

SEWERAGE & WATER BOARD OF NEW ORLEANS

FINANCE COMMITTEE MEETING

TUESDAY, JULY 7, 2015

9:00 AM

COMMITTEE MEMBERS

Mr. Wm. Raymond Manning, Chair • Mrs. Robin Barnes • Mr. Scott Jacobs • Mrs. Kerri Kane
• Vacant

FINAL AGENDA

ACTION ITEMS

1. Approval of Previous Report
2. General Superintendent's Recommendations
3. Change Orders
4. Commercial Flood Insurance Policy for Facilities – R-131-2015
5. Award of Contract to the Law Firm of Roedel Parson to Represent the Sewerage & Water Board of New Orleans in Construction Litigation Matter – R-132-2015
6. Executive Session
 - Brock Litigation Settlement
 - Oil Spill by the Oil Rig "Deepwater Horizon" in the Gulf of Mexico, on April 20, 2010, USDC, Eastern District of Louisiana, MDL NO. 2179, Sec. J

PRESENTATION ITEMS

7. Financial Results through May 2015
8. Implementation Status of the 2015 Capital Budget
9. Amendments to By-Laws of the Sewerage and Water Board
10. Topics for Future Discussions

INFORMATION ITEMS

11. Change Order Analysis
12. FEMA Project Worksheet Status
13. 2015 Committee/Board Meeting Schedule
14. Any Other Matters

REFERENCE MATERIALS (In Binders)

- A. Sewerage & Water Board By-Laws
- B. 2015 Operating and Capital Budgets
- C. 2011-2020 Strategic Plan
- D. Commitments to the City Council
- E. Bond Ratings Information



"RE-BUILDING THE CITY'S WATER SYSTEMS FOR THE 21ST CENTURY"

Sewerage & Water Board OF NEW ORLEANS

MITCHELL J. LANDRIEU, President
WM. RAYMOND MANNING, President Pro-Tem

625 ST. JOSEPH STREET
NEW ORLEANS, LA 70165 • 504-529-2837 OR 52W-ATER
www.swbno.org

June 2, 2015

TO THE HONORABLE PRESIDENT AND MEMBERS OF THE SEWERAGE AND WATER BOARD OF NEW ORLEANS

The Finance Committee of the Sewerage and Water Board of New Orleans met on Tuesday, June 2, 2015 at 8:00 a.m. in the Board Room, 625 St. Joseph Street to consider the following matters.

ATTENDANCE

Present:

Mr. Wm. Raymond Manning, Chair
Ms. Kerri Kane
Ms. Robin Barnes
Mr. Scott Jacobs

Absent:

Mr. Mark Moody

Also in attendance were Cedric S. Grant, Executive Director; Robert Miller, Deputy Director; Joseph Becker, General Superintendent; Madeline Goddard, Deputy General Superintendent; Harold Marchand, Deputy Special Counsel; Robert Jackson, Community & Intergovernmental Relations; Hazel Bell, Chief Accountant; Dexter Joseph, Budget Director; Emanuel Lain, Sr., Michele Holley, Budget Dept.; Joe Sensebe, Arcadis; Angela Roberts, CH2M Hill; Renee' Lapeyrolerie, CDM Smith; Raoul Chauvin, Infinity Engineering.

ACTION ITEMS

- Item 1** Mr. Scott Jacobs moved to accept the May 5, 2015 Finance Committee Report. Ms. Robin Barnes seconded and the motion carried.
- Item 2** Mr. Scott Jacobs moved to accept the General Superintendent's Recommendations (5-Bids, 2-Contracts, 2-Professional Service Contract Agreements, and 4-Award of Professional Service Contracts) listed below. Ms. Robin Barnes seconded the motion and the motion carried.

Bids

- R-104-2015 – REQ. NO. YW150007 – Furnishing & Delivering Hand Tools, Hardware Supplies, Paint & Paint Supplies to Assorted Products, LLC for the total amount of \$360,281.
- R-105 -2015 – REQ. NO. YW150008 – Furnishing & Delivering Hoses & Accessories to Atlas Hose & Gasket, Co. for the total amount of \$168,813.
- R-106-2015 – REQ. NO. YW150009 – Furnishing & Delivering Safety Supplies to Southeast Safety & Supply for the total amount of \$138,766.05.
- R-107-2015 – REQ. NO. SU150003 – Furnishing Janitorial Services for the SWB Main Office Building 625 ST. Joseph Street, 830 Julia Street Annex and 4021 Behrman Place, Suite M2 Annex to KSM Janitorial Services LLC for the total amount of \$120,000.00
- R-108-2015 – CONTRACT 3795 – Modification to the Return activated Sludge PS & Pipeline at the East Bank Sewer Treatment Plant to Industrial and Mechanical Contractors, Inc. for the total amount of \$1,677,000.00.

Contract Renewal

- R-109-2015 – REQ. NO. YW140030 – First & Final Renewal of Contract for Furnishing #1 All Purpose Rag Wipers to Assorted Products in the total amount of \$81,000.00.
- R-110-2015 – REQ. NO. YW140028 – First & Final Renewal of Contract for Furnishing Safety Shoes to Cintas Corporation in the total amount of \$105,650.00.

Professional Service Contract Amendment

- R-112-2015 – Ratification to the existing agreement with CH2M Hill for additional work required as part of their program management and design services for an additional amount not to exceed \$4,970,065.00.
- R-113-2015 – Ratification to the existing agreement with Waldemar S. Nelson and Company for additional work required to perform additional engineering services for a total amount of \$136,570.00.

Award of Professional Services Contracts

- R-114-2015 – Arcadis to provide engineering services, including geotechnical investigation, to provide the design for replacement of the Sycamore Filter Gallery Backwash Pumps located in the East Bank Water Purification Plant for an amount not to exceed \$654,000.00.
- R-115-2015 – Integrated Logistical Support, Inc. to provide design services related to water line replacement work in the above neighborhoods. ILSI will contract for a FEMA approved percentage of construction for design of water line replacement (including repair of replacement of drain lines as approved by City Department of Public Works) for the Desire Area, Desire Development, Gentilly Terrace and Milneburg neighborhoods, including supplemental services, engineering services, program/project management services for coordination efforts in an amount not to exceed 18% less the monies appropriated to date.

- R-116-2015 – Evans Graves Engineers Inc. to provide design and engineering services for the replacement of Sewer Pumping Station 1. The scope of services shall include providing all related design and bidding services for the replacement of the structure and pumps, required piping and appurtenances, all for an amount not to exceed \$262,120.00.
- R-117-2015 – Historic Faubourg Tremé Association to design, implement, maintain and monitor four rain gardens at the corner of St. Ann and N. Robertson for an amount not to exceed \$98,772.00.

Item 3 Mr. Scott Jacobs moved to approve the Change Orders listed below. Ms. Robin Barnes seconded the motion and the motion carried.

- R-099-2015 – Ratification of Change Order No. 1 for Contract 3986 – Lower 9th Ward Area Sewer Rehabilitation No. 5
- R-100-2015 – Ratification of Change Order No. 2 for Contract 2105 – Replacement of CBD/FQ Water Lines Damaged by Hurricane Katrina
- R-101-2015 – Ratification of Change Order No. 1 for Contract 3696 – Cleaning and CCTV Sanitary Sewer Mains at Scattered Sites Throughout Orleans Parish.
- R-102-2015 – Ratification of Change Order No. 12 for Contract 1350 – Hurricane Related Repairs to Turbine 4 At the Carrollton Water Purification Plant – Power Complex
- R-103-2015 – Ratification of Change Order No. 12 for Contract 3661 – Modifications to East Bank Wastewater Treatment Plant Flood Protection System

Item 4 Mr. Robert Miller recommended the approval of Preliminary Authorizing Resolutions for Water Revenue Service Bonds and Sewer Revenue Service Bonds not to exceed One Hundred Million Dollars (\$100,000,000) each of the City of New Orleans, Louisiana for the purpose of paying a portion of the Cost of Capital Improvements, funding a debt service reserve fund, if required, and paying the cost of issuance associated therewith. The Bonds shall be issued in one or more series, shall bear interest at a rate not to exceed seven percent (7.0%) per annum.

Mr. Scott Jacobs moved to approve the Preliminary Authorizing Resolutions (**R-118-2015 and R-119-2015**) for Water Revenue Service Bond and Sewer Revenue Service Bond. Ms. Kerri Kane seconded and the motion carried.

PRESENTATION ITEMS

Item 5 Mr. Joey Richard and Ms. Brandy Smith of Postlethwaite & Netterville presented the 2014 Comprehensive Annual Financial Report and Management Letter. There were no new policies for 2014 with the exception of the implementation of GASB 67 which are the new and expanded disclosures related to the Pension Plan. There were two findings of significant

deficiency related to accounting for FEMA receivables and closing out construction work in progress to fixed assets.

- Item 6** Mr. Grant talked about By Law Changes. Some of the proposed changes are to reduce the structure of committees down to three (3); minimize the transaction on the nature of the Board's business; and to delegate certain authority for procurement to executive management.
- Item 7** Mr. Miller presented the Financial Results through April 2015. Revenues show favorable to prior year; and expenses are low compared to budget. Reimbursements expected at the end of 2014 have been received from FEMA. The reimbursements have been placed back into the Bond Funds.
- Item 8** Under topics for future discussion, the Committee decided to change the meeting time from 8:00 a.m. to 9:00 a.m. beginning next month.

Items 9 -12

The Committee received all information items.

ADJOURNMENT

Mr. Scott Jacobs moved for adjournment and Ms. Kerri Kane seconded. The Finance Committee adjourned at 9:25 a.m.

Respectfully submitted,

Mr. Wm. Raymond Manning, Chair

**GENERAL SUPERINTENDENT RECOMMENDATIONS
FOR THE JULY 15, 2015 MEETING**

- A. BIDS** A listing of the bids received during the month of June is included in the following report. A brief summary is attached for your review.

- Item 1 - R-123-2015 - FURNISHING AIR CONDITIONING AND HEATING MAINTENANCE FOR CENTRAL YARD - REQ. NO. YO150020
- Item 2 - R-124-2015 - FURNISHING ANNUAL SERVICE AWARDS - REQ. NO. ED150009
- Item 3 - R-125-2015 - FURNISHING EIC AWARD PROGRAM BANQUET ACCOMMODATION - REQ. NO. YW150008
- Item 4 - R-126-2015 - CONTRACT 1371 - HMGP STRUCTURAL GENERAL CONTRACT RETROFIT POWER PLANT MAIN WATER PURIFICATION PLANT POWER COMPLEX
- Item 5 - R-127-2015 - CONTRACT 3737 - CARROLLTON AREA SEWER REHABILITATION MISTLETOE STREET 18-INCH SEWER LINE REPLACEMENT
- Item 6 - R-128-2015 - CONTRACT 30014 - CLEANING AND CCTV INSPECTION OF SANITARY SEWER MAINS AT SCATTERED SITES WITHIN ORLEANS PARISH
- Item 7 - R-129-2015 - CONTRACT 30016 - RESTORATION OF EXISTING GRAVITY FLOW SANITARY SEWERS BY EXCAVATION AND REPLACEMENT FROM MANHOLE TO MANHOLE, CIPP LINING FROM MANHOLE TO MANHOLE, CIPP LINING OF SERVICE LATERALS AND POINT REPAIR AT VARIOUS SITES THROUGHOUT THE CITY OF NEW ORLEANS
- Item 8 - R-130-2015 - CONTRACT 5238 - EQUIPMENT PURCHASE AND INSTALLATION, INCLUDING STARTUP AND TRAINING FOR STORMWATER MONITORING AND SAMPLING FOR MUNICIPAL SEPARATE STORM SEWER SYSTEM PERMIT STORMWATER OUTFALLS

**GENERAL SUPERINTENDENT RECOMMENDATIONS
REPORT OF FINAL ACCEPTANCE TO BE
CONSIDERED BY THE FINANCE COMMITTEE AND
THE BOARD'S MEETING OF JULY 15, 2015**

During June 2015 bids were received and evaluated (as per attached tabulations) and on various items as follows:

**1. FURNISHING AIR CONDITIONING AND HEATING MAINTENANCE FOR
CENTRAL YARD - REQ. NO. YO150020**

One (1) bid was received on June 18, 2015 for furnishing air conditioning and heating maintenance for Central Yard. It is recommended that the bid of **Siemens Industry, Inc.** in the total amount \$50,750.00, be accepted based upon the technical review of the proposals.

There is no DBE participation.

The estimated amount for this project is \$75,000.00

Funds for this project are budgeted under Object Code 3220 (Repair and Maintenance Building and Furniture) and Commodity Code 91036000000 (Air Conditioning Maintenance and Repair).

2. FURNISHING ANNUAL SERVICE AWARDS - REQ. NO. ED150009

One (1) bid was received on June 18, 2015 for furnishing annual service awards. It is recommended that the bid of **J. Brandt Recognition** in the total amount of \$21,955.25, be accepted based upon the technical review of the proposals.

There is no DBE participation.

The estimated amount for this project is \$30,950.00

Funds for this project are budgeted under Account Code 0010 (Executive Director) and Object Code 5835 (Employee Recognition).

GENERAL SUPERINTENDENT RECOMMENDATIONS (CONT'D)

July 15, 2015

Page 2

3. FURNISHING EIC AWARD PROGRAM BANQUET ACCOMMODATION - REQ. NO. YW150008

Two (2) bids were received on June 18, 2015 for furnishing EIC award program banquet accommodation. It is recommended that the low bid of **The Hilton New Orleans Riverside Hotel** in the total amount **\$35,367.58**, be accepted based upon the technical review of the proposals.

The two (2) bidders are as follows:

- | | |
|--|--------------------|
| 1. The Hilton New Orleans Riverside Hotel | \$35,367.58 |
| 2. New Orleans Marriott Hotel | 80,000.00 |

There is no DBE participation.

The estimated amount for this project is \$39,800.00.

Funds for this project are budgeted under Account Code 0010 (Executive Director) and Object Code 5835 (Employee Recognition).

4. CONTRACT 1371 - HMGP STRUCTURAL GENERAL CONTRACT RETROFIT POWER PLANT MAIN WATER PURIFICATION PLANT POWER COMPLEX

Three (3) bids were received on June 5, 2015 for performing work under Contract 1371. It is recommended that the low bid of **Alfred Conhagan, Inc. of La** in the total amount of **\$2,440,000.00**, be accepted based upon the technical review of the proposals.

The three (3) bidders are as follows:

- | | |
|--|-----------------------|
| 1. Alfred Conhagan, Inc. of La. | \$2,440,000.00 |
| 2. Industrial & Mechanical Contractors, Inc. | 2,584,000.00 |
| 3. Lou-Con, Inc. | 3,500,000.00 |

The DBE participation is 36%.

The estimated amount for this project is \$2,600,000.00.

Funds for this project are budgeted under Capital Program 676 () and FEMA funded by Hazard Mitigation Grant Program (HMGP) - Power House. This contract is 100% FEMA funded.

GENERAL SUPERINTENDENT RECOMMENDATIONS (CONT'D)

July 15, 2015

Page 3

**5. CONTRACT 3737 - CARROLLTON AREA SEWER REHABILITATION
MISTLETOE STREET 18-INCH SEWER LINE REPLACEMENT**

Two (2) bids were received on June 19, 2015 for performing work under Contract 3737. It is recommended that the low bid of **Wallace C. Drennan, LLC** in the total amount of **\$797,625.00**, be accepted based upon the technical review of the proposals.

The two (2) bidders are as follows:

- | | |
|-----------------------------------|---------------------|
| 1. Wallace C. Drennan, LLC | \$797,625.00 |
| 2. Cycle Construction, LLC | 799,917.00 |

The DBE participation is 16%.

The estimated amount for this project is \$1,000,000.00.

Funds for this project are budgeted under Capital Program 317 (Extension and Replacement - Gravity Mains EPA Consent Decree).

**6. CONTRACT 30014 - CLEANING AND CCTV INSPECTION OF SANITARY
SEWER MAINS AT SCATTERED SITES WITHIN ORLEANS PARISH**

Two (2) bids were received on June 19, 2015 for performing work under Contract 30014. It is recommended that the low bid of **Compliance EnviroSystems, LLC** in the total amount of **\$716,850.00**, be accepted based upon the technical review of the proposals.

The two (2) bidders are as follows:

- | | |
|---|----------------------|
| 1. Compliance EnviroSystems, LLC | \$ 716,850.00 |
| 2. Gulf Coast Underground | 1,105,000.00 |

The DBE participation is 36%.

The estimated amount for this project is \$1,000,000.00.

Funds for this project are budgeted under Account Code 30014.

GENERAL SUPERINTENDENT RECOMMENDATIONS (CONT'D)

July 15, 2015

Page 4

**7. CONTRACT 30016 - RESTORATION OF EXISTING GRAVITY FLOW
SANITARY SEWERS BY EXCAVATION AND REPLACEMENT FROM MANHOLE TO
MANHOLE, CIPP LINING FROM MANHOLE TO MANHOLE, CIPP LINING OF
SERVICE LATERALS AND POINT REPAIR AT VARIOUS SITES THROUGHOUT
THE CITY OF NEW ORLEANS**

Four (4) bids were received on June 23, 2015 for performing work under Contract 30016. It is recommended that the low bid of **Wallace C. Drennan, LLC** in the total amount of **\$4,277,481.00**, be accepted based upon the technical review of the proposals.

The four (4) bidders are as follows:

1. Wallace C. Drennan, LLC	\$4,277,481.00
2. Fleming Construction	5,095,615.00
3. Boh Bros. Construction Co., LLC	6,785,605.00
4. BLD Services, LLC	8,367,600.00

The DBE participation is 36%.

The estimated amount for this project is \$5,000,000.00.

Funds for this project are budgeted under Account Code 30016.

**8. CONTRACT 5238 - EQUIPMENT PURCHASE AND INSTALLATION,
INCLUDING STARTUP AND TRAINING FOR STORMWATER MONITORING AND
SAMPLING FOR MUNICIPAL SEPARATE STORM SEWER SYSTEM PERMIT
STORMWATER OUTFALLS**

One (1) bid was received on June 19, 2015 for performing work under Contract 5238. This bid is over the estimated amount. Due to current market conditions for this specialized equipment and due to the specialized nature of this equipment and the Board's requirement to perform this sampling to meet requirements found in the Municipal Separate Storm Sewer System permit issued by the LADEQ, It is, there, recommended that the bid of **Prime Controls, L.P.** in the total amount of **\$159,000.00**, be accepted based upon the technical review of the proposals.

GENERAL SUPERINTENDENT RECOMMENDATIONS (CONT'D)

July 15, 2015

Page 5

There is no DBE participation.

The estimated amount for this project is \$100,000.00.

Funds for this project are budgeted under 05238.

JRB/J

RJUL15.GSR

**FURNISHING AIR CONDITIONING AND HEATING MAINTENANCE FOR CENTRAL
YARD - REQ. NO. YO150020**

BE IT RESOLVED by the Sewerage and Water Board of New Orleans that one (1) bid was received on June 18, 2015 after advertising according to the Public Bid Law, for Furnishing Air Conditioning and Heating Maintenance for Central Yard. The bid was hereby accepted and contract awarded therefore to **Siemens Industry, Inc.** for the total amount of \$50,750.00.

I, Cedric S. Grant, Executive Director, Sewerage and Water Board of New Orleans, do hereby certify that the above and foregoing is a true and correct copy of a resolution adopted at the Regular Meeting of the said Board, duly called and held, according to law, on July 15, 2015.

CEDRIC S. GRANT
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

FURNISHING ANNUAL SERVICE AWARDS - REQ. NO. ED150009

BE IT RESOLVED by the Sewerage and Water Board of New Orleans that one (1) bid was received on June 18, 2015 after advertising according to the Public Bid Law, for Furnishing Annual Service Awards. The bid was hereby accepted and contract awarded therefore to **J. Brandt Recognition** for the total amount of \$21,955.25.

I, Cedric S. Grant, Executive Director, Sewerage and Water Board of New Orleans, do hereby certify that the above and foregoing is a true and correct copy of a resolution adopted at the Regular Meeting of the said Board, duly called and held, according to law, on July 15, 2015.

CEDRIC S. GRANT
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**FURNISHING EIC AWARD PROGRAM BANQUET ACCOMMODATION - REQ. NO.
YW150008**

BE IT RESOLVED by the Sewerage and Water Board of New Orleans that two (2) bids were received on June 18, 2015 after advertising according to the Public Bid Law, for Furnishing EIC Award Program Banquet Accommodation. The low bid was hereby accepted and contract awarded therefore to **The Hilton New Orleans Riverside Hotel** for the total amount of \$138,766.05.

I, Cedric S. Grant, Executive Director, Sewerage and Water Board of New Orleans, do hereby certify that the above and foregoing is a true and correct copy of a resolution adopted at the Regular Meeting of the said Board, duly called and held, according to law, on July 15, 2015.

CEDRIC S. GRANT
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**CONTRACT 1371 - HMGP STRUCTURAL GENERAL CONTRACT RETROFIT POWER
PLANT MAIN WATER PURIFICATION PLANT POWER COMPLEX**

BE IT RESOLVED by the Sewerage and Water Board of New Orleans that three (3) bids were received on June 5, 2015 after advertising according to the Public Bid Law, for performing work under Contract 1371. The low bid was hereby accepted and contract awarded therefore to **Alfred Conhagen, Inc. of La.** for the total amount of **\$2,440,000.00.**

I, Cedric S. Grant, Executive Director, Sewerage and Water Board of New Orleans, do hereby certify that the above and foregoing is a true and correct copy of a resolution adopted at the Regular Meeting of the said Board, duly called and held, according to law, on July 15, 2015.

