

**BOARD OF DIRECTORS' MEETING**  
**SEWERAGE & WATER BOARD OF NEW ORLEANS**  
**WEDNESDAY, JULY 15, 2015**  
**9:00 AM**  
**625 ST. JOSEPH STREET**  
**2<sup>ND</sup> FLOOR BOARD ROOM**

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**ORDER OF BUSINESS**

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1. READING OF THE BOARD MINUTES
2. HONORS AND AWARDS
3. REPORT OF EXECUTIVE DIRECTOR
4. COMMITTEE REPORTS
  - A. Pension Committee Report
  - B. Operation Committee Report
  - C. Finance Committee Report
  - D. Executive Committee Report
5. CORRESPONDING RESOLUTIONS
6. UNFINISHED BUSINESS
  - Amendments to By-Laws of the Sewerage and Water Board
7. NEW BUSINESS
8. EXECUTIVE SESSION
  - Brock Litigation Settlement
  - Oil Spill by the Oil Rig "Deepwater Horizon" in the Gulf of Mexico, on April 20, 2010, USDC, Eastern District of Louisiana, MDL NO. 2179, Sec. J
9. INFORMATION ITEMS
  - E. FEMA Status Report
  - F. Report of the General Superintendent
  - G. Report of the Special Counsel
  - H. 2015 Committee/Board Meeting Schedule
  - I. Financial Statements
10. COMMUNICATION
11. RESPONSE TO QUESTIONS
12. REFERENCE MATERIALS (In Binders)
  - J. Sewerage & Water Board By-Laws
  - K. 2015 Operating and Capital Budgets
  - L. 2011-2020 Strategic Plan
  - M. Commitments to the City Council
  - N. Bond Ratings Information
13. ANY OTHER MATTERS
14. ADJOURNMENT

# BOARD OF DIRECTOR'S MEETING

JUNE 17, 2015

MEETING MINUTES

## SEWERAGE AND WATER BOARD OF NEW ORLEANS

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### ROLL CALL

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The Board of Directors of Sewerage and Water Board met on Wednesday, June 17, 2015 at 9:00 AM in the Board Room at 625 St. Joseph Street. The Executive Director, Cedric S. Grant, called the roll and showed the following members present: Ms. Suchitra Satpathi representing Mayor Landrieu, Mr. Wm. Raymond Manning, Mr. Alan Arnold, Mr. Marion Bracy, Mrs. Robin Barnes, Dr. Tamika Duplessis, Mrs. Kerri Kane, Mr. Joseph Peychaud (Eight).

The following members were absent: Mr. Scott Jacobs, Mr. Mark M. Moody and Ms. Kimberly Thomas (Three).

Also present were: Brenda Thornton, Communirep, Inc.; Geneva Coleman, The Hawthorne Agency, Inc.; Terry Dupre, Meyer Engineers; Kurt M. Evans, Digital Engineering; Amer Tufail, Greenpoint Engineering; Marian Pierre, CGI; Richard Briscot, Gulf South Engineering; Stephen Stuart, BGR.

Staff present were: Cedric S. Grant, Executive Director; Robert Miller, Deputy Director; Joseph Becker, General Superintendent; Nolan Lambert, Special Counsel; Harold Marchand, Anthony Stewart, Legal Department; Willie Mingo, Purchasing Department; Robert Jackson, Community & Intergovernmental Relations Department; Jason Higginbotham, Emergency Management Department; Tiffany Carter, EDBP Department; Emanuel Lain, Budget Department; Raymond Gable, Internal Audit Department; John Wilson, Support Services Department.

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### APPROVAL OF PREVIOUS REPORT

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Mr. Marion Bracy moved to accept the minutes of the Regular Board Meeting held on May 20, 2015. Mr. Joseph Peychaud seconded the motion. The motion carried.

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## **HONORS AND AWARDS**

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The Executive Director, Mr. Cedric S. Grant stated that, although there are no official awards for this month, he wants to acknowledge and take this time to honor the entire staff of Sewerage and Water Board of New Orleans for their quick response in repairing underwater water main breaks during the rain event that occurred a few weeks ago.

The President Pro Tem and the Board thanked staff for their quick response in handling these repairs.

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## **REPORT OF THE EXECUTIVE DIRECTOR**

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The Executive Director, Mr. Cedric S. Grant reported on the Board's outreach efforts in which the staff of the Sewerage and Water Board of New Orleans presented the Tracking Tool for Commitments to the City Council at the City Council's Public Works Committee on May 5, 2015. He also discussed water plant tour in which staff prepared for the Water Ministers from Bangladesh on June 3, 2015, and staff participated in the Leaders Exchange Conference in Mobile, Alabama on June 8, 2015. He was a keynote speaker at the New Orleans Rotary Club and the Civic Leadership Academy on June 10, 2015. He invited the Board Members to attend and to participate in the upcoming Water Environment Federation (WEF) Great Water Cities Conference scheduled to be held in New Orleans July 21-22, 2015.

Mr. Grant introduced the Program Manager for SELA, Ms. Lori Wingate re: U.S. Army Corps of Engineers – SELA Community Outreach Initiative Program. Ms. Lori Wingate provided a slide presentation of the Corps SELA Community Outreach Initiative program. She reported on the quality of life issues re: neighborhoods adversely affected by the construction activity of these capital improvement projects and the process to address the issues of the residents.

Following the presentation, the Board expressed concerns with issues raised by residents and business owners in the uptown area re: SELA construction projects and how the Corps would address these issues. Ms. Wingate stated the Corps is trying to keep residents and businesses informed of construction issues prior to the waterline replacements and water tie-ins; making sure the contractors stay on schedule, and leaving flyers to inform them of upcoming construction projects. Following further discussion, the Board recommended educating the community about various phases of the SELA projects and the timeline of each project as part of its outreach efforts, and programs. Mr. Grant stated he is in contact with Colonel Richard Hansen to address these issues and to create robust outreach efforts.

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## **COMMITTEE REPORTS**

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OPERATIONS COMMITTEE

The Chairperson, Mr. Marion Bracy, reported on the summary and actions taken by the Operations Committee. Mr. Joseph Peychaud moved acceptance of the Operations Committee Report and approval of the Operations Committee recommendations, therein. Mrs. Kerri Kane seconded the motion. The motion carried.

FINANCE COMMITTEE

The Acting Chairperson, Mr. Wm. Manning, reported on the summary of actions taken at the Finance Committee Meeting. Mr. Marion Bracy moved acceptance of the Finance Committee Report and approval of the Finance Committee recommendations therein, with the removal of Item 4 regarding Approval of Preliminary Authorizing Resolutions for Water Revenue Service Bonds and Sewer Revenue Service Bonds to be considered separately. Mr. Joseph Peychaud seconded the motion. The motion carried.

INFRASTRUCTURE COMMITTEE

The Chairperson, Mrs. Kerri Kane, reported on the summary and actions taken by the Infrastructure Committee. Mrs. Kerri Kane moved acceptance of the Infrastructure Committee Report and approval of the Infrastructure Committee recommendations, therein. Mrs. Robin Barnes seconded the motion. The motion carried.

PENSION COMMITTEE

The Chairperson, Mr. Wm. Manning, reported on the summary and actions taken by the Pension Committee. Mrs. Kerri Kane moved acceptance of the Pension Committee Report and approval of the Pension Committee recommendations, therein. Mr. Marion Bracy seconded the motion. The motion carried.

EXECUTIVE COMMITTEE VERBAL REPORT

The Deputy Director, Mr. Robert Miller provided a verbal summary report on the actions taken by the Executive Committee Meeting immediately prior to the Regular Board Meeting. Mr. Alan Arnold moved acceptance of the Executive Committee report as stated by Mr. Robert Miller and the recommendations, therein. Mr. Marion Bracy seconded the motion. The motion carried.

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**CORRESPONDING RESOLUTIONS**

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The following resolutions were adopted in conjunction with approval of the Committee reports:

R- 099-2015 – Ratification of Change Order No. 1 for Contract 3986 – Lower Ninth Ward Area Sewer Rehabilitation No. 5

R-100-2015 – Ratification of Change Order No. 2 for Contract 2105 – Replacement of CBD/FQ Water Lines Damaged by Hurricane Katrina

R-101-2015 – Ratification of Change Order No. 1 for Contract 3696 – Cleaning and CCTV Sanitary Sewer Mains at Scattered sites throughout Orleans Parish

R-102-2015 – Ratification of Change Order No. 12 for Contract 1350 – Hurricane Related Repairs to Turbine 4 at the Carrollton Water Purification Plant – Power Complex

R-103-2015 – Ratification of Change Order No. 12 for Contract 3661 – Modifications to East Bank Wastewater Treatment Plant Flood Protection System

R-104-2015 – Furnishing and Delivering Hand Tools, Hardware Supplies, Paint & Paint Supplies – Req. No. YW150007

R-105-2015 – Furnishing and Delivering Hoses & Accessories – Req. No. YW150008

R-106-2015 – Furnishing and Delivering Safety Supplies – Req. No. YW150009

R-107-2015 – Furnishing Janitorial Services for the S&WB Main Office Building at 625 St. Joseph Street, 830 Julia Street Annex and 4021 Behrman Place, Suite M2 Annex – Req. No. YW150003

R-108-2015 – Contract 3795 – Modification to the Return Activated Sludge PS and Pipeline at the East Bank Sewer Treatment Plant

R-109-2015 – First and Final Renewal of Contract for Furnishing #1 All Purpose Rag Wipers – Req. No. YW140030

R-110-2015 – First and Final Renewal of Contract for Furnishing Safety Shoes – Req. No. YW140028

R-112-2015 – Amendment to Agreement with CH2M Hill, Inc. for Design and Engineering Services for the Retrofit Power Plant Hazard Mitigation Grant Project

R-113-2015 – Amendment No. 5 to the Agreement between the Sewerage and Water Board of New Orleans and Waldemar S. Nelson and Company for Hurricane Katrina Related Water Restoration Projects

R-114-2015 – Award of Contract to Provide Design and Engineering Services for the Replacement of the Sycamore Filter Gallery Backwash Pumps

R-115-2015 – Award of Contract to ILSI to Provide Engineering Services for the Water Line Replacement Program

R-116-2015 – Award of Contract to Provide Design and Engineering Services for Sewer Pump Station #1

R-117-2015 – Award of Green Infrastructure Project – Treme – St. Ann Rain Garden Project to Historic Faubourg Treme Association

R-121-2015 – Award of Contract to Quick & Associates to Provide Forensic Consulting Engineering Services for the Louisiana Avenue and Florida Avenue SELA Projects

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## NEW BUSINESS

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### Preliminary Authorizing Resolutions for Water and Sewer Bond Issues (R-118-2015 & R-119-2015)

Mr. Robert Miller described the Board's plans to issue new money bonds to provide funding for capital improvements to the water and the sewer systems. This authorization allows staff to proceed with necessary steps to seek the approval for the bonds from the Board of Liquidation, the State Bond Commission, and the City Council. He recommended adoption of the draft resolutions for Preliminary Approval for 2015 Water System Bonds and Preliminary Approval for 2015 Sewer System Bonds. Mr. Alan Arnold requested staff to prepare a presentation at the next month's Finance Committee meeting regarding an in-depth discussion of the Sewerage and Water Board's 2015 Capital Budget.

Mr. Manning requested a Roll Call Vote on the Sewerage and Water Board of New Orleans Preliminary Approval for 2015 Water System Bonds resolution R-118-2015. All of the Board Members present voted in favor of the above Sewerage and Water Board of New Orleans Preliminary Approval for 2015 Water System Bonds resolution. There were a total of Eight (8) Yeas; the motion passed unanimously.

Mr. Manning requested a Roll Call Vote on the Sewerage and Water Board of New Orleans Preliminary Approval for 2015 Sewerage System Bonds resolution R-119-2015. All of the Board Members present voted in favor of the above Sewerage and Water Board of New Orleans Preliminary Approval for 2015 Sewerage System Bonds resolution. There were a total of Eight (8) Yeas; the motion passed unanimously.

### Proposed Changes to By-Laws regarding Board Committees

Mr. Grant discussed the proposed changes to responsibilities of the committees of the Board of Directors as follows:

Audit	Ensuring compliance with regulations.
Governance	Managing business of Board.
Finance	Aligning resources with objectives.
Pension	Managing pension fund investments.
Plumbing	Maintaining plumbing code.
Strategy	Establishing long-term objectives, identifying risks, setting strategy.

He also reviewed a crosswalk of responsibilities from the existing committee structure to the proposed committee structure. He asked the Board to review the proposed changes and to bring back their ideas or recommendations during committee meetings in July.

Mr. Grant stated that the proposed changes would be incorporated into draft language in the Bylaws for layover at the July meeting and consideration for adoption at the August meeting.

Declaration of Vacancy on the Sewerage & Water Board of New Orleans (R-120-2015)

Mr. Robert Miller reported on the vacancy of the Council District "E" position on the Board of Directors for the Sewerage and Water Board of New Orleans which expired and was declared vacant effective May 22, 2015. On June 4, 2015, the City Council of New Orleans approved the Mayor's appointment of Dr. Tamika Duplessis to replace Mr. Moody as the Council District "E" Member effective June 30, 2015 and expiring on June 30, 2019; and also on June 4, 2015, the City Council of New Orleans approved the Mayor's appointment of Robin A. Barnes to replace Dr. Tamika Duplessis as an "At-Large" Member of the Board of Directors for the Sewerage and Water Board of New Orleans for a term effective June 30, 2015 and expiring on June 30, 2019. Therefore, on June 30, 2015, the term of the Council District "B" Member previously held by Robin Barnes would be vacated.

He recommended the adoption of the Declaration of Vacancy of Council District "B" Member on the Sewerage and Water Board of New Orleans position presently being held by Robin Barnes be hereby declared vacant effective July 1, 2015 and to authorize staff to advertise a Notice of Vacancy for City Council District "B" Member on the Sewerage and Water Board of New Orleans as stated in resolution, therein R-120-2015.

Mr. Peychaud moved approval of the Declaration of Vacancy of Council District "B" Member on the Sewerage and Water Board of New Orleans. Mrs. Robin Barnes seconded the motion. The motion carried.

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**COMMUNICATION**

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SELA Programs Claim Process

The Special Counsel, Mr. Nolan Lambert, discussed the Sewerage and Water Board's new program that would assign on-site community liaisons to each ongoing SELA project with the goal of providing a proactive resolution to address the concerns of the citizens. He presented the program and its functions, with the goal being to have a proactive observance of quality of life issues such as matters involving traffic control, parking, emergency access, garbage collection and public transportation. They will accept complaints in the field and forward to the Hawthorne Agency for processing; follow-up and confirm complaint resolutions, forwarding the same to the Hawthorne Agency which shall provide regular updates to the Executive Director and the Legal Department; distribute claims process materials including the brochure detailing the SELA claims process to residents. Mr. Lambert stated a liaison from each party of the U.S. Army Corps of Engineers, contractors, Department of Public Works, and the Sewerage and Water Board would provide a contact person to handle quality of life issues. Also, there would be a follow-up report of all complaints provided to the Executive Director and the Legal Department on a regular basis regarding SELA claims process.

Following a discussion, the Board requested staff to report to the Board on a monthly basis on the complaint/claims process. Mr. Anthony Stewart of the Legal Department explained the Sewerage and Water Board's current damage and claims process regarding the Dwyer Intake Canal update on a class action lawsuit and other litigation matters. Mrs. Robin Barnes expressed concern with business interruptions; particularly the small businesses and she offered to provide information to staff identifying organizations that could help these businesses.

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### **INFORMATION ITEMS**

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The following items were submitted for informational purposes only:

- FEMA Status Report
- Report of the General Superintendent
- Report of the Special Counsel
- 2015 Committee/Board Meeting Schedule
- Financial Statements

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### **ADJOURNMENT**

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There being no further business the meeting adjourned at 10:50 AM.



**Commendation to Melvin Ron Spooner  
For being Selected  
Sewerage and Water Board  
Employee of the Month for July 2015**

**Whereas**, the Sewerage and Water Board recognizes that its employees are its most valuable resource, without which our mission to provide quality, reliable, and cost effective sewer, water, and drainage services to our customers, the people of the City of New Orleans, would not be possible; and

**Whereas**, while each and every one of our employees strives to excel in his or her capacity, we do on occasion honor an employee, or group of employees, whose actions are above and beyond the routine; and

**Whereas**, Melvin Ron Spooner, Senior Engineering Division Manager is responsible for overseeing all aspects associated with the Engineering Division which includes; Civil Engineering, Mechanical Engineering, Network/Drainage Engineering and Construction Administration and Inspection Departments. The following is a summary from Mr. Joseph Becker, General Superintendent, outlining your outstanding performance:

Melvin Ron Spooner, Lieutenant Commander (LCDR) in the United States Navy, was deployed as an Individual Augmentee to Afghanistan to support Operations **ENDURING FREEDOM AND FREEDOM'S SENTINEL** serving as Operations Officer for the Combined Security Transition Command-Afghanistan Combined Joint Engineering Directorate from October 5, 2014 to April 30, 2015. LCDR Spooner's outstanding performance, expertise and dedication to duty greatly contributed to the success of the directorate's mission during combat operations. LCDR Spooner's work as Force Protection Officer, Interim Branch Chief and acting National Operations and Maintenance Program Manager ensured 100 percent continuity within the Operations and Integration Department. Prior to his deployment to Afghanistan, LCDR Spooner completed the Security Cooperation Management Overseas Course and International Program Security Requirements at the Defense Institute of Security Assistance Management located at Wright Patterson Air Force Base from August 4, 2014 to August 28, 2014. He also completed the two-week Navy Individual Augmentee Combat Training Course required by the in-theater Coalition Forces Component at the McCrady Training Facility located at Fort Jackson, South Carolina.

Lieutenant Commander Spooner was awarded the Defense Meritorious Service Medal, the Afghanistan Campaign Medal, the Overseas Service Ribbon, the Armed Forces Reserve Medal and the North Atlantic Treaty Organization International Security Forces Medal.

**Now Therefore, Be It Resolved,** that upon the recommendation of Mr. Joseph Becker, General Superintendent, that Melvin Ron Spooner of the Engineering Division be recognized as "Employee of the Month" for July 2015.

**Be It Further Resolved,** that a copy of this recommendation be transmitted to all of the subject employees and throughout the various departments of the Sewerage and Water Board of New Orleans.

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I, Cedric S. Grant, Executive Director,  
Sewerage and Water Board of New Orleans, do  
hereby certify that the above and foregoing is a true  
and correct copy of a resolution adopted at the  
Regular Meeting of said Board, duly called and  
held, according to law, on July 15, 2015.

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CEDRIC S. GRANT  
EXECUTIVE DIRECTOR  
SEWERAGE AND WATER BOARD OF NEW ORLEANS



**"RE-BUILDING THE CITY'S WATER SYSTEMS FOR THE 21<sup>ST</sup> CENTURY"**

# **Sewerage & Water Board of NEW ORLEANS**

**MITCHELL J. LANDRIEU, President**  
**WM. RAYMOND MANNING, President Pro-Tem**

**625 ST. JOSEPH STREET**  
**NEW ORLEANS, LA 70165 • 504-529-2837 OR 52W-ATER**  
**[www.swbnola.org](http://www.swbnola.org)**

July 9, 2015

TO THE HONORABLE PRESIDENT AND MEMBERS OF THE SEWERAGE AND WATER BOARD OF NEW ORLEANS

The PENSION COMMITTEE of the Sewerage and Water Board of New Orleans met on Wednesday, June 3, 2015 at 10:30 a.m. in the Board Room at 625 St. Joseph Street.

## **ATTENDANCE**

**PRESENT:** Mr. Wm. Raymond Manning (Chairman)  
Mr. Alan Arnold  
Mrs. Robin Barnes  
Mr. Harold Heller, Jr.  
Mr. Joseph Peychaud  
Mr. Marvin R. Russell, Jr.  
Mr. Gerald Tilton  
Mr. John H. Wilson III

**ABSENT:** Mr. Marion Bracy

The meeting was called to order at 10:30 AM to discuss and act upon the following matters:

## **APPROVAL OF PREVIOUS REPORTS (ACTION)**

The Pension Committee approved and accepted the Pension Committee Report of June 3, 2015.

## **FFC REVIEW OF THE MAY 2015 FLASH PERFORMANCE REPORT (PRESENTATION)**

Octave Francis of FFC Capital Management presented the Executive Summary Performance Table and Market Index Performance Report for the period ending May 31, 2015.

## **SWBNOERS TRUSTEE INVESTMENT WORKSHOP 3 - GLOBAL ALTERNATIVES – BENCHMARKING ACTIVE VS. PASSIVE (PRESENTATION)**

Committee members were invited to attend the Trustee Investment Workshop on alternative investments presented by Octave Francis, which directly followed the regular meeting.

## **PENSION COMMITTEE ELECTION RESULTS**

The Committee was notified that Mr. Russell received the only valid nomination for the retiree member position on the Committee and will retain his seat on the Pension Committee through August 31, 2019.

## **VOLUNTARY RETIREMENTS**

**Theodore, Herman W., Jr.**

Retirement Date: 07/01/2015; Group: 3229

## **TOPICS FOR FUTURE DISCUSSIONS**

There were no additional topics identified for future discussions.

## **RESPONSE TO QUESTIONS**

There were no questions from committee members requiring a response.

## **OTHER MATTERS**

There were no additional topics discussed at this meeting.

## **ADJOURNMENT**

The meeting adjourned at 11:00 AM.

Also in attendance were Director's Office, Legal, Finance and Personnel Department staff; Stephen Daste and Octave Francis of FFC Capital Management; Janice Leaumont of Capital One.

Respectfully Submitted,

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Wm. Raymond Manning  
Chairman



**"RE-BUILDING THE CITY'S WATER SYSTEMS FOR THE 21<sup>ST</sup> CENTURY"**

# **Sewerage & Water Board OF NEW ORLEANS**

MITCHELL J. LANDRIEU, *President*  
WM. RAYMOND MANNING, *President Pro-Tem*

625 ST. JOSEPH STREET  
NEW ORLEANS, LA 70165 • 504-529-2837 OR 52W-ATER  
www.swbno.org

July 6, 2015

TO THE HONORABLE PRESIDENT AND MEMBERS OF THE SEWERAGE AND WATER BOARD OF NEW ORLEANS

The Operations Committee of the Sewerage and Water Board of New Orleans met on Monday, July 6, 2015, at 8:15 AM in the Board Room at 625 St. Joseph Street to address the following matters:

## **ATTENDANCE**

PRESENT: Dr. Tamika Duplessis  
Mr. Scott Jacobs  
Ms. Suchitra J. Satpathi, Mayor's Representative

ABSENT: Mr. Marion Bracy  
Mrs. Kimberly Thomas

Also in attendance: Cedric S. Grant, Executive Director; Robert Miller, Deputy Director; Joseph Becker, General Superintendent; Nolan Lambert, Legal Counsel; Harold Marchand, Legal Counsel; Willie Mingo, Purchasing Director; Robert Jackson, Community and Intergovernmental Relations; Brenda Thornton, Communirep.

Ms. Satpathi served as Chairperson of the meeting in the absence of Mr. Bracy.

## **ACTION ITEMS**

### **1. Approval of Previous Report**

The report of the Regular Operations Committee Meeting held on Monday, June 1, 2015 was received. Upon a motion of Dr. Duplessis and seconded by Mr. Jacobs the report was approved.

### **2. Bid Recommendations - DBE Participation**

Mr. Miller presented the recommendations on behalf of the Economically Disadvantaged Business Enterprise Program staff.

