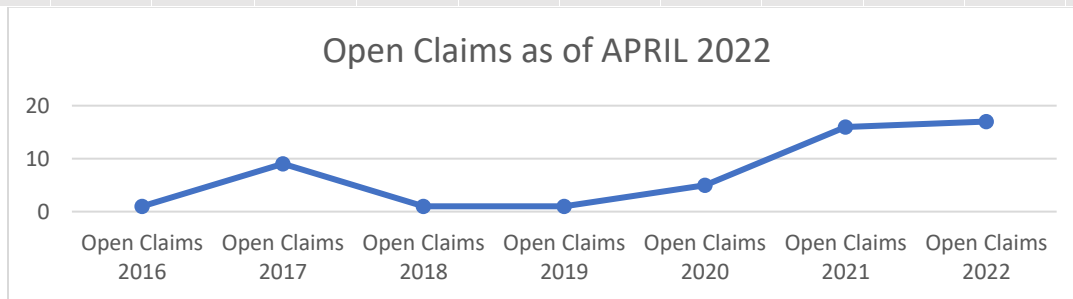


2022 Open and Closed Damage Claims

Month	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	Total
Received Claims	3	7	6	1	0	0	0	0	0	0	0	0	17
Closed Claims	3	3	15	2	0	0	0	0	0	0	0	0	23

2021 Open and Closed Damage Claims

Month	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	Total
Received Claims	6	4	4	4	1	1	0	1	0	1	1	0	23
Closed Claims	8	8	5	9	5	9	8	6	10	9	3	7	87



External Open Claims by Year		
Year	Number	Status
2016	1	In Litigation
2017	9	In Litigation
2018	1	In Litigation
2019	1	In Litigation
2020	5	In Review
2021	16	In Review
2022	17	Up for Review
Total	50	Total Number of Claims

External Open Claims by Type 2016-2022	
Number of Claims	Type of Claim
46	Property
3	Personal Injury
1	Auto
50	Total # of Claims

External Non-Vehicle Incident Claims:

There have been claims made for 1 non-vehicle incidents since last month's report. These incidents include occurrences where injury may or may not have occurred. Compared to last month's report of 5 non-vehicle incidents, the incidents decreased by 4. This number reflects how many claims were received over the past month, which is not indicative of the incident date.

All Major Incidents (Electrical/Gas line incidents or excessive damage to property) with appropriate Safety response are listed below.

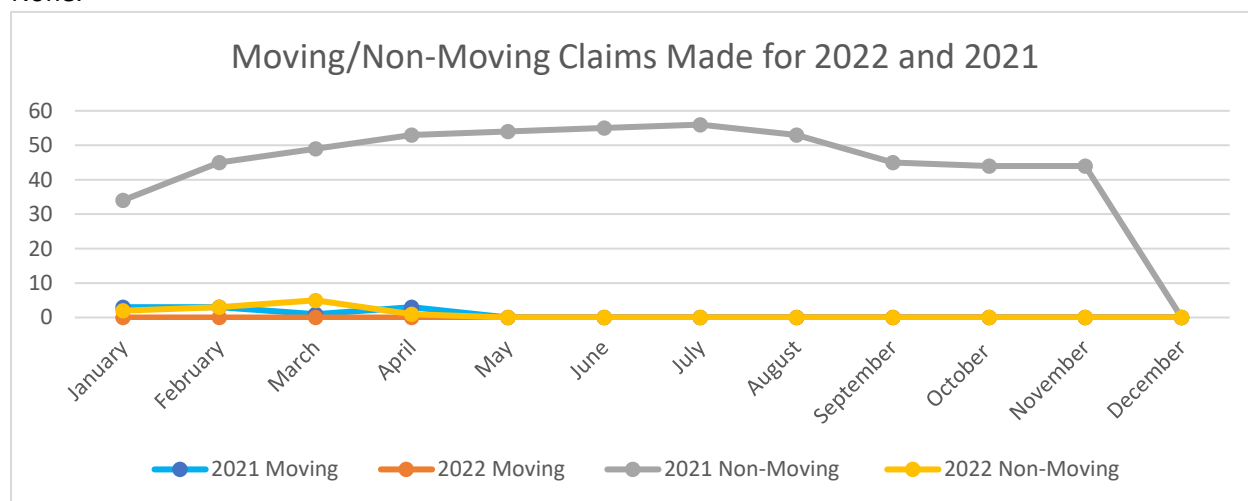
None.