CEDRIC S. GRANT
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**CONTRACT 3737 - CARROLLTON AREA SEWER REHABILITATION MISTLETOE
STREET 18-INCH SEWER LINE REPLACEMENT**

BE IT RESOLVED by the Sewerage and Water Board of New Orleans that two (2) bids were received on June 19, 2015 after advertising according to the Public Bid Law, for performing work under Contract 3737. The bid was hereby accepted and contract awarded therefore to **Wallace C. Drennan, LLC** for the total amount of **\$797,625.00**.

I, Cedric S. Grant, Executive Director, Sewerage and Water Board of New Orleans, do hereby certify that the above and foregoing is a true and correct copy of a resolution adopted at the Regular Meeting of the said Board, duly called and held, according to law, on July 15, 2015.

CEDRIC S. GRANT
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**CONTRACT 30014 - CLEANIND AND CCTV INSPECTION OF SANITARY SEWER
MAINS AT SCATTERED SITES WITHIN ORLEANS PARISH**

BE IT RESOLVED by the Sewerage and Water Board of New Orleans that two (2) bids were received on June 19, 2015 after advertising according to the Public Bid Law, for performing work under Contract 30014. The bid was hereby accepted and contract awarded therefore to **Compliance EnviroSystems, LLC** for the total amount of **\$716,850.00**.

I, Cedric S. Grant, Executive Director, Sewerage and Water Board of New Orleans, do hereby certify that the above and foregoing is a true and correct copy of a resolution adopted at the Regular Meeting of the said Board, duly called and held, according to law, on July 15, 2015.

CEDRIC S. GRANT
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

CONTRACT 30016 - RESTORATION OF EXISTING GRAVITY FLOW SANITARY SEWERS BY EXCAVATION AND REPLACEMENT FROM MANHOLE TO MANHOLE, CIPP LINING FROM MANHOLE TO MANHOLE, CIPP LINING OF SERVICE LATERALS AND POINT REPAIR AT VARIOUS SITES THROUGHOUT THE CITY OF NEW ORLEANS

BE IT RESOLVED by the Sewerage and Water Board of New Orleans that four (4) bids were received on June 23, 2015 after advertising according to the Public Bid Law, for performing work under Contract 30016. The low bid was hereby accepted and contract awarded therefore to **Wallace C. Drennan, LLC** for the total amount of **\$4,277,481.00**.

I, Cedric S. Grant, Executive Director, Sewerage and Water Board of New Orleans, do hereby certify that the above and foregoing is a true and correct copy of a resolution adopted at the Regular Meeting of the said Board, duly called and held, according to law, on July 15, 2015.

CEDRIC S. GRANT
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**CONTRACT 5238 - EQUIPMENT PURCHASE AND INSTALLATION, INCLUDING
STARTUP AND TRAINING FOR STORMWATER MONITORING AND SAMPLING FOR
MUNICIPAL SEPARATE STORM SEWER SYSTEM PERMIT STORMWATER OUTFALLS**

BE IT RESOLVED by the Sewerage and Water Board of New Orleans that one (1) bid was received on June 19, 2015 after advertising according to the Public Bid Law, for performing work under Contract 5238. The bid was hereby accepted and contract awarded therefore to **Prime Controls, L.P.** for the total amount of **\$159,000.00.**

I, Cedric S. Grant, Executive Director, Sewerage and Water Board of New Orleans, do hereby certify that the above and foregoing is a true and correct copy of a resolution adopted at the Regular Meeting of the said Board, duly called and held, according to law, on July 15, 2015.

CEDRIC S. GRANT
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

RATIFICATION OF CHANGE ORDER NO. 2 FOR CONTRACT 3696 – CLEANING AND CCTV SANITARY SEWER MAINS AT SCATTERED SITES THROUGHOUT ORLEANS PARISH

WHEREAS, the Sewerage and Water Board entered into Contract 3696 with Blue Flash Sewer Service, Inc. for cleaning and inspections services in the bid amount of \$1,483,250.00, and

WHEREAS, the majority of the in house fleet of vactor trucks, used to clean sanitary sewers for emergency maintenance response and consent decree compliance, have been in the garage for maintenance for an extended period of time, and this has resulted in a backlog of sewer main cleaning.

WHEREAS, in an effort to ensure consent decree compliance, Blue Flash has been requested to provide additional sanitary services.

WHEREAS, this change order, in the amount \$250,000.00, brings the accumulated contract change order total to \$500,000, or 33.7% of the original contract value.

NOW THEREFORE BE IT RESOLVED, the approval of Change Order No. 2 for Contract 3696 be ratified by the Sewerage and Water Board.

I, Cedric S. Grant, Executive Director,
Sewerage and Water Board of New Orleans, do hereby
certify that the above and foregoing is a true
and correct copy of a Resolution adopted at the Regular
Monthly Meeting of said Board, duly called and held,
According to law, on July 15, 2015

CEDRIC S. GRANT
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS



SEWERAGE AND WATER BOARD OF NEW ORLEANS

Inter-Office Memorandum

Date: June 11, 2015

From: Joseph Becker, P.E.
General Superintendent

To: Cedric Grant
Executive Director

Re: Contract 3696 – Cleaning and CCTV Inspection of Sanitary Sewer Mains at Scattered Sites Throughout Orleans Parish

CHANGE ORDER NO. 02

Description of Change Order: Add \$250,000.00 to contract budget. This contract was utilized to clean sanitary sewer mains throughout Orleans Parish in order to meet compliance with the EPA Consent Decree Requirements.

Original Contract Bid Price:	\$1,483,250.00
DBE Participation	36%
Previous Change Orders Approved:	1

This change order amount:	\$250,000.00
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Total Change Orders (% of Original Contract)	34%
Total Dollar Change Order Amount:	\$500,000.00

The Chief of Networks Department has reviewed this proposal and is recommending it for approval.

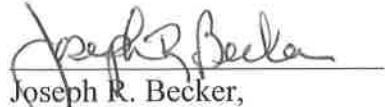
I concur:


Rudy August,
Chief of Networks

Date:

6/15/15

I concur


Joseph R. Becker,
General Superintendent

Date:

JUN 17 2015

cc: Rudy August, Steve Bass, Shannon Oldfield



SEWERAGE AND WATER BOARD OF NEW ORLEANS

Inter-Office Memorandum

Date: June 11, 2015

From: Rudy August,
Chief of Networks

To: Joseph Becker, P.E.
General Superintendent

Re: Change Order 02 for Contract 3696

Please add \$250,000.00 to the budget for Contract 3696, our Clean and CCTV Sanitary Sewer Mains Contract. Contract 3696 had a budget of \$1,483,250.00. This contract was utilized to clean sanitary sewer mains throughout Orleans Parish in order to meet compliance with the EPA. As a result the original contract budget for Contract 3696 was exceeded.

Appropriating these funds will enable Board staff to utilize the existing contract for cleaning and CCTV sewer mains while a new contract is prepared for public bid. The new contract 30014 will be put out to bid on June 19, 2015.

Thank you for your help in this matter and please call if you feel the need to discuss this matter.

A handwritten signature in dark ink, appearing to read "R. August", written over the printed name and title.

Rudy August,
Chief of Networks

cc: Steve Bass, Shannon Oldfield

SCOPE OF CHANGES
CONTRACT 3696
(PLAN CHANGE NO. 2)

ADDITIONAL ITEMS TO BE ADDED TO CONTRACT

Item No.	Detailed Description	Units	Unit Price	Quantity	Amount	Comments
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Additional \$250,000.00

Added to contract due to using

Contract for EPA Consent Decree

Requirements

Original Contract Amount:	<u>\$1,483,250.00</u>	Original Contract Days:	<u>730</u>
Amount of previous Change Orders:	<u>\$250,000.00</u>	Contract Days Previously Added:	<u>90</u>
Additional Dollar Amount THIS CHANGE ORDER:	<u>\$250,000.00</u>	Contract Days THIS CHANGE ORDER:	<u>60</u>

REVISED contract amount: \$1,983,250.00 Revised Contract Days: 880

Percent of Original contract Amount THIS CHANGE ORDER: 17%

Percent of Original contract Amount PREVIOUS CUMULATIVE CHANGE ORDERS: 17%

Percent of Original Contract Amount ALL CUMULATIVE CHANGE ORDERS: 34%

DBE Participation for this Contract: 36%

It is mutually agreed to perform and accept the above revisions in accordance with the original contract and applicable specifications for the above price.

Proposed By:

Nelly Cepant

Contractor

Blue Haze Senior Service, Inc.

Nelly Cepant-President

Contractor Representative

6/15/15

Date

Recommended By

Lamirano Baristo

S&WB Project Manager

[Signature]

Approved By

Chief of Networks

6/15/15

Date

CHANGE ORDER NO. 5 FOR CONTRACT 5223 - HURRICANE KATRINA RELATED REPAIRS TO ST. BERNARD AVENUE DRAINAGE UNDERPASS PUMPING STATION

WHEREAS, the Sewerage and Water Board entered into Contract 5223 with Lou-Con Construction, Inc. for FEMA funded repairs to the St. Bernard Avenue Drainage Underpass Pumping Station, and

WHEREAS, the motors required additional repairs, and Operations has decided it would be prudent to install new bias relays and do some additional painting at a cost of \$3,385.23, and

WHEREAS, the time associated with the performance of this work requires 74 days to be added to the contract period, and

WHEREAS, this Change Order, in the amount of \$7,134.77, brings the accumulated Contract change order total to \$537,962.62, or 210.3% of the original Contract value, and

NOW THEREFORE BE IT RESOLVED, that approval of Change Order No. 5 for Contract 5223 is ratified by the Sewerage and Water Board of New Orleans.

I, Cedric Grant, Executive Director,
Sewerage and Water Board of New Orleans, do hereby
certify that the above and foregoing is a true
and correct copy of a Resolution adopted at the Regular
Monthly Meeting of said Board, duly called and held,
according to law, on July 15, 2015.

CEDRIC GRANT, EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS



Sewerage & Water Board

Inter-Office Memorandum

Date: June 23, 2015

From: Joseph Becker, P.E.
General Superintendent

To: Cedric Grant
Executive Director

Re: Contract Number 5223 - Hurricane Katrina Related Repairs to St. Bernard Ave.
Drainage Underpass Pumping Station

Enclosed please find a recommendation from the Chief of Engineering, Ron Spooner for approval of Change Order No. 5 for the above contract.

This additional work is for installation of bias relays additional painting, and additional repairs to pump motor. This Change Order extends the length of the contract by 74 days. The required DBE participation on this Contract is 36% and the current participation is 20.7%. The Contractor is forecasted to meet a 21.6% DBE participation and has had discussions with the EDBP Department since the forecasted participation is less than the goal.

This Change Order is in the amount of \$7,134.77 represents 2.8% of the original bid amount, and brings the cumulative total Change Orders to \$537,962.62, which is 210.3% of the original bid amount. Funds for this Change Order are available through the \$100 million Revolving Fund provided by the State of Louisiana. This Change Order will not be eligible for reimbursement into the revolving loan fund.

I would appreciate you forwarding this to the attention of the appropriate committees of the Board for consideration and approval.

Joseph Becker, P.E.
General Superintendent

cc: M. Ron Spooner, Chief of Engineering
Reid Dennis, FEMA Program Management Supervisor
Tiffany Carter, EDB Director
Jason Higginbotham, Director of Emergency Management
Anita Simmons



Sewerage & Water Board

Inter-Office Memorandum

Date: June 22, 2015

From: Melvin R. Spooner
Chief of Engineering

To: Joseph Becker, P.E.
General Superintendent

Re: Contract Number 5223 - Hurricane Katrina Related Repairs to St. Bernard Ave.
Drainage Underpass Pumping Station

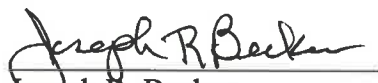
CHANGE ORDER NO. 5

Description of Change Order (CO): Installation of bias relays, additional painting, and additional repairs to pump motor.

Original Contract Bid Price:	\$255,814.00
Previously Approved Change Orders:	\$530,827.85
This CO Amount:	\$7,134.77
Total CO Amount:	\$537,962.62
Total CO as % of Original Contract	210.3%
Contract DBE Participation	36.0 %
Forecasted DBE participation	21.6%

The Engineering Department has reviewed this proposal and is recommending it for approval.

I concur:


Joseph R. Becker
General Superintendent

cc: Jason Higginbotham, EM Director
Tiffany Carter, EDB Director
Bob Moeinian, Chief of Operations
Rosita Thomas, Finance

Reid Dennis, Program Manager
Dexter Joseph, Budget
Nolan Lambert, Special Counsel

SCOPE OF CHANGES No. 5
DRAINAGE PROGRAM
CONTRACT 5223 - St. Bernard UPS
(Change Order No. 5)

ADDITIONAL ITEMS TO BE ADDED TO CONTRACT

Item #	FCO#	Detailed Description	Units	Unit Price	Quantity	Amount	Days	Comments
1	10	Installation of bias relays	Lump Sum	\$ 519.10	1	\$ 519.10	7	SWB funds
2	11	Additional Painting	Lump Sum	\$ 2,808.94	1	\$ 2,808.94	7	SWB funds
3	12	Additional Repairs to Pump Motor	Lump Sum	\$ 3,806.73	1	\$ 3,806.73	60	SWB funds

Contract DBE%	36.0%
Current DBE%	20.7%
Forecasted DBE%	21.6%

Original	\$ 255,814.00
Amount of previous Change Orders	\$ 530,827.85
Amount this Change Order	\$ 7,134.77
Change Orders to date	\$ 537,962.62

BASE	
Original Contract Days	120
Days Previously Added	510
Days this Change Order	74
Days Added to date	584

REVISED CONTRACT AMOUNT \$ 793,776.62 **REVISED CONTRACT DAYS 704**

% OF ORIGINAL CONTRACT AMOUNT

This Change Order	2.8%
Previous Change Order	207.5%
TOTAL TO DATE	210.3%

Work Order Date	12/17/2012
Work Completion Milestone Date	11/21/2014

It is mutually agreed to perform and accept the above revisions in accordance with the original contract and applicable specifications for the above price.

Proposed By:

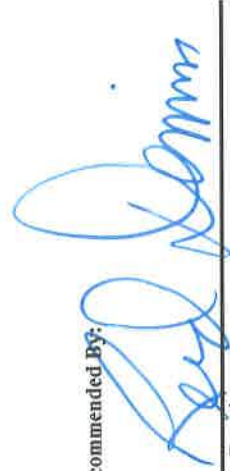


Lou-Con, Inc.
Contractor Representative

Date

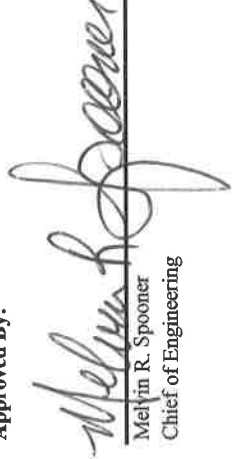
6.22.15

Recommended By:



Reid Dennis
S&WB Project Manager

Approved By:



Melvin R. Spooner
Chief of Engineering

Date

6/18/15

Date

6/23/15

CHANGE ORDER NO. 7 FOR CONTRACT 5226 - HURRICANE KATRINA RELATED REPAIRS TO FRANKLIN AVENUE DRAINAGE UNDERPASS PUMPING STATION

WHEREAS, the Sewerage and Water Board entered into Contract 5226 with Lou-Con Construction, Inc. for FEMA funded repairs to the Franklin Avenue Drainage Underpass Pumping Station, and

WHEREAS Operations has decided it would be prudent to install new bias relays and do some additional painting at a cost of \$3,385.23, and

WHEREAS, the time associated with the performance of this work requires 14 days to be added to the contract period, and

WHEREAS, this Change Order, in the amount of \$3,385.23, brings the accumulated Contract change order total to \$478,635.73, or 145.9% of the original Contract value and extends the duration of the work associated with the Contract through December 4, 2014 and,

NOW THEREFORE BE IT RESOLVED, that approval of Change Order No. 7 for Contract 5226 is ratified by the Sewerage and Water Board of New Orleans.

I, Cedric Grant, Executive Director,
Sewerage and Water Board of New Orleans, do hereby
certify that the above and foregoing is a true
and correct copy of a Resolution adopted at the Regular
Monthly Meeting of said Board, duly called and held,
according to law, on July 15, 2015.

CEDRIC GRANT, EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS



Sewerage & Water Board

Inter-Office Memorandum

Date: June 23, 2015

From: Joseph Becker, P.E.
General Superintendent

To: Cedric Grant
Executive Director

Re: Contract Number 5226 - Hurricane Katrina Related Repairs to Franklin Ave.
Drainage Underpass Pumping Station

Enclosed please find a recommendation from the Chief of Engineering, Ron Spooner for approval of Change Order No. 7 for the above contract.

This additional work is for the installation of bias relays and additional painting. This Change Order extends the length of the Contract by 14 days. The required DBE participation on this Contract is 36% and the current participation is 28.3%. The Contractor is forecasted to meet a 28.4% DBE participation and has had discussions with the EDBP Department since the forecasted participation is less than the goal.

This Change Order is in the amount of \$3,385.23 representing 1.0% of the original bid amount, and brings the cumulative total Change Orders to \$478,635.73 which is 145.9% of the original bid amount. Funds for this Change Order are available through the \$100 million Revolving Fund provided by the State of Louisiana. This Change Order will not eligible for reimbursement into the revolving loan fund.

I would appreciate you forwarding this to the attention of the appropriate committees of the Board for consideration and approval.

Joseph Becker, P.E.
General Superintendent

cc: M. Ron Spooner, Chief of Engineering
Reid Dennis, FEMA Program Management Supervisor
Tiffany Carter, EDB Director
Jason Higginbotham, Director of Emergency Management



Sewerage & Water Board

Inter-Office Memorandum

Date: June 22, 2015

From: M. Ron Spooner, P.E.
Chief of Engineering

To: Joseph Becker, P.E.
General Superintendent

Re: Contract Number 5226 - Hurricane Katrina Related Repairs to Franklin Ave. Drainage Underpass Pumping Station


CHANGE ORDER NO. 7

Description of Change Order: Installation of bias relays and additional painting.

Original Contract Bid Price:	\$328,152.00
Previously Approved Change Orders:	\$475,250.50
This Change Order Amount:	\$3,385.23
Total Change Orders (% of Original Contract)	145.9%
Total Dollar Change Order Amount:	\$478,635.73
Contract DBE Participation	36.0 %
Forecasted DBE Participation	28.4%

The Engineering Department has reviewed this proposal and is recommending it for approval.

I concur:


Joseph R. Becker
General Superintendent

cc: Reid Dennis, Network Engineering
Nolan Lambert, Special Counsel
Bob Moeinian, Chief of Operations
Rosita Thomas, Finance

Jason Higginbotham, EM Director
Tiffany Carter, EDB Director
Dexter Joseph, Budget

SCOPE OF CHANGES No. 7
DRAINAGE PROGRAM
CONTRACT 5226
(Change Order No. 7)

ADDITIONAL ITEMS TO BE ADDED TO CONTRACT

Item #	FCO#	Detailed Description	Units	Unit Price	Quantity	Amount	Days	Comments
1	12	Installation of bias relays	Lump Sum	\$ 519.10	1	\$ 519.10	7	SWB funds
2	12	Additional Painting	Lump Sum	\$ 2,866.13	1	\$ 2,866.13	7	SWB funds

Contract DBE%	36.0%
Current DBE%	28.3%
Forecasted DBE%	28.4%

Original	\$ 328,152.00
Amount of previous Change Orders	\$ 475,250.50
Amount this Change Order	\$ 3,385.23
Change Orders to date	\$ 478,635.73

BASE	
Original Contract Days	93
Days Previously Added	610
Days this Change Order	14
Days Added to date	624

REVISED CONTRACT AMOUNT \$ 806,787.73

REVISED CONTRACT DAYS 717

% OF ORIGINAL CONTRACT AMOUNT

This Change Order	1.0%
Previous Change Order	144.8%
TOTAL TO DATE	145.9%

Work Order Date	12/17/2012
Work Completion Milestone	12/4/2014

It is mutually agreed to perform and accept the above revisions in accordance with the original contract and applicable specifications for the above price.

Proposed By:



Lou-Con, Inc.
Contractor Representative

6.22.15

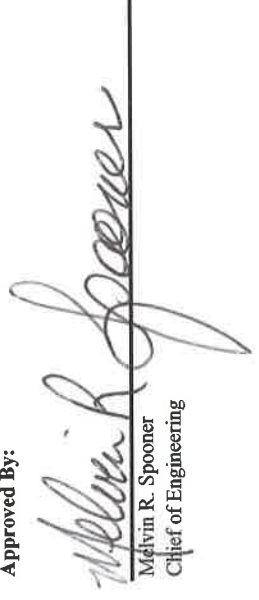
Date

Recommended By:



Reid Dennis
S&WB Project Manager

Approved By:



Melvin R. Spooner
Chief of Engineering

6/18/15

Date

6/23/15

Date

CHANGE ORDER NO. 7 FOR CONTRACT 5221 - HURRICANE KATRINA RELATED REPAIRS TO PONTCHARTRAIN BOULEVARD DRAINAGE UNDERPASS PUMPING STATION

WHEREAS, the Sewerage and Water Board entered into Contract 5221 with Lou-Con Construction, Inc. for FEMA funded repairs to the Pontchartrain Boulevard Drainage Underpass Pumping Station, and

WHEREAS, additional piping and equipment adjustments had to be made to ensure proper installation and alignment at a cost of \$36,741.32, and

WHEREAS, the time associated with the performance of this work requires 97 days to be added to the contract period, and

WHEREAS, this Change Order, in the amount of \$36,741.32 brings the accumulated Contract change order total to \$438,925.87, or 132.8% of the original Contract value and extends the duration of the work associated with the Contract through January 31, 2015, and

NOW THEREFORE BE IT RESOLVED, that approval of Change Order No. 7 for Contract 5221 is ratified by the Sewerage and Water Board of New Orleans.