#### **Contract #1371 – HMGP Structural General Contract**

On Friday, June 5, 2015 three (3) bids were received for subject contract. The bid totals are as follows:

Alfred Conhagen Inc. of LA	\$2,220,000.00
Industrial & Mechanical Contractors, Inc.	\$2,345,000.00
Lou-Con, Inc.	\$2,850,000.00

Thirty-six percent (36%) SLDBE participation was requested on this contract. The apparent lowest bidder, Alfred Conhagen Inc. of LA, submitted the following subcontractors:

**Pro Placement USA, LLC** (eligible certified SLDBE) to provide manpower to assist with the demolition, paint preparation, & structural steel  
\$520,000.00 – 23.42%

**Kenall, Inc.** (eligible certified SLDBE) to perform Field Inspection of concrete, structural reinforcement, welding, bolting, air monitoring, lead & asbestos sampling & testing  
\$10,000.00 – 0.45%

**EFT Diversified, Inc.** (eligible certified SLDBE) to supply structural steel, miscellaneous metals, concrete materials, & painting supplies  
\$300,000.00 – 13.51%

**Metro Service Group, Inc.** (eligible certified SLDBE) to provide dumpsters for concrete hauling & disposal  
\$20,000.00 – 0.90%

**JL Construction Group, LLC** (ineligible certified SLDBE) to perform relocation and/or replacement of utilities and conduit  
\$30,000.00 – 1.35%

Total SLDBE Participation: \$880,000.00 — 39.64%

Eligible SLDBE Participation Totals: \$850,000.00 — 38.29%

Correspondence from all SLDBE's on their own letterhead reaffirming negotiated terms was provided. Mr. DeJohn Branch, the owner of JL Construction Group, LLC, also works as the project manager for the prime contractor, Alfred Conhagen Inc. of LA. Due to this conflict of interest the participation of JL Construction Group, LLC cannot be counted toward the SLDBE Participation Goal.

The apparent second lowest bidder, Industrial & Mechanical Contractors, Inc., did not submit an SLDBE Participation Summary Sheet, Notarized Documented Good Faith Effort, nor required additional documents.

Based upon analysis of SLDBE participation, the Economically Disadvantaged Business Program recommends that the SLDBE participation submitted by Alfred Conhagen Inc. of LA be accepted, and the SLDBE participation by Industrial & Mechanical Contractors, Inc., be considered as non-responsive.

**Contract #3737 – Carrollton Area Sewer Rehabilitation Mistletoe 18" Sewer Line Replacement**

On Friday, June 20, 2015 two (2) bids were received for subject contract. The bid totals are as follows:

Wallace C. Drennan, Inc.	\$797,625.00
Cycle Construction Co., LLC	\$799,917.00

Sixteen percent (16%) SLDBE participation was requested on this contract. The apparent lowest bidder, Wallace C. Drennan, Inc., submitted the following subcontractors:

**C & M Construction Group, Inc.** (eligible certified SLDBE) Municipal and Public Works Construction, Fencing, Concrete and Asphalt Patching; Concrete and asphalt restoration

\$30,700.00 – 3.85%

**Choice Supply Solutions, LLC** (eligible certified SLDBE) General Material Supplier of industrial pipe, fittings, valves, hydrants, & associated products  
\$62,718.00 – 7.86%

**Prince Dump Truck Service** (eligible certified SLDBE) Trucking & Hauling Services, Supply Sand & Aggregate Materials  
\$35,730.00 – 4.48%

Total SLDBE Participation: \$129,148.00 — 16.19%

Correspondence from all SLDBE's on their own letterhead reaffirming negotiated terms was provided.

The apparent second lowest bidder, Cycle Construction Co., LLC, submitted **Three C's Properties Inc.** (eligible certified SLDBE) to remove, supply, and install cofferdams, excavate and backfill cofferdams, and support Bore Crew; \$128,000.00 – 16.00%

Correspondence from SLDBE on their own letterhead reaffirming negotiated terms was provided.

Based upon analysis of SLDBE participation, the Economically Disadvantaged Business Program recommends that the SLDBE participation submitted Wallace C. Drennan, Inc.; and Cycle Construction Co., LLC, be accepted.

**Contract #30014 – Cleaning and CCTV Inspection of Sanitary Sewer Mains at Scattered Sites within Orleans Parish**

On Friday, June 20, 2015 two (2) bids were received for subject contract. The bid totals are as follows:

Compliance EnviroSystems, LLC	\$716,850.00
Gulf Coast Underground, LLC	\$1,105,000.00

Thirty-six percent (36%) SLDBE participation was requested on this contract.

The apparent low bidder, Compliance EnviroSystems, LLC, submitted **Blue Flash Drain & Sewer Service** (eligible certified SLDBE) to perform Cleaning & CCTV inspection of Sanitary Sewer Mains; **\$286,740.00 – 40.00%**

Correspondence from SLDBE on their own letterhead reaffirming negotiated terms was provided.

The apparent second lowest bidder, Gulf Coast Underground, LLC, did not submit an SLDBE Participation Summary Sheet, Notarized Documented Good Faith Effort, nor required additional documents.

Based upon analysis of SLDBE participation, the Economically Disadvantaged Business Program recommends that the SLDBE participation submitted by Compliance EnviroSystems, LLC, be accepted, and the SLDBE participation Gulf Coast Underground, LLC, be considered as non-responsive.

**Contract #30016 – Restoration of Existing Gravity Flow Sanitary Sewer Mains by Excavation and Replacement from Manhole-to-Manhole, CIPP Lining of Service Laterals and Point Repair at Various Sites throughout the City of New Orleans**

On Friday, June 20, 2015 four (4) bids were received for subject contract. The bid totals are as follows:

## Operations Committee Report

July 6, 2015

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Wallace C. Drennan, Inc.	\$4,277,481.00
Fleming Construction Co., LLC	\$5,095,615.00
Boh Bros. Construction Co., LLC	\$6,785,605.00
BLD Services, LLC	\$8,367,600.00

Thirty-six percent (36%) SLDBE participation was requested on this contract.

The apparent lowest bidder, Wallace C. Drennan, Inc., submitted the following subcontractors:

**C & M Construction Group, Inc.** (eligible certified SLDBE) Municipal and Public Works Construction, Fencing, Concrete and Asphalt Patching; Concrete and asphalt restoration  
\$376,385.00 – 8.80%

**Prince Dump Truck Service** (eligible certified SLDBE) Trucking & Hauling Services, Supply Sand & Aggregate Materials  
\$1,167,400.00 – 27.29%

Total SLDBE Participation: \$1,543,785.00 — 36.09%

Correspondence from all SLDBE's on their own letterhead reaffirming negotiated terms was provided.

The apparent second lowest bidder, Fleming Construction Co., LLC, submitted the following subcontractors:

**Cooper Contracting Group** (eligible certified SLDBE) to perform underground utility (pipe work)  
\$510,581.00 – 10.02%

**Hebert Trucking & Equipment Services** (eligible certified SLDBE) to provide trucking and materials  
\$815,298.00 – 16.00%

**F.P. Richard Construction, LLC** (eligible certified SLDBE) to perform asphalt & concrete paving restoration  
\$510,581.00 – 10.02%

Total SLDBE Participation: \$1,836,460.00 — 36.04%

Correspondence from all SLDBE's on their own letterhead reaffirming negotiated terms was provided.

Based upon analysis of SLDBE participation, the Economically Disadvantaged Business Program recommends that the SLDBE participation submitted by Wallace C. Drennan, Inc.; and Fleming Construction Co., LLC be accepted.

Mr. Jacobs moved to accept staff recommendations and Dr. Duplessis seconded. The motion carried.

### 3. Construction Review Committee Recommendations

Due to limited number of construction projects submitted, the Construction Review Committee did not meet for the month of June 2015.

### 4. Staff Contract Review Committee Recommendations

The Staff Contract Review Committee met on June 16, 2015 and made the following recommendations:



**Open Market Contracts**

**Open Market, 35% SLDBE Participation, one (1) year with four (4), one (1) year renewal options**

**1. RFP for the 2015 Report on Operations**

Estimated Cost: \$118,000.00

Area of Participation: Evaluation of Maintenance and Operation of the Sewerage & Water Board of New Orleans Facilities

Funding Source: Operations and Maintenance Budget

**Open Market, 0% SLDBE Participation, one (1) year with a one (1) year renewal option**

**2. Furnishing and Installing Automotive Remanufactured Engines and Related Parts**

Budget Amount: \$ 350,000.00

Percentage Goal Justification: Does not lend itself to SLDBE participation because the prime contractor cannot honor the warranty of work performed by the subcontractors.

Funding Source: Operations and Maintenance Budget

**3. Furnishing Hot Mix Asphalt**

Budget Amount: \$400,000.00

Percentage Goal Justification: Does not lend itself to SLDBE participation because the product cannot be sub-divided and because of the specialized truck required for the delivery.

Funding Source: Operations and Maintenance Budget

**4. Furnishing Sewer Repair Couplings**

Budget Amount: \$350,000.00

Percentage Goal Justification: Does not lend itself to SLDBE participation because the products are shipped directly from the manufacturer.

Funding Source: Capital Budget

**5. Furnishing Full Circle Stainless Steel Repair & Tap Clamps; Bronze Service Saddles and Transitional Couplings for Cast Iron, Ductile Iron and Transite Pipe to the Sewerage & Water Board of New Orleans**

Budget Amount: \$500,000.00

Percentage Goal Justification: Does not lend itself to SLDBE participation because the products are shipped directly from the manufacturer.

Funding Source: Capital Budget

**Renewal Contracts**

**First and Final Renewal, 0% SLDBE Participation.**

**6. Furnishing Lime**

Renewal Cost: \$240,792.00

Prime Contractor: UNIMIN Southern Lime Corporation

Percentage Goal Justification: Does not lend itself to SLDBE participation because the product is shipped directly from the manufacturer in specialized pneumatic tank trucks.

Funding Source: Operations and Maintenance Budget

The Staff Contract Review Committee Recommendations were approved on a motion by Dr. Duplessis and seconded by Mr. Jacobs. The motion carried.

**5. Renewal Construction Contracts with DBE Participation**

There were no Renewal Construction Contracts with DBE Participation for the month of June 2015.

**6. Final Acceptance Contracts with DBE Participation**

There were no Final Acceptance Contracts with DBE Participation for the month of June 2015.

**PRESENTATION ITEMS**

**7. Customer Service Results through May 2015**

Mr. Miller, Deputy Director provided an update of the Customer Service Results through May 2015. Compensation and morale issues are key factors of high turnovers in Customer Service. The Committee received the report.

**8. Amendments to By-Laws of the Sewerage and Water Board**

The first reading of the Amendments will take place at the July 2015 Board Meeting. Voting of the Amendments to By-Laws of the Sewerage & Water Board of New Orleans will take place at the August 2015 Board Meeting. Committee received the information.

**9. Topics for Future Discussions**

None

**INFORMATION ITEMS**

**The following information items were available for review by committee:**

**10. DBE Participation Report - Received by committee**

**11. 2015 Committee/Board Meeting Schedule - No changes were requested**

**12. Any Other Matters – None**

**ADJOURNMENT**

There being no further presentations to come before the Committee, a motion was made by Mr. Jacobs to adjourn the meeting, seconded by Dr. Duplessis. The meeting adjourned at 8:45 AM.

**Respectfully yours,**

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**Ms. Suchitra J. Satpathi, Mayor's Representative, Chair**



**"RE-BUILDING THE CITY'S WATER SYSTEMS FOR THE 21<sup>ST</sup> CENTURY"**

# **Sewerage & Water Board of NEW ORLEANS**

**MITCHELL J. LANDRIEU, President**  
**WM. RAYMOND MANNING, President Pro-Tem**

**625 ST. JOSEPH STREET**  
**NEW ORLEANS, LA 70165 • 504-529-2837 OR 52W-ATER**  
**[www.swbno.org](http://www.swbno.org)**

July 7, 2015

## **TO THE HONORABLE PRESIDENT AND MEMBERS OF THE SEWERAGE AND WATER BOARD OF NEW ORLEANS**

The Finance Committee of the Sewerage and Water Board of New Orleans met on Tuesday, July 7, 2015 at 9:00 AM in the Board Room, 625 St. Joseph Street to consider the following matters.

### **ATTENDANCE**

#### **Present:**

Mr. Wm. Raymond Manning, President Pro-Tem  
Ms. Kerri Kane  
Ms. Robin Barnes

#### **Absent:**

Mr. Scott Jacobs  
Mr. Mark Moody, Chair

Mr. Manning served as Chairperson of the meeting in the absence of Mr. Moody.

Also in attendance were Cedric S. Grant, Executive Director; Robert Miller, Deputy Director; Joseph Becker, General Superintendent; Nolan Lambert, Special Counsel; Harold Marchand, Deputy Special Counsel; Yolanda Grinstead, Legal Dept.; Robert Jackson, Community & Intergovernmental Relations; Rosita Thomas, Finance Administrator; Dexter Joseph, Budget Director; Michele Holley, Budget Dept. Analyst; Jason Higginbotham, Emergency Management; Brenda Thornton, Communirep Inc.; Randy Smith, Royal Engineers; Amer Tufall, Greenpoint Engineering.

### **ACTION ITEMS**

- Item 1** Ms. Kane moved to accept the June 2, 2015 Finance Committee Report. Ms. Barnes seconded and the motion carried.

- Item 2** Ms. Kane moved to accept the General Superintendent's Recommendations for award of contracts for the items listed below. Ms. Barnes seconded the motion and the motion carried.
- R-123-2015 – REQ. NO. YO150020 – Furnishing Air Conditioning and Heating Maintenance for Central Yard to Siemens Industry, Inc. for the total amount of \$50,750.00.
  - R-124 -2015 – REQ. NO. ED150009 – Furnishing Annual Service Awards to J. Brandt Recognition for the total amount of \$21,955.25.
  - R-125-2015 – REQ. NO. YW150008 – Furnishing EIC Award Program Banquet Accommodation to The Hilton New Orleans Riverside Hotel for the total amount of \$35,367.58.
  - R-126-2015 – Contract 1371 – HMGP Structural General Contract Retrofit Power Plant Main Water Purification Plant Power Complex to Alfred Conhagan, Inc. of La. for the total amount of \$2,440,000.00.
  - R-127-2015 – CONTRACT 3737 – Carrollton area Sewer Rehabilitation Mistletoe Street 18-inch Sewer Line Replacement to Wallace C. Drennan, LLC for the total amount of \$797,625.00.
  - R-128-2015 – CONTRACT 30014 – Cleaning and CCTV Inspection of Sanitary Sewer Mains at Scattered Sites within Orleans Parish to Compliance EnviroSystems, LLC for the total amount of \$716,850.00.
  - R-129-2015 – CONTRACT 30016 –Restoration of Existing Gravity Flow Sanitary Sewers by Excavation and Replacement from Manhole to Manhole, CIPP Lining from Manhole to Manhole, CIPP Lining of Service Laterals and Point Repair at various sites throughout the City of New Orleans to Wallace C. Drennan, LLC for the total amount of \$4,277,481.00.
  - R-130-2015 – CONTRACT 5238 – Equipment Purchase and Installation, Including Startup and Training for Stormwater Monitoring and Sampling for Municipal Separate Storm Sewer System Permit Stormwater Outfalls to Prime Controls, L. P. for the total amount of \$159,000.00.
- Item 3** Ms. Kane moved to approve the Change Orders for the items listed below. Ms. Barnes seconded the motion and the motion carried.
- R-133-2015 – Ratification of Change Order No. 2 for Contract 3696 – Cleaning and CCTV Sanitary Sewer Mains at Scattered Sites throughout Orleans.
  - R-134-2015 – Ratification of Change Order No. 5 for Contract 5223 – Hurricane Katrina Related Repairs to St. Bernard Avenue Drainage Underpass Pumping Station.
  - R-135-2015 – Ratification of Change Order No. 7 for Contract 5226 – Hurricane Katrina Related Repairs to Franklin Avenue Drainage Underpass Pumping Station.

July 7, 2015

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- R-136-2015 – Ratification of Change Order No. 7 for Contract 5221 – Hurricane Katrina Related Repairs to Pontchartrain Boulevard Drainage Underpass Pumping Station.

**Item 4** Mr. Klepeis recommended the renewal of Commercial Flood Insurance Policy for Facilities as presented by the Kennedy Financial Group for the total premium of \$325,036.00.

Ms. Kane moved to approve Resolution (R-131-2015) for renewal of Commercial Flood Insurance Policy for Facilities. Ms. Barnes seconded and the motion carried.

**Item 5** Ms. Grinstead recommended award of contract to the law firm of Roedel Parsons to represent Sewerage and Water Board of New Orleans in Construction Litigation matters.

Ms. Barnes moved to approve resolution (R-132-2015) for award of contract to the law firm of Roedel Parsons to represent the Sewerage and Water Board of New Orleans in Construction Litigation matters. Ms. Kane seconded and the motion carried.

**Item 6** Ms. Kane moved to go to Executive Session to discuss matters under litigation. Ms. Barnes seconded and the motion carried at 9:15 AM.

The Committee returned from closed session at 9:45 AM.

Ms. Barnes moved to return back to regular session. Ms. Kane seconded and the motion carried.

Ms. Kane moved to accept staff recommendations. Ms. Barnes seconded and the motion carried.

### **PRESENTATION ITEMS**

**Item 7** Mr. Miller presented the financial results through May 2015.

**Item 8** Mr. Becker presented the implementation status of the 2015 Capital Budget.

**Item 9** Mr. Grant and Mr. Miller presented the proposed amendments to the Bylaws.

**Item 10** There were no added Topics for Future Discussions.

### **INFORMATION ITEMS**

**Items 11 – 14** The Committee received all information items.

### **ADJOURNMENT**

There being no further business to come before the Committee, Ms. Barnes moved for adjournment and Ms. Kane seconded. The Finance Committee adjourned at 10:10 AM.

July 7, 2015

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Respectfully submitted,

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Mr. Wm. Raymond Manning, Chair

**GENERAL SUPERINTENDENT RECOMMENDATIONS  
FOR THE JULY 15, 2015 MEETING**

- A. BIDS** A listing of the bids received during the month of June is included in the following report. A brief summary is attached for your review.

- Item 1 - R-123-2015 - FURNISHING AIR CONDITIONING AND HEATING MAINTENANCE FOR CENTRAL YARD - REQ. NO. YO150020
- Item 2 - R-124-2015 - FURNISHING ANNUAL SERVICE AWARDS - REQ. NO. ED150009
- Item 3 - R-125-2015 - FURNISHING EIC AWARD PROGRAM BANQUET ACCOMMODATION - REQ. NO. YW150008
- Item 4 - R-126-2015 - CONTRACT 1371 - HMGP STRUCTURAL GENERAL CONTRACT RETROFIT POWER PLANT MAIN WATER PURIFICATION PLANT POWER COMPLEX
- Item 5 - R-127-2015 - CONTRACT 3737 - CARROLLTON AREA SEWER REHABILITATION MISTLETOE STREET 18-INCH SEWER LINE REPLACEMENT
- Item 6 - R-128-2015 - CONTRACT 30014 - CLEANING AND CCTV INSPECTION OF SANITARY SEWER MAINS AT SCATTERED SITES WITHIN ORLEANS PARISH
- Item 7 - R-129-2015 - CONTRACT 30016 - RESTORATION OF EXISTING GRAVITY FLOW SANITARY SEWERS BY EXCAVATION AND REPLACEMENT FROM MANHOLE TO MANHOLE, CIPP LINING FROM MANHOLE TO MANHOLE, CIPP LINING OF SERVICE LATERALS AND POINT REPAIR AT VARIOUS SITES THROUGHOUT THE CITY OF NEW ORLEANS
- Item 8 - R-130-2015 - CONTRACT 5238 - EQUIPMENT PURCHASE AND INSTALLATION, INCLUDING STARTUP AND TRAINING FOR STORMWATER MONITORING AND SAMPLING FOR MUNICIPAL SEPARATE STORM SEWER SYSTEM PERMIT STORMWATER OUTFALLS

**GENERAL SUPERINTENDENT RECOMMENDATIONS  
REPORT OF FINAL ACCEPTANCE TO BE  
CONSIDERED BY THE FINANCE COMMITTEE AND  
THE BOARD'S MEETING OF JULY 15, 2015**

During June 2015 bids were received and evaluated (as per attached tabulations) and on various items as follows:

**1. FURNISHING AIR CONDITIONING AND HEATING MAINTENANCE FOR  
CENTRAL YARD - REQ. NO. YO150020**

One (1) bid was received on June 18, 2015 for furnishing air conditioning and heating maintenance for Central Yard. It is recommended that the bid of **Siemens Industry, Inc.** in the total amount \$50,750.00, be accepted based upon the technical review of the proposals.

There is no DBE participation.

The estimated amount for this project is \$75,000.00

Funds for this project are budgeted under Object Code 3220 (Repair and Maintenance Building and Furniture) and Commodity Code 91036000000 (Air Conditioning Maintenance and Repair).

**2. FURNISHING ANNUAL SERVICE AWARDS - REQ. NO. ED150009**

One (1) bid was received on June 18, 2015 for furnishing annual service awards. It is recommended that the bid of **J. Brandt Recognition** in the total amount of \$21,955.25, be accepted based upon the technical review of the proposals.

There is no DBE participation.

The estimated amount for this project is \$30,950.00

Funds for this project are budgeted under Account Code 0010 (Executive Director) and Object Code 5835 (Employee Recognition).



**GENERAL SUPERINTENDENT RECOMMENDATIONS (CONT'D)**

July 15, 2015

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**3. FURNISHING EIC AWARD PROGRAM BANQUET ACCOMMODATION - REQ. NO. YW150008**

Two (2) bids were received on June 18, 2015 for furnishing EIC award program banquet accommodation. It is recommended that the low bid of **The Hilton New Orleans Riverside Hotel** in the total amount **\$35,367.58**, be accepted based upon the technical review of the proposals.

**The two (2) bidders are as follows:**

- |  |                    |
|--|--------------------|
| 1. <b>The Hilton New Orleans Riverside Hotel</b> | <b>\$35,367.58</b> |
| 2. New Orleans Marriott Hotel                    | 80,000.00          |

There is no DBE participation.

The estimated amount for this project is \$39,800.00.

Funds for this project are budgeted under Account Code 0010 (Executive Director) and Object Code 5835 (Employee Recognition).

**4. CONTRACT 1371 - HMGP STRUCTURAL GENERAL CONTRACT RETROFIT POWER PLANT MAIN WATER PURIFICATION PLANT POWER COMPLEX**

Three (3) bids were received on June 5, 2015 for performing work under Contract 1371. It is recommended that the low bid of **Alfred Conhagan, Inc. of La** in the total amount of **\$2,440,000.00**, be accepted based upon the technical review of the proposals.

**The three (3) bidders are as follows:**

- |   |                       |
|---|-----------------------|
| 1. <b>Alfred Conhagan, Inc. of La.</b>          | <b>\$2,440,000.00</b> |
| 2. Industrial & Mechanical<br>Contractors, Inc. | 2,584,000.00          |
| 3. Lou-Con, Inc.                                | 3,500,000.00          |

The DBE participation is 36%.

The estimated amount for this project is \$2,600,000.00.

Funds for this project are budgeted under Capital Program 676 () and FEMA funded by Hazard Mitigation Grant Program (HMGP) - Power House. This contract is 100% FEMA funded.

**GENERAL SUPERINTENDENT RECOMMENDATIONS (CONT'D)**

July 15, 2015

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**5. CONTRACT 3737 - CARROLLTON AREA SEWER REHABILITATION  
MISTLETOE STREET 18-INCH SEWER LINE REPLACEMENT**

Two (2) bids were received on June 19, 2015 for performing work under Contract 3737. It is recommended that the low bid of **Wallace C. Drennan, LLC** in the total amount of **\$797,625.00**, be accepted based upon the technical review of the proposals.

**The two (2) bidders are as follows:**

- |                                   |                     |
|-----------------------------------|---------------------|
| 1. <b>Wallace C. Drennan, LLC</b> | <b>\$797,625.00</b> |
| 2. Cycle Construction, LLC        | 799,917.00          |

The DBE participation is 16%.