External Vehicle Incident Claims:

There have been claims made for 0 vehicle incidents since last month's report. These incidents include occurrences where injury may or may not have occurred. Compared to last month's report of 0 vehicle incidents, the claims have not increased for the year total. This number reflects how many claims were received over the past month, which is not indicative of the incident date.

All Major Incidents (Vehicle Accidents resulting in injury or excessive damage to vehicles) with appropriate Safety response are listed below.

None.



Moving/Non-Moving Claims Made for 2022 and 2021

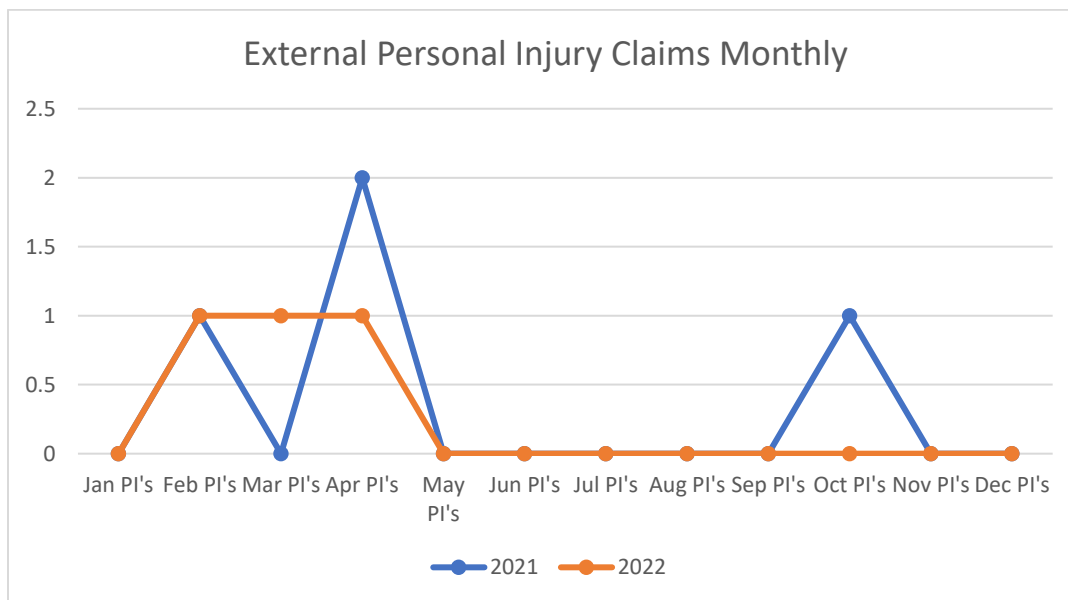
Accident Type	Year	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	Total
Moving	2022	0	0	0	0	0	0	0	0	0	0	0	0	0
Moving	2021	3	3	1	3	0	0	0	0	0	0	0	0	10
Non-Moving	2022	2	3	5	1	0	0	0	0	0	0	0	0	10
Non-Moving	2021	34	45	49	53	54	55	56	53	45	44	44	38	515

External Personal Injury Claims

There has been report of 1 external personal injury claim since last month's report. These claims include those made by members of the public and do not include employee claims. Compared to last month's report of 1 total personal injury claims, the incidents neither increased nor decreased for the year.