I, Cedric Grant, Executive Director,
Sewerage and Water Board of New Orleans, do hereby
certify that the above and foregoing is a true
and correct copy of a Resolution adopted at the Regular
Monthly Meeting of said Board, duly called and held,
according to law, on July 15, 2015.

CEDRIC GRANT, EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS



Sewerage & Water Board

Inter-Office Memorandum

Date: June 19, 2015

From: Joseph Becker, P.E.
General Superintendent

To: Cedric Grant
Executive Director

Re: Contract Number 5221 - Hurricane Katrina Related Repairs to Pontchartrain Blvd. Drainage Underpass Pumping Station

Enclosed please find a recommendation from the Chief of Engineering, Ron Spooner for approval of Change Order No. 7 for the above contract.

This additional work is for pump installation adjustments. This Change Order extends the length of the Contract by 97 days. The required DBE participation on this Contract is 36% and the current participation is 15.5 %. The Contractor is forecasted to meet a 19.0% DBE participation and has had discussions with the EDBP Department since the forecasted participation is less than the goal.

This Change Order is in the amount of \$36,741.32 which represents 11.0% of the original bid amount, and brings the cumulative total Change Orders to \$438,925.87 which is 132.8 % of the original bid amount. Funds for this Change Order are available through the \$100 million Revolving Fund provided by the State of Louisiana. This Change Order will not be eligible for reimbursement into the revolving loan fund.

I would appreciate you forwarding this to the attention of the appropriate committees of the Board for consideration and approval.

Joseph Becker, P.E.
General Superintendent

cc: M. Ron Spooner, Chief of Engineering
Reid Dennis, FEMA Program Management Supervisor
Tiffany Carter, EDB Director
Jason Higginbotham, Director of Emergency Management



Sewerage & Water Board

Inter-Office Memorandum

Date: June 18, 2015

From: M. Ron Spooner, P.E.
Chief of Engineering

To: Joseph Becker, P.E.
General Superintendent

Re: Contract Number 5221 - Hurricane Katrina Related Repairs to Pontchartrain Blvd.
Drainage Underpass Pumping Station

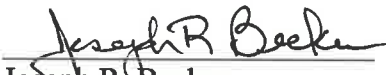
CHANGE ORDER NO. 7

Description of Change Order (CO): Additional material and labor to relocate shaft bearings, fabrication and installation of pipe and sole plate, installation of check valves, repairs to the pump motor and additional painting.

Original Contract Bid Price:	\$330,595.00
Previously Approved Change Orders:	\$402,454.55
This Change Order Amount:	\$36,741.32
Total Change Orders (% of Original Contract)	132.8%
Total Dollar Change Order Amount:	\$438,925.87
Contract DBE Participation	36.0 %
Forecasted DBE Participation	19.0%

The Engineering Department has reviewed this proposal and is recommending it for approval.

I concur:


Joseph R. Becker
General Superintendent

cc: Reid Dennis, Network Engineering
Dexter Joseph, Budget
Bob Moeinian, Chief of Operations
Rosita Thomas, Finance

Jason Higginbotham, EM Director
Tiffany Carter, EDB Director

SCOPE OF CHANGES No. 7
DRAINAGE PROGRAM
CONTRACT 5221 - Pontchartrain Blvd. UPS
(Change Order No. 7)

ADDITIONAL ITEMS TO BE ADDED TO CONTRACT

Item #	FCO#	Detailed Description	Units	Unit Price	Quantity	Amount	Days	Comments
1	10	Relocation of Shaft Bearings	Lump Sum	\$ 5,016.48	1	\$ 5,016.48		SWB funds
2	10	Pipe Fabrication & Installation	Lump Sum	\$ 5,065.80	1	\$ 5,065.80	30	SWB funds
3	10	Fabrication of Sole Plate	Lump Sum	\$ 6,870.47	1	\$ 6,870.47		SWB funds
4	10	Installation of Sole Plate	Lump Sum	\$ 6,941.27	1	\$ 6,941.27		SWB funds
5	10	Installation of Owner-supplied Check Valve	Lump Sum	\$ 4,279.80	1	\$ 4,279.80		SWB funds
6	11	Additional Repairs to Pump Motor	Lump Sum	\$ 4,084.09	1	\$ 4,084.09	60	SWB funds
7	11	Additional Painting	Lump Sum	\$ 4,213.41	1	\$ 4,213.41	7	SWB funds

Contract DBE%	36.0%
Current DBE%	15.5%
Forecasted DBE%	19.0%

Original	\$ 330,595.00
Amount of previous Change Orders	\$ 402,454.55
Amount this Change Order	\$ 36,471.32
Change Orders to date	\$ 438,925.87

BASE	
Original Contract Days	100
Days Previously Added	632
Days this Change Order	97
Days Added to date	729

REVISED CONTRACT AMOUNT \$ 769,520.87

REVISED CONTRACT DAYS 829

% OF ORIGINAL CONTRACT AMOUNT

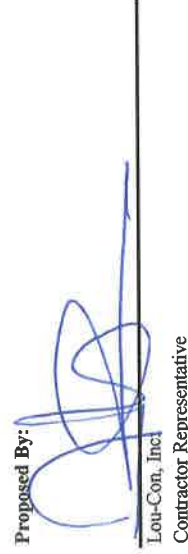
This Change Order	11.0%
Previous Change Order	121.7%
TOTAL TO DATE	132.8%

Work Order Date	10/24/2012
Work Completion Milestone	1/31/2015

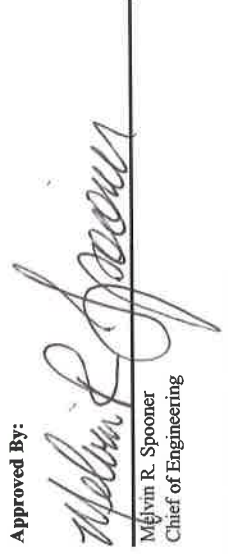
It is mutually agreed to perform and accept the above revisions in accordance with the original contract and applicable specifications for the above price.

Recommended By: 
Reid Dennis
S&WB Project Manager

6/18/15
Date

Proposed By: 
Lou-Con, Inc.
Contractor Representative

6-18-15
Date

Approved By: 
Melvin R. Spooner
Chief of Engineering

6/19/15
Date



SEWERAGE AND WATER BOARD

Inter-Office Memorandum

Date: June 25, 2014
To: Cedric S. Grant, Executive Director
From: Steven Klepeis, Risk Manager
Re: Renewal of Flood insurance

The Flood Insurance Schedule of building locations that annually renew in August, 2015, is presented by the Kennedy Financial Group, our broker, in the attached chart, along with the premiums charged last year and the current renewal premiums, per location. The coverage is for each building and its contents. This insurance is necessary in order to transfer the risk of flood, and to comply with FEMA's Obtain and Maintain Insurance requirements.

Our recommended renewal premium of \$325,036 represents a 10% increase of \$29,919 over the 2014 August renewal amount of \$295,117, due to nation-wide rate increases determined by the National Flood Insurance Program.

We recommend approval of the attached proposed renewal of Flood Insurance for a total premium of \$325,036.00, as presented by the Kennedy Financial Group.

Respectfully,
Steven Klepeis, ARM

cc: R. Miller, Exec. Deputy Director

COMMERCIAL FLOOD INSURANCE POLICY FOR FACILITIES

WHEEREAS, The Sewerage & Water Board of New Orleans desires to renew its Commercial Flood Insurance policy for its facilities for the period August 1, 2015 to August 1, 2016; and

WHEREAS, the Board has maintained commercial flood insurance in accordance with FEMA's Obtain and Maintain Insurance requirements; and

WHEREAS, the Board requested its insurance broker, Charles Kennedy, Jr., of The Kennedy Financial Group to secure price quotations for such renewal on facilities; and

WHEREAS, the Kennedy Financial Group obtained a flood insurance quote of \$325,036.00 from Wright Flood Insurance for the existing coverage limits, which represents an increase of \$29,919.00 over the 2014 August renewal; and

WHEREAS, this quote includes required adjustments in limits and reflects an industry-wide increase in rates set by the National Flood Insurance Program over the previous year;

NOW THEREFORE, BE IT RESOLVED that the Executive Director of the Sewerage & Water Board of New Orleans, is hereby authorized and directed to purchase commercial flood insurance coverage for its facilities through the Board's insurance broker, Charles Kennedy Jr., of The Kennedy Financial Group for a total of \$325,036.00.

I, Cedric S. Grant, Executive Director,
Sewerage & Water Board of New Orleans, do
hereby certify that the above and foregoing is
a true and correct copy of a Resolution adopted
at the Regular Meeting of the said Board, duly called
and held, according to law, on July 15, 2015

CEDRIC S. GRANT
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

Sewerage Water Board of New Orleans
August 2015-2016 Flood Renewals

Policy Number	Insured Location	Building	Building Coverage	Contents Coverage	Deductible	Expiring Premium	Renewal Premium	Renewal Term
171150534048 05	8800 S Claiborne Ave	Claiborne Pumping Station	\$ 500,000.00	\$ 500,000.00	\$10,000.00	\$13,248.00	\$ 14,521.00	08/06/2015-2016
171150534047 05	8800 S Claiborne Ave	Panola St Pumping Station	\$440,000.00	\$420,000.00	\$10,000.00	\$ 11,323.00	\$ 12,451.00	08/06/2015-2016
171150534046 05	8800 S Claiborne Ave	Meter Shop	\$ 500,000.00	\$ 500,000.00	\$ 10,000.00	\$ 12,569.00	\$ 13,809.00	08/06/2015-2016
171150534045 05	8800 S Claiborne Ave	Machine Shop	\$ 500,000.00	\$ 500,000.00	\$ 10,000.00	\$ 12,569.00	\$ 13,809.00	08/06/2015-2016
171150534044 05	8800 S Claiborne Ave	Welding Shop	\$ 500,000.00	\$ 315,000.00	\$ 10,000.00	\$ 10,825.00	\$ 11,919.00	08/06/2015-2016
171150534043 05	8800 S Claiborne Ave	Electrical Storage Shed	\$ 440,000.00	\$ 315,000.00	\$ 10,000.00	\$ 9,948.00	\$ 10,974.00	08/06/2015-2016
171150534042 05	8800 S Claiborne Ave	New Garage	\$ 75,000.00	\$ 75,000.00	\$ 2,000.00	\$ 2,181.00	\$ 2,604.00	08/06/2015-2016
171150534041 05	8800 S Claiborne Ave	Dry Boat Storage	\$ 75,000.00	\$ 75,000.00	\$ 2,000.00	\$ 2,180.00	\$ 2,604.00	08/06/2015-2016
171150534040 05	8800 S Claiborne Ave	Metal Storage	\$ 75,000.00	\$ 75,000.00	\$ 2,000.00	\$ 2,181.00	\$ 2,604.00	08/06/2015-2016
171150534039 05	8800 S Claiborne Ave	Chlorine Building	\$ 200,000.00	\$ 75,000.00	\$ 3,000.00	\$ 3,447.00	\$ 3,965.00	08/06/2015-2016
171150534038 05	8800 S Claiborne Ave	New Chemical Building	\$ 440,000.00	\$ 315,000.00	\$ 10,000.00	\$ 9,628.00	\$ 10,637.00	08/06/2015-2016
171150534037 05	8800 S Claiborne Ave	Old Chemical Building	\$ 385,000.00	\$ 262,500.00	\$ 5,000.00	\$ 8,940.00	\$ 9,891.00	08/06/2015-2016
171150534036 05	8800 S Claiborne Ave	Switch Gear Building	\$ 150,000.00	\$ 150,000.00	\$ 3,000.00	\$ 4,124.00	\$ 4,704.00	08/06/2015-2016
171150534035 05	8800 S Claiborne Ave	Electrical Storage-parts only	\$ 40,000.00	\$ 40,000.00	\$ 2,000.00	\$ 1,214.00	\$ 1,560.00	08/06/2015-2016
171150534034 05	8800 S Claiborne Ave	Claiborne substation	\$ 150,000.00	\$ 50,000.00	\$ 2,000.00	\$ 2,424.00	\$ 2,861.00	08/06/2015-2016
171150534033 05	8800 S Claiborne Ave	Sycamore Substation	\$ 150,000.00	\$ 50,000.00	\$ 2,000.00	\$ 2,424.00	\$ 2,861.00	08/06/2015-2016

Sewerage Water Board of New Orleans
August 2015-2016 Flood Renewals

Policy Number	Insured Location	Building	Building Coverage	Contents Coverage	Deductible	Expiring Premium	Renewal Premium	Renewal Term
171150534032 05	8800 S Claiborne Ave	Hamilton St Substation	\$ 150,000.00	\$ 50,000.00	\$ 2,000.00	\$ 2,424.00	\$ 2,861.00	08/06/2015-2016
171150534031 05	8800 S Claiborne Ave	Frequency Change Building	\$ 411,800.00	\$ 125,000.00	\$ 5,000.00	\$ 7,584.00	\$ 8,426.00	08/06/2015-2016
171150534030 05	8800 S Claiborne Ave	Hamilton St Warehouse	\$ 500,000.00	\$ 500,000.00	\$ 10,000.00	\$ 13,248.00	\$ 14,521.00	08/06/2015-2016
171150534029 05	8800 S Claiborne Ave	Gas Compressor Building	\$ 125,000.00	\$ 40,000.00	\$ 2,000.00	\$ 2,010.00	\$ 2,414.00	08/06/2015-2016
171150534028 05	8800 S Claiborne Ave	Electrical Shop	\$ 300,000.00	\$ 275,000.00	\$ 5,000.00	\$ 7,979.00	\$ 8,851.00	08/06/2015-2016
171150534027 05	8800 S Claiborne Ave	Central Control Building	\$ 400,000.00	\$ 300,000.00	\$ 10,000.00	\$ 9,158.00	\$ 10,121.00	08/06/2015-2016
171150533907 05	8800 S Claiborne Ave	Spruce St Warehouse	\$ 500,000.00	\$ 152,500.00	\$ 10,000.00	\$ 8,699.00	\$ 9,632.00	08/06/2015-2016
171150542419 05	8800 S Claiborne Ave	Lab/Engineering Building	\$ 500,000.00	\$ 500,000.00	\$ 1,250.00	\$ 1,468.00	\$ 1,854.00	08/17/2015-2016
171150542417 05	2900 Peoples Ave	Main Warehouse	\$ 500,000.00	\$ 500,000.00	\$ 1,250.00	\$ 1,319.00	\$ 1,726.00	08/17/2015-2016
171150542418 05	2900 Peoples Ave	Administration Building	\$ 500,000.00	\$ 500,000.00	\$ 1,250.00	\$ 2,906.00	\$ 2,573.00	08/17/2015-2016
171150534020 05	2900 Peoples Ave	Garage 1	\$ 500,000.00	\$ 500,000.00	\$ 10,000.00	\$ 12,569.00	\$ 13,809.00	08/06/2015-2016
171150542416 05	2900 Peoples Ave	Garage 2	\$ 500,000.00	\$ 500,000.00	\$ 1,250.00	\$ 1,468.00	\$ 1,854.00	08/17/2015-2016
171150534021 05	2900 Peoples Ave	Switch Gear	\$ 150,000.00	\$ 150,000.00	\$ 2,000.00	\$ 4,248.00	\$ 4,838.00	08/06/2015-2016
171150534022 05	2900 Peoples Ave	Mechanic/Body Shop	\$ 350,000.00	\$ 350,000.00	\$ 5,000.00	\$ 9,855.00	\$ 10,868.00	08/06/2015-2016
171150534023 05	2900 Peoples Ave	Labor Building	\$ 500,000.00	\$ 500,000.00	\$ 10,000.00	\$ 13,248.00	\$ 14,521.00	08/06/2015-2016
171150534024 05	2900 Peoples Ave	Pumping/Power Station	\$ 500,000.00	\$ 500,000.00	\$ 10,000.00	\$ 13,248.00	\$ 14,521.00	08/06/2015-2016

Sewerage Water Board of New Orleans
August 2015-2016 Flood Renewals

Policy Number	Insured Location	Building	Building Coverage	Contents Coverage	Deductible	Expiring Premium	Renewal Premium	Renewal Term
171150534014 05	900 Lamarque St	Chemical House	\$ 400,000.00	\$ 250,000.00	\$ 3,000.00	\$ 4,162.00	\$ 4,870.00	08/06/2015-2016
171150434015 05	900 Lamarque St	Head House/Filter Gallery	\$ 500,000.00	\$ 500,000.00	\$ 3,000.00	\$ 5,307.00	\$ 6,160.00	08/06/2015-2016
171150534016 05	900 Lamarque St	High Lift Pumping Station	\$ 400,000.00	\$ 250,000.00	\$ 4,000.00	\$ 4,217.00	\$ 4,931.00	08/06/2015-2016
171150534017 05	900 Lamarque St	Power Control	\$ 400,000.00	\$ 300,000.00	\$ 4,000.00	\$ 4,467.00	\$ 5,209.00	08/06/2015-2016
171150534018 05	900 Lamarque St	Recycle Basin Pump Room	\$ 50,000.00	\$ 50,000.00	\$ 1,000.00	\$ 1,121.00	\$ 1,485.00	08/06/2015-2016
171150534019 05	900 Lamarque St	Station C Building	\$ 500,000.00	\$ 500,000.00	\$ 5,000.00	\$ 5,578.00	\$ 6,452.00	08/06/2015-2016
171150534026 05	6501 Florida Ave.	Storage Building	\$ 330,000.00	\$ 315,000.00	\$ 5,000.00	\$ 9,033.00	\$ 9,986.00	08/06/2015-2016
171150534025 05	6501 Florida Ave.	General Receiving	\$ 330,000.00	\$ 315,000.00	\$ 5,000.00	\$ 9,033.00	\$ 9,986.00	08/06/2015-2016
171150542415 05	6501 Florida Ave.	Solids Handling Building	\$ 500,000.00	\$ 500,000.00	\$ 5,000.00	\$ 9,915.00	\$ 8,498.00	08/17/2015-2016
171150542421 05	3501 E. Canal St.	Emergency Diesel Generato	\$ 400,000.00	\$ 200,000.00	\$ 1,250.00	\$ 1,277.00	\$ 1,701.00	08/17/2015-2016
171150542422 05	3501 E. Canal St.	Office/Operations Center	\$ 500,000.00	\$ 500,000.00	\$ 5,000.00	\$ 9,915.00	\$ 8,498.00	08/17/2015-2016
171150542420 05	3501 E. Canal St.	Effluent Pump Building	\$ 500,000.00	\$ 500,000.00	\$ 1,250.00	\$ 1,496.00	\$ 1,936.00	08/17/2015-2016
171150542423 05	625 St. Joseph St.	625 St. Joseph	\$ 500,000.00	\$ 500,000.00	\$ 1,250.00	\$ 8,940.00	\$ 10,160.00	08/17/2015-2016
45 Policies					TOTAL	\$295,117.00	\$ 325,036.00	



"RE-BUILDING THE CITY'S WATER SYSTEMS FOR THE 21ST CENTURY"

Sewerage & Water Board OF NEW ORLEANS

MITCHELL J. LANDRIEU, President
WM. RAYMOND MANNING, President Pro-Tem

625 ST. JOSEPH STREET
NEW ORLEANS, LA 70165 • 504-529-2837 OR 52W-ATER
www.swbno.org

June 30, 2015

To the Honorable Chairman and Members of the
Finance Committee:

Re: Finance Committee Agenda Item – RFP for Law Firm to Handle
Construction Litigation Matter related to Contract 6249: HMGP
Retrofit Power Distribution Network Design Build

Dear Mr. Chairman:

This is a request to place the above referenced matter on the Finance Committee and Board agendas to retain the law firm of Roedel Parsons to represent the Board in ongoing litigation regarding the Board's award of Contract 6249: HMGP Retrofit Power Distribution Network Design Build to Grady Crawford Construction Company, Inc. (GCCCCI).

On April 15, 2015 the Sewerage and Water Board of New Orleans awarded HMGP Contract 6249 to Grady Crawford Construction, Inc. and in response to the Board's action Wallace C. Drennan filed a Writ of Mandamus and a Petition for Declaratory Judgment against the Board in Civil District Court for the Parish of Orleans.

On May 21, 2015 the Legal Department issued an RFP inviting law firms qualified in the area of Construction Litigation to submit responses to the Board and wherein six proposals were received. On June 5, 2015 a Selection Evaluation Committee convened an open meeting and reviewed and evaluated the six proposals received to determine the firm that would offer the best value. The Selection Committee recommends the law firm of Roedel Parsons to represent the Board at the Attorney General approved rate.

Respectfully submitted,

YOLANDA Y. GRINSTEAD
ATTORNEY IV

cc: Anita Simmons

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AWARD OF CONTRACT TO THE LAW FIRM OF ROEDEL PARSONS TO REPRESENT THE SEWERAGE AND WATER BOARD OF NEW ORLEANS IN CONSTRUCTION LITIGATION MATTER

WHEREAS, on April 15, 2015 the Sewerage and Water Board of New Orleans awarded HMGP Contract 6249 to Grady Crawford Construction, Inc. and in response to the Board's action Wallace C. Drennan filed two lawsuits against the Board in Civil District Court for the Parish of Orleans.