The estimated amount for this project is \$1,000,000.00.

Funds for this project are budgeted under Capital Program 317 (Extension and Replacement - Gravity Mains EPA Consent Decree).

**6. CONTRACT 30014 - CLEANING AND CCTV INSPECTION OF SANITARY  
SEWER MAINS AT SCATTERED SITES WITHIN ORLEANS PARISH**

Two (2) bids were received on June 19, 2015 for performing work under Contract 30014. It is recommended that the low bid of **Compliance EnviroSystems, LLC** in the total amount of **\$716,850.00**, be accepted based upon the technical review of the proposals.

**The two (2) bidders are as follows:**

- |   |                      |
|---|----------------------|
| 1. <b>Compliance EnviroSystems, LLC</b> | <b>\$ 716,850.00</b> |
| 2. Gulf Coast Underground               | 1,105,000.00         |

The DBE participation is 36%.

The estimated amount for this project is \$1,000,000.00.

Funds for this project are budgeted under Account Code 30014.

GENERAL SUPERINTENDENT RECOMMENDATIONS (CONT'D)

July 15, 2015

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7. CONTRACT 30016 - RESTORATION OF EXISTING GRAVITY FLOW  
SANITARY SEWERS BY EXCAVATION AND REPLACEMENT FROM MANHOLE TO  
MANHOLE, CIPP LINING FROM MANHOLE TO MANHOLE, CIPP LINING OF  
SERVICE LATERALS AND POINT REPAIR AT VARIOUS SITES THROUGHOUT  
THE CITY OF NEW ORLEANS

Four (4) bids were received on June 23, 2015 for performing work under Contract 30016. It is recommended that the low bid of **Wallace C. Drennan, LLC** in the total amount of **\$4,277,481.00**, be accepted based upon the technical review of the proposals.

The four (4) bidders are as follows:

1. <b>Wallace C. Drennan, LLC</b>	<b>\$4,277,481.00</b>
2. Fleming Construction	5,095,615.00
3. Boh Bros. Construction Co., LLC	6,785,605.00
4. BLD Services, LLC	8,367,600.00

The DBE participation is 36%.

The estimated amount for this project is \$5,000,000.00.

Funds for this project are budgeted under Account Code 30016.

8. CONTRACT 5238 - EQUIPMENT PURCHASE AND INSTALLATION,  
INCLUDING STARTUP AND TRAINING FOR STORMWATER MONITORING AND  
SAMPLING FOR MUNICIPAL SEPARATE STORM SEWER SYSTEM PERMIT  
STORMWATER OUTFALLS

One (1) bid was received on June 19, 2015 for performing work under Contract 5238. This bid is over the estimated amount. Due to current market conditions for this specialized equipment and due to the specialized nature of this equipment and the Board's requirement to perform this sampling to meet requirements found in the Municipal Separate Storm Sewer System permit issued by the LADEQ, It is, there, recommended that the bid of **Prime Controls, L.P.** in the total amount of **\$159,000.00**, be accepted based upon the technical review of the proposals.

**GENERAL SUPERINTENDENT RECOMMENDATIONS (CONT'D)**

July 15, 2015

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There is no DBE participation.

The estimated amount for this project is \$100,000.00.

Funds for this project are budgeted under 05238.

JRB/J

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**"RE-BUILDING THE CITY'S WATER SYSTEMS FOR THE 21<sup>ST</sup> CENTURY"**

# **Sewerage & Water Board OF NEW ORLEANS**

MITCHELL J. LANDRIEU, *President*  
WM. RAYMOND MANNING, *President Pro-Tem*

625 ST. JOSEPH STREET  
NEW ORLEANS, LA 70165 • 504-529-2837 OR 52W-ATER  
[www.swbno.org](http://www.swbno.org)

July 10, 2015

TO THE HONORABLE PRESIDENT AND MEMBERS OF THE SEWERAGE AND WATER BOARD OF NEW ORLEANS:

A regular scheduled meeting of the **Executive Committee** of the Sewerage and Water Board was called to order on Friday, July 10, 2015 at 9:00 AM in the Board Room at 625 St. Joseph Street.

## **ATTENDANCE**

**Present:** Wm. Raymond Manning, Chair  
Marion Bracy  
Suchitra Satpathi, Representing the Mayor

**Also in Attendance:** Cedric S. Grant, Executive Director; Robert Miller, Deputy Director; Nolan Lambert, Legal Counsel; Robert Jackson, Community & Intergovernmental Relations; Brenda Thornton, Communirep, Inc.; Harold Marchand, Legal Department; and Hayne Rainey, City of New Orleans.

## **ACTION ITEMS**

### **1. Approval of Previous Report**

Mr. Marion Bracy moved to accept the June 17, 2015 Executive Committee reports. Mrs. Suchitra Satpathi seconded the motion and the motion carried.

## **PRESENTATION ITEMS**

### **2. Proposed By-Law Changes**

Mr. Cedric S. Grant stated the proposed by-law changes have been reviewed with the Operations and Finance Committees.

It was recommended staff remove Article 5 Section 6 establishing the Executive Director's procurement authority from the proposed by-law changes and have a review of internal controls on procurement to be the first topic brought before the Audit Committee for consideration. This matter was moved by Mr. Bracy to accept the recommendation and Ms. Satpathi seconded. The motion carried.

3. **Topics for Future Discussion** – None

**INFORMATION ITEMS**

4. **Commitments to the City Council**

Mr. Miller reported the statistics of the 35 commitments to City Council. Out of the 35, 19 are completed; 13 are on target; 1 has not started yet; and 2 are delayed.

Mr. Manning wanted it noted within the minutes that Sewerage and Water Board went from less than 90% to nearly 99% collection of water and sewer bills since 2010.

5. **EEOC Activity Status Report Y.T.D.** - Received

6. **Any Other Matters** - None

There being no further business to come before the Committee, the meeting adjourned at 9:40 AM.

Respectfully Submitted,

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Wm. Raymond Manning  
Committee Chair

**FURNISHING AIR CONDITIONING AND HEATING MAINTENANCE FOR CENTRAL  
YARD - REQ. NO. YO150020**

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**BE IT RESOLVED** by the Sewerage and Water Board of New Orleans that one (1) bid was received on June 18, 2015 after advertising according to the Public Bid Law, for Furnishing Air Conditioning and Heating Maintenance for Central Yard. The bid was hereby accepted and contract awarded therefore to **Siemens Industry, Inc.** for the total amount of **\$50,750.00.**

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I, Cedric S. Grant, Executive Director, Sewerage and Water Board of New Orleans, do hereby certify that the above and foregoing is a true and correct copy of a resolution adopted at the Regular Meeting of the said Board, duly called and held, according to law, on July 15, 2015.

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CEDRIC S. GRANT  
EXECUTIVE DIRECTOR  
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**FURNISHING ANNUAL SERVICE AWARDS - REQ. NO. ED150009**

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**BE IT RESOLVED** by the Sewerage and Water Board of New Orleans that one (1) bid was received on June 18, 2015 after advertising according to the Public Bid Law, for Furnishing Annual Service Awards. The bid was hereby accepted and contract awarded therefore to **J. Brandt Recognition** for the total amount of **\$21,955.25.**

---

I, Cedric S. Grant, Executive Director, Sewerage and Water Board of New Orleans, do hereby certify that the above and foregoing is a true and correct copy of a resolution adopted at the Regular Meeting of the said Board, duly called and held, according to law, on July 15, 2015.

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CEDRIC S. GRANT  
EXECUTIVE DIRECTOR  
SEWERAGE AND WATER BOARD OF NEW ORLEANS



**FURNISHING EIC AWARD PROGRAM BANQUET ACCOMMODATION - REQ. NO.  
YW150008**

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**BE IT RESOLVED** by the Sewerage and Water Board of New Orleans that two (2) bids were received on June 18, 2015 after advertising according to the Public Bid Law, for Furnishing EIC Award Program Banquet Accommodation. The low bid was hereby accepted and contract awarded therefore to **The Hilton New Orleans Riverside Hotel** for the total amount of **\$138,766.05**.

---

I, Cedric S. Grant, Executive Director, Sewerage and Water Board of New Orleans, do hereby certify that the above and foregoing is a true and correct copy of a resolution adopted at the Regular Meeting of the said Board, duly called and held, according to law, on July 15, 2015.

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CEDRIC S. GRANT  
EXECUTIVE DIRECTOR  
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**CONTRACT 1371 - HMGP STRUCTURAL GENERAL CONTRACT RETROFIT POWER  
PLANT MAIN WATER PURIFICATION PLANT POWER COMPLEX**

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**BE IT RESOLVED** by the Sewerage and Water Board of New Orleans that three (3) bids were received on June 5, 2015 after advertising according to the Public Bid Law, for performing work under Contract 1371. The low bid was hereby accepted and contract awarded therefore to **Alfred Conhagen, Inc. of La.** for the total amount of **\$2,440,000.00.**

---

I, Cedric S. Grant, Executive Director, Sewerage and Water Board of New Orleans, do hereby certify that the above and foregoing is a true and correct copy of a resolution adopted at the Regular Meeting of the said Board, duly called and held, according to law, on July 15, 2015.

---

CEDRIC S. GRANT  
EXECUTIVE DIRECTOR  
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**CONTRACT 3737 - CARROLLTON AREA SEWER REHABILITATION MISTLETOE  
STREET 18-INCH SEWER LINE REPLACEMENT**

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**BE IT RESOLVED** by the Sewerage and Water Board of New Orleans that two (2) bids were received on June 19, 2015 after advertising according to the Public Bid Law, for performing work under Contract 3737. The bid was hereby accepted and contract awarded therefore to **Wallace C. Drennan, LLC** for the total amount of **\$797,625.00**.

---

I, Cedric S. Grant, Executive Director, Sewerage and Water Board of New Orleans, do hereby certify that the above and foregoing is a true and correct copy of a resolution adopted at the Regular Meeting of the said Board, duly called and held, according to law, on July 15, 2015.

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CEDRIC S. GRANT  
EXECUTIVE DIRECTOR  
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**CONTRACT 30014 - CLEANING AND CCTV INSPECTION OF SANITARY SEWER  
MAINS AT SCATTERED SITES WITHIN ORLEANS PARISH**

---

**BE IT RESOLVED** by the Sewerage and Water Board of New Orleans that two (2) bids were received on June 19, 2015 after advertising according to the Public Bid Law, for performing work under Contract 30014. The bid was hereby accepted and contract awarded therefore to **Compliance EnviroSystems, LLC** for the total amount of **\$716,850.00**.

---

I, Cedric S. Grant, Executive Director, Sewerage and Water Board of New Orleans, do hereby certify that the above and foregoing is a true and correct copy of a resolution adopted at the Regular Meeting of the said Board, duly called and held, according to law, on July 15, 2015.

---

CEDRIC S. GRANT  
EXECUTIVE DIRECTOR  
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**CONTRACT 30016 - RESTORATION OF EXISTING GRAVITY FLOW SANITARY  
SEWERS BY EXCAVATION AND REPLACEMENT FROM MANHOLE TO MANHOLE,  
CIPP LINING FROM MANHOLE TO MANHOLE, CIPP LINING OF SERVICE  
LATERALS AND POINT REPAIR AT VARIOUS SITES THROUGHOUT THE CITY OF  
NEW ORLEANS**

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**BE IT RESOLVED** by the Sewerage and Water Board of New Orleans that four (4) bids were received on June 23, 2015 after advertising according to the Public Bid Law, for performing work under Contract 30016. The low bid was hereby accepted and contract awarded therefore to **Wallace C. Drennan, LLC** for the total amount of **\$4,277,481.00**.

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I, Cedric S. Grant, Executive Director, Sewerage and Water Board of New Orleans, do hereby certify that the above and foregoing is a true and correct copy of a resolution adopted at the Regular Meeting of the said Board, duly called and held, according to law, on July 15, 2015.

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CEDRIC S. GRANT  
EXECUTIVE DIRECTOR  
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**CONTRACT 5238 - EQUIPMENT PURCHASE AND INSTALLATION, INCLUDING  
STARTUP AND TRAINING FOR STORMWATER MONITORING AND SAMPLING FOR  
MUNICIPAL SEPARATE STORM SEWER SYSTEM PERMIT STORMWATER OUTFALLS**

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**BE IT RESOLVED** by the Sewerage and Water Board of New Orleans that one (1) bid was received on June 19, 2015 after advertising according to the Public Bid Law, for performing work under Contract 5238. The bid was hereby accepted and contract awarded therefore to **Prime Controls, L.P.** for the total amount of **\$159,000.00.**

---

I, Cedric S. Grant, Executive Director, Sewerage and Water Board of New Orleans, do hereby certify that the above and foregoing is a true and correct copy of a resolution adopted at the Regular Meeting of the said Board, duly called and held, according to law, on July 15, 2015.

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CEDRIC S. GRANT  
EXECUTIVE DIRECTOR  
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**COMMERCIAL FLOOD INSURANCE POLICY FOR FACILITIES**

WHEEREAS, The Sewerage & Water Board of New Orleans desires to renew its Commercial Flood Insurance policy for its facilities for the period August 1, 2015 to August 1, 2016; and

WHEREAS, the Board has maintained commercial flood insurance in accordance with FEMA's Obtain and Maintain Insurance requirements; and

WHEREAS, the Board requested its insurance broker, Charles Kennedy, Jr., of The Kennedy Financial Group to secure price quotations for such renewal on facilities; and

WHEREAS, the Kennedy Financial Group obtained a flood insurance quote of \$325,036.00 from Wright Flood Insurance for the existing coverage limits, which represents an increase of \$29,919.00 over the 2014 August renewal; and

WHEREAS, this quote includes required adjustments in limits and reflects an industry-wide increase in rates set by the National Flood Insurance Program over the previous year;

NOW THEREFORE, BE IT RESOLVED that the Executive Director of the Sewerage & Water Board of New Orleans, is hereby authorized and directed to purchase commercial flood insurance coverage for its facilities through the Board's insurance broker, Charles Kennedy Jr., of The Kennedy Financial Group for a total of \$325,036.00.

---

I, Cedric S. Grant, Executive Director,  
Sewerage & Water Board of New Orleans, do  
hereby certify that the above and foregoing is  
a true and correct copy of a Resolution adopted  
at the Regular Meeting of the said Board, duly called  
and held, according to law, on July 15, 2015

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CEDRIC S. GRANT  
EXECUTIVE DIRECTOR  
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**AWARD OF CONTRACT TO THE LAW FIRM OF ROEDEL PARSONS TO REPRESENT THE SEWERAGE AND WATER BOARD OF NEW ORLEANS IN CONSTRUCTION LITIGATION MATTER**

**WHEREAS**, on April 15, 2015 the Sewerage and Water Board of New Orleans awarded HMGP Contract 6249 to Grady Crawford Construction, Inc. and in response to the Board's action Wallace C. Drennan filed two lawsuits against the Board in Civil District Court for the Parish of Orleans.

**WHEREAS**, the Writ of Mandamus "Wallace C. Drennan v. Cedric S. Grant and Sewerage and Water Board of New Orleans", CDC NO. 2015 -4417 has been dismissed. However, the plaintiff has appealed the dismissal of the Writ of Mandamus and Declaratory Judgement action titled "Wallace C. Drennan v. Cedric S. Grant" CDC 2015-4418, is still pending.

**WHEREAS**, on May 21, 2015 the Legal Department issued a RFP inviting law firms qualified in the area of Construction Litigation to submit responses to the Board and wherein six proposals were received.

**WHEREAS**, on June 5, 2015 a Selection Committee convened an open meeting and reviewed and evaluated the six proposals received to determine the firm that would offer the best value and recommends Roedel Parsons to represent the Board in the above referenced litigation.

**NOW THEREFORE, BE IT RESOLVED** by the Sewerage and Water Board of New Orleans that the President or President Pro Tem is hereby authorized to enter into an agreement with Roedel Parsons to represent the Board in the construction litigation matters involving HMGP Contract 6249 for the Attorney General rate of \$175 per hour.

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I, Cedric S. Grant, Executive Director,  
Sewerage and Water Board of New Orleans, do hereby  
certify that the above and foregoing is a true and  
correct copy of a resolution adopted at the Regular  
Monthly Meeting of said Board, duly called and held,  
according to law, on July 15, 2015.

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CEDRIC S. GRANT, EXECUTIVE DIRECTOR  
SEWERAGE AND WATER BOARD OF NEW ORLEANS



**RATIFICATION OF CHANGE ORDER NO. 2 FOR CONTRACT 3696 – CLEANING AND CCTV SANITARY SEWER MAINS AT SCATTERED SITES THROUGHOUT ORLEANS PARISH**

**WHEREAS**, the Sewerage and Water Board entered into Contract 3696 with Blue Flash Sewer Service, Inc. for cleaning and inspections services in the bid amount of \$1,483,250.00, and

**WHEREAS**, the majority of the in house fleet of vactor trucks, used to clean sanitary sewers for emergency maintenance response and consent decree compliance, have been in the garage for maintenance for an extended period of time, and this has resulted in a backlog of sewer main cleaning.

**WHEREAS**, in an effort to ensure consent decree compliance, Blue Flash has been requested to provide additional sanitary services.

**WHEREAS**, this change order, in the amount \$250,000.00, brings the accumulated contract change order total to \$500,000, or 33.7% of the original contract value.

**NOW THEREFORE BE IT RESOLVED**, the approval of Change Order No. 2 for Contract 3696 be ratified by the Sewerage and Water Board.

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I, Cedric S. Grant, Executive Director,  
Sewerage and Water Board of New Orleans, do hereby  
certify that the above and foregoing is a true  
and correct copy of a Resolution adopted at the Regular  
Monthly Meeting of said Board, duly called and held,  
According to law, on July 15, 2015

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CEDRIC S. GRANT  
EXECUTIVE DIRECTOR  
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**CHANGE ORDER NO. 5 FOR CONTRACT 5223 - HURRICANE KATRINA RELATED REPAIRS TO ST. BERNARD AVENUE DRAINAGE UNDERPASS PUMPING STATION**

**WHEREAS**, the Sewerage and Water Board entered into Contract 5223 with Lou-Con Construction, Inc. for FEMA funded repairs to the St. Bernard Avenue Drainage Underpass Pumping Station, and

**WHEREAS**, the motors required additional repairs, and Operations has decided it would be prudent to install new bias relays and do some additional painting at a cost of \$7,134.77, and

**WHEREAS**, the time associated with the performance of this work requires 74 days to be added to the contract period, and

**WHEREAS**, this Change Order, in the amount of \$7,134.77, brings the accumulated Contract change order total to \$537,962.62, or 210.3% of the original Contract value, and

**NOW THEREFORE BE IT RESOLVED**, that approval of Change Order No. 5 for Contract 5223 is ratified by the Sewerage and Water Board of New Orleans.

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I, Cedric Grant, Executive Director,  
Sewerage and Water Board of New Orleans, do hereby  
certify that the above and foregoing is a true  
and correct copy of a Resolution adopted at the Regular  
Monthly Meeting of said Board, duly called and held,  
according to law, on July 15, 2015.

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CEDRIC GRANT, EXECUTIVE DIRECTOR  
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**CHANGE ORDER NO. 7 FOR CONTRACT 5226 - HURRICANE KATRINA RELATED REPAIRS TO FRANKLIN AVENUE DRAINAGE UNDERPASS PUMPING STATION**

**WHEREAS**, the Sewerage and Water Board entered into Contract 5226 with Lou-Con Construction, Inc. for FEMA funded repairs to the Franklin Avenue Drainage Underpass Pumping Station, and

**WHEREAS** Operations has decided it would be prudent to install new bias relays and do some additional painting at a cost of \$3,385.23, and

**WHEREAS**, the time associated with the performance of this work requires 14 days to be added to the contract period, and

**WHEREAS**, this Change Order, in the amount of \$3,385.23, brings the accumulated Contract change order total to \$478,635.73, or 145.9% of the original Contract value and extends the duration of the work associated with the Contract through December 4, 2014 and,

**NOW THEREFORE BE IT RESOLVED**, that approval of Change Order No. 7 for Contract 5226 is ratified by the Sewerage and Water Board of New Orleans.

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I, Cedric Grant, Executive Director,  
Sewerage and Water Board of New Orleans, do hereby  
certify that the above and foregoing is a true  
and correct copy of a Resolution adopted at the Regular  
Monthly Meeting of said Board, duly called and held,  
according to law, on July 15, 2015.

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CEDRIC GRANT, EXECUTIVE DIRECTOR  
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**CHANGE ORDER NO. 7 FOR CONTRACT 5221 - HURRICANE KATRINA RELATED REPAIRS TO PONTCHARTRAIN BOULEVARD DRAINAGE UNDERPASS PUMPING STATION**

**WHEREAS**, the Sewerage and Water Board entered into Contract 5221 with Lou-Con Construction, Inc. for FEMA funded repairs to the Pontchartrain Boulevard Drainage Underpass Pumping Station, and

**WHEREAS**, additional piping and equipment adjustments had to be made to ensure proper installation and alignment at a cost of \$36,741.32, and

**WHEREAS**, the time associated with the performance of this work requires 97 days to be added to the contract period, and

**WHEREAS**, this Change Order, in the amount of \$36,741.32 brings the accumulated Contract change order total to \$438,925.87, or 132.8% of the original Contract value and extends the duration of the work associated with the Contract through January 31, 2015, and

**NOW THEREFORE BE IT RESOLVED**, that approval of Change Order No. 7 for Contract 5221 is ratified by the Sewerage and Water Board of New Orleans.

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I, Cedric Grant, Executive Director,  
Sewerage and Water Board of New Orleans, do hereby  
certify that the above and foregoing is a true  
and correct copy of a Resolution adopted at the Regular  
Monthly Meeting of said Board, duly called and held,  
according to law, on July 15, 2015.

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CEDRIC GRANT, EXECUTIVE DIRECTOR  
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**Sewerage and Water Board**  
**Department of Emergency Management**  
*“Preparedness, Mitigation, Response, and Recovery”*

FEMA Project Update as of **6-22-15**

*This data was collected from E.M. Data and Louisianaapa.com on the above reference date*

Prepared by: Jason Higginbotham, CEM, LEM-P  
 Director of Emergency Management

**Hurricane Katrina**

<b>Estimated Project Cost</b>	<b>\$ 855,226,394.14</b>
<b>Obligated Amount</b>	<b>\$ 682,070,279.15</b>
Not Eligible PW <sup>1</sup>	\$ 10,896,751.08
Not Eligible Loan PW <sup>2</sup>	\$ 72,607,253.53
Insurance Deduction <sup>3</sup>	\$ 2,747,339.04
Insurance Settlement <sup>4</sup>	\$ 2,303,000.00
Version Request <sup>5</sup>	\$ 67,525,081.52
Appeal Amount <sup>6</sup>	\$
Close Out Reconciliation <sup>7</sup>	\$
Submitted Project Cost	\$ 710,261,350.26
Awaiting Obligation <sup>8</sup>	\$ 14,376,915.35
Total Invoices in Progress at State	\$ 10,711,151.61
Total Paid by State (LAPA Data)	\$ 384,929,855.39
SPS HMGP Grant	\$ 20,082,538.00
Power Plant HMGP GRANT	\$ 141,175,000.00
Power Plant HMGP Amendment 1	\$ 9,620,389.00

<sup>1</sup> Represents the amount that will not result in payments by FEMA on non-100 Million Dollar P.W.'s.

<sup>2</sup> Represents the amount that will not result in payments by FEMA on 100 Million Dollar P.W.'s.

<sup>3</sup> National Flood Insurance deductions.

<sup>4</sup> Insurance Settlement

<sup>5</sup> Amount that FEMA is reviewing documentation to adjust scope of work or cost adjustments

<sup>6</sup> Amount that is currently under appeal or arbitration.

<sup>7</sup> Amount that will be reconciled when Project Worksheet's are closed out.