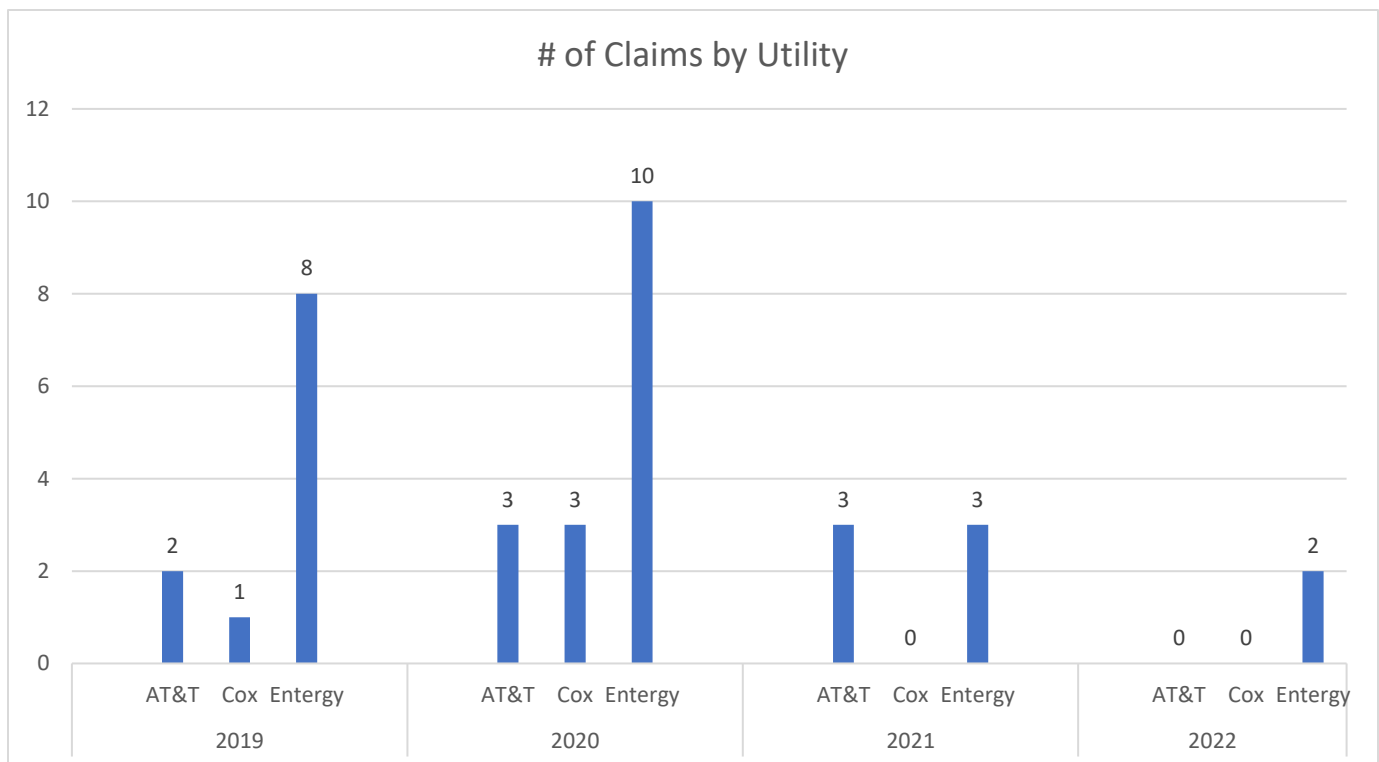
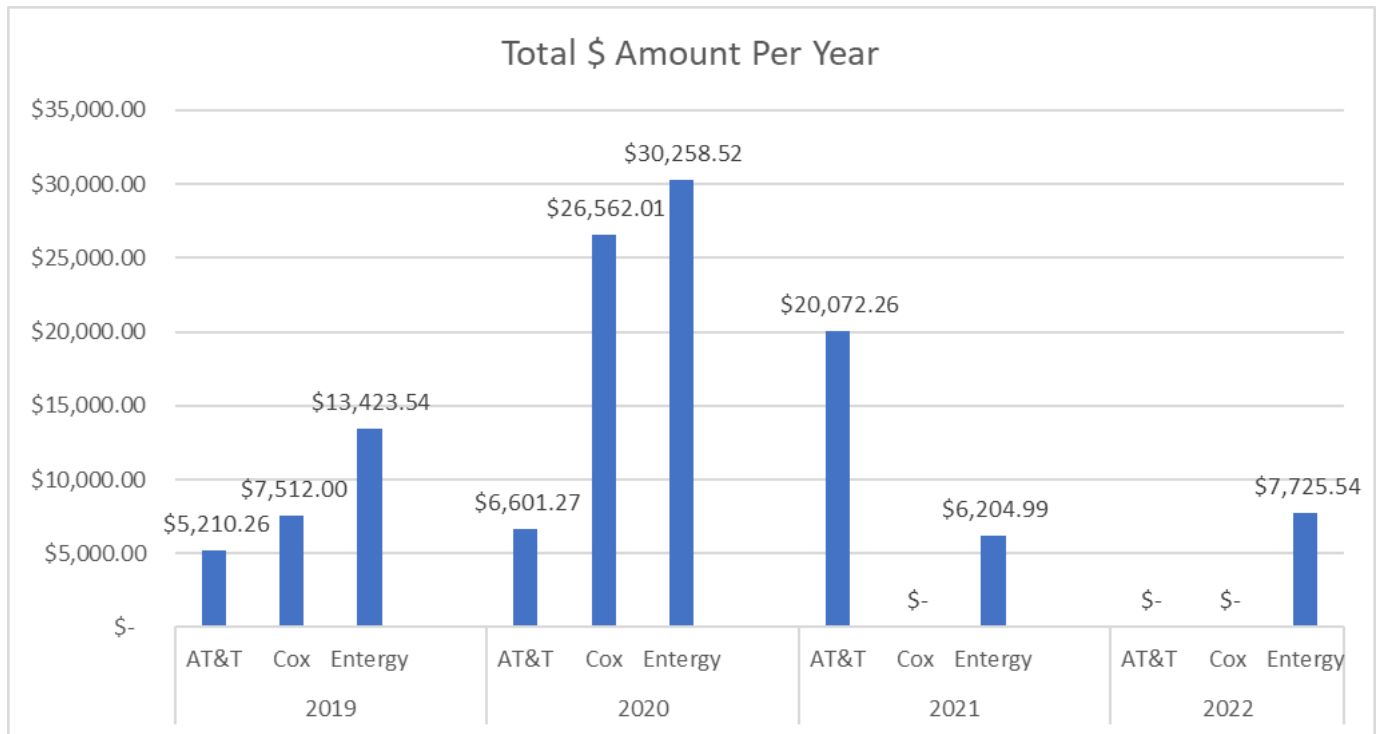
All Major Incidents (claims of over \$5,000) are listed below:

None.



External Personal Injuries of 2022 and 2021													
Year	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	Total
2022	0	1	1	1	0	0	0	0	0	0	0	0	3
2021	0	1	0	2	0	0	0	0	0	1	0	0	4

External Damage Claims by Utility





Risk Management Quarterly Driver's License Review April 2022

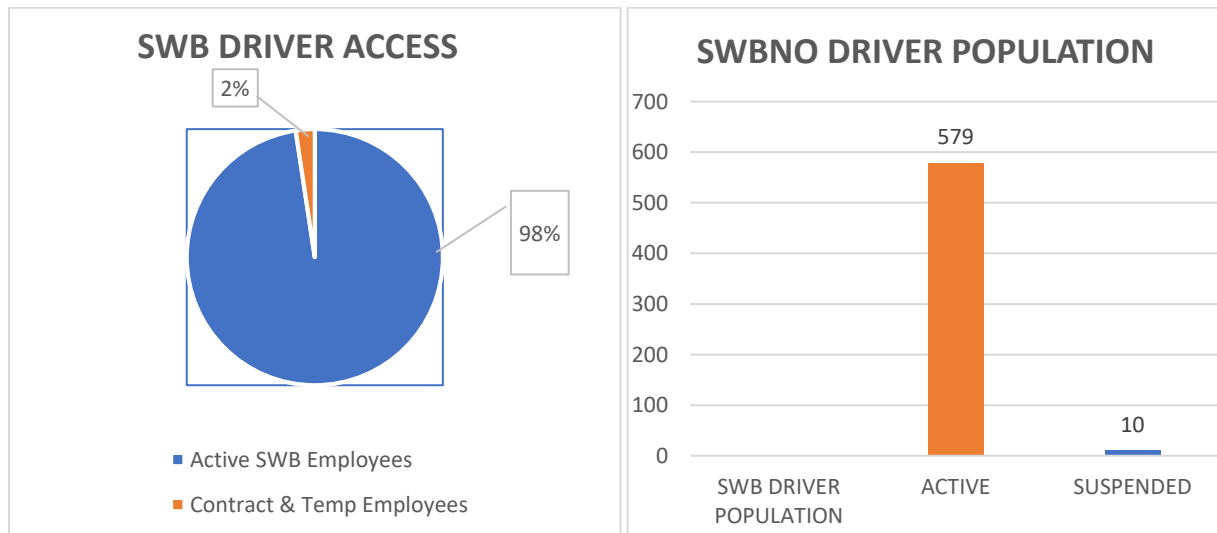
Methodology:

The Risk Management Office conducted a review of driver's license records for 100% of the active employee, contractor, and temporary employee driver population as of April 19, 2022. The Risk Management Office used multiple resources such as SWBNO records of active employees and Office of Motor Vehicles (OMV) records to retrieve an Official Driver's Record (ODR) that shows if an individual's license is expired or suspended.

Findings:

A thorough review and assessment of each active SWBNO driver revealed the following:

- Total population included 579 individuals that have clearance to drive a SWB vehicle.
- 10 of 579 individuals were found to have suspended or expired licenses during this audit.
- Statistically, this is 1.73% of all active, contract, and temporary drivers.
- These findings represent a substantial risk to the SWBNO.
- The Risk Management Office will continue quarterly and random sample verifications throughout 2022.



This review will be conducted quarterly by Risk Management to proactively detect invalid or expired licenses of fleet drivers.