WHEREAS, the Writ of Mandamus "Wallace C. Drennan v. Cedric S. Grant and Sewerage and Water Board of New Orleans", CDC NO. 2015 -4417 has been dismissed. However, the plaintiff has appealed the dismissal of the Writ of Mandamus and Declaratory Judgement action titled "Wallace C. Drennan v. Cedric S. Grant" CDC 2015-4418, is still pending.

WHEREAS, on May 21, 2015 the Legal Department issued a RFP inviting law firms qualified in the area of Construction Litigation to submit responses to the Board and wherein six proposals were received.

WHEREAS, on June 5, 2015 a Selection Committee convened an open meeting and reviewed and evaluated the six proposals received to determine the firm that would offer the best value and recommends Roedel Parsons to represent the Board in the above referenced litigation.

NOW THEREFORE, BE IT RESOLVED by the Sewerage and Water Board of New Orleans that the President or President Pro Tem is hereby authorized to enter into an agreement with Roedel Parsons to represent the Board in the construction litigation matters involving HMGP Contract 6249 for the Attorney General rate of \$175 per hour.

I, Cedric S. Grant, Executive Director,
Sewerage and Water Board of New Orleans, do hereby
certify that the above and foregoing is a true and
correct copy of a resolution adopted at the Regular
Monthly Meeting of said Board, duly called and held,
according to law, on July 15, 2015.

CEDRIC S. GRANT, EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS



SEWERAGE AND WATER BOARD OF NEW ORLEANS

July 7, 2015

Finance Committee
Sewerage and Water Board of New Orleans
New Orleans, Louisiana

Re: Financial Results through May 2015

Attached are the *Statement of Revenues, Expenses, and Changes in Net Position* with budget and prior year comparisons for the water, sewer, drainage and total systems through May 2015. The Variance Indicators for Financial Results through May 2015 are also attached. The *Statement of Net Assets* and the *Statement of Cash Flows* will be provided with the June 2015 results.

Operating Revenues:

Water System Fund (pages 7 and 8, line 5) for May of \$6,623,926 is \$281,302 or 4.1% less than budgeted and \$566,772 or 9.4% more than May 2014. May YTD operating revenues of \$32,079,472 is \$2,446,669 or 7.1% less than budgeted and \$3,389,125 or 11.8% more than May YTD 2014. YTD actual variance is due to the effect of the ten percent increase in water rates effective January 1. YTD budget variance is due to a combination of seasonal variation and the slight lag in water sales behind levels projected in the 2013-2020 Financial Plan.

Sewer System Fund (pages 13 and 14, line 5) for May of \$7,794,631 is \$327,035 or 4.0% less than budgeted and \$700,444 or 9.9% more than May 2014. May YTD operating revenues of \$38,366,030 is \$2,242,298 or 5.5% less than budgeted and \$3,945,574 or 11.5% more than May YTD 2014. YTD actual variance is due to the effect of the ten percent increase in sewer rates effective January 1. YTD budget variance is due to a combination of seasonal variation and the slight lag in sewer sales behind levels projected in the 2013-2020 Financial Plan.

Drainage System Fund (pages 19 and 20, line 5) for May of \$1,060 is \$1,060 or 100.0% more than budgeted and \$25,999 or 96.1% less than for May 2014. May YTD operating revenues of \$6,638 is \$6,638 or 100.0% more than budgeted and \$47,630 or 87.8% less than May YTD 2014. YTD actual and budget variances are incidental.

Total System Funds (pages 1 and 2, line 5) for May of \$14,419,617 are \$607,277 or 4.0% less than budgeted and \$1,241,217 or 9.4% more than May 2014. May YTD operating revenues of \$70,452,140 is \$4,682,329 or 6.2% less than budgeted and \$7,287,069 or 11.5% more than May YTD 2014.

Operating Expenses:

Water System Fund (pages 7 and 8, line 18) for May of \$6,506,546 is \$1,310,311 or 16.8% less than budgeted and \$1,069,920 or 14.1% less than May 2014. May YTD operating expenses of \$26,954,939 is \$12,129,345 or 31.0% less than budgeted and \$5,992,587 or 18.2% less than May YTD 2014. YTD actual and budget variances are due to timing lags in commencing large studies and additional hirings and contemplated in the 2015 Budget.

Sewer System Fund (pages 13 and 14, line 18) for May of \$5,891,929 is \$190,364 or 3.1% less than budgeted and \$1,290,911 or 28.1% more than May 2014. May YTD operating expenses of \$23,502,545 is \$6,908,921 or 22.7% less than budgeted and \$1,745,170 or 6.9% less than May YTD 2014.

Drainage System Fund (pages 19 and 20, line 18) for May of \$3,741,075 is \$1,129,364 or 23.2%



SEWERAGE AND WATER BOARD OF NEW ORLEANS

less than budgeted and \$434,402 or 13.1% more than May 2014. May YTD operating expenses of \$14,964,286 is \$9,387,911 or 38.6% less than budgeted and \$1,858,874 or 14.2% more than May YTD 2014.

Total System Funds (pages 1 and 2, line 18) for May of \$16,139,550 are \$2,630,040 or 14.0% less than budgeted and \$655,393 or 4.2% more than May 2014. May YTD operating expenses of \$65,421,771 is \$28,426,177 or 30.3% less than budgeted and \$5,878,882 is 8.2% less than May YTD 2014.

Net Non-Operating Revenues:

Water System Fund (pages 7 and 8, line 28) for May of \$512,766 is \$501,775 or 4565.3% more than budgeted and \$509,306 or 14719.8% more than May 2014. May YTD net non-operating revenues of \$513,079 are \$458,123 or 833.6% more than budgeted and \$457,834 or 828.7% more than May YTD 2014. YTD actual and budget variances are due to interest income on bond proceeds deposited in July 2014 that have not yet been expended.

Sewer System Fund (pages 13 and 14, line 28) for May of \$315,456 is \$494,341 or 276.3% more than budgeted and \$315,456 or 0.0% more than May 2014. May YTD net non-operating revenues of \$335,910 are \$1,230,336 or 137.6% more than budgeted and \$278,397 or 484.1% more than May YTD 2014. YTD actual and budget variances are due to interest income on bond proceeds deposited in July 2014 that have not yet been expended.

Drainage System Fund (pages 19 and 20, line 28) for May of \$1,173,330 is \$1,136,117 or 3053.1% more than budgeted and \$8,822,827 or 88.3% less May 2014. May YTD net non-operating revenues of \$46,723,828 are \$684,587 or 1.4% less than budgeted and \$2,379,157 are 5.4% more than May YTD 2014. YTD actual and budget variances are due to timing of property tax collections. Drainage system tax receipts occur during the first quarter of each year, with smaller residual amounts received during the remainder of the year.

Total System Funds (pages 1 and 2, line 28) for May of \$2,001,551 is \$2,132,233 or 1631.6% more than budgeted and \$7,998,066 or 80.0% less than May 2014. May YTD net non-operating revenues of \$47,572,817 are \$1,003,872 or 2.2% more than budgeted and \$3,115,388 or 7.0% more than May YTD 2014.

Income Before Contributions in Aid of Construction:

Water System Fund (pages 7 and 8, line 29) for May of \$630,146 is \$1,530,783 or 170.0% more than budgeted and \$2,145,998 or 141.6% more than May 2014. May YTD income before capital contributions of \$5,637,612 is \$10,140,799 or 225.2% more than budgeted and \$9,839,546 or 234.2% more than May YTD 2014.

Sewer System Fund (pages 13 and 14, line 29) for May of \$2,218,158 is \$357,671 or 19.2% more than budgeted and \$275,011 or 11.0% less than May 2014. May YTD income before capital contributions of \$15,199,395 is \$5,896,959 or 63.4% more than budgeted and \$5,969,141 or 64.7% more than May YTD 2014.

Drainage System Fund (pages 19 and 20, line 29) for May of -\$2,566,685 is \$2,266,542 or 46.9% more than budgeted and \$9,283,228 or 138.2% less than May 2014. May YTD income before capital contributions of \$31,766,180 is \$8,709,961 or 37.8% more than budgeted and \$472,653 or 1.5% more than May YTD 2014.

Total System Funds (pages 1 and 2, line 29) for May of \$281,618 is \$4,154,996 or 107.3% more than budgeted and \$77,412,242 or 96.3% less than May 2014. May YTD income before capital



SEWERAGE AND WATER BOARD OF NEW ORLEANS

contributions of \$52,603,186 is \$24,747,719 or 88.8% more than budgeted and \$16,281,339 or 44.8% more than May YTD 2014.

The balances of funds from the Series 2014 bond proceeds available for capital construction as of April 30, 2015 are:

	Water	Sewer	Total
Original Balance	40,088,662.48	10,038,341.38	50,127,003.86
Less Disbursements	(3,338,125.00)	(4,300,287.50)	(7,638,412.50)
Plus Reimbursements	5,365,049.45	7,334,277.91	12,699,327.36
Plus Income	34,553.45	9,120.45	43,673.90
Ending Balance	42,150,140.38	13,081,452.24	55,231,592.62

The days-of-cash will be reported following completion of the audit. Until that time, staff will provide the attached information on cash collections by system.

The projected coverage for the year ending December 31, 2015, based upon financial results through January 31, 2015, remains at the budgeted level of 3.28 times for the water system and 3.04 times for the sewer system.

Certification. In connection with the accompanying monthly financial report of the Sewerage and Water Board of New Orleans for the period ended May 31, 2015, I hereby certify that, to my knowledge, the information contained in the report fairly presents, in all material respects, the financial condition and results of the Board.

Robert K. Miller
Deputy Director

Sewerage and Water Board of New Orleans
Comparative Variance Indicators for Financial Results
Through May 2015

Statement of Revenues, Expenses, and Changes in Net Position with Prior Year Comparisons	Total	Water	Sewer	Drainage
Revenues				
Operating Expenses				
Non-Operating Revenues and Expenses				
Income before Capital Contributions				
Statement of Revenues, Expenses, and Changes in Net Position with Budget Comparisons	Total	Water	Sewer	Drainage
Revenues				
Operating Expenses				
Non-Operating Revenues and Expenses				
Income before Capital Contributions				
Statement of Net Position with Prior Year Comparisons	Total	Water	Sewer	Drainage
Plant, Property, and Equipment				
Restricted Current Assets				
Unrestricted Designated Current Assets				
Unrestricted Undesignated Current Assets				
Net Position				
Long-Term Liabilities				
Current Liabilities from Unrestricted Assets				
Current Liabilities from Restricted Assets				
Statement of Cash Flows with Prior Year Comparisons	Total	Water	Sewer	Drainage
Operating Activities				
Non-Capital Financing Activities				
Capital and Related Financing Activities				
Investing Activities				
Net Increase in Cash				

Green = Favorable Variance

Yellow = Unfavorable Variance / No Action Recommended

Red = Unfavorable Variance / Action Recommended

Sewerage and Water Board of New Orleans Total System Monthly Cash Collected

EUM Attribute: Financial Viability

Description: Establishes and maintains an effective balance between long-term debt, assets values, operations and maintenance expenditures, and operating revenues.

Constituency: Suppliers and Bondholders

Objective: Provide adequate cash to pay invoices on a timely basis

Goal: Cash balance of at least 180 days of O&N expenses

Currently Meeting Goal:
Yes

Process Operating Within Control Limits: Yes

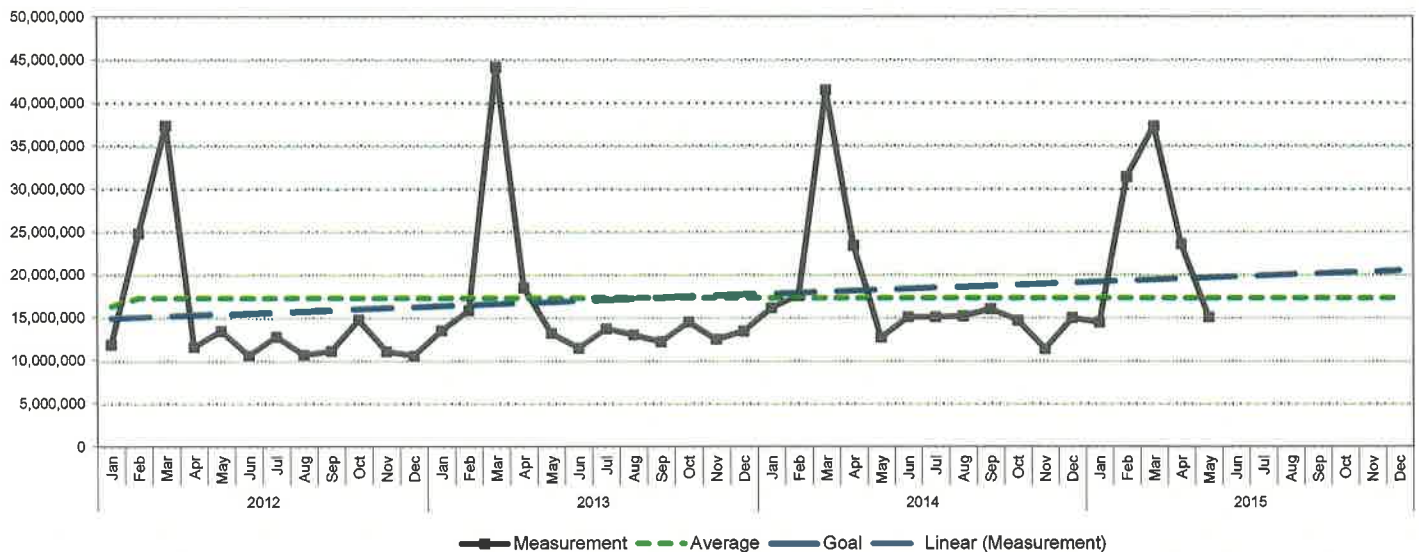
Trend: Favorable

Analysis

Monthly cash balances have remained stable except for repayment of previous inter-system loans. Note: Reclassification of certain current assets from restricted to unrestricted in October 2013 resulted in higher unrestricted balances.

Plans for Improvement

Utilize revenues generated from operations to increase cash balances according to 2011-2020 Financial Plan.



	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
2012	11,812,159	24,827,777	37,355,243	11,536,915	13,386,856	10,547,836	12,715,327	10,619,014	11,059,232	14,698,646	11,023,535	10,520,846
2013	13,482,046	15,785,759	44,155,818	18,444,456	13,151,303	11,410,296	13,674,835	12,955,548	12,141,758	14,424,617	12,438,197	13,392,438
2014	16,085,296	17,542,192	41,489,884	23,375,923	12,664,468	15,042,339	15,022,466	15,128,760	15,972,966	14,653,676	11,341,808	14,936,601
2015	14,413,267	31,371,670	37,307,463	23,533,675	15,038,139							

Sewerage and Water Board of New Orleans

Water System Monthly Cash Collected

EUM Attribute: Financial Viability

Description: Establishes and maintains an effective balance between long-term debt, assets values, operations and maintenance expenditures, and operating revenues.

Constituency: Suppliers and Bondholders

Objective: Provide adequate cash to pay invoices on a timely basis

Goal: Cash balance of at least 180 days of O&M expenses

Currently Meeting Goal: Yes

Process Operating Within Control Limits: Yes

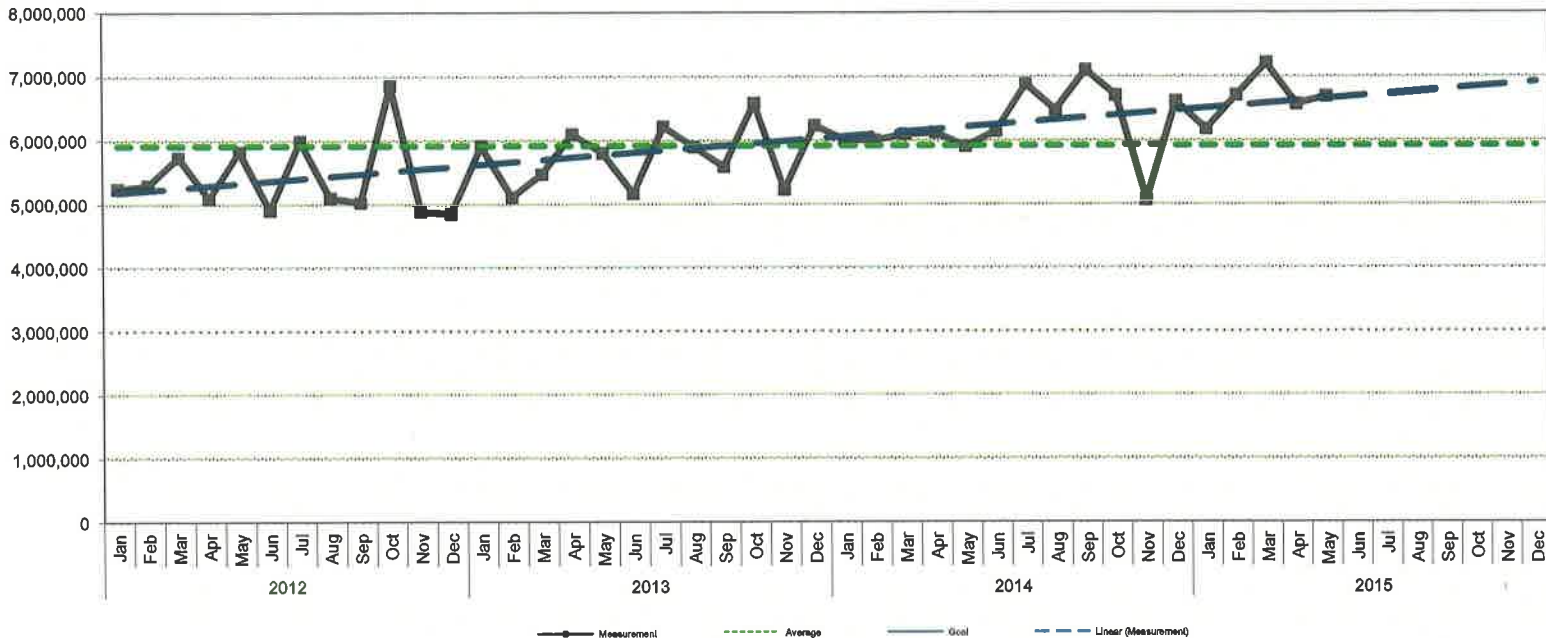
Trend: Favorable

Analysis

Monthly cash balances have remained stable except for repayment of previous inter-system loans.

Plans for Improvement

Utilize revenues generated from operations to increase cash balances according to 2011-2020 Financial Plan.



	Data Table											
	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
2012	5,244,143	5,299,763	5,739,730	5,092,115	5,825,173	4,906,670	5,996,136	5,102,256	5,029,504	6,858,299	4,894,473	4,859,317
2013	5,918,407	5,115,283	5,475,353	6,102,103	5,802,064	5,169,949	6,218,190	5,901,376	5,590,642	6,584,878	5,229,820	6,237,418
2014	6,009,331	6,006,172	6,094,900	6,126,359	5,908,706	6,145,597	6,879,508	6,477,664	7,100,125	6,704,023	5,065,487	6,613,321
2015	6,180,055	6,705,914	7,209,470	6,567,955	6,686,549							

Sewerage and Water Board of New Orleans

Sewer System Monthly Cash Collected

EUM Attribute: Financial Viability

Description: Establishes and maintains an effective balance between long-term debt, assets values, operations and maintenance expenditures, and operating revenues.

Constituency: Suppliers and Bondholders

Objective: Provide adequate cash to pay invoices on a timely basis

Goal: Cash balance of at least 180 days of O&M expenses

Currently Meeting Goal: Yes

Process Operating Within Control Limits: Yes

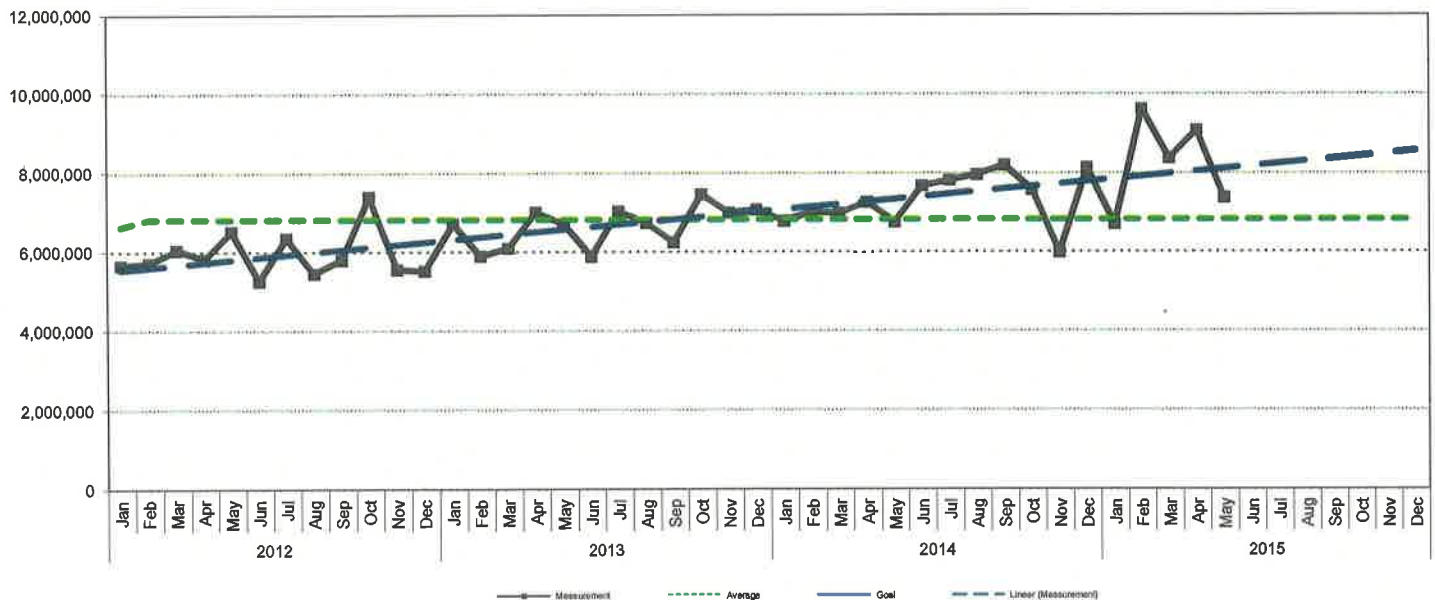
Trend: Favorable

Analysis

Monthly cash balances have remained stable except for repayment of previous inter-system loans.