<sup>8</sup> Amount pending obligation in FEMA Million Dollar Queue or Funding Review Queue.

## **Hurricane Gustav**

**This data was collected from E.M. Data and Louisianapa.com**

Original ESTIMATED PROJECT COST	\$ 755,354.17
PROJECT SUBMITTED AMOUNT	\$ 755,354.17
NFIP Reduction	\$ -
OBLIGATED Amount	\$ 755,354.17
Amount Paid by State	\$ 312,309.26

## Hurricane Isaac

This data was collected from E.M. Data and Louisianapa.com

Site	Estimated	Insurance Claim	FEMA Claim	PW Amount
CAT B Emergency Labor/Equipment	\$ 1,217,743.75	\$ -	\$ 1,398,010.67	\$ 1,398,010.67
East Bank Waste Water Treatment Plant	\$ 873,596.00	\$ 367,636.00	\$ 505,960.00	\$ 224,987.37
West Bank Waste Water Treatment Plant	\$ 118,755.00	\$ 38,887.00	\$ 79,868.00	\$ 28,053.10
East Bank Water Plant	\$ 184,556.00	\$ 36,850.00	\$ 174,706.00	\$ 74,678.91
West bank Water Plant	\$ 2,269.74	\$ -	\$ 2,269.74	\$ 2,269.74
Sewer Pumping Stations/DPS	\$ 94,063.80	\$ -	\$ 94,377.90	\$ 80,711.15
Central Yard Roof Repairs	\$ 6,053.60	\$ -	\$ 6,053.60	\$ 6,053.60
Central Yard Fence	\$ 18,836.61		\$ 18,836.61	\$ 18,836.61
<b>Total</b>	<b>\$ 2,515,874.50</b>	<b>\$ 443,373.00</b>	<b>\$ 2,280,082.52</b>	<b>\$ 1,833,601.15</b>

**OFFICE OF GENERAL SUPERINTENDENT  
SEWERAGE AND WATER BOARD OF NEW ORLEANS**

**JULY 15, 2015**

To the Honorable President and members of the Sewerage and Water Board of New Orleans:  
Gentlemen:

The following report for the month of **June** presented herewith:

Contract 1350 – Industrial & Mechanical Contractors, Inc. – Katrina related repairs to Stream Turbine No. 4 & Auxiliaries at the Main Water Purification Plant Power Complex. This work is approximately 97% complete. (CP Item 175).

Contract 1351 – Lou -Con, Inc. – Repairs to A & B Pumps & Auxiliaries at the Main Water Purification Plant. This work is approximately 95% complete. (CP Item 175).

Contract 1352 – Industrial & Mechanical Contractors, Inc. – Katrina related replacements of Pump Package at the Main Water Purification Power Plant Complex. This work is approximately 98% complete. (CP Item 175).

Contract 1360 – Philadelphia Gear – Purchase of Gear Boxes for “G” Basin at the Main Water Purification Plant. This work is 100% complete. (CP Item 110).

Contract 1378 – Plant Power Services, LLP – Hurricane Katrina related repairs to Boilers/Duct/Elevator at the Main Water Purification Plant Power Complex. This work is approximately 24% complete. (CP Item 175).

Contract 2098 – Fleming Construction Co., LLC – Water Main Point Repair, Water Service Connection, Water Valve and Fire Hydrant Replacement at Various Sites throughout Orleans Parish. This work is approximately 94% complete. (CP Item 175).

Contract 2101 – Wallace C. Drennan, Inc. – Water Main Point Repair, Water Service Connection, Water Valve & Fire Hydrant Replacement at Various Sites throughout Orleans Parish. This work is approximately 98% complete. (CP Item 175).



Contract 2103 – Boh Brothers Construction Co., LLC – Replacement of Water Lines damaged by Hurricane Katrina within portions of the Broadmoor, Lakeview, Milneburg, Read Boulevard East & the Lower Ninth Ward Neighborhoods. This work is approximately 60% complete. (CP Item 175).

Contract 2105– Boh Brothers Construction Co., LLC – Replacement of Water Lines damaged by Hurricane Katrina within various roadways in different neighborhoods throughout the City of New Orleans. This work is approximately 55% complete. (CP Item 175).

Contract 3661 – Cajun Contractors, Inc. – Modifications to East Bank Wastewater Treatment Plant Flood Protection System. This work is 100% complete. (CP Item 375).

Contract 3663 – Lou-Con, Inc. – Hurricane Katrina 404 Hazard Mitigation Grant Program Replacement of DOTD Sewage Lift Station. This work is approximately 45% complete. (CP Item 340).

Contract 3665 – TKT MJ, Inc. – Hurricane Katrina 404 Hazard Mitigation Grant Program Replacement of Bullard Sewage Pumping Station. This work is approximately 22% complete. (CP Item 340).

Contract 3666 – TKT MJ, Inc. – Hurricane Katrina 404 Hazard Mitigation Grant Program Replacement of Lake Forest Sewage Pumping Station. This work is approximately 65% complete. (CP Item 340).

Contract 3667 – TKT MJ, Inc. – Hurricane Katrina 404 Hazard Mitigation Grant Program Replacement of Plum Orchard Sewage Lift Station. This work is approximately 75% complete. (CP Item 340).

Contract 3668 – Industrial & Mechanical Contractors, Inc. – Hurricane Katrina 404 Hazard Mitigation Grant Program Replacement of Victoria Sewage Pumping Station. This work is approximately 99% complete. (CP Item 340).

Contract 3669– Industrial & Mechanical Contractors, Inc. – Hurricane Katrina 404 Hazard Mitigation Grant Program Replacement of Lawrence Sewage Pumping Station #6. This work is approximately 30% complete. (CP Item 340).

Contract 3670 – Lou-Con, Inc. – Hurricane Katrina 404 Hazard Mitigation Grant Program Replacement of Lawrence Sewage Pumping Station. This work is approximately 48% complete. (CP Item 340).

Contract 3675 – F.H. Paschen, S.N. Nielsen & Associates LLC - Katrina related replacement of the Administration Building at the East Bank Waste Water Treatment Plant. This work is 100% complete. (CP Item 375).

Contract 3695 – Fleming Construction Co., LLC – Restoration of existing gravity sewer main damaged by Hurricane Katrina by excavation, replacement & CIPP. This work is approximately 98% complete. (CP Item 375).

Contract 3696 – Blue Flash Sewer Service, Inc. – Cleaning & CCTV Inspection of Sanitary Sewer Mains at Scattered Sites Within Orleans Parish. This work is approximately 65% complete. (CP Item 318).

Contract 3782 – Ferrate Treatment Technologies, LLC - Furnishing & installation of Ferrator Skid for the Central Wetlands Demonstration Project at the East Bank Sewage Treatment Plant. This work is approximately 65% complete. (CP Item 368).

Contract 3788– Industrial & Mechanical Contractors, Inc. - Hurricane Katrina 404 Hazard Mitigation Grant Program Replacement of Burke Sewage Pumping Station. This work is approximately 75% complete. (CP Item 340).

Contract 3792– Industrial & Mechanical Contractors, Inc. – Central Wetlands Unit Expansion at EBWWTP. This work is approximately 20% complete. (CP Item 368).

Contract 3794– Industrial & Mechanical Contractors, Inc. – Rehabilitation of Bio-Reactor Train #1 at the EBWTP. This work is approximately 11% complete. (CP Item 348).

Contract 3986– BLD Services, LLC – Ninth Ward Area Sewer Rehabilitation-Sewer Rehabilitation No. 5. This work is approximately 30% complete. (CP Item 317).

Contract 5203 – F.H. Paschen, S.N. Nielsen & Associates LLC - DPS No. 14 Trash Screen & Cleaner Replacement. This work is 100% complete. (CP Item 511).

Contract 5221 – Lou -Con, Inc. – Hurricane Katrina related repairs to Ponchartrain Drainage Underpass Pumping Station. This work is 100% complete. (CP Item 575).

Contract 5222 – Lou -Con, Inc. – Hurricane Katrina related repairs to Canal Boulevard Underpass Pumping Station. This work is 90% complete. (CP Item 575).

Contract 5223 – Lou -Con, Inc. – Hurricane Katrina related repairs to St. Bernard Avenue Drainage Underpass Pumping Station. This work is 100% complete. (CP Item 575).

Contract 5226 – Lou -Con, Inc. – Hurricane Katrina related repairs to Franklin Avenue Drainage Underpass Pumping Station. This work is 100% complete. (CP Item 575).

Contract 6248 – Walter J. Barnes Electric Co., Inc. – Installation of Two 60- Hertz Feeders from the Carrollton Water Plant to Drainage Pumping Station No. 1. This work is approximately 92% complete. (CP Item 676).

Contract 8132– Industrial & Mechanical Contractors, Inc. - Hurricane Katrina related repairs to Central Yard Garage 1/Generator and Power Room. This work is approximately 22% complete. (CP Item 175).

Contract 8136 – Courseault Construction, Inc. – Hurricane Katrina related Construction of Central Yard Site Relocation Facility. This work is 100% complete. (CP Item 175).

Contract 8137 – Fleming Construction Co., LLC – Re-paving Open Cuts in Streets, Driveways, Sidewalks resulting from the repair to the Sewerage and Water Board of New Orleans Underground Utilities. This work is \*122% complete. (CP Item 175). \*Percentage amount due to contract renewal.

Contract 8139 – W.L. Wymann Construction Co., Inc. – Re-bid to replace the Central Yard Annex Building damaged during Hurricane Katrina. This work is 100% complete. (CP Item 175).

Contract 8142 – Fleming Construction Co., LLC – Re-paving Open Cuts in Streets, Driveways, Sidewalks resulting from the repair to the Sewerage and Water Board of New Orleans Underground Utilities. This work is approximately 41% complete. (CP Item 175).

Contract 30002 – BLD Services, Inc. – Restoration of existing Gravity Sewer Mains damaged by Hurricane Katrina by excavation & replacement from Manhole to Manhole, CIPP Lining from Manhole to Manhole & Point Repairs at various sites throughout Orleans Parish. This work is approximately 94% complete. (CP Item 375).

Contract 30003 – BLD Services, Inc. – Restoration of existing Gravity Sewer Mains damaged by Hurricane Katrina by excavation & replacement from Manhole to Manhole, CIPP Lining from Manhole to Manhole & Point Repairs at various sites throughout Orleans Parish. This work is approximately 94% complete. (CP Item 375).

Contract 30004 – Fleming Construction Co., LLC – Restoration of existing Gravity Sewer Mains damaged by Hurricane Katrina by excavation & replacement from Manhole to Manhole, CIPP Lining from Manhole to Manhole & Point Repairs at various sites throughout Orleans Parish. This work is approximately 94% complete. (CP Item 375).

Contract 30005 – Wallace C. Drennan, Inc. – Restoration of existing Gravity Sewer Mains damaged by Hurricane Katrina by excavation & replacement from Manhole to Manhole, CIPP Lining from Manhole to Manhole & Point Repairs at various sites throughout Orleans Parish. This work is approximately 76% complete. (CP Item 375).

Contract 30006 – Boh Brothers Construction Co., LLC – Restoration of existing Gravity Sewer Mains damaged by Hurricane Katrina by excavation & replacement from Manhole to Manhole, CIPP Lining from Manhole to Manhole & Point Repairs at various sites throughout Orleans Parish. This work is approximately 72% complete. (CP Item 375).

Contract 30008 – Wallace C. Drennan, Inc. – Restoration of existing Gravity Sewer Mains damaged by Hurricane Katrina by excavation & replacement from Manhole to Manhole, CIPP Lining from Manhole to Manhole & Point Repairs at various sites throughout Orleans Parish. This work is approximately 88% complete. (CP Item 375).

Contract 30009 – Wallace C. Drennan, Inc. – Restoration of existing Gravity Sewer Mains damaged by Hurricane Katrina by excavation & replacement from Manhole to Manhole, CIPP Lining from Manhole to Manhole & Point Repairs at various sites throughout Orleans Parish. This work is approximately 25% complete. (CP Item 375).

Contract 30015 – Wallace C. Drennan, Inc. – Restoration of existing Gravity Sewer Mains by Point Repair & CIPP Lining of Sewer Mains. This work is approximately 5% complete. (CP Item 375).

## **CURRENT EMERGENCY BID CONTRACTS**

### **Repairs to Panola Street Station at the Main Water Purification Plant (ME11-0017)**

Bids were received on Friday, January 6, 2012.

Contractor: Lou-Con, Inc.  
Amount: \$731,500.00  
Pre-Construction Meeting: Wednesday, January 11, 2012  
% Complete: 98%

This project is partially FEMA funded; \$586,000.00 is eligible for FEMA reimbursement.

The Notice to Proceed was issued on Tuesday, January 17, 2012.

### **Reconstruction of the Existing Florida Avenue Canal between Spain Street and Music Street**

Bids were received on Friday, January 17, 2014.

Contractor: Cajun Constructors, Inc.  
Amount: \$2,216,000.00  
Pre-Construction Meeting: To be determined.  
% Complete: 99%

Notice to Proceed was issued on February 7, 2014.

### **2014 Off-Site Rewind/Refurbish Motor for the 2250HP, 6600V, 3-PHASE, 25HZ, Synchronous Drive Motor for Panola Pumping Station Pump No. 1**

Bids were received on Friday, July 11, 2014.

Contractor: Bollinger Armature, Services, LLC  
Amount: \$338,000.00  
% Complete: 96%

Notice to Proceed was issued on July 16, 2014.

## **PLUMBING DEPARTMENT**

Submitted herewith, you will find my monthly report for work performed by the Plumbing Department;

There were 254 Plumbing, and 217 Backflow permits issued during the month of **June**. This department complied with 799 requests for Plumbing Inspections, which consists of inspections conducted with Licensed Plumbing Contractors, Property Owners, Tenants, Department of Health, and Hospitals Sanitarians, and Environmental Inspectors.

For your information, the following numbers are of the permits issued, and inspections conducted.

	<u>Apr</u>	<u>May</u>	<u>2015 June</u>	<u>2014 June</u>	<u>YTD 2015</u>
Plumbing Permits Issued	273	193	254	476	
Backflow permits Issued	101	117	217	143	
	<b>374</b>	<b>310</b>	<b>471</b>	<b>619</b>	<b>2084</b>

#### **Inspections Conducted**

Water	398	378	421	395	
Special	211	172	152	211	
Final	194	201	226	228	
Environmental		1		1	
<b>Totals</b>	<b>814</b>	<b>752</b>	<b>799</b>	<b>863</b>	<b>5538</b>

#### **RAINFALL FOR JUNE 2015**

The rainfall for the month of **June** was **2.75"** compared to the 122-year average for the month of **June** was **5.56"**. The cumulative rainfall through the Sixth month of the year was **31.53"** compared to the 122-year average of **29.52"**.

#### **AVERAGE DAILY PUMPAGE FOR THE MONTH OF JUNE**

New Orleans Side	130.273 Million Gallons Per Day
Algiers Side	8.87 Million Gallons Per Day

Respectfully submitted,



**JOSEPH R. BECKER**  
**GENERAL SUPERINTENDENT**

JRB/zfg  
GENSUP%7-15-15

**SEWERAGE AND WATER BOARD OF NEW ORLEANS  
OFFICE OF SPECIAL COUNSEL**

**July 15, 2015**

**To the Honorable President and Members of the  
Sewerage and Water Board of New Orleans:**

The following represents Legal Department activities during the month of June 2015.

**EMPLOYEE GARNISHMENTS:**

During the month of **June**, **one** garnishment was received against Board employees. The garnishment was responded to on behalf of the Board. Following the policy established for garnishments, the fee granted by law to the attorney for garnishee was waived in favor of the employee.

**CIVIL SUITS FILED AGAINST BOARD:**

**New:**

**JENNIFER E. KING V. NEW ORLEANS SEWERAGE AND WATER BOARD, No. 15-05553, Civil District Court.** In this case, plaintiff filed suit seeking judgment for injuries allegedly sustained when she stepped onto water meter cover which moved and caused her foot to enter the hole, at or near 4315 Elysian Fields, on or about September 5, 2014.

**STATE FARM MUTUAL AUTOMOBILE INSURANCE COMPANY AND WILLIAM McSWEENEY V. THE CITY OF NEW ORLEANS AND SEWERAGE AND WATER BOARD OF NEW ORLEANS, No. 15-05018, Civil District Court.** In this case, plaintiffs sued seeking judgment for deductible reimbursement and property damages allegedly sustained when vehicle being driven by Ann McSweeney struck a manhole or pothole at or near 7474 General Haig Street, on or about May 30, 2014.

**W.L. WYMAN CONSTRUCTION COMPANY, INC. V. SEWERAGE & WATER BOARD OF NEW ORLEANS, No. 15-05860, Civil District Court.** In this case, plaintiff sued seeking judgment in connection with Contract 8139.

**Terminated:**

**TERRANCE AND NYKITA BURTON V. THE CITY OF NEW ORLEANS, SEWERAGE & WATER BOARD OF NEW ORLEANS, AND THE DEPARTMENT OF PUBLIC WORKS, No. 10-02113, Civil District Court.** In this case, plaintiffs sued seeking judgment for damages to property at 7109 Dreux Street allegedly sustained due to drainage ditch and/or canal overflowing during a heavy rain, commencing on or about March 4, 2009. A review of the file indicated no activity for a period of three (3) or more years. Accordingly, a Motion to Dismiss based on abandonment was filed and granted, dismissing the Board with **no monetary contribution**. The file will be closed on that basis.

**HELEN WATKINS, INDIVIDUALLY AND ON BEHALF OF THE ESTATE OF HAROLD WATKINS V. NEW ORLEANS SEWERAGE AND WATER BOARD, CITY OF NEW ORLEANS DEPARTMENT OF PUBLIC WORKS AND CITY OF NEW ORLEANS DEPARTMENT OF STREETS, No. 2010-11704, Civil District Court.** In this case, plaintiff sued seeking judgment for injuries and property damages allegedly sustained when vehicle hit an unmarked manhole or sewerage drain on Mansfield Street at or near its intersection with Brunswick Street, on or about November 19, 2009. A review of the file indicated no activity for a period of three (3) or more years. Accordingly, a Motion to Dismiss based on abandonment was filed and granted, dismissing the Board with **no monetary contribution**. The file will be closed on that basis.

**WORKERS' COMPENSATION:**

**RODNEY JACKSON V. SEWERAGE AND WATER BOARD OF NEW ORLEANS, No. 15-03164, Office of Workers' Compensation.** In this case, plaintiff sued seeking workers' compensation benefits in connection with injury alleged sustained in the course and scope of his duties, on or about May 24, 2004.

**Respectfully submitted,**



**NOLAN P. LAMBERT**  
**SPECIAL COUNSEL**

**NPL:mkt**

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# Sewerage & Water Board of New Orleans Committee & Board of Director's Meeting Schedule

## 2015

WEDNESDAY	JULY 1, 2015	9:00 AM	COMMITTEE ON INFRASTRUCTURE
WEDNESDAY	JULY 1, 2015	10:30 AM	PENSION COMMITTEE
FRIDAY	JULY 3, 2015	HOLIDAY	INDEPENDENCE DAY/OFFICE CLOSED
MONDAY	JULY 6, 2015	8:00 AM	OPERATION COMMITTEE
TUESDAY	JULY 7, 2015	9:00 AM	FINANCE COMMITTEE
FRIDAY	JULY 10, 2015	9:00 AM	EXECUTIVE COMMITTEE
WEDNESDAY	JULY 15, 2015	9:00 AM	BOARD OF DIRECTOR'S
MONDAY	AUGUST 3, 2015	8:00 AM	OPERATION COMMITTEE
TUESDAY	AUGUST 4, 2015	9:00 AM	FINANCE COMMITTEE
WEDNESDAY	AUGUST 5, 2015	9:00 AM	COMMITTEE ON INFRASTRUCTURE
WEDNESDAY	AUGUST 5, 2015	10:30 AM	PENSION COMMITTEE
FRIDAY	AUGUST 7, 2015	9:00 AM	EXECUTIVE COMMITTEE
WEDNESDAY	AUGUST 19, 2015	9:00 AM	BOARD OF DIRECTOR'S
TUESDAY	SEPTEMBER 1, 2015	8:00 AM	OPERATIONS/ FINANCE COMMITTEE
WEDNESDAY	SEPTEMBER 2, 2015	9:00 AM	COMMITTEE ON INFRASTRUCTURE
WEDNESDAY	SEPTEMBER 2, 2015	10:30 AM	PENSION
FRIDAY	SEPTEMBER 4, 2015	9:00 AM	EXECUTIVE COMMITTEE
MONDAY	SEPTEMBER 7, 2015	HOLIDAY	LABOR DAY / OFFICE CLOSED
WEDNESDAY	SEPTEMBER 16, 2015	9:00 AM	BOARD OF DIRECTOR'S
MONDAY	OCTOBER 5, 2015	8:00 AM	OPERATION COMMITTEE
TUESDAY	OCTOBER 6, 2015	9:00 AM	FINANCE COMMITTEE
WEDNESDAY	OCTOBER 7, 2015	9:00 AM	COMMITTEE ON INFRASTRUCTURE
WEDNESDAY	OCTOBER 7, 2015	10:30 AM	PENSION COMMITTEE
FRIDAY	OCTOBER 9, 2015	9:00 AM	EXECUTIVE COMMITTEE
WEDNESDAY	OCTOBER 21, 2015	9:00 AM	BOARD OF DIRECTOR'S
MONDAY	NOVEMBER 2, 2015	8:00 AM	OPERATION COMMITTEE
TUESDAY	NOVEMBER 3, 2015	9:00 AM	FINANCE COMMITTEE
WEDNESDAY	NOVEMBER 4, 2015	9:00 AM	COMMITTEE ON INFRASTRUCTURE
WEDNESDAY	NOVEMBER 4, 2015	10:30 AM	PENSION COMMITTEE
FRIDAY	NOVEMBER 6, 2015	9:00 AM	EXECUTIVE COMMITTEE
WEDNESDAY	NOVEMBER 18, 2015	9:00 AM	BOARD OF DIRECTOR'S
THURSDAY	NOVEMBER 26, 2015	HOLIDAY	THANKSGIVING/OFFICE CLOSED
THURSDAY	NOVEMBER 27, 2015	HOLIDAY	VETERANS DAY/OFFICE CLOSED

NOTE: DUE TO THE LABOR DAY HOLIDAY IN SEPTEMBER, WE ARE ATTEMPTING TO HOLD THE OPERATIONS AND FINANCE COMMITTEES AS A JOINT MEETING.

NOTE: THANKSGIVING AND VETERANS HOLIDAYS IN NOVEMBER, NOVEMBER 26 AND NOVEMBER 27, 2015



## SEWERAGE AND WATER BOARD OF NEW ORLEANS

July 7, 2015

Finance Committee  
Sewerage and Water Board of New Orleans  
New Orleans, Louisiana

### Re: Financial Results through May 2015

Attached are the *Statement of Revenues, Expenses, and Changes in Net Position* with budget and prior year comparisons for the water, sewer, drainage and total systems through May 2015. The Variance Indicators for Financial Results through May 2015 are also attached. The *Statement of Net Assets* and the *Statement of Cash Flows* will be provided with the June 2015 results.

#### Operating Revenues:

**Water System Fund** (pages 7 and 8, line 5) for May of \$6,623,926 is \$281,302 or 4.1% less than budgeted and \$566,772 or 9.4% more than May 2014. May YTD operating revenues of \$32,079,472 is \$2,446,669 or 7.1% less than budgeted and \$3,389,125 or 11.8% more than May YTD 2014. YTD actual variance is due to the effect of the ten percent increase in water rates effective January 1. YTD budget variance is due to a combination of seasonal variation and the slight lag in water sales behind levels projected in the 2013-2020 Financial Plan.