Plans for Improvement

Utilize revenues generated from operations to increase cash balances according to 2011-2020 Financial Plan.



Data Table

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
2012	5,661,289	5,714,272	6,051,080	5,823,400	6,516,639	5,262,179	6,346,753	5,452,971	5,798,998	7,399,002	5,547,113	5,519,667
2013	5,892,677	6,090,786	7,003,459	6,700,595	5,878,959	7,029,026	6,732,312	6,237,598	7,456,610	6,987,229	7,061,236	6,775,818
2014	6,775,818	7,005,852	6,974,564	7,253,474	6,748,962	7,663,974	7,808,829	7,950,275	8,188,493	7,570,455	5,981,296	8,130,621
2015	6,701,128	9,611,004	8,368,273	9,070,202	7,366,041							

Sewerage and Water Board of New Orleans Drainage System Monthly Cash Collected

EUM Attribute: Financial Viability

Description: Establishes and maintains an effective balance between long-term debt, assets values, operations and maintenance expenditures, and operating revenues.

Constituency: Suppliers and Bondholders

Objective: Provide adequate cash to pay invoices on a timely basis

Goal: Cash balance of at least 180 days of O&M expenses

Currently Meeting Goal: Yes

Process Operating Within Control Limits: Yes

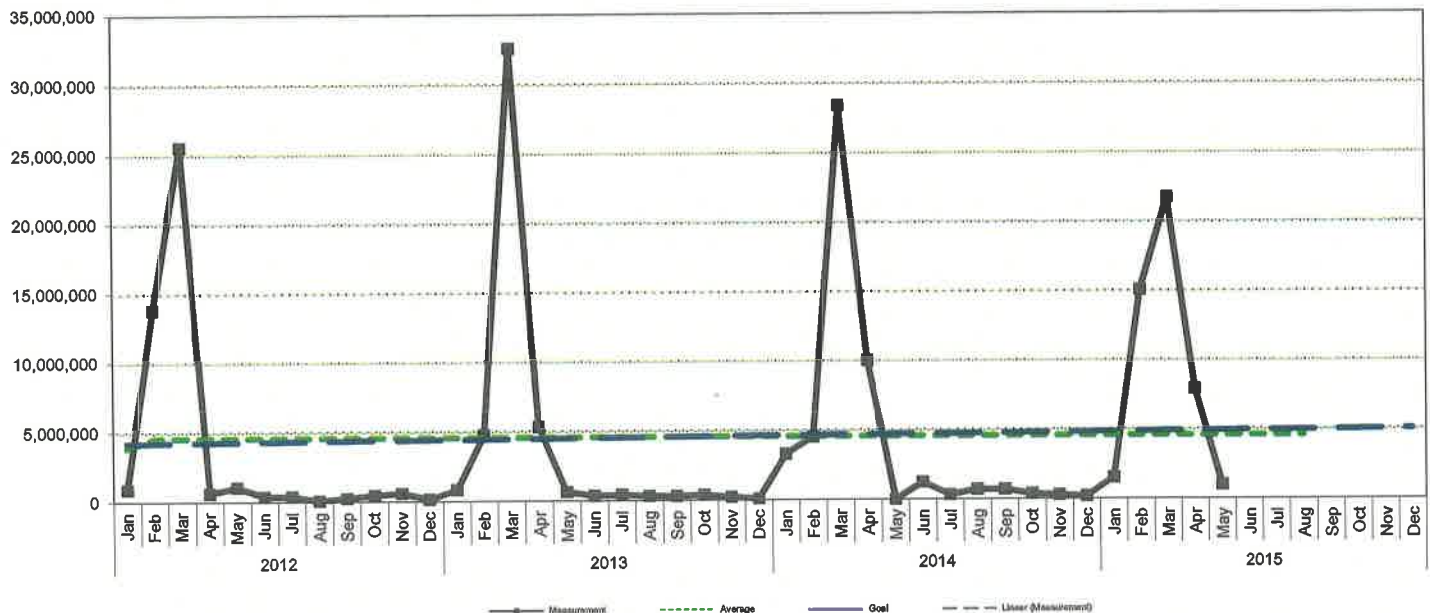
Trend: Level

Analysis

Monthly cash balances typically increase during the first quarter from property tax collections and then decrease for the remainder of the year. The long-term goal will not be met until a new revenue stream for the drainage system is created and implemented.

Plans for Improvement

Implement contemplated new drainage service charge to increase revenues generated from operations and cash balances according to 2011-2020 Financial Plan.



Data Table

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
2012	906,727	13,813,742	25,564,433	621,400	1,045,044	378,986	372,439	63,788	230,730	441,345	581,949	141,861
2013	848,399	4,777,799	32,589,679	5,338,894	648,645	361,388	427,620	321,860	313,518	383,129	221,148	93,784
2014	3,300,147	4,530,168	28,420,420	9,996,090	6,800	1,232,767	334,130	700,821	695,982	379,198	295,025	192,659
2015	1,532,084	15,054,752	21,729,720	7,895,517	985,548							

SEWERAGE AND WATER BOARD OF NEW ORLEANS
ALL SYSTEM FUNDS
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH PRIOR YEAR COMPARISONS
MAY 2015

	A	B	C	D	E	F	G	H
	MTD	MTD	MTD	%	YTD	YTD	YTD	%
	Actual	Prior Year	Variance		Actual	Prior Year	Variance	
Operating revenues:								
1 Sales of water and delinquent fees	6,303,401	5,701,933	601,468	10.5%	30,592,555	27,289,052	3,303,503	12.1%
2 Sewerage service charges and del fees	7,768,624	7,022,320	746,304	10.6%	38,148,393	34,042,440	4,105,953	12.1%
3 Plumbing inspection and license fees	44,850	58,840	(13,990)	-23.8%	247,508	274,226	(26,718)	-9.7%
4 Other revenues	302,742	395,307	(92,565)	-23.4%	1,463,684	1,559,353	(95,669)	-6.1%
5 Total operating revenues	14,419,617	13,178,400	1,241,217	9.4%	70,452,140	63,165,071	7,287,069	11.5%
Operating Expenses:								
6 Power and pumping	867,174	815,828	51,346	6.3%	4,172,825	4,240,116	(67,291)	-1.6%
7 Treatment	1,442,628	1,404,480	38,148	2.7%	7,055,171	7,559,609	(504,438)	-6.7%
8 Transmission and distribution	2,437,254	3,202,191	(764,937)	-23.9%	8,941,831	9,228,846	(287,015)	-3.1%
9 Customer accounts	412,308	240,749	171,559	71.3%	1,490,471	1,332,781	157,690	11.8%
10 Customer service	439,043	239,589	199,454	83.2%	1,557,921	1,371,313	186,608	13.6%
11 Administration and general	2,975,357	1,017,669	1,957,688	192.4%	9,582,021	8,924,238	657,783	7.4%
12 Payroll related	2,549,501	3,300,481	(750,980)	-22.8%	8,760,359	13,533,858	(4,773,499)	-35.3%
13 Maintenance of general plant	1,239,542	1,940,904	(701,362)	-36.1%	4,877,164	9,501,995	(4,624,831)	-48.7%
14 Depreciation	3,540,765	3,074,342	466,423	15.2%	17,697,826	14,355,258	3,342,568	23.3%
15 Amortization	-	-	-	0.0%	-	-	-	0.0%
16 Provision for doubtful accounts	185,763	165,235	20,528	12.4%	928,813	826,175	102,638	12.4%
17 Provision for claims	50,215	82,689	(32,474)	-39.3%	357,369	426,464	(69,095)	-16.2%
18 Total operating expenses	16,139,550	15,484,157	655,393	4.2%	65,421,771	71,300,653	(5,878,882)	-8.2%
19 Operating income (loss)	(1,719,933)	(2,305,757)	585,824	-25.4%	5,030,369	(8,135,582)	13,165,951	-161.8%
Non-operating revenues (expense):								
20 Two-mill tax	58	-	58	0.0%	367,221	-	367,221	0.0%
21 Three-mill tax	271,027	2,834,590	(2,563,563)	-90.4%	13,255,424	12,572,555	682,869	5.4%
22 Six-mill tax	273,929	2,864,989	(2,591,060)	-90.4%	13,578,460	12,707,273	871,187	6.9%
23 Nine-mill tax	410,615	4,294,481	(3,883,866)	-90.4%	19,522,723	19,047,711	475,012	2.5%
24 Interest income	1,045,922	5,001	1,040,921	20814.3%	848,919	34,690	814,229	2347.2%
25 Other Income	-	-	-	0.0%	-	94,598	(94,598)	-100.0%
26 Interest expense	-	-	-	0.0%	-	-	-	0.0%
27 Operating and maintenance grants	-	556	(556)	-100.0%	70	602	(532)	-88.4%
28 Total non-operating revenues	2,001,551	9,999,617	(7,998,066)	-80.0%	47,572,817	44,457,429	3,115,388	7.0%
29 Income before capital contributions	281,618	7,693,860	(7,412,242)	-96.3%	52,603,186	36,321,847	16,281,339	44.8%
30 Capital contributions	234,241	5,013,194	(4,778,953)	-95.3%	2,402,922	15,917,299	(13,514,377)	-84.9%
31 Change in net position	515,859	12,707,054	(12,191,195)	-95.9%	55,006,108	52,239,146	2,766,962	5.3%
32 Net position, beginning of year					1,816,132,598	1,664,001,594	152,131,004	9.1%
33 Net position, end of year					1,871,138,706	1,716,240,740	154,897,966	9.0%

SEWERAGE AND WATER BOARD OF NEW ORLEANS
ALL SYSTEM FUNDS
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH BUDGET COMPARISONS
MAY 2015

	A	B	C	D	E	F	G	H
	MTD Actual	MTD Budget	MTD Variance	%	YTD Actual	YTD Budget	YTD Variance	%
Operating revenues:								
1 Sales of water and delinquent fees	6,303,401	6,609,060	(305,659)	-4.6%	30,592,555	33,045,299	(2,452,744)	-7.4%
2 Sewerage service charges and del fees	7,768,624	8,070,794	(302,170)	-3.7%	38,148,393	40,353,970	(2,205,577)	-5.5%
3 Plumbing inspection and license fees	44,850	53,586	(8,736)	-16.3%	247,508	267,931	(20,423)	-7.6%
4 Other revenues	302,742	293,454	9,288	3.2%	1,463,684	1,467,270	(3,585)	-0.2%
5 Total operating revenues	14,419,617	15,026,894	(607,277)	-4.0%	70,452,140	75,134,469	(4,682,329)	-6.2%
Operating Expenses:								
6 Power and pumping	867,174	1,326,684	(459,510)	-34.6%	4,172,825	6,633,420	(2,460,595)	-37.1%
7 Treatment	1,442,628	1,816,272	(373,644)	-20.6%	7,055,171	9,081,360	(2,026,189)	-22.3%
8 Transmission and distribution	2,437,254	3,252,100	(814,846)	-25.1%	8,941,831	16,260,502	(7,318,671)	-45.0%
9 Customer accounts	412,308	419,094	(6,786)	-1.6%	1,490,471	2,095,469	(604,998)	-28.9%
10 Customer service	439,043	507,943	(68,900)	-13.6%	1,557,921	2,539,715	(981,794)	-38.7%
11 Administration and general	2,975,357	3,685,267	(709,910)	-19.3%	9,582,021	18,426,334	(8,844,313)	-48.0%
12 Payroll related	2,549,501	711,201	1,838,300	258.5%	8,760,359	3,556,004	5,204,355	146.4%
13 Maintenance of general plant	1,239,542	2,942,145	(1,702,603)	-57.9%	4,877,164	14,710,723	(9,833,559)	-66.8%
14 Depreciation	3,540,765	3,885,847	(345,081)	-8.9%	17,697,826	19,429,234	(1,731,409)	-8.9%
15 Amortization	-	-	-	0.0%	-	-	-	0.0%
16 Provision for doubtful accounts	185,763	-	185,763	0.0%	928,813	-	928,813	0.0%
17 Provision for claims	50,215	223,038	(172,823)	-77.5%	357,369	1,115,188	(757,819)	-68.0%
18 Total operating expenses	16,139,550	18,769,590	(2,630,040)	-14.0%	65,421,771	93,847,948	(28,426,177)	-30.3%
19 Operating income (loss)	(1,719,933)	(3,742,696)	2,022,763	-54.0%	5,030,369	(18,713,478)	23,743,848	-126.9%
Non-operating revenues (expense):								
20 Two-mill tax	58	-	58	0.0%	367,221	-	367,221	0.0%
21 Three-mill tax	271,027	-	271,027	0.0%	13,255,424	13,357,609	(102,185)	-0.8%
22 Six-mill tax	273,929	-	273,929	0.0%	13,578,460	13,500,746	77,714	0.6%
23 Nine-mill tax	410,615	-	410,615	0.0%	19,522,723	20,364,000	(841,277)	-4.1%
24 Interest income	1,045,922	-	1,045,922	0.0%	848,919	-	848,919	0.0%
25 Other Income	-	131,095	(131,095)	-100.0%	-	655,475	(655,475)	-100.0%
26 Interest expense	-	(261,777)	261,777	-100.0%	-	(1,308,885)	1,308,885	-100.0%
27 Operating and maintenance grants	-	-	-	0.0%	70	-	70	0.0%
28 Total non-operating revenues	2,001,551	(130,682)	2,132,233	-1631.6%	47,572,817	46,568,945	1,003,872	2.2%
29 Income before capital contributions	281,618	(3,873,378)	4,154,996	-107.3%	52,603,186	27,855,467	24,747,719	88.8%
30 Capital contributions	234,241	-	234,241	0.0%	2,402,922	-	2,402,922	0.0%
31 Change in net position	515,859	(3,873,378)	4,389,237	-113.3%	55,006,108	27,855,467	27,150,641	97.5%
32 Net position, beginning of year					1,816,132,598	1,664,001,594	152,131,004	9.1%
33 Net position, end of year					1,871,138,706	1,687,057,812	184,080,894	10.9%

SEWERAGE AND WATER BOARD OF NEW ORLEANS
WATER SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH PRIOR YEAR COMPARISONS
MAY 2015

	A	B	C	D	E	F	G	H
	MTD Actual	MTD Prior Year	MTD Variance	%	YTD Actual	YTD Prior Year	YTD Variance	%
Operating revenues:								
1 Sales of water and delinquent fees	6,303,401	5,701,933	601,468	10.5%	30,592,555	27,289,052	3,303,503	12.1%
2 Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%
3 Plumbing inspection and license fees	22,425	29,420	(6,995)	-23.8%	123,754	137,113	(13,359)	-9.7%
4 Other revenues	298,100	325,801	(27,701)	-8.5%	1,363,163	1,264,182	98,981	7.8%
5 Total operating revenues	6,623,926	6,057,154	566,772	9.4%	32,079,472	28,690,347	3,389,125	11.8%
Operating Expenses:								
6 Power and pumping	152,846	222,959	(70,113)	-31.4%	1,106,039	1,134,456	(28,417)	-2.5%
7 Treatment	549,722	613,407	(63,685)	-10.4%	2,650,307	3,205,102	(554,795)	-17.3%
8 Transmission and distribution	1,172,803	2,292,817	(1,120,014)	-48.8%	4,865,574	6,089,910	(1,224,336)	-20.1%
9 Customer accounts	205,960	120,096	85,864	71.5%	744,044	665,288	78,756	11.8%
10 Customer service	211,377	112,692	98,685	87.6%	747,831	662,109	85,722	12.9%
11 Administration and general	1,078,363	78,383	999,980	1275.8%	3,530,937	3,272,773	258,164	7.9%
12 Payroll related	1,171,018	1,662,837	(491,819)	-29.6%	4,061,745	6,775,630	(2,713,885)	-40.1%
13 Maintenance of general plant	591,777	1,275,128	(683,351)	-53.6%	2,368,227	5,120,848	(2,752,621)	-53.8%
14 Depreciation	1,246,023	1,046,508	199,515	19.1%	6,230,116	5,232,540	997,576	19.1%
15 Amortization	-	-	-	0.0%	-	-	-	0.0%
16 Provision for doubtful accounts	103,201	117,022	(13,821)	-11.8%	516,004	585,110	(69,106)	-11.8%
17 Provision for claims	23,456	34,617	(11,161)	-32.2%	134,115	203,760	(69,645)	-34.2%
18 Total operating expenses	6,506,546	7,576,466	(1,069,920)	-14.1%	26,954,939	32,947,526	(5,992,587)	-18.2%
19 Operating income (loss)	117,380	(1,519,312)	1,636,692	-107.7%	5,124,533	(4,257,179)	9,381,712	-220.4%
Non-operating revenues (expense):								
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%
24 Interest income	512,766	2,927	509,839	17418.5%	513,009	12,593	500,416	3973.8%
25 Other Income	-	-	-	0.0%	-	42,096	(42,096)	-100.0%
26 Interest expense	-	-	-	0.0%	-	-	-	0.0%
27 Operating and maintenance grants	-	533	(533)	-100.0%	70	556	(486)	-87.4%
28 Total non-operating revenues	512,766	3,460	509,306	14719.8%	513,079	55,245	457,834	828.7%
29 Income before capital contributions	630,146	(1,515,852)	2,145,998	-141.6%	5,637,612	(4,201,934)	9,839,546	-234.2%
30 Capital contributions	198,340	852,227	(653,887)	-76.7%	514,256	7,843,888	(7,329,632)	-93.4%
31 Change in net position	828,486	(663,625)	1,492,111	-224.8%	6,151,868	3,641,954	2,509,914	68.9%
32 Net position, beginning of year					313,268,717	256,171,548	57,097,169	22.3%
33 Net position, end of year					319,420,585	259,813,502	59,607,083	22.9%

SEWERAGE AND WATER BOARD OF NEW ORLEANS
WATER SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH BUDGET COMPARISONS
MAY 2015

	A	B	C	D	E	F	G	H
	MTD Actual	MTD Budget	MTD Variance	%	YTD Actual	YTD Budget	YTD Variance	%
Operating revenues:								
1 Sales of water and delinquent fees	6,303,401	6,609,060	(305,659)	-4.6%	30,592,555	33,045,299	(2,452,744)	-7.4%
2 Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%
3 Plumbing inspection and license fees	22,425	26,793	(4,368)	-16.3%	123,754	133,965	(10,211)	-7.6%
4 Other revenues	298,100	269,375	28,725	10.7%	1,363,163	1,346,876	16,287	1.2%
5 Total operating revenues	6,623,926	6,905,228	(281,302)	-4.1%	32,079,472	34,526,141	(2,446,669)	-7.1%
Operating Expenses:								
6 Power and pumping	152,846	295,132	(142,286)	-48.2%	1,106,039	1,475,659	(369,619)	-25.0%
7 Treatment	549,722	835,875	(286,153)	-34.2%	2,650,307	4,179,375	(1,529,068)	-36.6%
8 Transmission and distribution	1,172,803	1,765,513	(592,710)	-33.6%	4,865,574	8,827,565	(3,961,991)	-44.9%
9 Customer accounts	205,960	209,132	(3,172)	-1.5%	744,044	1,045,658	(301,614)	-28.8%
10 Customer service	211,377	247,292	(35,915)	-14.5%	747,831	1,236,460	(488,629)	-39.5%
11 Administration and general	1,078,363	1,290,298	(211,935)	-16.4%	3,530,937	6,451,491	(2,920,554)	-45.3%
12 Payroll related	1,171,018	339,522	831,496	244.9%	4,061,745	1,697,612	2,364,133	139.3%
13 Maintenance of general plant	591,777	1,203,603	(611,826)	-50.8%	2,368,227	6,018,013	(3,649,786)	-60.6%
14 Depreciation	1,246,023	1,537,917	(291,894)	-19.0%	6,230,116	7,689,583	(1,459,468)	-19.0%
15 Amortization	-	-	-	0.0%	-	-	-	0.0%
16 Provision for doubtful accounts	103,201	-	103,201	0.0%	516,004	-	516,004	0.0%
17 Provision for claims	23,456	92,574	(69,118)	-74.7%	134,115	462,870	(328,755)	-71.0%
18 Total operating expenses	6,506,546	7,816,857	(1,310,311)	-16.8%	26,954,939	39,084,284	(12,129,345)	-31.0%
19 Operating income (loss)	117,380	(911,629)	1,029,009	-112.9%	5,124,533	(4,558,143)	9,682,676	-212.4%
Non-operating revenues (expense):								
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%
24 Interest income	512,766	-	512,766	0.0%	513,009	-	513,009	0.0%
25 Other Income	-	33,375	(33,375)	-100.0%	-	166,875	(166,875)	-100.0%
26 Interest expense	-	(22,384)	22,384	-100.0%	-	(111,920)	111,920	-100.0%
27 Operating and maintenance grants	-	-	-	0.0%	70	-	70	0.0%
28 Total non-operating revenues	512,766	10,991	501,775	4565.3%	513,079	54,956	458,123	833.6%
29 Income before capital contributions	630,146	(900,638)	1,530,783	-170.0%	5,637,612	(4,503,188)	10,140,799	-225.2%
30 Capital contributions	198,340	-	198,340	0.0%	514,256	-	514,256	0.0%
31 Change in net position	828,486	(900,638)	1,729,123	-192.0%	6,151,868	-	-	-
32 Net position, beginning of year	-	-	-	-	313,268,717	256,171,548	57,097,169	22.3%
33 Net position, end of year	-	-	-	-	319,420,585	256,171,548	63,249,037	24.7%

SEWERAGE AND WATER BOARD OF NEW ORLEANS
SEWER SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH PRIOR YEAR COMPARISONS
MAY 2015

	A	B	C	D	E	F	G	H
	MTD	MTD	MTD	%	YTD	YTD	YTD	%
	Actual	Prior Year	Variance		Actual	Prior Year	Variance	
Operating revenues:								
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%
2 Sewerage service charges and del fees	7,768,624	7,022,320	746,304	10.6%	38,148,393	34,042,440	4,105,953	12.1%
3 Plumbing inspection and license fees	22,425	29,420	(6,995)	-23.8%	123,754	137,113	(13,359)	-9.7%
4 Other revenues	3,582	42,447	(38,865)	-91.6%	93,883	240,903	(147,020)	-61.0%
5 Total operating revenues	7,794,631	7,094,187	700,444	9.9%	38,366,030	34,420,456	3,945,574	11.5%
Operating Expenses:								
6 Power and pumping	125,514	232,077	(106,563)	-45.9%	615,607	1,049,021	(433,414)	-41.3%
7 Treatment	888,427	788,392	100,035	12.7%	4,385,915	4,320,136	65,779	1.5%
8 Transmission and distribution	1,182,712	856,041	326,671	38.2%	3,751,800	2,915,811	835,989	28.7%
9 Customer accounts	205,960	120,096	85,864	71.5%	744,043	665,287	78,756	11.8%
10 Customer service	213,637	114,816	98,821	86.1%	756,470	670,986	85,484	12.7%
11 Administration and general	1,022,591	48,808	973,783	1995.1%	3,330,506	3,105,337	225,169	7.3%
12 Payroll related	801,430	1,191,459	(390,029)	-32.7%	2,823,037	4,964,373	(2,141,336)	-43.1%
13 Maintenance of general plant	218,011	167,686	50,325	30.0%	890,277	2,129,077	(1,238,800)	-58.2%
14 Depreciation	1,136,146	1,011,382	124,764	12.3%	5,680,730	5,056,910	623,820	12.3%
15 Amortization	-	-	-	0.0%	-	-	-	0.0%
16 Provision for doubtful accounts	82,562	48,213	34,349	71.2%	412,809	241,065	171,744	71.2%
17 Provision for claims	14,939	22,048	(7,109)	-32.2%	111,351	129,712	(18,361)	-14.2%
18 Total operating expenses	5,891,929	4,601,018	1,290,911	28.1%	23,502,545	25,247,715	(1,745,170)	-6.9%
19 Operating income (loss)	1,902,702	2,493,169	(590,467)	-23.7%	14,863,485	9,172,741	5,690,744	62.0%
Non-operating revenues (expense):								
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%
24 Interest income	315,456	-	315,456	0.0%	335,910	4,988	330,922	6634.4%
25 Other Income	-	-	-	0.0%	-	52,502	(52,502)	-100.0%
26 Interest expense	-	-	-	0.0%	-	-	-	0.0%
27 Operating and maintenance grants	-	-	-	0.0%	-	23	(23)	-100.0%
28 Total non-operating revenues	315,456	-	315,456	0.0%	335,910	57,513	278,397	484.1%
29 Income before capital contributions	2,218,158	2,493,169	(275,011)	-11.0%	15,199,395	9,230,254	5,969,141	64.7%
30 Capital contributions	34,401	791,468	(757,067)	-95.7%	1,884,322	2,426,126	(541,804)	-22.3%
31 Change in net position	2,252,559	3,284,637	(1,032,078)	-31.4%	17,083,717	11,656,380	5,427,337	46.6%
32 Net position, beginning of year	-	-	-	-	666,666,891	610,781,239	55,885,652	9.1%
33 Net position, end of year	-	-	-	-	683,750,608	622,437,619	61,312,989	9.9%

SEWERAGE AND WATER BOARD OF NEW ORLEANS
SEWER SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH BUDGET COMPARISONS
MAY 2015

	A	B	C	D	E	F	G	H
	MTD	MTD	MTD	%	YTD	YTD	YTD	%
	Actual	Budget	Variance		Actual	Budget	Variance	
Operating revenues:								
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%
2 Sewerage service charges and del fees	7,768,624	8,070,794	(302,170)	-3.7%	38,148,393	40,353,970	(2,205,577)	-5.5%
3 Plumbing inspection and license fees	22,425	26,793	(4,368)	-16.3%	123,754	133,965	(10,211)	-7.6%
4 Other revenues	3,582	24,079	(20,497)	-85.1%	93,883	120,393	(26,510)	-22.0%
5 Total operating revenues	7,794,631	8,121,666	(327,035)	-4.0%	38,366,030	40,608,328	(2,242,298)	-5.5%
Operating Expenses:								
6 Power and pumping	125,514	254,037	(128,523)	-50.6%	615,607	1,270,185	(654,578)	-51.5%
7 Treatment	888,427	966,139	(77,712)	-8.0%	4,385,915	4,830,695	(444,780)	-9.2%
8 Transmission and distribution	1,182,712	1,402,613	(219,901)	-15.7%	3,751,800	7,013,063	(3,261,263)	-46.5%
9 Customer accounts	205,960	209,131	(3,171)	-1.5%	744,043	1,045,655	(301,612)	-28.8%
10 Customer service	213,637	249,895	(36,258)	-14.5%	756,470	1,249,475	(493,005)	-39.5%
11 Administration and general	1,022,591	1,344,402	(321,811)	-23.9%	3,330,506	6,722,009	(3,391,503)	-50.5%
12 Payroll related	801,430	185,735	615,695	331.5%	2,823,037	928,676	1,894,361	204.0%
13 Maintenance of general plant	218,011	288,793	(70,782)	-24.5%	890,277	1,443,965	(553,688)	-38.3%
14 Depreciation	1,136,146	1,121,055	15,091	1.3%	5,680,730	5,605,276	75,454	1.3%
15 Amortization	-	-	-	0.0%	-	-	-	0.0%
16 Provision for doubtful accounts	82,562	-	82,562	0.0%	412,809	-	412,809	0.0%
17 Provision for claims	14,939	60,493	(45,554)	-75.3%	111,351	302,466	(191,115)	-63.2%
18 Total operating expenses	5,891,929	6,082,293	(190,364)	-3.1%	23,502,545	30,411,466	(6,908,921)	-22.7%
19 Operating income (loss)	1,902,702	2,039,372	(136,670)	-6.7%	14,863,485	10,196,862	4,666,623	45.8%
Non-operating revenues (expense):								
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%
24 Interest income	315,456	-	315,456	0.0%	335,910	-	335,910	0.0%
25 Other Income	-	39,245	(39,245)	-100.0%	-	196,227	(196,227)	-100.0%
26 Interest expense	-	(218,131)	218,131	-100.0%	-	(1,090,653)	1,090,653	-100.0%
27 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%
28 Total non-operating revenues	315,456	(178,885)	494,341	-276.3%	335,910	(894,426)	1,230,336	-137.6%
29 Income before capital contributions	2,218,158	1,860,487	357,671	19.2%	15,199,395	9,302,436	5,896,959	63.4%
30 Capital contributions	34,401	-	34,401	0.0%	1,884,322	-	1,884,322	0.0%
31 Change in net position	2,252,559	1,860,487	392,072	21.1%	17,083,717	-	-	-
32 Net position, beginning of year	-	-	-	-	666,666,891	610,781,239	55,885,652	9.1%
33 Net position, end of year	-	-	-	-	683,750,608	610,781,239	72,969,369	11.9%

SEWERAGE AND WATER BOARD OF NEW ORLEANS
SEWER SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH BUDGET COMPARISONS
MAY 2015

	A	B	C	D	E	F	G	H
	MTD Actual	MTD Budget	MTD Variance	%	YTD Actual	YTD Budget	YTD Variance	%
Operating revenues:								
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%
2 Sewerage service charges and del fees	7,768,624	8,070,794	(302,170)	-3.7%	38,148,393	40,353,970	(2,205,577)	-5.5%
3 Plumbing inspection and license fees	22,425	26,793	(4,368)	-16.3%	123,754	133,965	(10,211)	-7.6%
4 Other revenues	3,582	24,079	(20,497)	-85.1%	93,883	120,393	(26,510)	-22.0%
5 Total operating revenues	7,794,631	8,121,666	(327,035)	-4.0%	38,366,030	40,608,328	(2,242,298)	-5.5%
Operating Expenses:								
6 Power and pumping	125,514	254,037	(128,523)	-50.6%	615,607	1,270,185	(654,578)	-51.5%
7 Treatment	888,427	966,139	(77,712)	-8.0%	4,385,915	4,830,695	(444,780)	-9.2%
8 Transmission and distribution	1,182,712	1,402,613	(219,901)	-15.7%	3,751,800	7,013,063	(3,261,263)	-46.5%
9 Customer accounts	205,960	209,131	(3,171)	-1.5%	744,043	1,045,655	(301,612)	-28.8%
10 Customer service	213,637	249,895	(36,258)	-14.5%	756,470	1,249,475	(493,005)	-39.5%
11 Administration and general	1,022,591	1,344,402	(321,811)	-23.9%	3,330,506	6,722,009	(3,391,503)	-50.5%
12 Payroll related	801,430	185,735	615,695	331.5%	2,823,037	928,676	1,894,361	204.0%
13 Maintenance of general plant	218,011	288,793	(70,782)	-24.5%	890,277	1,443,965	(553,688)	-38.3%
14 Depreciation	1,136,146	1,121,055	15,091	1.3%	5,680,730	5,605,276	75,454	1.3%
15 Amortization	-	-	-	0.0%	-	-	-	0.0%
16 Provision for doubtful accounts	82,562	-	82,562	0.0%	412,809	-	412,809	0.0%
17 Provision for claims	14,939	60,493	(45,554)	-75.3%	111,351	302,466	(191,115)	-63.2%
18 Total operating expenses	5,891,929	6,082,293	(190,364)	-3.1%	23,502,545	30,411,466	(6,908,921)	-22.7%
19 Operating income (loss)	1,902,702	2,039,372	(136,670)	-6.7%	14,863,485	10,196,862	4,666,623	45.8%
Non-operating revenues (expense):								
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%
24 Interest income	315,456	-	315,456	0.0%	335,910	-	335,910	0.0%
25 Other Income	-	39,245	(39,245)	-100.0%	-	196,227	(196,227)	-100.0%
26 Interest expense	-	(218,131)	218,131	-100.0%	-	(1,090,653)	1,090,653	-100.0%
27 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%
28 Total non-operating revenues	315,456	(178,885)	494,341	-276.3%	335,910	(894,426)	1,230,336	-137.6%
29 Income before capital contributions	2,218,158	1,860,487	357,671	19.2%	15,199,395	9,302,436	5,896,959	63.4%
30 Capital contributions	34,401	-	34,401	0.0%	1,884,322	-	1,884,322	0.0%
31 Change in net position	2,252,559	1,860,487	392,072	21.1%	17,083,717	-	-	-
32 Net position, beginning of year	-	-	-	-	666,666,891	610,781,239	55,885,652	9.1%
33 Net position, end of year	-	-	-	-	683,750,608	610,781,239	72,969,369	11.9%

SEWERAGE AND WATER BOARD OF NEW ORLEANS
DRAINAGE SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH PRIOR YEAR COMPARISONS
MAY 2015

	A	B	C	D	E	F	G	H
	MTD	MTD	MTD	%	YTD	YTD	YTD	%
	Actual	Prior Year	Variance		Actual	Prior Year	Variance	
Operating revenues:								
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%
2 Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%
3 Plumbing inspection and license fees	-	-	-	0.0%	-	-	-	0.0%
4 Other revenues	1,060	27,059	(25,999)	-96.1%	6,638	54,268	(47,630)	-87.8%
5 Total operating revenues	1,060	27,059	(25,999)	-96.1%	6,638	54,268	(47,630)	-87.8%
Operating Expenses:								
6 Power and pumping	588,814	360,792	228,022	63.2%	2,451,178	2,056,639	394,539	19.2%
7 Treatment	4,479	2,681	1,798	67.1%	18,949	34,371	(15,422)	-44.9%
8 Transmission and distribution	81,739	53,333	28,406	53.3%	324,457	223,125	101,332	45.4%
9 Customer accounts	388	557	(169)	-30.3%	2,384	2,206	178	8.1%
10 Customer service	14,029	12,081	1,948	16.1%	53,620	38,218	15,402	40.3%
11 Administration and general	874,403	890,478	(16,075)	-1.8%	2,720,578	2,546,128	174,450	6.9%
12 Payroll related	577,053	446,185	130,868	29.3%	1,875,577	1,793,855	81,722	4.6%
13 Maintenance of general plant	429,754	498,090	(68,336)	-13.7%	1,618,660	2,252,070	(633,410)	-28.1%
14 Depreciation	1,158,596	1,016,452	142,144	14.0%	5,786,980	4,065,808	1,721,172	42.3%
15 Amortization	-	-	-	0.0%	-	-	-	0.0%
16 Provision for doubtful accounts	-	-	-	0.0%	-	-	-	0.0%
17 Provision for claims	11,820	26,024	(14,204)	-54.6%	111,903	92,992	18,911	20.3%
18 Total operating expenses	3,741,075	3,306,673	434,402	13.1%	14,964,286	13,105,412	1,858,874	14.2%
19 Operating income (loss)	(3,740,015)	(3,279,614)	(460,401)	14.0%	(14,957,648)	(13,051,144)	(1,906,504)	14.6%
Non-operating revenues (expense):								
20 Two-mill tax	58	-	58	0.0%	367,221	-	367,221	0.0%
21 Three-mill tax	271,027	2,834,590	(2,563,563)	-90.4%	13,255,424	12,572,555	682,869	5.4%
22 Six-mill tax	273,929	2,864,989	(2,591,060)	-90.4%	13,578,460	12,707,273	871,187	6.9%
23 Nine-mill tax	410,615	4,294,481	(3,883,866)	-90.4%	19,522,723	19,047,711	475,012	2.5%
24 Interest income	217,701	2,074	215,627	10396.7%	-	17,109	(17,109)	-100.0%
25 Other Income	-	-	-	0.0%	-	-	-	0.0%
26 Interest expense	-	-	-	0.0%	-	-	-	0.0%
27 Operating and maintenance grants	-	23	(23)	-100.0%	-	23	(23)	-100.0%
28 Total non-operating revenues	1,173,330	9,996,157	(8,822,827)	-88.3%	46,723,828	44,344,671	2,379,157	5.4%
29 Income before capital contributions	(2,566,685)	6,716,543	(9,283,228)	-138.2%	31,766,180	31,293,527	472,653	1.5%
30 Capital contributions	1,500	3,369,499	(3,367,999)	-100.0%	4,344	5,647,285	(5,642,941)	-99.9%
31 Change in net position	(2,565,185)	10,086,042	(12,651,227)	-125.4%	31,770,524	36,940,812	(5,170,288)	-14.0%
32 Net position, beginning of year					836,196,990	797,048,807	39,148,183	4.9%
33 Net position, end of year					867,967,514	833,989,619	33,977,895	4.1%

SEWERAGE AND WATER BOARD OF NEW ORLEANS
DRAINAGE SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH BUDGET COMPARISONS
MAY 2015

	A	B	C	D	E	F	G	H
	MTD Actual	MTD Budget	MTD Variance	%	YTD Actual	YTD Budget	YTD Variance	%
Operating revenues:								
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%
2 Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%
3 Plumbing inspection and license fees	-	-	-	0.0%	-	-	-	0.0%
4 Other revenues	1,060	-	1,060	0.0%	6,638	-	6,638	0.0%
5 Total operating revenues	1,060	-	1,060	0.0%	6,638	-	6,638	0.0%
Operating Expenses:								
6 Power and pumping	588,814	777,515	(188,701)	-24.3%	2,451,178	3,887,576	(1,436,398)	-36.9%
7 Treatment	4,479	14,258	(9,779)	-68.6%	18,949	71,290	(52,341)	-73.4%
8 Transmission and distribution	81,739	83,975	(2,236)	-2.7%	324,457	419,875	(95,418)	-22.7%
9 Customer accounts	388	831	(443)	-53.3%	2,384	4,156	(1,772)	-42.6%
10 Customer service	14,029	10,756	3,273	30.4%	53,620	53,780	(160)	-0.3%
11 Administration and general	874,403	1,050,567	(176,164)	-16.8%	2,720,578	5,252,834	(2,532,256)	-48.2%
12 Payroll related	577,053	185,943	391,110	210.3%	1,875,577	929,716	945,861	101.7%
13 Maintenance of general plant	429,754	1,449,749	(1,019,995)	-70.4%	1,618,660	7,248,744	(5,630,084)	-77.7%
14 Depreciation	1,158,596	1,226,875	(68,279)	-5.6%	5,786,980	6,134,375	(347,395)	-5.7%
15 Amortization	-	-	-	0.0%	-	-	-	0.0%
16 Provision for doubtful accounts	-	-	-	0.0%	-	-	-	0.0%
17 Provision for claims	11,820	69,970	(58,150)	-83.1%	111,903	349,851	(237,948)	-68.0%
18 Total operating expenses	3,741,075	4,870,439	(1,129,364)	-23.2%	14,964,286	24,352,197	(9,387,911)	-38.6%
19 Operating income (loss)	(3,740,015)	(4,870,439)	1,130,424	-23.2%	(14,957,648)	(24,352,197)	9,394,549	-38.6%
Non-operating revenues (expense):								
20 Two-mill tax	58	-	58	0.0%	367,221	-	367,221	0.0%
21 Three-mill tax	271,027	-	271,027	0.0%	13,255,424	13,357,609	(102,185)	-0.8%
22 Six-mill tax	273,929	-	273,929	0.0%	13,578,460	13,500,746	77,714	0.6%
23 Nine-mill tax	410,615	-	410,615	0.0%	19,522,723	20,364,000	(841,277)	-4.1%
24 Interest income	217,701	-	217,701	0.0%	-	-	-	0.0%
25 Other Income	-	58,475	(58,475)	-100.0%	-	292,373	(292,373)	-100.0%
26 Interest expense	-	(21,263)	21,263	-100.0%	-	(106,313)	106,313	-100.0%
27 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%
28 Total non-operating revenues	1,173,330	37,212	1,136,117	3053.1%	46,723,828	47,408,415	(684,587)	-1.4%
29 Income before capital contributions	(2,566,685)	(4,833,227)	2,266,542	-46.9%	31,766,180	23,056,218	8,709,961	37.8%
30 Capital contributions	1,500	-	1,500	0.0%	4,344	-	4,344	0.0%
31 Change in net position	(2,565,185)	(4,833,227)	2,268,042	-46.9%	31,770,524	23,056,218	8,714,305	37.8%
32 Net position, beginning of year					836,196,990	797,048,807	39,148,183	4.9%
33 Net position, end of year					867,967,514	820,105,025	47,862,488	5.8%

BY-LAWS
OF THE
SEWERAGE AND WATER BOARD
OF NEW ORLEANS

REVISED AND AMENDED THROUGH
DECEMBER 17, 2014

WITH DRAFT OF PROPOSED CHANGES
MARKED-UP

BY-LAWS
OF THE
SEWERAGE AND WATER BOARD OF NEW ORLEANS

ARTICLE I - MEETINGS OF THE BOARD

Section 1:

Regular meetings of the Board shall be held on the third Wednesday of each month in the Sewerage and Water Board's Board Room.

In the event a regular meeting of the Board cannot be held on the third Wednesday of the month due to a legal holiday or other circumstances, or will not be held in the Sewerage and Water Board's Board Room, the President or President Pro Tem shall have the power to designate another day for the meeting either in the same week, in the week prior to, or in the week succeeding the week of the third Wednesday of that month and/or another location.

The time and location of the meetings shall be promulgated by the Executive Director's Office at least 24 hours in advance.

Section 2:

Special meetings may be called by the President of the Board, and shall be called by him when so requested in writing by four (4) members of the Board.

Written notice stating agenda and the time and the place of the meeting shall be served by the Executive Director on each member and promulgated at least 24 hours in advance.

ARTICLE I - MEETINGS OF THE BOARD (CONT'D)

Section 3:

No subjects shall be considered at special meetings, except those embraced in the call. However, upon approval of 2/3 of the members present, a matter not on the agenda may be taken up.

Section 4:

Six members shall constitute a quorum for the transaction of business at all Board meetings. Written notice stating the agenda and the time and place of the meeting shall be served by the Executive Director on each Trustee, and promulgated at least 24 hours in advance.

Eight members shall constitute a quorum for the transaction of any business by the Board of Trustees of the Employee's Retirement System of the Sewerage and Water Board of New Orleans.

Section 5:

In the absence of specific rules, policies, or procedures, Robert's Rules of Order, as newly revised, shall be used in conducting a meeting.

Section 6:

The meeting of the Trustees of the Employee's Retirement System of the Sewerage and Water Board of New Orleans ("Retirement System") shall be held during, before or after the Regular or Special Meetings of the Board.

ARTICLE II - OFFICERS

Section 1:

Officers of the Board shall be the President and the President Pro Tem. The Mayor of the City of New Orleans is the Ex-Officio President of the Board. The President Pro Tem shall be elected by a majority of the members of the Board.