**Sewer System Fund** (pages 13 and 14, line 5) for May of \$7,794,631 is \$327,035 or 4.0% less than budgeted and \$700,444 or 9.9% more than May 2014. May YTD operating revenues of \$38,366,030 is \$2,242,298 or 5.5% less than budgeted and \$3,945,574 or 11.5% more than May YTD 2014. YTD actual variance is due to the effect of the ten percent increase in sewer rates effective January 1. YTD budget variance is due to a combination of seasonal variation and the slight lag in sewer sales behind levels projected in the 2013-2020 Financial Plan.

**Drainage System Fund** (pages 19 and 20, line 5) for May of \$1,060 is \$1,060 or 100.0% more than budgeted and \$25,999 or 96.1% less than for May 2014. May YTD operating revenues of \$6,638 is \$6,638 or 100.0% more than budgeted and \$47,630 or 87.8% less than May YTD 2014. YTD actual and budget variances are incidental.

**Total System Funds** (pages 1 and 2, line 5) for May of \$14,419,617 are \$607,277 or 4.0% less than budgeted and \$1,241,217 or 9.4% more than May 2014. May YTD operating revenues of \$70,452,140 is \$4,682,329 or 6.2% less than budgeted and \$7,287,069 or 11.5% more than May YTD 2014.

#### Operating Expenses:

**Water System Fund** (pages 7 and 8, line 18) for May of \$6,506,546 is \$1,310,311 or 16.8% less than budgeted and \$1,069,920 or 14.1% less than May 2014. May YTD operating expenses of \$26,954,939 is \$12,129,345 or 31.0% less than budgeted and \$5,992,587 or 18.2% less than May YTD 2014. YTD actual and budget variances are due to timing lags in commencing large studies and additional hirings and contemplated in the 2015 Budget.

**Sewer System Fund** (pages 13 and 14, line 18) for May of \$5,891,929 is \$190,364 or 3.1% less than budgeted and \$1,290,911 or 28.1% more than May 2014. May YTD operating expenses of \$23,502,545 is \$6,908,921 or 22.7% less than budgeted and \$1,745,170 or 6.9% less than May YTD 2014.

**Drainage System Fund** (pages 19 and 20, line 18) for May of \$3,741,075 is \$1,129,364 or 23.2%



## SEWERAGE AND WATER BOARD OF NEW ORLEANS

less than budgeted and \$434,402 or 13.1% more than May 2014. May YTD operating expenses of \$14,964,286 is \$9,387,911 or 38.6% less than budgeted and \$1,858,874 or 14.2% more than May YTD 2014.

**Total System Funds** (pages 1 and 2, line 18) for May of \$16,139,550 are \$2,630,040 or 14.0% less than budgeted and \$655,393 or 4.2% more than May 2014. May YTD operating expenses of \$65,421,771 is \$28,426,177 or 30.3% less than budgeted and \$5,878,882 is 8.2% less than May YTD 2014.

### Net Non-Operating Revenues:

**Water System Fund** (pages 7 and 8, line 28) for May of \$512,766 is \$501,775 or 4565.3% more than budgeted and \$509,306 or 14719.8% more than May 2014. May YTD net non-operating revenues of \$513,079 are \$458,123 or 833.6% more than budgeted and \$457,834 or 828.7% more than May YTD 2014. YTD actual and budget variances are due to interest income on bond proceeds deposited in July 2014 that have not yet been expended.

**Sewer System Fund** (pages 13 and 14, line 28) for May of \$315,456 is \$494,341 or 276.3% more than budgeted and \$315,456 or 0.0% more than May 2014. May YTD net non-operating revenues of \$335,910 are \$1,230,336 or 137.6% more than budgeted and \$278,397 or 484.1% more than May YTD 2014. YTD actual and budget variances are due to interest income on bond proceeds deposited in July 2014 that have not yet been expended.

**Drainage System Fund** (pages 19 and 20, line 28) for May of \$1,173,330 is \$1,136,117 or 3053.1% more than budgeted and \$8,822,827 or 88.3% less May 2014. May YTD net non-operating revenues of \$46,723,828 are \$684,587 or 1.4% less than budgeted and \$2,379,157 are 5.4% more than May YTD 2014. YTD actual and budget variances are due to timing of property tax collections. Drainage system tax receipts occur during the first quarter of each year, with smaller residual amounts received during the remainder of the year.

**Total System Funds** (pages 1 and 2, line 28) for May of \$2,001,551 is \$2,132,233 or 1631.6% more than budgeted and \$7,998,066 or 80.0% less than May 2014. May YTD net non-operating revenues of \$47,572,817 are \$1,003,872 or 2.2% more than budgeted and \$3,115,388 or 7.0% more than May YTD 2014.

### Income Before Contributions in Aid of Construction:

**Water System Fund** (pages 7 and 8, line 29) for May of \$630,146 is \$1,530,783 or 170.0% more than budgeted and \$2,145,998 or 141.6% more than May 2014. May YTD income before capital contributions of \$5,637,612 is \$10,140,799 or 225.2% more than budgeted and \$9,839,546 or 234.2% more than May YTD 2014.

**Sewer System Fund** (pages 13 and 14, line 29) for May of \$2,218,158 is \$357,671 or 19.2% more than budgeted and \$275,011 or 11.0% less than May 2014. May YTD income before capital contributions of \$15,199,395 is \$5,896,959 or 63.4% more than budgeted and \$5,969,141 or 64.7% more than May YTD 2014.

**Drainage System Fund** (pages 19 and 20, line 29) for May of -\$2,566,685 is \$2,266,542 or 46.9% more than budgeted and \$9,283,228 or 138.2% less than May 2014. May YTD income before capital contributions of \$31,766,180 is \$8,709,961 or 37.8% more than budgeted and \$472,653 or 1.5% more than May YTD 2014.

**Total System Funds** (pages 1 and 2, line 29) for May of \$281,618 is \$4,154,996 or 107.3% more than budgeted and \$77,412,242 or 96.3% less than May 2014. May YTD income before capital



## SEWERAGE AND WATER BOARD OF NEW ORLEANS

contributions of \$52,603,186 is \$24,747,719 or 88.8% more than budgeted and \$16,281,339 or 44.8% more than May YTD 2014.

The balances of funds from the Series 2014 bond proceeds available for capital construction as of April 30, 2015 are:

	Water	Sewer	Total
Original Balance	40,088,662.48	10,038,341.38	50,127,003.86
Less Disbursements	(3,338,125.00)	(4,300,287.50)	(7,638,412.50)
Plus Reimbursements	5,365,049.45	7,334,277.91	12,699,327.36
Plus Income	34,553.45	9,120.45	43,673.90
Ending Balance	42,150,140.38	13,081,452.24	55,231,592.62

The days-of-cash will be reported following completion of the audit. Until that time, staff will provide the attached information on cash collections by system.

The projected coverage for the year ending December 31, 2015, based upon financial results through January 31, 2015, remains at the budgeted level of 3.28 times for the water system and 3.04 times for the sewer system.

**Certification.** In connection with the accompanying monthly financial report of the Sewerage and Water Board of New Orleans for the period ended May 31, 2015, I hereby certify that, to my knowledge, the information contained in the report fairly presents, in all material respects, the financial condition and results of the Board.

Robert K. Miller  
Deputy Director

**Sewerage and Water Board of New Orleans**  
**Comparative Variance Indicators for Financial Results**  
**Through May 2015**

<b>Statement of Revenues, Expenses, and Changes in Net Position with Prior Year Comparisons</b>	<b>Total</b>	<b>Water</b>	<b>Sewer</b>	<b>Drainage</b>
Revenues				
Operating Expenses				
Non-Operating Revenues and Expenses				
Income before Capital Contributions				
<b>Statement of Revenues, Expenses, and Changes in Net Position with Budget Comparisons</b>	<b>Total</b>	<b>Water</b>	<b>Sewer</b>	<b>Drainage</b>
Revenues				
Operating Expenses				
Non-Operating Revenues and Expenses				
Income before Capital Contributions				
<b>Statement of Net Position with Prior Year Comparisons</b>	<b>Total</b>	<b>Water</b>	<b>Sewer</b>	<b>Drainage</b>
Plant, Property, and Equipment				
Restricted Current Assets				
Unrestricted Designated Current Assets				
Unrestricted Undesignated Current Assets				
Net Position				
Long-Term Liabilities				
Current Liabilities from Unrestricted Assets				
Current Liabilities from Restricted Assets				
<b>Statement of Cash Flows with Prior Year Comparisons</b>	<b>Total</b>	<b>Water</b>	<b>Sewer</b>	<b>Drainage</b>
Operating Activities				
Non-Capital Financing Activities				
Capital and Related Financing Activities				
Investing Activities				
Net Increase in Cash				

Green = Favorable Variance

Yellow = Unfavorable Variance / No Action Recommended

Red = Unfavorable Variance / Action Recommended

## Sewerage and Water Board of New Orleans Total System Monthly Cash Collected

**EUM Attribute: Financial Viability**

**Description:** Establishes and maintains an effective balance between long-term debt, assets values, operations and maintenance expenditures, and operating revenues.

**Constituency: Suppliers and Bondholders**

**Objective:** Provide adequate cash to pay invoices on a timely basis

**Goal:** Cash balance of at least 180 days of O&N expenses

**Currently Meeting Goal: Yes**

**Process Operating Within Control Limits: Yes**

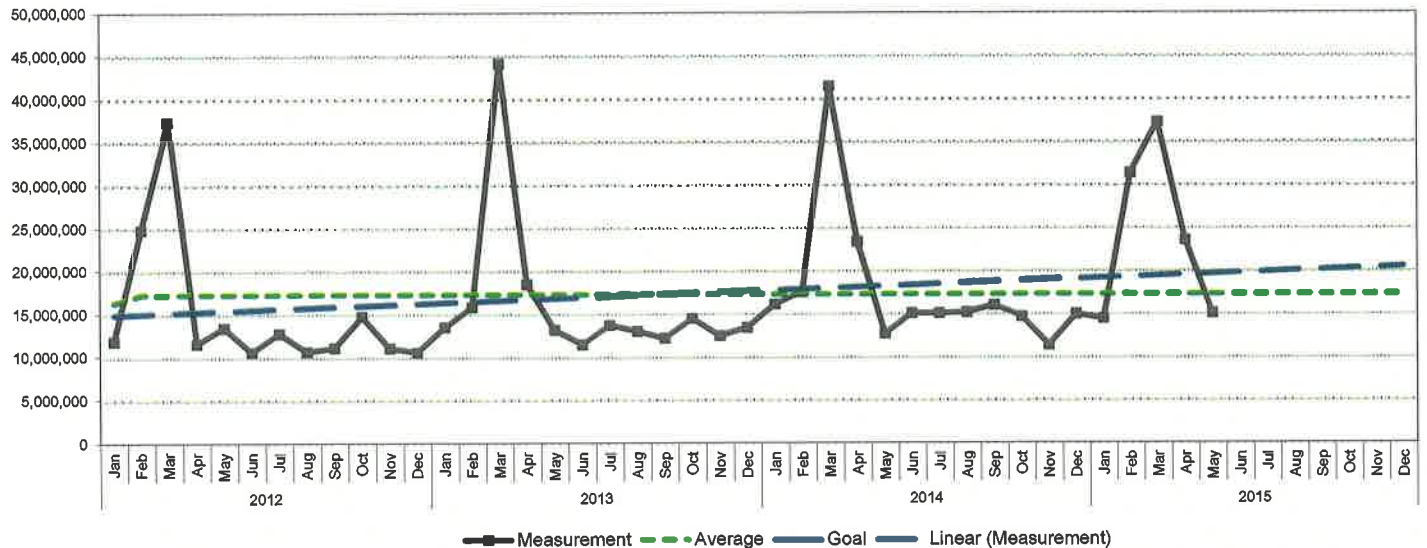
**Trend: Favorable**

### Analysis

Monthly cash balances have remained stable except for repayment of previous inter-system loans. Note: Reclassification of certain current assets from restricted to unrestricted in October 2013 resulted in higher unrestricted balances.

### Plans for Improvement

Utilize revenues generated from operations to increase cash balances according to 2011-2020 Financial Plan.



	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
2012	11,812,159	24,827,777	37,355,243	11,536,915	13,386,856	10,547,836	12,715,327	10,619,014	11,059,232	14,698,646	11,023,535	10,520,846
2013	13,482,046	15,785,759	44,155,818	18,444,456	13,151,303	11,410,296	13,674,835	12,955,548	12,141,758	14,424,617	12,438,197	13,392,438
2014	16,085,296	17,542,192	41,489,884	23,375,923	12,664,468	15,042,339	15,022,466	15,128,760	15,972,966	14,653,676	11,341,808	14,936,601
2015	14,413,267	31,371,670	37,307,463	23,533,675	15,038,139							



# Sewerage and Water Board of New Orleans

## Water System Monthly Cash Collected

**EUM Attribute:** Financial Viability

**Description:** Establishes and maintains an effective balance between long-term debt, assets values, operations and maintenance expenditures, and operating revenues.

**Constituency:** Suppliers and Bondholders

**Objective:** Provide adequate cash to pay invoices on a timely basis

**Goal:** Cash balance of at least 180 days of O&M expenses

**Currently Meeting Goal:** Yes

**Process Operating Within Control Limits:** Yes

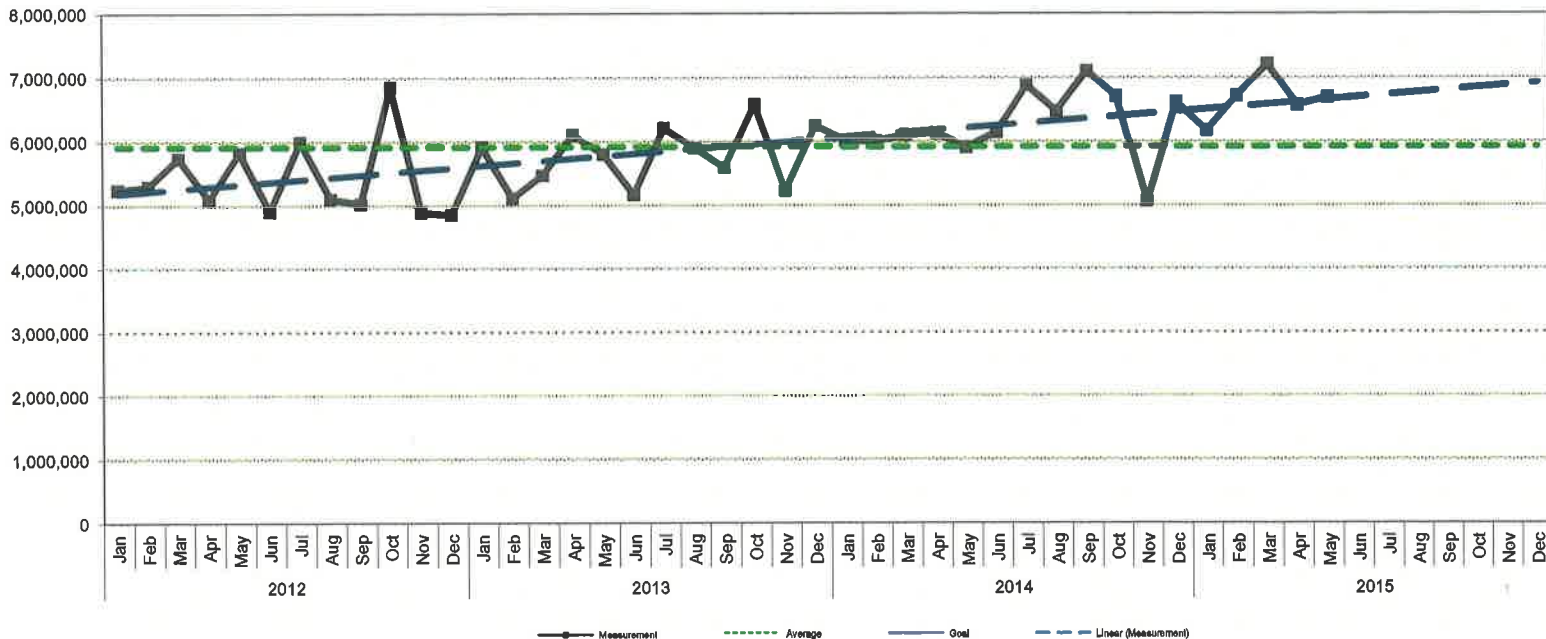
**Trend:** Favorable

### Analysis

Monthly cash balances have remained stable except for repayment of previous inter-system loans.

### Plans for Improvement

Utilize revenues generated from operations to increase cash balances according to 2011-2020 Financial Plan.



**Data Table**

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
2012	5,244,143	5,299,763	5,739,730	5,092,115	5,825,173	4,906,670	5,996,136	5,102,256	5,029,504	6,858,299	4,894,473	4,859,317
2013	5,918,407	5,115,283	5,475,353	6,102,103	5,802,064	5,169,949	6,218,190	5,901,376	5,590,642	6,584,878	5,229,820	6,237,418
2014	6,009,331	6,006,172	6,094,900	6,126,359	5,908,706	6,145,597	6,879,508	6,477,664	7,100,125	6,704,023	5,065,487	6,613,321
2015	6,180,055	6,705,914	7,209,470	6,567,955	6,686,549							

# Sewerage and Water Board of New Orleans

## Sewer System Monthly Cash Collected

**EUM Attribute: Financial Viability**

**Description:** Establishes and maintains an effective balance between long-term debt, assets values, operations and maintenance expenditures, and operating revenues.

**Constituency: Suppliers and Bondholders**

**Objective:** Provide adequate cash to pay invoices on a timely basis

**Goal:** Cash balance of at least 180 days of O&M expenses

**Currently Meeting Goal: Yes**

**Process Operating Within Control Limits: Yes**

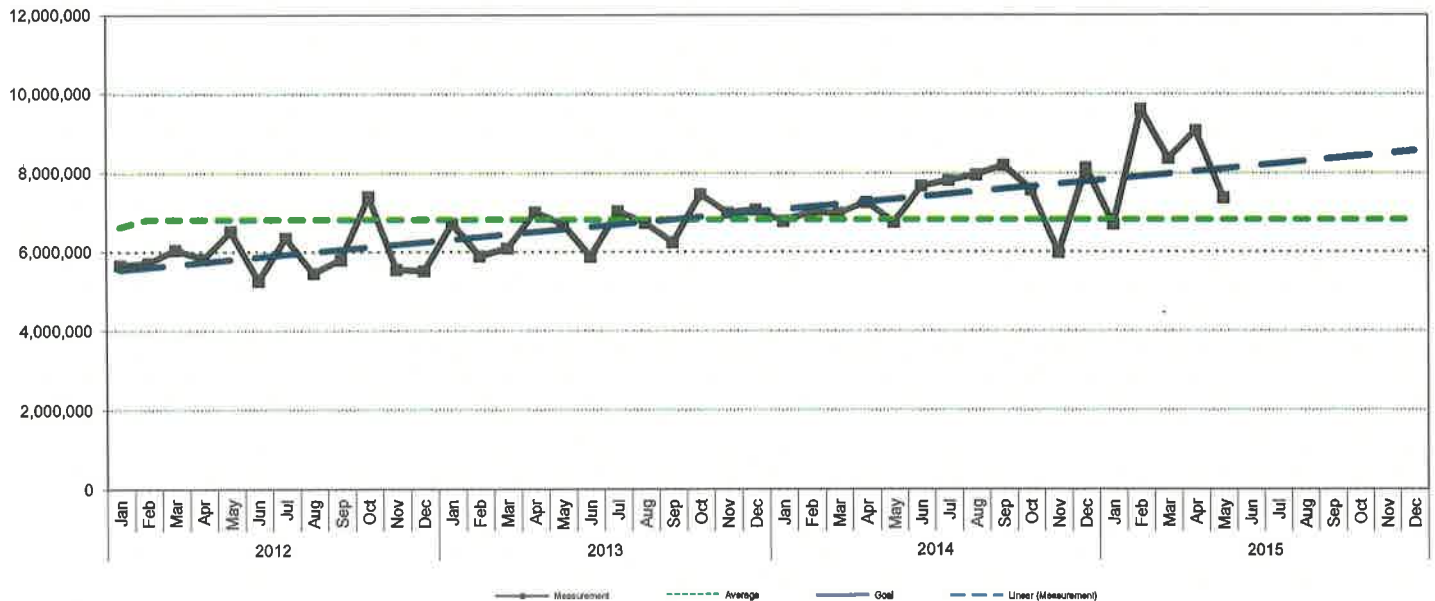
**Trend: Favorable**

### Analysis

Monthly cash balances have remained stable except for repayment of previous inter-system loans.

### Plans for Improvement

Utilize revenues generated from operations to increase cash balances according to 2011-2020 Financial Plan.



**Data Table**

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
2012	5,661,289	5,714,272	6,051,080	5,823,400	6,516,639	5,262,179	6,346,753	5,452,971	5,798,998	7,399,002	5,547,113	5,519,667
2013	5,892,677	6,090,786	7,003,459	6,700,595	5,878,959	7,029,026	6,732,312	6,237,598	7,456,610	6,987,229	7,061,236	6,775,818
2014	6,775,818	7,005,852	6,974,564	7,253,474	6,748,962	7,663,974	7,808,829	7,950,275	8,188,493	7,570,455	5,981,296	8,130,621
2015	6,701,128	9,611,004	8,368,273	9,070,202	7,366,041							



# Sewerage and Water Board of New Orleans Drainage System Monthly Cash Collected

**EUM Attribute: Financial Viability**

**Description:** Establishes and maintains an effective balance between long-term debt, assets values, operations and maintenance expenditures, and operating revenues.

**Constituency: Suppliers and Bondholders**

**Objective:** Provide adequate cash to pay invoices on a timely basis

**Goal:** Cash balance of at least 180 days of O&M expenses

**Currently Meeting Goal:**  
Yes

**Process Operating Within Control Limits:** Yes

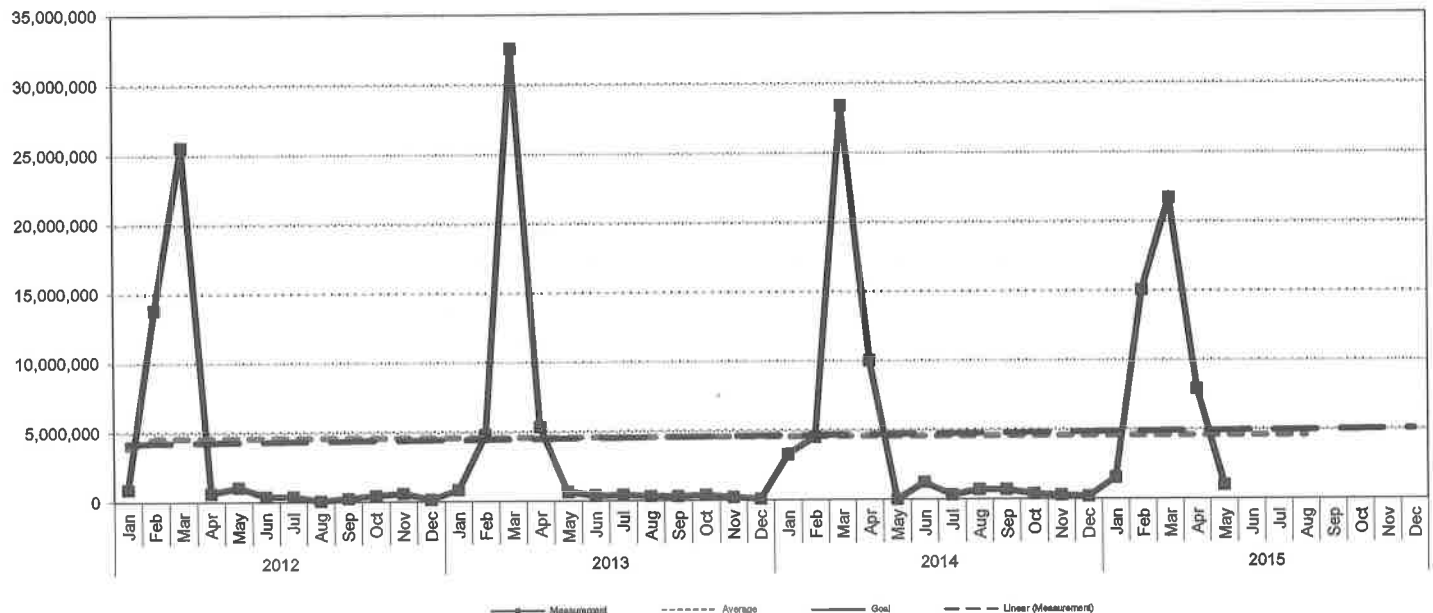
**Trend:** Level

## Analysis

Monthly cash balances typically increase during the first quarter from property tax collections and then decrease for the remainder of the year. The long-term goal will not be met until a new revenue stream for the drainage system is created and implemented.

## Plans for Improvement

Implement contemplated new drainage service charge to increase revenues generated from operations and cash balances according to 2011-2020 Financial Plan.



**Data Table**

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
2012	906,727	13,813,742	25,564,433	621,400	1,045,044	378,986	372,439	63,788	230,730	441,345	581,949	141,861
2013	848,399	4,777,799	32,589,679	5,338,894	648,645	361,388	427,620	321,860	313,518	383,129	221,148	93,784
2014	3,300,147	4,530,168	28,420,420	9,996,090	6,800	1,232,767	334,130	700,821	695,982	379,198	295,025	192,659
2015	1,532,084	15,054,752	21,729,720	7,895,517	985,548							

SEWERAGE AND WATER BOARD OF NEW ORLEANS  
ALL SYSTEM FUNDS  
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION  
WITH PRIOR YEAR COMPARISONS  
MAY 2015

	A	B	C	D	E	F	G	H
	MTD Actual	MTD Prior Year	MTD Variance	%	YTD Actual	YTD Prior Year	YTD Variance	%
<b>Operating revenues:</b>								
1 Sales of water and delinquent fees	6,303,401	5,701,933	601,468	10.5%	30,592,555	27,289,052	3,303,503	12.1%
2 Sewerage service charges and del fees	7,768,624	7,022,320	746,304	10.6%	38,148,393	34,042,440	4,105,953	12.1%
3 Plumbing inspection and license fees	44,850	58,840	(13,990)	-23.8%	247,508	274,226	(26,718)	-9.7%
4 Other revenues	302,742	395,307	(92,565)	-23.4%	1,463,684	1,559,353	(95,669)	-6.1%
5 Total operating revenues	14,419,617	13,178,400	1,241,217	9.4%	70,452,140	63,165,071	7,287,069	11.5%
<b>Operating Expenses:</b>								
6 Power and pumping	867,174	815,828	51,346	6.3%	4,172,825	4,240,116	(67,291)	-1.6%
7 Treatment	1,442,628	1,404,480	38,148	2.7%	7,055,171	7,559,609	(504,438)	-6.7%
8 Transmission and distribution	2,437,254	3,202,191	(764,937)	-23.9%	8,941,831	9,228,846	(287,015)	-3.1%
9 Customer accounts	412,308	240,749	171,559	71.3%	1,490,471	1,332,781	157,690	11.8%
10 Customer service	439,043	239,589	199,454	83.2%	1,557,921	1,371,313	186,608	13.6%
11 Administration and general	2,975,357	1,017,669	1,957,688	192.4%	9,582,021	8,924,238	657,783	7.4%
12 Payroll related	2,549,501	3,300,481	(750,980)	-22.8%	8,760,359	13,533,858	(4,773,499)	-35.3%
13 Maintenance of general plant	1,239,542	1,940,904	(701,362)	-36.1%	4,877,164	9,501,995	(4,624,831)	-48.7%
14 Depreciation	3,540,765	3,074,342	466,423	15.2%	17,697,826	14,355,258	3,342,568	23.3%
15 Amortization	-	-	-	0.0%	-	-	-	0.0%
16 Provision for doubtful accounts	185,763	165,235	20,528	12.4%	928,813	826,175	102,638	12.4%
17 Provision for claims	50,215	82,689	(32,474)	-39.3%	357,369	426,464	(69,095)	-16.2%
18 Total operating expenses	16,139,550	15,484,157	655,393	4.2%	65,421,771	71,300,653	(5,878,882)	-8.2%
19 Operating income (loss)	(1,719,933)	(2,305,757)	585,824	-25.4%	5,030,369	(8,135,582)	13,165,951	-161.8%
<b>Non-operating revenues (expense):</b>								
20 Two-mill tax	58	-	58	0.0%	367,221	-	367,221	0.0%
21 Three-mill tax	271,027	2,834,590	(2,563,563)	-90.4%	13,255,424	12,572,555	682,869	5.4%
22 Six-mill tax	273,929	2,864,989	(2,591,060)	-90.4%	13,578,460	12,707,273	871,187	6.9%
23 Nine-mill tax	410,615	4,294,481	(3,883,866)	-90.4%	19,522,723	19,047,711	475,012	2.5%
24 Interest income	1,045,922	5,001	1,040,921	20814.3%	848,919	34,690	814,229	2347.2%
25 Other Income	-	-	-	0.0%	-	94,598	(94,598)	-100.0%
26 Interest expense	-	-	-	0.0%	-	-	-	0.0%
27 Operating and maintenance grants	-	556	(556)	-100.0%	70	602	(532)	-88.4%
28 Total non-operating revenues	2,001,551	9,999,617	(7,998,066)	-80.0%	47,572,817	44,457,429	3,115,388	7.0%
29 Income before capital contributions	281,618	7,693,860	(7,412,242)	-96.3%	52,603,186	36,321,847	16,281,339	44.8%
30 Capital contributions	234,241	5,013,194	(4,778,953)	-95.3%	2,402,922	15,917,299	(13,514,377)	-84.9%
31 Change in net position	515,859	12,707,054	(12,191,195)	-95.9%	55,006,108	52,239,146	2,766,962	5.3%
32 Net position, beginning of year					1,816,132,598	1,664,001,594	152,131,004	9.1%
33 Net position, end of year					1,871,138,706	1,716,240,740	154,897,966	9.0%

SEWERAGE AND WATER BOARD OF NEW ORLEANS  
ALL SYSTEM FUNDS  
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION  
WITH BUDGET COMPARISONS  
MAY 2015

	A	B	C	D	E	F	G	H
	MTD Actual	MTD Budget	MTD Variance	%	YTD Actual	YTD Budget	YTD Variance	%
<b>Operating revenues:</b>								
1 Sales of water and delinquent fees	6,303,401	6,609,060	(305,659)	-4.6%	30,592,555	33,045,299	(2,452,744)	-7.4%
2 Sewerage service charges and del fees	7,768,624	8,070,794	(302,170)	-3.7%	38,148,393	40,353,970	(2,205,577)	-5.5%
3 Plumbing inspection and license fees	44,850	53,586	(8,736)	-16.3%	247,508	267,931	(20,423)	-7.6%
4 Other revenues	302,742	293,454	9,288	3.2%	1,463,684	1,467,270	(3,585)	-0.2%
5 Total operating revenues	14,419,617	15,026,894	(607,277)	-4.0%	70,452,140	75,134,469	(4,682,329)	-6.2%
<b>Operating Expenses:</b>								
6 Power and pumping	867,174	1,326,684	(459,510)	-34.6%	4,172,825	6,633,420	(2,460,595)	-37.1%
7 Treatment	1,442,628	1,816,272	(373,644)	-20.6%	7,055,171	9,081,360	(2,026,189)	-22.3%
8 Transmission and distribution	2,437,254	3,252,100	(814,846)	-25.1%	8,941,831	16,260,502	(7,318,671)	-45.0%
9 Customer accounts	412,308	419,094	(6,786)	-1.6%	1,490,471	2,095,469	(604,998)	-28.9%
10 Customer service	439,043	507,943	(68,900)	-13.6%	1,557,921	2,539,715	(981,794)	-38.7%
11 Administration and general	2,975,357	3,685,267	(709,910)	-19.3%	9,582,021	18,426,334	(8,844,313)	-48.0%
12 Payroll related	2,549,501	711,201	1,838,300	258.5%	8,760,359	3,556,004	5,204,355	146.4%
13 Maintenance of general plant	1,239,542	2,942,145	(1,702,603)	-57.9%	4,877,164	14,710,723	(9,833,559)	-66.8%
14 Depreciation	3,540,765	3,885,847	(345,081)	-8.9%	17,697,826	19,429,234	(1,731,409)	-8.9%
15 Amortization	-	-	-	0.0%	-	-	-	0.0%
16 Provision for doubtful accounts	185,763	-	185,763	0.0%	928,813	-	928,813	0.0%
17 Provision for claims	50,215	223,038	(172,823)	-77.5%	357,369	1,115,188	(757,819)	-68.0%
18 Total operating expenses	16,139,550	18,769,590	(2,630,040)	-14.0%	65,421,771	93,847,948	(28,426,177)	-30.3%
19 Operating income (loss)	(1,719,933)	(3,742,696)	2,022,763	-54.0%	5,030,369	(18,713,478)	23,743,848	-126.9%
<b>Non-operating revenues (expense):</b>								
20 Two-mill tax	58	-	58	0.0%	367,221	-	367,221	0.0%
21 Three-mill tax	271,027	-	271,027	0.0%	13,255,424	13,357,609	(102,185)	-0.8%
22 Six-mill tax	273,929	-	273,929	0.0%	13,578,460	13,500,746	77,714	0.6%
23 Nine-mill tax	410,615	-	410,615	0.0%	19,522,723	20,364,000	(841,277)	-4.1%
24 Interest income	1,045,922	-	1,045,922	0.0%	848,919	-	848,919	0.0%
25 Other Income	-	131,095	(131,095)	-100.0%	-	655,475	(655,475)	-100.0%
26 Interest expense	-	(261,777)	261,777	-100.0%	-	(1,308,885)	1,308,885	-100.0%
27 Operating and maintenance grants	-	-	-	0.0%	70	-	70	0.0%
28 Total non-operating revenues	2,001,551	(130,682)	2,132,233	-1631.6%	47,572,817	46,568,945	1,003,872	2.2%
29 Income before capital contributions	281,618	(3,873,378)	4,154,996	-107.3%	52,603,186	27,855,467	24,747,719	88.8%
30 Capital contributions	234,241	-	234,241	0.0%	2,402,922	-	2,402,922	0.0%
31 Change in net position	515,859	(3,873,378)	4,389,237	-113.3%	55,006,108	27,855,467	27,150,641	97.5%
32 Net position, beginning of year	-	-	-	-	1,816,132,598	1,664,001,594	152,131,004	9.1%
33 Net position, end of year	-	-	-	-	1,871,138,706	1,687,057,812	184,080,894	10.9%

SEWERAGE AND WATER BOARD OF NEW ORLEANS  
WATER SYSTEM FUND  
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION  
WITH PRIOR YEAR COMPARISONS  
MAY 2015

	A	B	C	D	E	F	G	H
	MTD Actual	MTD Prior Year	MTD Variance	%	YTD Actual	YTD Prior Year	YTD Variance	%
<b>Operating revenues:</b>								
1 Sales of water and delinquent fees	6,303,401	5,701,933	601,468	10.5%	30,592,555	27,289,052	3,303,503	12.1%
2 Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%
3 Plumbing inspection and license fees	22,425	29,420	(6,995)	-23.8%	123,754	137,113	(13,359)	-9.7%
4 Other revenues	298,100	325,801	(27,701)	-8.5%	1,363,163	1,264,182	98,981	7.8%
5 Total operating revenues	6,623,926	6,057,154	566,772	9.4%	32,079,472	28,690,347	3,389,125	11.8%
<b>Operating Expenses:</b>								
6 Power and pumping	152,846	222,959	(70,113)	-31.4%	1,106,039	1,134,456	(28,417)	-2.5%
7 Treatment	549,722	613,407	(63,685)	-10.4%	2,650,307	3,205,102	(554,795)	-17.3%
8 Transmission and distribution	1,172,803	2,292,817	(1,120,014)	-48.8%	4,865,574	6,089,910	(1,224,336)	-20.1%
9 Customer accounts	205,960	120,096	85,864	71.5%	744,044	665,288	78,756	11.8%
10 Customer service	211,377	112,692	98,685	87.6%	747,831	662,109	85,722	12.9%
11 Administration and general	1,078,363	78,383	999,980	1275.8%	3,530,937	3,272,773	258,164	7.9%
12 Payroll related	1,171,018	1,662,837	(491,819)	-29.6%	4,061,745	6,775,630	(2,713,885)	-40.1%
13 Maintenance of general plant	591,777	1,275,128	(683,351)	-53.6%	2,368,227	5,120,848	(2,752,621)	-53.8%
14 Depreciation	1,246,023	1,046,508	199,515	19.1%	6,230,116	5,232,540	997,576	19.1%
15 Amortization	-	-	-	0.0%	-	-	-	0.0%
16 Provision for doubtful accounts	103,201	117,022	(13,821)	-11.8%	516,004	585,110	(69,106)	-11.8%
17 Provision for claims	23,456	34,617	(11,161)	-32.2%	134,115	203,760	(69,645)	-34.2%
18 Total operating expenses	6,506,546	7,576,466	(1,069,920)	-14.1%	26,954,939	32,947,526	(5,992,587)	-18.2%
19 Operating income (loss)	117,380	(1,519,312)	1,636,692	-107.7%	5,124,533	(4,257,179)	9,381,712	-220.4%
<b>Non-operating revenues (expense):</b>								
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%
24 Interest income	512,766	2,927	509,839	17418.5%	513,009	12,593	500,416	3973.8%
25 Other Income	-	-	-	0.0%	-	42,096	(42,096)	-100.0%
26 Interest expense	-	-	-	0.0%	-	-	-	0.0%
27 Operating and maintenance grants	-	533	(533)	-100.0%	70	556	(486)	-87.4%
28 Total non-operating revenues	512,766	3,460	509,306	14719.8%	513,079	55,245	457,834	828.7%
29 Income before capital contributions	630,146	(1,515,852)	2,145,998	-141.6%	5,637,612	(4,201,934)	9,839,546	-234.2%
30 Capital contributions	198,340	852,227	(653,887)	-76.7%	514,256	7,843,888	(7,329,632)	-93.4%
31 Change in net position	828,486	(663,625)	1,492,111	-224.8%	6,151,868	3,641,954	2,509,914	68.9%
32 Net position, beginning of year					313,268,717	256,171,548	57,097,169	22.3%
33 Net position, end of year					319,420,585	259,813,502	59,607,083	22.9%

SEWERAGE AND WATER BOARD OF NEW ORLEANS  
WATER SYSTEM FUND  
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION  
WITH BUDGET COMPARISONS  
MAY 2015

	A	B	C	D	E	F	G	H
	MTD Actual	MTD Budget	MTD Variance	%	YTD Actual	YTD Budget	YTD Variance	%
Operating revenues:								
1 Sales of water and delinquent fees	6,303,401	6,609,060	(305,659)	-4.6%	30,592,555	33,045,299	(2,452,744)	-7.4%
2 Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%
3 Plumbing inspection and license fees	22,425	26,793	(4,368)	-16.3%	123,754	133,965	(10,211)	-7.6%
4 Other revenues	298,100	269,375	28,725	10.7%	1,363,163	1,346,876	16,287	1.2%
5 Total operating revenues	6,623,926	6,905,228	(281,302)	-4.1%	32,079,472	34,526,141	(2,446,669)	-7.1%
Operating Expenses:								
6 Power and pumping	152,846	295,132	(142,286)	-48.2%	1,106,039	1,475,659	(369,619)	-25.0%
7 Treatment	549,722	835,875	(286,153)	-34.2%	2,650,307	4,179,375	(1,529,068)	-36.6%
8 Transmission and distribution	1,172,803	1,765,513	(592,710)	-33.6%	4,865,574	8,827,565	(3,961,991)	-44.9%
9 Customer accounts	205,960	209,132	(3,172)	-1.5%	744,044	1,045,658	(301,614)	-28.8%
10 Customer service	211,377	247,292	(35,915)	-14.5%	747,831	1,236,460	(488,629)	-39.5%
11 Administration and general	1,078,363	1,290,298	(211,935)	-16.4%	3,530,937	6,451,491	(2,920,554)	-45.3%
12 Payroll related	1,171,018	339,522	831,496	244.9%	4,061,745	1,697,612	2,364,133	139.3%
13 Maintenance of general plant	591,777	1,203,603	(611,826)	-50.8%	2,368,227	6,018,013	(3,649,786)	-60.6%
14 Depreciation	1,246,023	1,537,917	(291,894)	-19.0%	6,230,116	7,689,583	(1,459,468)	-19.0%
15 Amortization	-	-	-	0.0%	-	-	-	0.0%
16 Provision for doubtful accounts	103,201	-	103,201	0.0%	516,004	-	516,004	0.0%
17 Provision for claims	23,456	92,574	(69,118)	-74.7%	134,115	462,870	(328,755)	-71.0%
18 Total operating expenses	6,506,546	7,816,857	(1,310,311)	-16.8%	26,954,939	39,084,284	(12,129,345)	-31.0%
19 Operating income (loss)	117,380	(911,629)	1,029,009	-112.9%	5,124,533	(4,558,143)	9,682,676	-212.4%
Non-operating revenues (expense):								
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%
24 Interest income	512,766	-	512,766	0.0%	513,009	-	513,009	0.0%
25 Other Income	-	33,375	(33,375)	-100.0%	-	166,875	(166,875)	-100.0%
26 Interest expense	-	(22,384)	22,384	-100.0%	-	(111,920)	111,920	-100.0%
27 Operating and maintenance grants	-	-	-	0.0%	70	-	70	0.0%
28 Total non-operating revenues	512,766	10,991	501,775	4565.3%	513,079	54,956	458,123	833.6%
29 Income before capital contributions	630,146	(900,638)	1,530,783	-170.0%	5,637,612	(4,503,188)	10,140,799	-225.2%
30 Capital contributions	198,340	-	198,340	0.0%	514,256	-	514,256	0.0%
31 Change in net position	828,486	(900,638)	1,729,123	-192.0%	6,151,868	-	-	-
32 Net position, beginning of year					313,268,717	256,171,548	57,097,169	22.3%
33 Net position, end of year					319,420,585	256,171,548	63,249,037	24.7%

SEWERAGE AND WATER BOARD OF NEW ORLEANS  
SEWER SYSTEM FUND  
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION  
WITH PRIOR YEAR COMPARISONS  
MAY 2015

	A	B	C	D	E	F	G	H
	MTD	MTD	MTD	%	YTD	YTD	YTD	%
	Actual	Prior Year	Variance		Actual	Prior Year	Variance	
Operating revenues:								
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%
2 Sewerage service charges and del fees	7,768,624	7,022,320	746,304	10.6%	38,148,393	34,042,440	4,105,953	12.1%
3 Plumbing inspection and license fees	22,425	29,420	(6,995)	-23.8%	123,754	137,113	(13,359)	-9.7%
4 Other revenues	3,582	42,447	(38,865)	-91.6%	93,883	240,903	(147,020)	-61.0%
5 Total operating revenues	7,794,631	7,094,187	700,444	9.9%	38,366,030	34,420,456	3,945,574	11.5%
Operating Expenses:								
6 Power and pumping	125,514	232,077	(106,563)	-45.9%	615,607	1,049,021	(433,414)	-41.3%
7 Treatment	888,427	788,392	100,035	12.7%	4,385,915	4,320,136	65,779	1.5%
8 Transmission and distribution	1,182,712	856,041	326,671	38.2%	3,751,800	2,915,811	835,989	28.7%
9 Customer accounts	205,960	120,096	85,864	71.5%	744,043	665,287	78,756	11.8%
10 Customer service	213,637	114,816	98,821	86.1%	756,470	670,986	85,484	12.7%
11 Administration and general	1,022,591	48,808	973,783	1995.1%	3,330,506	3,105,337	225,169	7.3%
12 Payroll related	801,430	1,191,459	(390,029)	-32.7%	2,823,037	4,964,373	(2,141,336)	-43.1%
13 Maintenance of general plant	218,011	167,686	50,325	30.0%	890,277	2,129,077	(1,238,800)	-58.2%
14 Depreciation	1,136,146	1,011,382	124,764	12.3%	5,680,730	5,056,910	623,820	12.3%
15 Amortization	-	-	-	0.0%	-	-	-	0.0%
16 Provision for doubtful accounts	82,562	48,213	34,349	71.2%	412,809	241,065	171,744	71.2%
17 Provision for claims	14,939	22,048	(7,109)	-32.2%	111,351	129,712	(18,361)	-14.2%
18 Total operating expenses	5,891,929	4,601,018	1,290,911	28.1%	23,502,545	25,247,715	(1,745,170)	-6.9%
19 Operating income (loss)	1,902,702	2,493,169	(590,467)	-23.7%	14,863,485	9,172,741	5,690,744	62.0%
Non-operating revenues (expense):								
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%
24 Interest income	315,456	-	315,456	0.0%	335,910	4,988	330,922	6634.4%
25 Other Income	-	-	-	0.0%	-	52,502	(52,502)	-100.0%
26 Interest expense	-	-	-	0.0%	-	-	-	0.0%
27 Operating and maintenance grants	-	-	-	0.0%	-	23	(23)	-100.0%
28 Total non-operating revenues	315,456	-	315,456	0.0%	335,910	57,513	278,397	484.1%
29 Income before capital contributions	2,218,158	2,493,169	(275,011)	-11.0%	15,199,395	9,230,254	5,969,141	64.7%
30 Capital contributions	34,401	791,468	(757,067)	-95.7%	1,884,322	2,426,126	(541,804)	-22.3%
31 Change in net position	2,252,559	3,284,637	(1,032,078)	-31.4%	17,083,717	11,656,380	5,427,337	46.6%
32 Net position, beginning of year					666,666,891	610,781,239	55,885,652	9.1%
33 Net position, end of year					683,750,608	622,437,619	61,312,989	9.9%

SEWERAGE AND WATER BOARD OF NEW ORLEANS  
SEWER SYSTEM FUND  
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION  
WITH BUDGET COMPARISONS  
MAY 2015