ARTICLE III - ELECTIONS

Section 1:

Voting shall be by viva voce, except if otherwise noted herein, a majority of all members present to prevail. Appropriations shall be by roll call vote.

ARTICLE IV - DUTIES OF OFFICERS

Section 1:

Appointments to the Board are made by the President as set forth in R.S. 33:4071. The President shall also make appointments to all Committees of the Board. The President shall preside at all meetings of the Board. He shall have authority to open all -documents addressed to the Board. He shall have authority to sign all contracts, checks, warrants and other documents or papers in the name of the Board, and as directed by it. He has the right to vote at any meeting of the Board or any of the Board's committees. The President may also be authorized other duties as established by the Board.

Section 2:

The President Pro Tem shall assume all the duties of the President in the President's absence, or at the President's direction, and any other duties and privileges as may be established by the Board. The President Pro Tem has the right to vote at any meeting of the Board or any of the Board's committees. When both the President and President Pro Tem are in attendance at any committee or Board meeting and the President is presiding, the President Pro Tem shall have the same right to vote as any other member of the Board. The President Pro Tem shall serve for a two (2) year period with an option for the Board to re-elect him for another two (2) year term should the Board so desire, it being the intention of this section that the above reference to terms shall constitute a full, complete and consecutive term and should any President Pro Tem who is removed as such by his removal from the Board through causes beyond his control, who returns to the Board and is reinstated to the position of President Pro Tem, he shall be entitled to serve, if elected by the Board, two (2) full and consecutive two (2) year terms.

**ARTICLE V - DUTIES OF EXECUTIVE DIRECTOR/
DEPUTY DIRECTOR**

Section 1:

The Board shall elect an Executive Director whose salary shall be fixed by the Board. The Executive Director shall hold office at the pleasure of the Board. The election or removal of the Executive Director, and the amount of his salary, shall be determined by a majority vote of the entire Board at one of its regular monthly meetings. The duties of the Executive Director shall be fixed by the Board. The Executive Director is responsible for the daily operations of the organization.

Section 2:

The Executive Director shall be present at all meetings of the Board and its Committees. He shall keep full and accurate written records of the proceedings at all such meetings. ~~However, the Deputy Director may act in his absence.~~

Section 3:

The Executive Director shall be custodian and hold in safekeeping all records and papers belonging to the Board.

Section 4:

All motions and resolutions passed by the Board shall be recorded by the Executive Director in a book or books to be kept for that purpose. He shall attend to the publication of motions and resolutions and other notices as required.

ARTICLE V - DUTIES OF EXECUTIVE DIRECTOR/ DEPUTY DIRECTOR (CONT'D)

Section 5:

The Executive Director shall keep all the accounts of the Board, relating to the receipt and disbursement of funds. He shall keep an inventory of all property acquired or disposed of by the Board, and he is required to make written report at each regular meeting of all transactions named in this section.

The Executive Director shall submit a written annual report for the Board to present to the City Council in accordance with R.S. 33:4091. The report shall be prepared in a timely fashion so that it may be submitted to the City Council on or prior to the first day of May of each year.

At least one day in advance of its regular monthly meeting or of any committee meetings, the Executive Director ~~shall place in the hands of~~ deliver to each member of the Board or Committee the meeting notice, agenda, and copies of all reports, ~~including the General Superintendent's Recommendations,~~ being presented to the Board or Committee.

Section 6:

The Executive Director shall have authority to advertise for bid and award contracts for all procurements having a value of less than one million dollars.

Section 7:

The Executive Director shall also perform such other duties germane to his office, as may be required of him by direction of the Board. The Sewerage and Water Board employees' indemnity bond is considered as satisfying the statutory requirement for the Executive Director's indemnity bond.

Section ~~7~~8:

Neither the Executive Director, nor ~~the Deputy Director, nor the General Superintendent~~ any unclassified employee shall engage in any other occupation or employment that would interfere or conflict with their Sewerage and Water Board duties. The ~~Deputy Director and the General Superintendent~~ unclassified employees shall not engage in any other occupation or employment unless

**ARTICLE V - DUTIES OF EXECUTIVE DIRECTOR/
DEPUTY DIRECTOR (CONT'D)**

certified by the Executive Director as not interfering or in conflict with their
Sewerage and Water Board Duties.

**ARTICLE V - DUTIES OF EXECUTIVE DIRECTOR/
DEPUTY DIRECTOR (CONT'D)**

~~interfering or in conflict with their Sewerage and Water Board duties.~~
The Executive Director shall receive such certification from the President Pro Tem before engaging in any other occupation or employment as described above.

Section
89:

~~The~~ A Deputy Director shall act in the absence of the Executive Director in carrying out the duties prescribed by these By-Laws, as well as any other duties that are assigned by the Executive Director or by the Board.

ARTICLE VI - COMMITTEES

Section 1:

There shall be four (4) standing Committees, to be named respectively: ~~the Executive Committee, the Finance Committee, the Operations Committee, and the Committee on Infrastructure and Operating Systems~~ the Audit Committee, the Finance Committee, the Governance Committee, and the Strategy Committee.

In addition, a Pension Committee is established in accordance with the "Rules and Regulations of the Employees Retirement System of the Sewerage and Water Board of New Orleans."

A Plumbing Conference Committee is established in accordance with the Plumbing Code of the City of New Orleans.

Other Ad Hoc Committees may be formed by the Board or by the President of the Board.

~~The President of the Board shall appoint the Chairpersons and all members of the foregoing Committees. The President of the Board may appoint the Vice Chairpersons of the three (3) standing Committees. The make up of the Committees may be re-examined periodically and new appointments made so that all members of the Board may participate in all phases of Sewerage and Water Board operations and administration. Each Board member must be assigned to serve on at least one (1) Committee.~~

Section 2:

The President of the Board shall appoint the Chairpersons and all members of the foregoing Committees. The President of the Board may appoint the Vice Chairpersons of the three (3) standing Committees. The make up of the Committees may be re-examined periodically and new appointments made so that all members of the Board may participate in all phases of Sewerage and Water Board operations and administration. Each Board member must be assigned to serve on at least one (1) Committee.

Each committees shall consist of five (5) members of the Board, to be appointed by the President. Three (3) members of any standing committee

shall constitute a quorum.

The following matters are illustrative of those brought before the Strategy Committee for consideration:

~~The Executive Committee shall consist of five (5) members made up as follows: the President Pro Tem, who shall Chair the Executive Committee, and the Chairpersons of the other three (3) standing Committees, and the Chairperson of the Plumbing Conference Committee.~~

ARTICLE VI - COMMITTEES (CONT'D)

Section 3:

~~Each of the other standing committees shall consist of five (5) members of the Board, to be appointed by the President. Three (3) members of any standing committee shall constitute a quorum.~~

Section 4:

~~In the absence of the Committee Chairperson and the Vice Chairperson at any meeting of a committee, the committee may elect a Chairperson Pro Tem.~~

Section 53:

~~The Executive Audit Committee shall act in a deliberative and advisory capacity and to it may be referred any business of the Board for consideration and report, preceding official action by the Board~~monitor compliance with federal, state, and local laws and regulations and have charge of the affairs of the Board relating to the internal control and risk management framework.

~~The following matters are illustrative of those brought before the Audit Committee for consideration: review of all contracts awarded by the Executive Director; regulatory compliance; internal controls assessment; internal and external audit reports compliance; and recommendations from external consultants.~~

~~The following matters are illustrative of those brought before the Executive Committee for consideration: strategic planning; business development; risk management; information technology; pay plans and policies, personnel matters, including but not limited to Equal Employment Opportunity, Affirmative Action, EEO Reports, and Discrimination~~

ARTICLE VI - COMMITTEES (CONT'D)

~~Complaints; leases; and in general, matters affecting all utilities (sewerage, water and drainage).~~

Section ~~6~~4:

The Finance Committee shall ~~be in charge of the financial affairs of the Board, subordinate to the Board's direction~~monitor operational performance and align financial resources to achieve the objectives of the Board.

The following matters are illustrative of those brought before the Finance Committee for consideration: organizational performance metrics; operating and capital budgets; and financial reporting; ~~Operating Budget; financing strategies; alternative financing mechanisms; banking; bond issues; credit ratings; review and recommendation for award of all contracts and bid.~~

ARTICLE VI - COMMITTEES (CONT'D)

~~appeals; internal controls assessment; internal and external audit reports compliance; and other matters of a financial nature.~~

Section ~~75~~:

The Governance Committee on Infrastructure and Operating Systems shall manage the business of the Board of Directors.

The following matters are illustrative of those brought before the Governance Committee for consideration: perform orientation and training of new Board members; conduct evaluation and improvement of Board performance; oversee Board compliance with requirements for written financial disclosure statements; and consideration of Bylaw changes. have charge of the affairs of the Board relating respectively to each of the Board's systems of sewerage, water, drainage, power generation and power distribution, and shall act and advise on affairs of these systems, subject to the direction and ruling of the Board. Matters pertaining to sewerage, water, drainage, power generation and power distribution shall be brought before this committee for its consideration including evaluation of the condition of facilities; execution of the annual capital budget and long term capital improvement plans; and consideration of green infrastructure and resiliency initiatives.

Section ~~86~~:

The Operations-Strategy Committee shall have charge of the affairs of the Board relating customer service capabilities and results; strategic communications; organizational performance metrics; and the Economically Disadvantaged Business Programto long-term planning for the capabilities of the organization.

ARTICLE VI - COMMITTEES (CONT'D)

The following matters are illustrative of those brought before the Strategy Committee for consideration: strategic planning; facilities planning; personnel planning; financial planning; and information technology planning.

Section 97:

The Pension Committee is established by Section (3) of the "Rules and Regulations of the Employee Retirement System of the Sewerage and Water Board of New Orleans." This Committee consists of: Chairperson - President Pro Tem; Four (4) members appointed by the President, at least one of which shall be a member of the Finance Committee; Three (3) employee members elected by the employees and one (1) retiree representative elected by Board retirees. Five members shall constitute a quorum.

The Committee shall be in charge of matters relating to the management of the Employee Retirement

ARTICLE VI – COMMITTEES (CONT'D)

System, including establishment of the rules and regulations and management of the pension fund.

Section 108:

A. The Board of Trustees, as defined in the Rules and Regulations of the Employee's Retirement System of the Sewerage and Water Board of New Orleans, shall have full and final authority to make all decisions concerning the investment of assets of said retirement system, to include recommendations of the Pension Committee made to the Board, and which involve the exercise of the Trustees' Investment Authority, as set forth in Section 8.6 of the Rules and Regulations of the Retirement System. These matters shall include, but not limited to, the following:

- a. Selection, hiring and termination of various investment managers, custodial banks and financial consulting firms.
- b. The establishment of an Investment Policy, Asset Allocation Policy and Rebalancing Policy.
- c. Deciding what portion of the Retirement System assets a particular investment manager shall invest.
- d. Evaluating the performance of a particular investment manager, including placing that investment manager on probation.
- e. Receipt and review of actuarial reports and annual investment reports prepared by the investment consultant.

B. Because the Pension Committee will be called upon to review matters which the Board of Trustees of the Employees' Retirement System will be required to approve in order to be effective:

ARTICLE VI - COMMITTEES (CONT'D)

- a. The Pension Committee, in making its written report to the Board of Directors of the Sewerage and Water Board of New Orleans, shall designate three (3) types of agendas for consideration by the Board of Directors. These three (3) agendas shall consist of consent items, non-consent items and items to be considered by the full Board of Trustees.
- b. At the time that the Pension Committee report is received by the Board of Directors, and such report has on its agenda 'Board of Trustees Items,' the four (4) employee-elected Trustees, if present, shall be invited by the chairperson to join the Board of Directors' Trustees in considering the specific items of that agenda. A roll call shall be made by the Secretary to establish a quorum of the Board of Trustees, which quorum shall be no less than eight(8) Trustees. At this time, it is recognized that this is an official meeting of the Board of Trustees of the Employees' Retirement System of the Sewerage and Water Board of New Orleans and all Trustees present shall have the right to make motions, enter into discussions, vote and otherwise fully participate in the matters at hand. Each Trustee shall have one vote. All actions of the Trustees shall be by voice vote, unless a roll call is requested and a majority of all Trustees present shall prevail.

Upon conclusion of the specific items of the Board of Trustees agenda, to include that specified on the agenda of the Pension Committee, the chairman shall call for a motion to end the Board of Trustees meeting. Upon such affirmative vote to end, the employee-elected Trustees shall withdraw and the Board of Directors shall continue its regular business

ARTICLE VI - COMMITTEES (CONT'D)

Section

119:

The Plumbing Conference Committee is established by the Plumbing Code of the City of New Orleans. Matters relating to the Plumbing Code shall be brought before the Plumbing Conference Committee for consideration. This Committee consists of: —the General Superintendent, the Supervisor of Plumbing; four members of the Sewerage and Water Board appointed by the President, one licensed master plumber, one licensed journeyman plumber, and one member of any allied plumbing or engineering trade or profession. Except for the first two above, all shall be appointed to two (2) year terms by the President of the Board. Five members constitute a quorum.

Section

1210:

Any of the Committees may meet upon regular call of the Chairperson and shall meet upon ruling to that effect by the Board.

Section

1311:

All reports of Committees for submission at the regular meeting of the Board shall be in writing and action thereon may be deferred to the subsequent regular meeting of the Board.

Section

1412:

Both the President of the Board and the President Pro Tem shall be ex-officio members of each and all committees, both with the right to vote the same as any other member of said committees. Both the President of the Board, and the President Pro Tem, when acting as ex-officio members of a Committee in accordance with the foregoing, shall be true members in every sense and shall be counted towards the establishment of a quorum for the meeting.

ARTICLE VI - COMMITTEES (CONT'D)

Section ~~16~~13:

Action taken by a Committee of the Board shall be by a majority of those present.

Section ~~16~~14:

~~Except in instances where the Board shall declare a matter to be an emergency, all matters to be brought before the Board shall first be considered by a Committee of the Board.~~

~~Matters that appear before the Board under its consideration of "New Business" shall be assigned by the President or in his absence the President Pro Tem to the appropriate Committee of the Board.~~

Section 17:

Whenever there is an absence of a quorum required for a Committee of the Board to meet, the Executive Director shall reschedule the Committee Meeting to the next available date and time at which a quorum can be reasonably anticipated. Absent a quorum, there shall be no meeting of the Committee, however, the members present may, if they so desire, receive information or reports pertaining to matters on the agenda for such meeting.

ARTICLE VI - COMMITTEES (CONT'D)

Section 18:

~~Items not acted upon by the Committee to which they were originally assigned, due to that Committee not meeting because of a lack of a quorum, may, at the discretion of the President or President Pro Tem be placed temporarily upon the agenda of the Executive Committee. If there is no final action taken on the item by the Board, or if the entire matter addressed thereat is not disposed of, any further action regarding the item shall be considered by the Committee to which the item was originally assigned.~~

ARTICLE VII - DISBURSEMENTS

Section 1:

All warrants for payment of money administered by the Board of Liquidation, City Debt, for account of the Sewerage and Water Board of New Orleans, shall be drawn as provided in Article XIV of the Constitution of 1921, as amended by Sections 23.1 through 23.12 and 23.28 through 23.43 and all said warrants except those for contracts require two (2) signatures, one of which must be provided by the Executive Director, a Deputy Director, ~~or Management Services Director~~ General Superintendent, or Deputy General Superintendent. The second signature must be provided by either the Utility Financial Administrator, Public Utility Comptroller, Public Utility Comptroller Assistant, or by an Accountant ~~staff~~ -designated in writing by ~~resolution of the Board~~ the Executive Director. Said warrants shall be listed on audit sheet(s), setting forth the number of the warrant, date of warrant, and number of appropriation; and said audit sheet or sheets require the same signatory authorization, as stated above.

All checks for transfer of money for clearing accounts (i.e., payroll, voucher, etc.) of the Sewerage and Water Board of New Orleans, shall be drawn on the master accounts of the Board and require two (2) signatures, one of which must be provided by the Executive Director, a Deputy Director, ~~or Management Services Director~~ General Superintendent, or Deputy General Superintendent. The second signature must be provided by staff designated in writing by the Executive Director ~~either the Utility Financial Administrator, Public Utility Comptroller, Public Utility Comptroller Assistant, or by an Accountant designated by resolution of the Board~~. Said checks shall be listed on audit sheet(s), and said audit sheet or sheets require the same signatory authorization, as stated above.

All checks for payment of construction contracts shall be handled in the same manner, as stated above.

Section 2:

There shall be no disbursement of the monies of this Board without the direct order of resolution of the Board, made and entered of record at a meeting thereof, except as provided in R.S. 33:4084.

ARTICLE VIII - EMPLOYEES

Section 1:

The appointment, suspension and removal of employees shall be in accordance with the provisions of R.S. 33:4076 of Louisiana Revised Statutes. All payment of wage or salaries shall cease on dismissal of employees.

ARTICLE IX - DEPOSITORY

Section 1:

All funds of ~~the~~ Sewerage and Water Board of New Orleans, subject to its control, shall be deposited with any bank or banks located in the City of New Orleans, selected by the Board. Such banks shall furnish acceptable collateral in accordance with applicable law.

ARTICLE X - AMENDMENTS

Section 1:

Any amendments or changes to these by-laws shall be adopted only after approved by a quorum of the board (R.S. 33:4071E), provided that notice of the proposed amendment shall be given at a regular monthly meeting preceding final action upon such amendment. The amendment to be filed in writing at this meeting and entered upon the Minutes of the Board; further providing that the Executive Director of the Board shall have filed a copy of the amendment with a notice of the meeting for final action, upon every member of the Board not less than a week prior to said meeting.

ARTICLE XI - ORDER OF BUSINESS

Section 1:

Executive Director shall distribute an agenda for the regular monthly meeting of the Board at least 24 hours in advance of the meeting with an Order of Business. Contained within the Order of Business may be a consent agenda which can be adopted at the Board meeting in globo by voice vote of the majority members present. Only matters receiving a unanimous vote of the members present at a meeting of the Committee before which it has been brought shall be placed upon a consent agenda for consideration by the Board at its regular or special meeting. Should any Board member at the Board meeting object to any item(s) contained in the consent agenda, that item(s) shall be removed, not voted upon as part of the consent agenda and the item(s) placed appropriately in the Order of Business for discussion.

The Board may, by a vote of two-thirds (2/3) of the members present at a meeting, alter the order of business for that meeting.

Section 2:

~~The General Superintendent's monthly report shall be presented to the Finance Committee for its review and recommendations to the Board for action by the Board at its Regular Monthly Meeting.~~

ARTICLE XII – PUBLIC COMMENT

Section 1:

In accordance with the provisions of R.S. 42:5(D), the public is invited to attend and give comment on any matter listed on the agenda of a regular or special meeting of the Board or any of its committees. The time and length of such comments shall be determined by the Chair of the meeting.

**BY-LAWS
OF THE
SEWERAGE AND WATER BOARD
OF NEW ORLEANS**

**REVISED AND AMENDED THROUGH
DECEMBER 17, 2014**

**WITH PROPOSED CHANGES
JULY 15, 2015**

**BY-LAWS
OF THE
SEWERAGE AND WATER BOARD OF NEW ORLEANS**

ARTICLE I - MEETINGS OF THE BOARD

Section 1:

Regular meetings of the Board shall be held on the third Wednesday of each month in the Sewerage and Water Board's Board Room.

In the event a regular meeting of the Board cannot be held on the third Wednesday of the month due to a legal holiday or other circumstances, or will not be held in the Sewerage and Water Board's Board Room, the President or President Pro Tem shall have the power to designate another day for the meeting either in the same week, in the week prior to, or in the week succeeding the week of the third Wednesday of that month and/or another location.

The time and location of the meetings shall be promulgated by the Executive Director's Office at least 24 hours in advance.

Section 2:

Special meetings may be called by the President of the Board, and shall be called by him when so requested in writing by four (4) members of the Board.

Written notice stating agenda and the time and the place of the meeting shall be served by the Executive Director on each member and promulgated at least 24 hours in advance.

Section 3:

No subjects shall be considered at special meetings, except those embraced in the call. However, upon approval of 2/3 of the members present, a matter not on the agenda may be taken up.

Section 4:

Six members shall constitute a quorum for the transaction of business at all Board meetings. Written notice stating the agenda and the time and place of the meeting shall be served by the Executive Director on each Trustee, and promulgated at least 24 hours in advance.

Eight members shall constitute a quorum for the transaction of any business by the Board of Trustees of the Employee's Retirement System of the Sewerage and Water Board of New Orleans.

Section 5:

In the absence of specific rules, policies, or procedures, Robert's Rules of Order, as newly revised, shall be used in conducting a meeting.

Section 6:

The meeting of the Trustees of the Employee's Retirement System of the Sewerage and Water Board of New Orleans ("Retirement System") shall be held during, before or after the Regular or Special Meetings of the Board.

ARTICLE II - OFFICERS

Section 1:

Officers of the Board shall be the President and the President Pro Tem. The Mayor of the City of New Orleans is the Ex-Officio President of the Board. The President Pro Tem shall be elected by a majority of the members of the Board.

ARTICLE III - ELECTIONS

Section 1:

Voting shall be by viva voce, except if otherwise noted herein, a majority of all members present to prevail. Appropriations shall be by roll call vote.

ARTICLE IV - DUTIES OF OFFICERS

Section 1:

Appointments to the Board are made by the President as set forth in R.S. 33:4071. The President shall also make appointments to all Committees of the Board. The President shall preside at all meetings of the Board. He shall have authority to open all documents addressed to the Board. He shall have authority to sign all contracts, checks, warrants and other documents or papers in the name of the Board, and as directed by it. He has the right to vote at any meeting of the Board or any of the Board's committees. The President may also be authorized other duties as established by the Board.