	A	B	C	D	E	F	G	H
	MTD Actual	MTD Budget	MTD Variance	%	YTD Actual	YTD Budget	YTD Variance	%
<b>Operating revenues:</b>								
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%
2 Sewerage service charges and del fees	7,768,624	8,070,794	(302,170)	-3.7%	38,148,393	40,353,970	(2,205,577)	-5.5%
3 Plumbing inspection and license fees	22,425	26,793	(4,368)	-16.3%	123,754	133,965	(10,211)	-7.6%
4 Other revenues	3,582	24,079	(20,497)	-85.1%	93,883	120,393	(26,510)	-22.0%
5 Total operating revenues	7,794,631	8,121,666	(327,035)	-4.0%	38,366,030	40,608,328	(2,242,298)	-5.5%
<b>Operating Expenses:</b>								
6 Power and pumping	125,514	254,037	(128,523)	-50.6%	615,607	1,270,185	(654,578)	-51.5%
7 Treatment	888,427	966,139	(77,712)	-8.0%	4,385,915	4,830,695	(444,780)	-9.2%
8 Transmission and distribution	1,182,712	1,402,613	(219,901)	-15.7%	3,751,800	7,013,063	(3,261,263)	-46.5%
9 Customer accounts	205,960	209,131	(3,171)	-1.5%	744,043	1,045,655	(301,612)	-28.8%
10 Customer service	213,637	249,895	(36,258)	-14.5%	756,470	1,249,475	(493,005)	-39.5%
11 Administration and general	1,022,591	1,344,402	(321,811)	-23.9%	3,330,506	6,722,009	(3,391,503)	-50.5%
12 Payroll related	801,430	185,735	615,695	331.5%	2,823,037	928,676	1,894,361	204.0%
13 Maintenance of general plant	218,011	288,793	(70,782)	-24.5%	890,277	1,443,965	(553,688)	-38.3%
14 Depreciation	1,136,146	1,121,055	15,091	1.3%	5,680,730	5,605,276	75,454	1.3%
15 Amortization	-	-	-	0.0%	-	-	-	0.0%
16 Provision for doubtful accounts	82,562	-	82,562	0.0%	412,809	-	412,809	0.0%
17 Provision for claims	14,939	60,493	(45,554)	-75.3%	111,351	302,466	(191,115)	-63.2%
18 Total operating expenses	5,891,929	6,082,293	(190,364)	-3.1%	23,502,545	30,411,466	(6,908,921)	-22.7%
19 Operating income (loss)	1,902,702	2,039,372	(136,670)	-6.7%	14,863,485	10,196,862	4,666,623	45.8%
<b>Non-operating revenues (expense):</b>								
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%
24 Interest income	315,456	-	315,456	0.0%	335,910	-	335,910	0.0%
25 Other Income	-	39,245	(39,245)	-100.0%	-	196,227	(196,227)	-100.0%
26 Interest expense	-	(218,131)	218,131	-100.0%	-	(1,090,653)	1,090,653	-100.0%
27 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%
28 Total non-operating revenues	315,456	(178,885)	494,341	-276.3%	335,910	(894,426)	1,230,336	-137.6%
29 Income before capital contributions	2,218,158	1,860,487	357,671	19.2%	15,199,395	9,302,436	5,896,959	63.4%
30 Capital contributions	34,401	-	34,401	0.0%	1,884,322	-	1,884,322	0.0%
31 Change in net position	2,252,559	1,860,487	392,072	21.1%	17,083,717	-	-	-
32 Net position, beginning of year	-	-	-	-	666,666,891	610,781,239	55,885,652	9.1%
33 Net position, end of year	-	-	-	-	683,750,608	610,781,239	72,969,369	11.9%

SEWERAGE AND WATER BOARD OF NEW ORLEANS  
SEWER SYSTEM FUND  
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION  
WITH BUDGET COMPARISONS  
MAY 2015

	A	B	C	D	E	F	G	H
	MTD Actual	MTD Budget	MTD Variance	%	YTD Actual	YTD Budget	YTD Variance	%
<b>Operating revenues:</b>								
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%
2 Sewerage service charges and del fees	7,768,624	8,070,794	(302,170)	-3.7%	38,148,393	40,353,970	(2,205,577)	-5.5%
3 Plumbing inspection and license fees	22,425	26,793	(4,368)	-16.3%	123,754	133,965	(10,211)	-7.6%
4 Other revenues	3,582	24,079	(20,497)	-85.1%	93,883	120,393	(26,510)	-22.0%
5 Total operating revenues	7,794,631	8,121,666	(327,035)	-4.0%	38,366,030	40,608,328	(2,242,298)	-5.5%
<b>Operating Expenses:</b>								
6 Power and pumping	125,514	254,037	(128,523)	-50.6%	615,607	1,270,185	(654,578)	-51.5%
7 Treatment	888,427	966,139	(77,712)	-8.0%	4,385,915	4,830,695	(444,780)	-9.2%
8 Transmission and distribution	1,182,712	1,402,613	(219,901)	-15.7%	3,751,800	7,013,063	(3,261,263)	-46.5%
9 Customer accounts	205,960	209,131	(3,171)	-1.5%	744,043	1,045,655	(301,612)	-28.8%
10 Customer service	213,637	249,895	(36,258)	-14.5%	756,470	1,249,475	(493,005)	-39.5%
11 Administration and general	1,022,591	1,344,402	(321,811)	-23.9%	3,330,506	6,722,009	(3,391,503)	-50.5%
12 Payroll related	801,430	185,735	615,695	331.5%	2,823,037	928,676	1,894,361	204.0%
13 Maintenance of general plant	218,011	288,793	(70,782)	-24.5%	890,277	1,443,965	(553,688)	-38.3%
14 Depreciation	1,136,146	1,121,055	15,091	1.3%	5,680,730	5,605,276	75,454	1.3%
15 Amortization	-	-	-	0.0%	-	-	-	0.0%
16 Provision for doubtful accounts	82,562	-	82,562	0.0%	412,809	-	412,809	0.0%
17 Provision for claims	14,939	60,493	(45,554)	-75.3%	111,351	302,466	(191,115)	-63.2%
18 Total operating expenses	5,891,929	6,082,293	(190,364)	-3.1%	23,502,545	30,411,466	(6,908,921)	-22.7%
19 Operating income (loss)	1,902,702	2,039,372	(136,670)	-6.7%	14,863,485	10,196,862	4,666,623	45.8%
<b>Non-operating revenues (expense):</b>								
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%
24 Interest income	315,456	-	315,456	0.0%	335,910	-	335,910	0.0%
25 Other Income	-	39,245	(39,245)	-100.0%	-	196,227	(196,227)	-100.0%
26 Interest expense	-	(218,131)	218,131	-100.0%	-	(1,090,653)	1,090,653	-100.0%
27 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%
28 Total non-operating revenues	315,456	(178,885)	494,341	-276.3%	335,910	(894,426)	1,230,336	-137.6%
29 Income before capital contributions	2,218,158	1,860,487	357,671	19.2%	15,199,395	9,302,436	5,896,959	63.4%
30 Capital contributions	34,401	-	34,401	0.0%	1,884,322	-	1,884,322	0.0%
31 Change in net position	2,252,559	1,860,487	392,072	21.1%	17,083,717	-	-	-
32 Net position, beginning of year	-	-	-	-	666,666,891	610,781,239	55,885,652	9.1%
33 Net position, end of year	-	-	-	-	683,750,608	610,781,239	72,969,369	11.9%



**SEWERAGE AND WATER BOARD OF NEW ORLEANS**  
**DRAINAGE SYSTEM FUND**  
**STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION**  
**WITH PRIOR YEAR COMPARISONS**  
**MAY 2015**

	A	B	C	D	E	F	G	H
	MTD	MTD	MTD		YTD	YTD	YTD	
	Actual	Prior Year	Variance	%	Actual	Prior Year	Variance	%
<b>Operating revenues:</b>								
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%
2 Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%
3 Plumbing inspection and license fees	-	-	-	0.0%	-	-	-	0.0%
4 Other revenues	1,060	27,059	(25,999)	-96.1%	6,638	54,268	(47,630)	-87.8%
5 Total operating revenues	1,060	27,059	(25,999)	-96.1%	6,638	54,268	(47,630)	-87.8%
<b>Operating Expenses:</b>								
6 Power and pumping	588,814	360,792	228,022	63.2%	2,451,178	2,056,639	394,539	19.2%
7 Treatment	4,479	2,681	1,798	67.1%	18,949	34,371	(15,422)	-44.9%
8 Transmission and distribution	81,739	53,333	28,406	53.3%	324,457	223,125	101,332	45.4%
9 Customer accounts	388	557	(169)	-30.3%	2,384	2,206	178	8.1%
10 Customer service	14,029	12,081	1,948	16.1%	53,620	38,218	15,402	40.3%
11 Administration and general	874,403	890,478	(16,075)	-1.8%	2,720,578	2,546,128	174,450	6.9%
12 Payroll related	577,053	446,185	130,868	29.3%	1,875,577	1,793,855	81,722	4.6%
13 Maintenance of general plant	429,754	498,090	(68,336)	-13.7%	1,618,660	2,252,070	(633,410)	-28.1%
14 Depreciation	1,158,596	1,016,452	142,144	14.0%	5,786,980	4,065,808	1,721,172	42.3%
15 Amortization	-	-	-	0.0%	-	-	-	0.0%
16 Provision for doubtful accounts	-	-	-	0.0%	-	-	-	0.0%
17 Provision for claims	11,820	26,024	(14,204)	-54.6%	111,903	92,992	18,911	20.3%
18 Total operating expenses	3,741,075	3,306,673	434,402	13.1%	14,964,286	13,105,412	1,858,874	14.2%
19 Operating income (loss)	(3,740,015)	(3,279,614)	(460,401)	14.0%	(14,957,648)	(13,051,144)	(1,906,504)	14.6%
<b>Non-operating revenues (expense):</b>								
20 Two-mill tax	58	-	58	0.0%	367,221	-	367,221	0.0%
21 Three-mill tax	271,027	2,834,590	(2,563,563)	-90.4%	13,255,424	12,572,555	682,869	5.4%
22 Six-mill tax	273,929	2,864,989	(2,591,060)	-90.4%	13,578,460	12,707,273	871,187	6.9%
23 Nine-mill tax	410,615	4,294,481	(3,883,866)	-90.4%	19,522,723	19,047,711	475,012	2.5%
24 Interest income	217,701	2,074	215,627	10396.7%	-	17,109	(17,109)	-100.0%
25 Other Income	-	-	-	0.0%	-	-	-	0.0%
26 Interest expense	-	-	-	0.0%	-	-	-	0.0%
27 Operating and maintenance grants	-	23	(23)	-100.0%	-	23	(23)	-100.0%
28 Total non-operating revenues	1,173,330	9,996,157	(8,822,827)	-88.3%	46,723,828	44,344,671	2,379,157	5.4%
29 Income before capital contributions	(2,566,685)	6,716,543	(9,283,228)	-138.2%	31,766,180	31,293,527	472,653	1.5%
30 Capital contributions	1,500	3,369,499	(3,367,999)	-100.0%	4,344	5,647,285	(5,642,941)	-99.9%
31 Change in net position	(2,565,185)	10,086,042	(12,651,227)	-125.4%	31,770,524	36,940,812	(5,170,288)	-14.0%
32 Net position, beginning of year					836,196,990	797,048,807	39,148,183	4.9%
33 Net position, end of year					867,967,514	833,989,619	33,977,895	4.1%

SEWERAGE AND WATER BOARD OF NEW ORLEANS  
DRAINAGE SYSTEM FUND  
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION  
WITH BUDGET COMPARISONS  
MAY 2015

	A	B	C	D	E	F	G	H
	MTD Actual	MTD Budget	MTD Variance	%	YTD Actual	YTD Budget	YTD Variance	%
Operating revenues:								
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%
2 Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%
3 Plumbing inspection and license fees	-	-	-	0.0%	-	-	-	0.0%
4 Other revenues	1,060	-	1,060	0.0%	6,638	-	6,638	0.0%
5 Total operating revenues	1,060	-	1,060	0.0%	6,638	-	6,638	0.0%
Operating Expenses:								
6 Power and pumping	588,814	777,515	(188,701)	-24.3%	2,451,178	3,887,576	(1,436,398)	-36.9%
7 Treatment	4,479	14,258	(9,779)	-68.6%	18,949	71,290	(52,341)	-73.4%
8 Transmission and distribution	81,739	83,975	(2,236)	-2.7%	324,457	419,875	(95,418)	-22.7%
9 Customer accounts	388	831	(443)	-53.3%	2,384	4,156	(1,772)	-42.6%
10 Customer service	14,029	10,756	3,273	30.4%	53,620	53,780	(160)	-0.3%
11 Administration and general	874,403	1,050,567	(176,164)	-16.8%	2,720,578	5,252,834	(2,532,256)	-48.2%
12 Payroll related	577,053	185,943	391,110	210.3%	1,875,577	929,716	945,861	101.7%
13 Maintenance of general plant	429,754	1,449,749	(1,019,995)	-70.4%	1,618,660	7,248,744	(5,630,084)	-77.7%
14 Depreciation	1,158,596	1,226,875	(68,279)	-5.6%	5,786,980	6,134,375	(347,395)	-5.7%
15 Amortization	-	-	-	0.0%	-	-	-	0.0%
16 Provision for doubtful accounts	-	-	-	0.0%	-	-	-	0.0%
17 Provision for claims	11,820	69,970	(58,150)	-83.1%	111,903	349,851	(237,948)	-68.0%
18 Total operating expenses	3,741,075	4,870,439	(1,129,364)	-23.2%	14,964,286	24,352,197	(9,387,911)	-38.6%
19 Operating income (loss)	(3,740,015)	(4,870,439)	1,130,424	-23.2%	(14,957,648)	(24,352,197)	9,394,549	-38.6%
Non-operating revenues (expense):								
20 Two-mill tax	58	-	58	0.0%	367,221	-	367,221	0.0%
21 Three-mill tax	271,027	-	271,027	0.0%	13,255,424	13,357,609	(102,185)	-0.8%
22 Six-mill tax	273,929	-	273,929	0.0%	13,578,460	13,500,746	77,714	0.6%
23 Nine-mill tax	410,615	-	410,615	0.0%	19,522,723	20,364,000	(841,277)	-4.1%
24 Interest income	217,701	-	217,701	0.0%	-	-	-	0.0%
25 Other Income	-	58,475	(58,475)	-100.0%	-	292,373	(292,373)	-100.0%
26 Interest expense	-	(21,263)	21,263	-100.0%	-	(106,313)	106,313	-100.0%
27 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%
28 Total non-operating revenues	1,173,330	37,212	1,136,117	3053.1%	46,723,828	47,408,415	(684,587)	-1.4%
29 Income before capital contributions	(2,566,685)	(4,833,227)	2,266,542	-46.9%	31,766,180	23,056,218	8,709,961	37.8%
30 Capital contributions	1,500	-	1,500	0.0%	4,344	-	4,344	0.0%
31 Change in net position	(2,565,185)	(4,833,227)	2,268,042	-46.9%	31,770,524	23,056,218	8,714,305	37.8%
32 Net position, beginning of year					836,196,990	797,048,807	39,148,183	4.9%
33 Net position, end of year					867,967,514	820,105,025	47,862,488	5.8%



## SEWERAGE AND WATER BOARD OF NEW ORLEANS

July 15, 2015

Board of Directors  
Sewerage and Water Board of New Orleans  
New Orleans, Louisiana

Dear Directors:

Subject: Proposed Amendments to By-Laws

During their special meeting on April 18, 2015, the Board of Directors considered recommended best practices contained in the New Orleans Office of Inspector General Guide for Boards, Commissions and Public Benefit Corporations: Model Board Manual and directed staff to develop recommendations for a new structure for Board committees and to clarify the responsibilities delegated to the Executive director by the Board. During their regular meeting on June 17, 2015, the Board of Directors considered recommendations to stand down the existing Operations, Finance, Infrastructure, and Executive committees and to stand up these new committees:

- **Audit** Monitor compliance with federal, state, and local laws and regulations; approve internal control and risk management framework; review of all contracts awarded by the Executive Director; ensure regulatory compliance; monitor internal controls assessment; monitor internal and external audit reports compliance; and review recommendations from external consultants.
- **Governance** Perform orientation and training of new Board members; conduct evaluation and improvement of Board performance; oversee Board compliance with requirements for written financial disclosure statements; and consider of Bylaw changes.
- **Finance** Monitor operational performance and align financial resources to achieve the objectives of the Board; approve operating and capital budgets; and monitor financial reporting.
- **Strategy** Establish long-term objectives, identifying risks, setting strategy; ensure long-term planning for the capabilities of the organization strategic planning, facilities planning, personnel planning, financial planning, and information technology planning.

Attached are proposed amendments to the bylaws for consideration at the July 2015 meeting of the Board of Directors to accomplish these objectives. The bylaws related to the Plumbing Committee, the Pension Committee, and the Pension Board of Trustees remain unchanged at this time. These amendments will be recommended for adoption at the August 2015 meeting.

Robert K. Miller  
Deputy Director

**BY-LAWS**  
**OF THE**  
**SEWERAGE AND WATER BOARD**  
**OF NEW ORLEANS**

**REVISED AND AMENDED THROUGH**  
**DECEMBER 17, 2014**

**WITH DRAFT OF PROPOSED CHANGES**

**Marked Up Version**

**BY-LAWS**  
**OF THE**  
**SEWERAGE AND WATER BOARD OF NEW ORLEANS**  
**ARTICLE I - MEETINGS OF THE BOARD**

Section 1:

Regular meetings of the Board shall be held on the third Wednesday of each month in the Sewerage and Water Board's Board Room.

In the event a regular meeting of the Board cannot be held on the third Wednesday of the month due to a legal holiday or other circumstances, or will not be held in the Sewerage and Water Board's Board Room, the President or President Pro Tem shall have the power to designate another day for the meeting either in the same week, in the week prior to, or in the week succeeding the week of the third Wednesday of that month and/or another location.

The time and location of the meetings shall be promulgated by the Executive Director's Office at least 24 hours in advance.

Section 2:

Special meetings may be called by the President of the Board, and shall be called by him when so requested in writing by four (4) members of the Board.

Written notice stating agenda and the time and the place of the meeting shall be served by the Executive Director on each member and promulgated at least 24 hours in advance.

## **ARTICLE I - MEETINGS OF THE BOARD (CONT'D)**

### **Section 3:**

No subjects shall be considered at special meetings, except those embraced in the call. However, upon approval of 2/3 of the members present, a matter not on the agenda may be taken up.

### **Section 4:**

Six members shall constitute a quorum for the transaction of business at all Board meetings. Written notice stating the agenda and the time and place of the meeting shall be served by the Executive Director on each Trustee, and promulgated at least 24 hours in advance.

Eight members shall constitute a quorum for the transaction of any business by the Board of Trustees of the Employee's Retirement System of the Sewerage and Water Board of New Orleans.

### **Section 5:**

In the absence of specific rules, policies, or procedures, Robert's Rules of Order, as newly revised, shall be used in conducting a meeting.

### **Section 6:**

The meeting of the Trustees of the Employee's Retirement System of the Sewerage and Water Board of New Orleans ("Retirement System") shall be held during, before or after the Regular or Special Meetings of the Board.

## **ARTICLE II - OFFICERS**

### **Section 1:**

Officers of the Board shall be the President and the President Pro Tem. The Mayor of the City of New Orleans is the Ex-Officio President of the Board. The President Pro Tem shall be elected by a majority of the members of the Board.

## **ARTICLE III - ELECTIONS**

### **Section 1:**

Voting shall be by viva voce, except if otherwise noted herein, a majority of all members present to prevail. Appropriations shall be by roll call vote.



## **ARTICLE IV - DUTIES OF OFFICERS**

### **Section 1:**

Appointments to the Board are made by the President as set forth in R.S. 33:4071. The President shall also make appointments to all Committees of the Board. The President shall preside at all meetings of the Board. He shall have authority to open all -documents addressed to the Board. He shall have authority to sign all contracts, checks, warrants and other documents or papers in the name of the Board, and as directed by it. He has the right to vote at any meeting of the Board or any of the Board's committees. The President may also be authorized other duties as established by the Board.

### **Section 2:**

The President Pro Tem shall assume all the duties of the President in the President's absence, or at the President's direction, and any other duties and privileges as may be established by the Board. The President Pro Tem has the right to vote at any meeting of the Board or any of the Board's committees. When both the President and President Pro Tem are in attendance at any committee or Board meeting and the President is presiding, the President Pro Tem shall have the same right to vote as any other member of the Board. The President Pro Tem shall serve for a two (2) year period with an option for the Board to re-elect him for another two (2) year term should the Board so desire, it being the intention of this section that the above reference to terms shall constitute a full, complete and consecutive term and should any President Pro Tem who is removed as such by his removal from the Board through causes beyond his control, who returns to the Board and is reinstated to the position of President Pro Tem, he shall be entitled to serve, if elected by the Board, two (2) full and consecutive two (2) year terms.

## **ARTICLE V - DUTIES OF EXECUTIVE DIRECTOR/ DEPUTY DIRECTOR**

### **Section 1:**

The Board shall elect an Executive Director whose salary shall be fixed by the Board. The Executive Director shall hold office at the pleasure of the Board. The election or removal of the Executive Director, and the amount of his salary, shall be determined by a majority vote of the entire Board at one of its regular monthly meetings. The duties of the Executive Director shall be fixed by the Board. The Executive Director is responsible for the daily operations of the organization.

### **Section 2:**

The Executive Director shall be present at all meetings of the Board and its Committees. He shall keep full and accurate written records of the proceedings at all such meetings. ~~However, the Deputy Director may act in his absence.~~

### **Section 3:**

The Executive Director shall be custodian and hold in safekeeping all records and papers belonging to the Board.

### **Section 4:**

All motions and resolutions passed by the Board shall be recorded by the Executive Director in a book or books to be kept for that purpose. He shall attend to the publication of motions and resolutions and other notices as required.

## ARTICLE V - DUTIES OF EXECUTIVE DIRECTOR/ DEPUTY DIRECTOR (CONT'D)

### Section 5:

The Executive Director shall keep all the accounts of the Board, relating to the receipt and disbursement of funds. He shall keep an inventory of all property acquired or disposed of by the Board, and he is required to make written report at each regular meeting of all transactions named in this section.

The Executive Director shall submit a written annual report for the Board to present to the City Council in accordance with R.S. 33:4091. The report shall be prepared in a timely fashion so that it may be submitted to the City Council on or prior to the first day of May of each year.

At least one day in advance of its regular monthly meeting or of any committee meetings, the Executive Director ~~shall place in the hands of~~ shall deliver to each member of the Board or Committee the meeting notice, agenda, and copies of all reports, ~~including the General Superintendent's Recommendations,~~ being presented to the Board or Committee.

### Section 6:

~~The Executive Director shall have authority to advertise for bid and award contracts for all procurements having a value of less than one million dollars.~~

### Section 7:

The Executive Director shall also perform such other duties germane to his office, as may be required of him by direction of the Board. The Sewerage and Water Board employees' indemnity bond is considered as satisfying the statutory requirement for the Executive Director's indemnity bond.

### Section ~~7~~87:

Neither the Executive Director, nor ~~the Deputy Director, nor the General Superintendent~~ any unclassified employee shall engage in any other occupation or employment that would interfere or conflict with their Sewerage and Water Board duties. The ~~Deputy Director and the General Superintendent~~ unclassified employees shall not engage in any other occupation or employment unless

**ARTICLE V - DUTIES OF EXECUTIVE DIRECTOR/  
DEPUTY DIRECTOR (CONT'D)**

certified by the Executive Director as not interfering or in conflict with their  
Sewerage and Water Board Duties.

**ARTICLE V - DUTIES OF EXECUTIVE DIRECTOR/  
DEPUTY DIRECTOR (CONT'D)**

~~interfering or in conflict with their Sewerage and Water Board duties.~~

The Executive Director shall receive such certification from the President Pro Tem before engaging in any other occupation or employment as described above.

Section

~~898~~:

~~The~~ A Deputy Director shall act in the absence of the Executive Director in carrying out the duties prescribed by these By-Laws, as well as any other duties that are assigned by the Executive Director or by the Board.

## ARTICLE VI - COMMITTEES

### Section 1:

There shall be four (4) standing Committees, to be named respectively: the Executive Committee, the Finance Committee, the Operations Committee, and the Committee on Infrastructure and Operating Systems the Audit Committee, the Finance Committee, the Governance Committee, and the Strategy Committee.

In addition, a Pension Committee is established in accordance with the "Rules and Regulations of the Employees Retirement System of the Sewerage and Water Board of New Orleans."

A Plumbing Conference Committee is established in accordance with the Plumbing Code of the City of New Orleans.

Other Ad Hoc Committees may be formed by the Board or by the President of the Board.

~~The President of the Board shall appoint the Chairpersons and all members of the foregoing Committees. The President of the Board may appoint the Vice Chairpersons of the three (3) standing Committees. The make up of the Committees may be re-examined periodically and new appointments made so that all members of the Board may participate in all phases of Sewerage and Water Board operations and administration. Each Board member must be assigned to serve on at least one (1) Committee.~~

### Section 2:

The President of the Board shall appoint the Chairpersons and all members of the foregoing Committees. The President of the Board may appoint the Vice Chairpersons of the ~~three (3)~~four standing Committees. The make up of the Committees may be re-examined periodically and new appointments made so that all members of the Board may participate in all phases of Sewerage and Water Board operations and administration. Each Board member must be assigned to serve on at least one (1) Committee.