Section 2:

The President Pro Tem shall assume all the duties of the President in the President's absence, or at the President's direction, and any other duties and privileges as may be established by the Board. The President Pro Tem has the right to vote at any meeting of the Board or any of the Board's committees. When both the President and President Pro Tem are in attendance at any committee or Board meeting and the President is presiding, the President Pro Tem shall have the same right to vote as any other member of the Board. The President Pro Tem shall serve for a two (2) year period with an option for the Board to re-elect him for another two (2) year term should the Board so desire, it being the intention of this section that the above reference to terms shall constitute a full, complete and consecutive term and should any President Pro Tem who is removed as such by his removal from the Board through causes beyond his control, who returns to the Board and is reinstated to the position of President Pro Tem, he shall be entitled to serve, if elected by the Board, two (2) full and consecutive two (2) year terms.

ARTICLE V - DUTIES OF EXECUTIVE DIRECTOR

Section 1:

The Board shall elect an Executive Director whose salary shall be fixed by the Board. The Executive Director shall hold office at the pleasure of the Board. The election or removal of the Executive Director, and the amount of his salary, shall be determined by a majority vote of the entire Board at one of its regular monthly meetings. The duties of the Executive Director shall be fixed by the Board. The Executive Director is responsible for the daily operations of the organization.

Section 2:

The Executive Director shall be present at all meetings of the Board and its Committees. He shall keep full and accurate written records of the proceedings at all such meetings.

Section 3:

The Executive Director shall be custodian and hold in safekeeping all records and papers belonging to the Board.

Section 4:

All motions and resolutions passed by the Board shall be recorded by the Executive Director in a book or books to be kept for that purpose. He shall attend to the publication of motions and resolutions and other notices as required.

Section 5:

The Executive Director shall keep all the accounts of the Board, relating to the receipt and disbursement of funds. He shall keep an inventory of all property acquired or disposed of by the Board, and he is required to make written report at each regular meeting of all transactions named in this section.

The Executive Director shall submit a written annual report for the Board to present to the City Council in accordance with R.S. 33:4091. The report shall be prepared in a timely fashion so that it may be submitted to the City Council on or prior to the first day of May of each year.

At least one day in advance of its regular monthly meeting or of any committee meetings, the Executive Director deliver to each member of the Board or Committee the meeting notice, agenda, and copies of all reports being presented to the Board or Committee.

Section 6:

The Executive Director shall have authority to advertise for bid and award contracts for all procurements having a value of less than one million dollars.

Section 7:

The Executive Director shall also perform such other duties germane to his office, as may be required of him by direction of the Board. The Sewerage and Water Board employees' indemnity bond is considered as satisfying the statutory requirement for the Executive Director's indemnity bond.

Section 8:

Neither the Executive Director, nor any unclassified employee shall engage in any other occupation or employment that would interfere or conflict with their Sewerage and Water Board duties. The unclassified employees shall not engage in any other occupation or employment unless certified by the Executive Director as not interfering or in conflict with their Sewerage and Water Board Duties.

The Executive Director shall receive such certification from the President Pro Tem before engaging in any other occupation or employment as described above.

Section 9:

A Deputy Director shall act in the absence of the Executive Director in carrying out the duties prescribed by these By-Laws, as well as any other duties that are assigned by the Executive Director or by the Board.

ARTICLE VI - COMMITTEES

Section 1:

There shall be four (4) standing Committees, to be named respectively: the Audit Committee, the Finance Committee, the Governance Committee, and the Strategy Committee.

In addition, a Pension Committee is established in accordance with the "Rules and Regulations of the Employees Retirement System of the Sewerage and Water Board of New Orleans."

A Plumbing Conference Committee is established in accordance with the Plumbing Code of the City of New Orleans.

Other Ad Hoc Committees may be formed by the Board or by the President of the Board.

Section 2:

The President of the Board shall appoint the Chairpersons and all members of the foregoing Committees. The President of the Board may appoint the Vice Chairpersons of the three (3) standing Committees. The make-up of the Committees may be re-examined periodically and new appointments made so that all members of the Board may participate in all phases of Sewerage and Water Board operations and administration. Each Board member must be assigned to serve on at least one (1) Committee.

Each committee shall consist of five (5) members of the Board, to be appointed by the President. Three (3) members of any standing committee shall constitute a quorum.

Section 3:

The Audit Committee shall monitor compliance with federal, state, and local laws and regulations and have charge of the affairs of the Board relating to the internal control and risk management framework.

The following matters are illustrative of those brought before the Audit Committee for consideration: review of all contracts awarded by the Executive Director; regulatory compliance; internal controls assessment; internal and external audit reports compliance; and recommendations from external consultants.

Section 4:

The Finance Committee shall monitor operational performance and align financial resources to achieve the objectives of the Board.

The following matters are illustrative of those brought before the Finance Committee for consideration: organizational performance metrics; operating and capital budgets; and financial reporting.

Section 5:

The Governance Committee shall manage the business of the Board of Directors.

The following matters are illustrative of those brought before the Governance Committee for consideration: perform orientation and training of new Board members; conduct evaluation and improvement of Board performance; oversee Board compliance with requirements for written financial disclosure statements; and consideration of Bylaw changes.

Section 6:

The Strategy Committee shall have charge of the affairs of the Board relating to long-term planning for the capabilities of the organization.

The following matters are illustrative of those brought before the Strategy Committee for consideration: strategic planning; facilities planning; personnel planning; financial planning; and information technology planning.

Section 7:

The Pension Committee is established by Section (3) of the "Rules and Regulations of the Employee Retirement System of the Sewerage and Water Board of New Orleans." This Committee consists of: Chairperson - President Pro Tem; Four (4) members appointed by the President, at least one of which shall be a member of the Finance Committee; Three (3) employee members elected by the employees and one (1) retiree representative elected by Board retirees. Five members shall constitute a quorum.

The Committee shall be in charge of matters relating to the management of the Employee Retirement System, including establishment of the rules and regulations and management of the pension fund.

Section 8:

A. The Board of Trustees, as defined in the Rules and Regulations of the Employee's Retirement System of the Sewerage and Water Board of New Orleans, shall have full and final authority to make all decisions concerning the investment of assets of said retirement system, to include recommendations of the Pension Committee made to the Board, and which involve the exercise of the Trustees' Investment Authority, as set forth in Section 8.6 of the Rules and Regulations of the Retirement System. These matters shall include, but not limited to, the following:

- a. Selection, hiring and termination of various investment managers, custodial banks and financial consulting firms.
- b. The establishment of an Investment Policy, Asset Allocation Policy and Rebalancing Policy.
- c. Deciding what portion of the Retirement System assets a particular investment manager shall invest.

- d. Evaluating the performance of a particular investment manager, including placing that investment manager on probation.
- e. Receipt and review of actuarial reports and annual investment reports prepared by the investment consultant.

B. Because the Pension Committee will be called upon to review matters which the Board of Trustees of the Employees' Retirement System will be required to approve in order to be effective:

- a. The Pension Committee, in making its written report to the Board of Directors of the Sewerage and Water Board of New Orleans, shall designate three (3) types of agendas for consideration by the Board of Directors. These three (3) agendas shall consist of consent items, non-consent items and items to be considered by the full Board of Trustees.
- b. At the time that the Pension Committee report is received by the Board of Directors, and such report has on its agenda 'Board of Trustees Items,' the four (4) employee-elected Trustees, if present, shall be invited by the chairperson to join the Board of Directors' Trustees in considering the specific items of that agenda. A roll call shall be made by the Secretary to establish a quorum of the Board of Trustees, which quorum shall be no less than eight(8) Trustees. At this time, it is recognized that this is an official meeting of the Board of Trustees of the Employees' Retirement System of the Sewerage and Water Board of New Orleans and all Trustees present shall have the right to make motions, enter into discussions, vote and otherwise fully participate in the matters at hand. Each Trustee shall have one vote. All actions of the Trustees shall be by voice vote, unless a roll call is requested and a majority of all Trustees present shall prevail.

Upon conclusion of the specific items of the Board of Trustees agenda, to include that specified on the agenda of the Pension Committee, the chairman shall call for a motion to end the Board of Trustees meeting. Upon such affirmative vote to end, the employee-elected Trustees shall withdraw and the Board of Directors shall continue its regular business.

Section 9:

The Plumbing Conference Committee is established by the Plumbing Code of the City of New Orleans. Matters relating to the Plumbing Code shall be brought before the Plumbing Conference Committee for consideration. This Committee consists of: the General Superintendent, the Supervisor of Plumbing; four members of the Sewerage and Water Board appointed by the President, one licensed master plumber, one licensed journeyman plumber, and one member of any allied plumbing or engineering trade or profession. Except for the first two above, all shall be appointed to two (2) year terms by the President of the Board. Five members constitute a quorum.

Section 10:

Any of the Committees may meet upon regular call of the Chairperson and shall meet upon ruling to that effect by the Board.

Section 11:

All reports of Committees for submission at the regular meeting of the Board shall be in writing and action thereon may be deferred to the subsequent regular meeting of the Board.

Section 12:

Both the President of the Board and the President Pro Tem shall be ex-officio members of each and all committees, both with the right to vote the same as any other member of said committees. Both the President of the Board, and the President Pro Tem, when acting as ex-officio members of a Committee in accordance with the foregoing, shall be true members in every sense and shall be counted towards the establishment of a quorum for the meeting.

Section 13:

Action taken by a Committee of the Board shall be by a majority of those present.

Section 14:

Whenever there is an absence of a quorum required for a Committee of the Board to meet, the Executive Director shall reschedule the Committee Meeting to the next available date and time at which a quorum can be reasonably anticipated. Absent a quorum, there shall be no meeting of the Committee, however, the members present may, if they so desire, receive information or reports pertaining to matters on the agenda for such meeting.

ARTICLE VII - DISBURSEMENTS

Section 1:

All warrants for payment of money administered by the Board of Liquidation, City Debt, for account of the Sewerage and Water Board of New Orleans, shall be drawn as provided in Article XIV of the Constitution of 1921, as amended by Sections 23.1 through 23.12 and 23.28 through 23.43 and all said warrants except those for contracts require two (2) signatures, one of which must be provided by the Executive Director, a Deputy Director, General Superintendent, or Deputy General Superintendent. The second signature must be provided by staff designated in writing by the Executive Director. Said warrants shall be listed on audit sheet(s), setting forth the number of the warrant, date of warrant, and number of appropriation; and said audit sheet or sheets require the same signatory authorization, as stated above.

All checks for transfer of money for clearing accounts (i.e., payroll, voucher, etc.) of the Sewerage and Water Board of New Orleans, shall be drawn on the master accounts of the Board and require two (2) signatures, one of which must be provided by the Executive Director, a Deputy Director, General Superintendent, or Deputy General Superintendent. The second signature must be provided by staff designated in writing by the Executive Director. Said checks shall be listed on audit sheet(s), and said audit sheet or sheets require the same signatory authorization, as stated above.

All checks for payment of construction contracts shall be handled in the same manner, as stated above.

Section 2:

There shall be no disbursement of the monies of this Board without the direct order of resolution of the Board, made and entered of record at a meeting thereof, except as provided in R.S. 33:4084.

ARTICLE VIII - EMPLOYEES

Section 1:

The appointment, suspension and removal of employees shall be in accordance with the provisions of R.S. 33:4076 of Louisiana Revised Statutes. All payment of wage or salaries shall cease on dismissal of employees.

ARTICLE IX - DEPOSITORY

Section 1:

All funds of Sewerage and Water Board of New Orleans, subject to its control, shall be deposited with any bank or banks located in the City of New Orleans selected by the Board. Such banks shall furnish acceptable collateral in accordance with applicable law.

ARTICLE X – AMENDMENTS

Section 1:

Any amendments or changes to these by-laws shall be adopted only after approved by a quorum of the board (R.S. 33:4071E), provided that notice of the proposed amendment shall be given at a regular monthly meeting preceding final action upon such amendment. The amendment to be filed in writing at this meeting and entered upon the Minutes of the Board; further providing that the Executive Director of the Board shall have filed a copy of the amendment with a notice of the meeting for final action, upon every member of the Board not less than a week prior to said meeting.

ARTICLE XI – ORDER OF BUSINESS

Section 1:

Executive Director shall distribute an agenda for the regular monthly meeting of the Board at least 24 hours in advance of the meeting with an Order of Business. Contained within the Order of Business may be a consent agenda which can be adopted at the Board meeting in globo by voice vote of the majority members present. Only matters receiving a unanimous vote of the members present at a meeting of the Committee before which it has been brought shall be placed upon a consent agenda for consideration by the Board at its regular or special meeting. Should any Board member at the Board meeting object to any item(s) contained in the consent agenda, that item(s) shall be removed, not voted upon as part of the consent agenda and the item(s) placed appropriately in the Order of Business for discussion.

The Board may, by a vote of two-thirds (2/3) of the members present at a meeting, alter the order of business for that meeting.

ARTICLE XII – PUBLIC COMMENT

Section 1:

In accordance with the provisions of R.S. 42:5(D), the public is invited to attend and give comment on any matter listed on the agenda of a regular or special meeting of the Board or any of its committees. The time and length of such comments shall be determined by the Chair of the meeting.

SEWERAGE AND WATER BOARD OF NEW ORLEANS

CHANGE ORDER ANALYSIS SUMMARY FOR FISCAL YEAR 2015

As of June 1, 2015

	<u>Total Active</u> <u>Contracts (\$)</u>	<u>Total Change</u> <u>Orders (\$)</u>	<u>Percentage</u> <u>Contract</u>
WATER			
Construction	\$ 310,230,351	\$ 5,357,433	1.7%
Design	\$ 57,259,082	\$ 28,883,004	50.4%
Total Water	\$ 367,489,433	\$ 34,240,437	9.3%
SEWERAGE			
Construction	\$ 159,640,309	\$ 9,458,070	5.9%
Design	\$ 16,281,387	\$ 9,041,273	55.5%
Total Sewerage	\$ 175,921,695	\$ 18,499,343	10.5%
DRAINAGE			
Construction	\$ 55,408,085	\$ 2,907,961	5.2%
Design	\$ 29,933,508	\$ 1,979,469	6.6%
Total Drainage	\$ 85,341,593	\$ 4,887,430	5.7%
Total Program	\$ 628,752,721	\$ 57,627,210	9.2%

Sewerage and Water Board
Department of Emergency Management
“Preparedness, Mitigation, Response, and Recovery”

FEMA Project Update as of 6-22-15

This data was collected from E.M. Data and Louisianaapa.com on the above reference date

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 Director of Emergency Management

Hurricane Katrina

Estimated Project Cost	\$ 855,226,394.14
Obligated Amount	\$ 682,070,279.15
Not Eligible PW ¹	\$ 10,896,751.08
Not Eligible Loan PW ²	\$ 72,607,253.53
Insurance Deduction ³	\$ 2,747,339.04
Insurance Settlement ⁴	\$ 2,303,000.00
Version Request ⁵	\$ 67,525,081.52
Appeal Amount ⁶	\$
Close Out Reconciliation ⁷	\$
Submitted Project Cost	\$ 710,261,350.26
Awaiting Obligation ⁸	\$ 14,376,915.35
Total Invoices in Progress at State	\$ 10,711,151.61
Total Paid by State (LAPA Data)	\$ 384,929,855.39
SPS HMGP Grant	\$ 20,082,538.00
Power Plant HMGP GRANT	\$ 141,175,000.00
Power Plant HMGP Amendment 1	\$ 9,620,389.00

¹ Represents the amount that will not result in payments by FEMA on non-100 Million Dollar P.W.'s.

² Represents the amount that will not result in payments by FEMA on 100 Million Dollar P.W.'s.

³ National Flood Insurance deductions.

⁴ Insurance Settlement

⁵ Amount that FEMA is reviewing documentation to adjust scope of work or cost adjustments

⁶ Amount that is currently under appeal or arbitration.

⁷ Amount that will be reconciled when Project Worksheet's are closed out.

⁸ Amount pending obligation in FEMA Million Dollar Queue or Funding Review Queue.

Hurricane Gustav

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Original ESTIMATED PROJECT COST	\$ 755,354.17
PROJECT SUBMITTED AMOUNT	\$ 755,354.17
NFIP Reduction	\$ -
OBLIGATED Amount	\$ 755,354.17
Amount Paid by State	\$ 312,309.26

Hurricane Isaac

This data was collected from E.M. Data and Louisianapa.com

Site	Estimated	Insurance Claim	FEMA Claim	PW Amount
CAT B Emergency Labor/Equipment	\$ 1,217,743.75	\$ -	\$ 1,398,010.67	\$ 1,398,010.67
East Bank Waste Water Treatment Plant	\$ 873,596.00	\$ 367,636.00	\$ 505,960.00	\$ 224,987.37
West Bank Waste Water Treatment Plant	\$ 118,755.00	\$ 38,887.00	\$ 79,868.00	\$ 28,053.10
East Bank Water Plant	\$ 184,556.00	\$ 36,850.00	\$ 174,706.00	\$ 74,678.91
West bank Water Plant	\$ 2,269.74	\$ -	\$ 2,269.74	\$ 2,269.74
Sewer Pumping Stations/DPS	\$ 94,063.80	\$ -	\$ 94,377.90	\$ 80,711.15
Central Yard Roof Repairs	\$ 6,053.60	\$ -	\$ 6,053.60	\$ 6,053.60
Central Yard Fence	\$ 18,836.61		\$ 18,836.61	\$ 18,836.61
Total	\$ 2,515,874.50	\$ 443,373.00	\$ 2,280,082.52	\$ 1,833,601.15

Sewerage & Water Board of New Orleans

Committee & Board of Director's Meeting Schedule

2015

WEDNESDAY	JULY 1, 2015	9:00 AM	COMMITTEE ON INFRASTRUCTURE
WEDNESDAY	JULY 1, 2015	10:30 AM	PENSION COMMITTEE
FRIDAY	JULY 3, 2015	HOLIDAY	INDEPENDENCE DAY/OFFICE CLOSED
MONDAY	JULY 6, 2015	8:00 AM	OPERATION COMMITTEE
TUESDAY	JULY 7, 2015	9:00 AM	FINANCE COMMITTEE
FRIDAY	JULY 10, 2015	9:00 AM	EXECUTIVE COMMITTEE
WEDNESDAY	JULY 15, 2015	9:00 AM	BOARD OF DIRECTOR'S
MONDAY	AUGUST 3, 2015	8:00 AM	OPERATION COMMITTEE
TUESDAY	AUGUST 4, 2015	9:00 AM	FINANCE COMMITTEE
WEDNESDAY	AUGUST 5, 2015	9:00 AM	COMMITTEE ON INFRASTRUCTURE
WEDNESDAY	AUGUST 5, 2015	10:30 AM	PENSION COMMITTEE
FRIDAY	AUGUST 7, 2015	9:00 AM	EXECUTIVE COMMITTEE
WEDNESDAY	AUGUST 19, 2015	9:00 AM	BOARD OF DIRECTOR'S
TUESDAY	SEPTEMBER 1, 2015	8:00 AM	OPERATIONS/ FINANCE COMMITTEE
WEDNESDAY	SEPTEMBER 2, 2015	9:00 AM	COMMITTEE ON INFRASTRUCTURE
WEDNESDAY	SEPTEMBER 2, 2015	10:30 AM	PENSION
FRIDAY	SEPTEMBER 4, 2015	9:00 AM	EXECUTIVE COMMITTEE
MONDAY	SEPTEMBER 7, 2015	HOLIDAY	LABOR DAY / OFFICE CLOSED
WEDNESDAY	SEPTEMBER 16, 2015	9:00 AM	BOARD OF DIRECTOR'S
MONDAY	OCTOBER 5, 2015	8:00 AM	OPERATION COMMITTEE
TUESDAY	OCTOBER 6, 2015	9:00 AM	FINANCE COMMITTEE
WEDNESDAY	OCTOBER 7, 2015	9:00 AM	COMMITTEE ON INFRASTRUCTURE
WEDNESDAY	OCTOBER 7, 2015	10:30 AM	PENSION COMMITTEE
FRIDAY	OCTOBER 9, 2015	9:00 AM	EXECUTIVE COMMITTEE
WEDNESDAY	OCTOBER 21, 2015	9:00 AM	BOARD OF DIRECTOR'S
MONDAY	NOVEMBER 2, 2015	8:00 AM	OPERATION COMMITTEE
TUESDAY	NOVEMBER 3, 2015	9:00 AM	FINANCE COMMITTEE
WEDNESDAY	NOVEMBER 4, 2015	9:00 AM	COMMITTEE ON INFRASTRUCTURE
WEDNESDAY	NOVEMBER 4, 2015	10:30 AM	PENSION COMMITTEE
FRIDAY	NOVEMBER 6, 2015	9:00 AM	EXECUTIVE COMMITTEE
WEDNESDAY	NOVEMBER 18, 2015	9:00 AM	BOARD OF DIRECTOR'S
THURSDAY	NOVEMBER 26, 2015	HOLIDAY	THANKSGIVING/OFFICE CLOSED
THURSDAY	NOVEMBER 27, 2015	HOLIDAY	VETERANS DAY/OFFICE CLOSED

NOTE: DUE TO THE LABOR DAY HOLIDAY IN SEPTEMBER, WE ARE ATTEMPTING TO HOLD THE OPERATIONS AND FINANCE COMMITTEES AS A JOINT MEETING.

NOTE: THANKSGIVING AND VETERANS HOLIDAYS IN NOVEMBER, NOVEMBER 26 AND NOVEMBER 27, 2015