Each committees shall consist of five (5) members of the Board, to be appointed by the President. Three (3) members of any standing committee

shall constitute a quorum.

~~The following matters are illustrative of those brought before the Strategy Committee for consideration:~~

~~The Executive Committee shall consist of five (5) members made up as follows: the President Pro Tem, who shall Chair the Executive Committee, and the Chairpersons of the other three (3) standing Committees, and the Chairperson of the Plumbing Conference Committee.~~



## ARTICLE VI - COMMITTEES (CONT'D)

### Section 3:

~~Each of the other standing committees shall consist of five (5) members of the Board, to be appointed by the President. Three (3) members of any standing committee shall constitute a quorum.~~

### Section 4:

~~In the absence of the Committee Chairperson and the Vice Chairperson at any meeting of a committee, the committee may elect a Chairperson Pro Tem.~~

### Section 53:

~~The Executive Audit Committee shall act in a deliberative and advisory capacity and to it may be referred any business of the Board for consideration and report, preceding official action by the Board monitor compliance with federal, state, and local laws and regulations and have charge of the affairs of the Board relating to the internal control and risk management framework.~~

~~The following matters are illustrative of those brought before the Audit Committee for consideration: review of all contracts awarded by the Executive Director; regulatory compliance; internal controls assessment; internal and external audit reports compliance; and recommendations from external consultants.~~

~~The following matters are illustrative of those brought before the Executive Committee for consideration: strategic planning; business development; risk management; information technology; pay plans and policies, personnel matters, including but not limited to Equal Employment Opportunity, Affirmative Action, EEO Reports, and Discrimination~~



## **ARTICLE VI - COMMITTEES (CONT'D)**

~~Complaints; leases; and in general, matters affecting all utilities (sewerage, water and drainage).~~

### **Section 64:**

The Finance Committee shall ~~be in charge of the financial affairs of the Board, subordinate to the Board's direction~~monitor operational performance and align financial resources to achieve the objectives of the Board.

The following matters are illustrative of those brought before the Finance Committee for consideration: organizational performance metrics; operating and capital budgets; and financial reporting; ~~Operating Budget; financing strategies; alternative financing mechanisms; banking; bond issues; credit ratings; review and recommendation for award of all contracts and bid.~~

## ARTICLE VI - COMMITTEES (CONT'D)

~~appeals; internal controls assessment; internal and external audit reports compliance; and other matters of a financial nature.~~

### Section 75:

The Governance Committee on Infrastructure and Operating Systems shall manage the business of the Board of Directors.

The following matters are illustrative of those brought before the Governance Committee for consideration: perform orientation and training of new Board members; conduct evaluation and improvement of Board performance; oversee Board compliance with requirements for written financial disclosure statements; and consideration of Bylaw changes. have charge of the affairs of the Board relating respectively to each of the Board's systems of sewerage, water, drainage, power generation and power distribution, and shall act and advise on affairs of these systems, subject to the direction and ruling of the Board. Matters pertaining to sewerage, water, drainage, power generation and power distribution shall be brought before this committee for its consideration including evaluation of the condition of facilities; execution of the annual capital budget and long-term capital improvement plans; and consideration of green infrastructure and resiliency initiatives.

### Section 86:

The Operations Strategy Committee shall have charge of the affairs of the Board relating customer service capabilities and results; strategic communications; organizational performance metrics; and the Economically Disadvantaged Business Program to long-term planning for the capabilities of the organization.

## **ARTICLE VI - COMMITTEES (CONT'D)**

The following matters are illustrative of those brought before the Strategy Committee for consideration: strategic planning; facilities planning; personnel planning; financial planning; and information technology planning.

### **Section 97:**

The Pension Committee is established by Section (3) of the "Rules and Regulations of the Employee Retirement System of the Sewerage and Water Board of New Orleans." This Committee consists of: Chairperson - President Pro Tem; Four (4) members appointed by the President, at least one of which shall be a member of the Finance Committee; Three (3) employee members elected by the employees and one (1) retiree representative elected by Board retirees. Five members shall constitute a quorum.

The Committee shall be in charge of matters relating to the management of the Employee Retirement\_

## ARTICLE VI - COMMITTEES (CONT'D)

System, including establishment of the rules and regulations and management of the pension fund.

### Section 108:

A. The Board of Trustees, as defined in the Rules and Regulations of the Employee's Retirement System of the Sewerage and Water Board of New Orleans, shall have full and final authority to make all decisions concerning the investment of assets of said retirement system, to include recommendations of the Pension Committee made to the Board, and which involve the exercise of the Trustees' Investment Authority, as set forth in Section 8.6 of the Rules and Regulations of the Retirement System. These matters shall include, but not limited to, the following:

- a. Selection, hiring and termination of various investment managers, custodial banks and financial consulting firms.
- b. The establishment of an Investment Policy, Asset Allocation Policy and Rebalancing Policy.
- c. Deciding what portion of the Retirement System assets a particular investment manager shall invest.
- d. Evaluating the performance of a particular investment manager, including placing that investment manager on probation.
- e. Receipt and review of actuarial reports and annual investment reports prepared by the investment consultant.

B. Because the Pension Committee will be called upon to review matters which the Board of Trustees of the Employees' Retirement System will be required to approve in order to be effective:

## **ARTICLE VI - COMMITTEES (CONT'D)**

- a. The Pension Committee, in making its written report to the Board of Directors of the Sewerage and Water Board of New Orleans, shall designate three (3) types of agendas for consideration by the Board of Directors. These three (3) agendas shall consist of consent items, non-consent items and items to be considered by the full Board of Trustees.
- b. At the time that the Pension Committee report is received by the Board of Directors, and such report has on its agenda 'Board of Trustees Items,' the four (4) employee-elected Trustees, if present, shall be invited by the chairperson to join the Board of Directors' Trustees in considering the specific items of that agenda. A roll call shall be made by the Secretary to establish a quorum of the Board of Trustees, which quorum shall be no less than eight(8) Trustees. At this time, it is recognized that this is an official meeting of the Board of Trustees of the Employees' Retirement System of the Sewerage and Water Board of New Orleans and all Trustees present shall have the right to make motions, enter into discussions, vote and otherwise fully participate in the matters at hand. Each Trustee shall have one vote. All actions of the Trustees shall be by voice vote, unless a roll call is requested and a majority of all Trustees present shall prevail.

Upon conclusion of the specific items of the Board of Trustees agenda, to include that specified on the agenda of the Pension Committee, the chairman shall call for a motion to end the Board of Trustees meeting. Upon such affirmative vote to end, the employee-elected Trustees shall withdraw and the Board of Directors shall continue its regular business

## **ARTICLE VI - COMMITTEES (CONT'D)**

Section

**119:**

The Plumbing Conference Committee is established by the Plumbing Code of the City of New Orleans. Matters relating to the Plumbing Code shall be brought before the Plumbing Conference Committee for consideration. This Committee consists of: — the General Superintendent, the Supervisor of Plumbing; four members of the Sewerage and Water Board appointed by the President, one licensed master plumber, one licensed journeyman plumber, and one member of any allied plumbing or engineering trade or profession. Except for the first two above, all shall be appointed to two (2) year terms by the President of the Board. Five members constitute a quorum.

Section

**1210:**

Any of the Committees may meet upon regular call of the Chairperson and shall meet upon ruling to that effect by the Board.

Section

**1311:**

All reports of Committees for submission at the regular meeting of the Board shall be in writing and action thereon may be deferred to the subsequent regular meeting of the Board.

Section

**1412:**

Both the President of the Board and the President Pro Tem shall be ex-officio members of each and all committees, both with the right to vote the same as any other member of said committees. Both the President of the Board, and the President Pro Tem, when acting as ex-officio members of a Committee in accordance with the foregoing, shall be true members in every sense and shall be counted towards the establishment of a quorum for the meeting.



## ARTICLE VI - COMMITTEES (CONT'D)

### Section ~~15~~13:

Action taken by a Committee of the Board shall be by a majority of those present.

### Section ~~16~~14:

~~Except in instances where the Board shall declare a matter to be an emergency, all matters to be brought before the Board shall first be considered by a Committee of the Board.~~

~~Matters that appear before the Board under its consideration of "New Business" shall be assigned by the President or in his absence the President Pro Tem to the appropriate Committee of the Board.~~

### Section ~~17~~:

Whenever there is an absence of a quorum required for a Committee of the Board to meet, the Executive Director shall reschedule the Committee Meeting to the next available date and time at which a quorum can be reasonably anticipated. Absent a quorum, there shall be no meeting of the Committee, however, the members present may, if they so desire, receive information or reports pertaining to matters on the agenda for such meeting.

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## **ARTICLE VI - COMMITTEES (CONT'D)**

### **Section 18:**

~~Items not acted upon by the Committee to which they were originally assigned, due to that Committee not meeting because of a lack of a quorum, may, at the discretion of the President or President Pro Tem be placed temporarily upon the agenda of the Executive Committee. If there is no final action taken on the item by the Board, or if the entire matter addressed thereat is not disposed of, any further action regarding the item shall be considered by the Committee to which the item was originally assigned.~~



## **ARTICLE VII - DISBURSEMENTS**

### **Section 1:**

All warrants for payment of money administered by the Board of Liquidation, City Debt, for account of the Sewerage and Water Board of New Orleans, shall be drawn as provided in Article XIV of the Constitution of 1921, as amended by Sections 23.1 through 23.12 and 23.28 through 23.43, which has been subsequently reenacted as LRS 33:4094 through LRS 33:4136, and all said warrants except those for contracts require two (2) signatures, one of which must be provided by the Executive Director, a Deputy Director, ~~or Management Services Director~~ General Superintendent, or Deputy General Superintendent. The second signature must be provided by either the Utility Financial Administrator, Public Utility Comptroller, Public Utility Comptroller Assistant, or by an Accountant staff designated in writing by resolution of the Board the Executive Director. Said warrants shall be listed on audit sheet(s), setting forth the number of the warrant, date of warrant, and number of appropriation; and said audit sheet or sheets require the same signatory authorization, as stated above.

All checks for transfer of money for clearing accounts (i.e., payroll, voucher, etc.) of the Sewerage and Water Board of New Orleans, shall be drawn on the master accounts of the Board and require two (2) signatures, one of which must be provided by the Executive Director, a Deputy Director, ~~or Management Services Director~~ General Superintendent, or Deputy General Superintendent. The second signature must be provided by staff designated in writing by the Executive Director either the Utility Financial Administrator, Public Utility Comptroller, Public Utility Comptroller Assistant, or by an Accountant designated by resolution of the Board. Said checks shall be listed on audit sheet(s), and said audit sheet or sheets require the same signatory authorization, as stated above.

All checks for payment of construction contracts shall be handled in the same manner, as stated above.

### **Section 2:**

There shall be no disbursement of the monies of this Board without the direct order of resolution of the Board, made and entered of record at a meeting thereof, except as provided in R.S. 33:4084.

## **ARTICLE VIII - EMPLOYEES**

### **Section 1:**

The appointment, suspension and removal of employees shall be in accordance with the provisions of R.S. 33:4076 of Louisiana Revised Statutes. All payment of wage or salaries shall cease on dismissal of employees.

## **ARTICLE IX - DEPOSITORY**

### **Section 1:**

| All funds of ~~the~~ Sewerage and Water Board of New Orleans, subject to its control, shall be deposited with any bank or banks located in the City of New Orleans, selected by the Board. Such banks shall furnish acceptable collateral in accordance with applicable law.

## **ARTICLE X - AMENDMENTS**

### **Section 1:**

Any amendments or changes to these by-laws shall be adopted only after approved by a quorum of the board (R.S. 33:4071E), provided that notice of the proposed amendment shall be given at a regular monthly meeting preceding final action upon such amendment. The amendment to be filed in writing at this meeting and entered upon the Minutes of the Board; further providing that the Executive Director of the Board shall have filed a copy of the amendment with a notice of the meeting for final action, upon every member of the Board not less than a week prior to said meeting.

## **ARTICLE XI - ORDER OF BUSINESS**

### **Section 1:**

Executive Director shall distribute an agenda for the regular monthly meeting of the Board at least 24 hours in advance of the meeting with an Order of Business. Contained within the Order of Business may be a consent agenda which can be adopted at the Board meeting in globo by voice vote of the majority members present. Only matters receiving a unanimous vote of the members present at a meeting of the Committee before which it has been brought shall be placed upon a consent agenda for consideration by the Board at its regular or special meeting. Should any Board member at the Board meeting object to any item(s) contained in the consent agenda, that item(s) shall be removed, not voted upon as part of the consent agenda and the item(s) placed appropriately in the Order of Business for discussion.

The Board may, by a vote of two-thirds (2/3) of the members present at a meeting, alter the order of business for that meeting.

### **Section 2:**

~~The General Superintendent's monthly report shall be presented to the Finance Committee for its review and recommendations to the Board for action by the Board at its Regular Monthly Meeting.~~

## **ARTICLE XII – PUBLIC COMMENT**

### **Section 1:**

In accordance with the provisions of R.S. 42:5(D), the public is invited to attend and give comment on any matter listed on the agenda of a regular or special meeting of the Board or any of its committees. The time and length of such comments shall be determined by the Chair of the meeting.

**BY-LAWS  
OF THE  
SEWERAGE AND WATER BOARD  
OF NEW ORLEANS**

**REVISED AND AMENDED THROUGH  
DECEMBER 17, 2014**

**WITH PROPOSED CHANGES  
JULY 15, 2015**

**BY-LAWS  
OF THE  
SEWERAGE AND WATER BOARD OF NEW ORLEANS**

**ARTICLE I - MEETINGS OF THE BOARD**

**Section 1:**

Regular meetings of the Board shall be held on the third Wednesday of each month in the Sewerage and Water Board's Board Room.

In the event a regular meeting of the Board cannot be held on the third Wednesday of the month due to a legal holiday or other circumstances, or will not be held in the Sewerage and Water Board's Board Room, the President or President Pro Tem shall have the power to designate another day for the meeting either in the same week, in the week prior to, or in the week succeeding the week of the third Wednesday of that month and/or another location.

The time and location of the meetings shall be promulgated by the Executive Director's Office at least 24 hours in advance.

**Section 2:**

Special meetings may be called by the President of the Board, and shall be called by him when so requested in writing by four (4) members of the Board.

Written notice stating agenda and the time and the place of the meeting shall be served by the Executive Director on each member and promulgated at least 24 hours in advance.

**Section 3:**

No subjects shall be considered at special meetings, except those embraced in the call. However, upon approval of 2/3 of the members present, a matter not on the agenda may be taken up.

**Section 4:**

Six members shall constitute a quorum for the transaction of business at all Board meetings. Written notice stating the agenda and the time and place of the meeting shall be served by the Executive Director on each Trustee, and promulgated at least 24 hours in advance.

Eight members shall constitute a quorum for the transaction of any business by the Board of Trustees of the Employee's Retirement System of the Sewerage and Water Board of New Orleans.

**Section 5:**

In the absence of specific rules, policies, or procedures, Robert's Rules of Order, as newly revised, shall be used in conducting a meeting.



**Section 6:**

The meeting of the Trustees of the Employee's Retirement System of the Sewerage and Water Board of New Orleans ("Retirement System") shall be held during, before or after the Regular or Special Meetings of the Board.

## **ARTICLE II - OFFICERS**

### **Section 1:**

Officers of the Board shall be the President and the President Pro Tem. The Mayor of the City of New Orleans is the Ex-Officio President of the Board. The President Pro Tem shall be elected by a majority of the members of the Board.

### **ARTICLE III - ELECTIONS**

#### **Section 1:**

Voting shall be by viva voce, except if otherwise noted herein, a majority of all members present to prevail. Appropriations shall be by roll call vote.

## **ARTICLE IV - DUTIES OF OFFICERS**

### **Section 1:**

Appointments to the Board are made by the President as set forth in R.S.

33:4071. The President shall also make appointments to all Committees of the Board. The President shall preside at all meetings of the Board. He shall have authority to open all documents addressed to the Board. He shall have authority to sign all contracts, checks, warrants and other documents or papers in the name of the Board, and as directed by it. He has the right to vote at any meeting of the Board or any of the Board's committees. The President may also be authorized other duties as established by the Board.

### **Section 2:**

The President Pro Tem shall assume all the duties of the President in the President's absence, or at the President's direction, and any other duties and privileges as may be established by the Board. The President Pro Tem has the right to vote at any meeting of the Board or any of the Board's committees. When both the President and President Pro Tem are in attendance at any committee or Board meeting and the President is presiding, the President Pro Tem shall have the same right to vote as any other member of the Board. The President Pro Tem shall serve for a two (2) year period with an option for the Board to re-elect him for another two (2) year term should the Board so desire, it being the intention of this section that the above reference to terms shall constitute a full, complete and consecutive term and should any President Pro Tem who is removed as such by his removal from the Board through causes beyond his control, who returns to the Board and is reinstated to the position of President Pro Tem, he shall be entitled to serve, if elected by the Board, two (2) full and consecutive two (2) year terms.

## **ARTICLE V - DUTIES OF EXECUTIVE DIRECTOR**

### **Section 1:**

The Board shall elect an Executive Director whose salary shall be fixed by the Board. The Executive Director shall hold office at the pleasure of the Board. The election or removal of the Executive Director, and the amount of his salary, shall be determined by a majority vote of the entire Board at one of its regular monthly meetings. The duties of the Executive Director shall be fixed by the Board. The Executive Director is responsible for the daily operations of the organization.

### **Section 2:**

The Executive Director shall be present at all meetings of the Board and its Committees. He shall keep full and accurate written records of the proceedings at all such meetings.

### **Section 3:**

The Executive Director shall be custodian and hold in safekeeping all records and papers belonging to the Board.

### **Section 4:**

All motions and resolutions passed by the Board shall be recorded by the Executive Director in a book or books to be kept for that purpose. He shall attend to the publication of motions and resolutions and other notices as required.

### **Section 5:**

The Executive Director shall keep all the accounts of the Board, relating to the receipt and disbursement of funds. He shall keep an inventory of all property acquired or disposed of by the Board, and he is required to make written report at each regular meeting of all transactions named in this section.

The Executive Director shall submit a written annual report for the Board to present to the City Council in accordance with R.S. 33:4091. The report shall be prepared in a timely fashion so that it may be submitted to the City Council on or prior to the first day of May of each year.

At least one day in advance of its regular monthly meeting or of any committee meetings, the Executive Director shall deliver to each member of the Board or Committee the meeting notice, agenda, and copies of all reports being presented to the Board or Committee.

### **Section 6:**

The Executive Director shall also perform such other duties germane to his office, as may be required of him by direction of the Board. The Sewerage and Water Board employees' indemnity bond is considered as satisfying the statutory requirement for the Executive Director's indemnity bond.

#### Section 7:

Neither the Executive Director, nor any unclassified employee shall engage in any other occupation or employment that would interfere or conflict with their Sewerage and Water Board duties. The unclassified employees shall not engage in any other occupation or employment unless certified by the Executive Director as not interfering or in conflict with their Sewerage and Water Board Duties.

The Executive Director shall receive such certification from the President Pro Tem before engaging in any other occupation or employment as described above.

#### Section 8:

A Deputy Director shall act in the absence of the Executive Director in carrying out the duties prescribed by these By-Laws, as well as any other duties that are assigned by the Executive Director or by the Board.

## **ARTICLE VI - COMMITTEES**

### **Section 1:**

There shall be four (4) standing Committees, to be named respectively: the Audit Committee, the Finance Committee, the Governance Committee, and the Strategy Committee.

In addition, a Pension Committee is established in accordance with the "Rules and Regulations of the Employees Retirement System of the Sewerage and Water Board of New Orleans."

A Plumbing Conference Committee is established in accordance with the Plumbing Code of the City of New Orleans.

Other Ad Hoc Committees may be formed by the Board or by the President of the Board.

### **Section 2:**

The President of the Board shall appoint the Chairpersons and all members of the foregoing Committees. The President of the Board may appoint the Vice Chairpersons of the four (4) standing Committees. The make-up of the Committees may be re-examined periodically and new appointments made so that all members of the Board may participate in all phases of Sewerage and Water Board operations and administration. Each Board member must be assigned to serve on at least one (1) Committee.

Each committee shall consist of five (5) members of the Board, to be appointed by the President. Three (3) members of any standing committee shall constitute a quorum.

### **Section 3:**

The Audit Committee shall monitor compliance with federal, state, and local laws and regulations and have charge of the affairs of the Board relating to the internal control and risk management framework.

The following matters are illustrative of those brought before the Audit Committee for consideration: review of all contracts awarded by the Executive Director; regulatory compliance; internal controls assessment; internal and external audit reports compliance; and recommendations from external consultants.

### **Section 4:**

The Finance Committee shall monitor operational performance and align financial resources to achieve the objectives of the Board.

The following matters are illustrative of those brought before the Finance Committee for consideration: organizational performance metrics; operating and capital budgets; and financial reporting.

#### Section 5:

The Governance Committee shall manage the business of the Board of Directors.

The following matters are illustrative of those brought before the Governance Committee for consideration: perform orientation and training of new Board members; conduct evaluation and improvement of Board performance; oversee Board compliance with requirements for written financial disclosure statements; and consideration of Bylaw changes.

#### Section 6:

The Strategy Committee shall have charge of the affairs of the Board relating to long-term planning for the capabilities of the organization.

The following matters are illustrative of those brought before the Strategy Committee for consideration: strategic planning; facilities planning; personnel planning; financial planning; and information technology planning.

#### Section 7:

The Pension Committee is established by Section (3) of the "Rules and Regulations of the Employee Retirement System of the Sewerage and Water Board of New Orleans." This Committee consists of: Chairperson - President Pro Tem; Four (4) members appointed by the President, at least one of which shall be a member of the Finance Committee; Three (3) employee members elected by the employees and one (1) retiree representative elected by Board retirees. Five members shall constitute a quorum.

The Committee shall be in charge of matters relating to the management of the Employee Retirement System, including establishment of the rules and regulations and management of the pension fund.

#### Section 8:

A. The Board of Trustees, as defined in the Rules and Regulations of the Employee's Retirement System of the Sewerage and Water Board of New Orleans, shall have full and final authority to make all decisions concerning the investment of assets of said retirement system, to include recommendations of the Pension Committee made to the Board, and which involve the exercise of the Trustees' Investment Authority, as set forth in Section 8.6 of the Rules and Regulations of the Retirement System. These matters shall include, but not limited to, the following:

- a. Selection, hiring and termination of various investment managers, custodial banks and financial consulting firms.
- b. The establishment of an Investment Policy, Asset Allocation Policy and Rebalancing Policy.
- c. Deciding what portion of the Retirement System assets a particular investment manager shall invest.



- d. Evaluating the performance of a particular investment manager, including placing that investment manager on probation.
- e. Receipt and review of actuarial reports and annual investment reports prepared by the investment consultant.

B. Because the Pension Committee will be called upon to review matters which the Board of Trustees of the Employees' Retirement System will be required to approve in order to be effective:

- a. The Pension Committee, in making its written report to the Board of Directors of the Sewerage and Water Board of New Orleans, shall designate three (3) types of agendas for consideration by the Board of Directors. These three (3) agendas shall consist of consent items, non-consent items and items to be considered by the full Board of Trustees.
- b. At the time that the Pension Committee report is received by the Board of Directors, and such report has on its agenda 'Board of Trustees Items,' the four (4) employee-elected Trustees, if present, shall be invited by the chairperson to join the Board of Directors' Trustees in considering the specific items of that agenda. A roll call shall be made by the Secretary to establish a quorum of the Board of Trustees, which quorum shall be no less than eight(8) Trustees. At this time, it is recognized that this is an official meeting of the Board of Trustees of the Employees' Retirement System of the Sewerage and Water Board of New Orleans and all Trustees present shall have the right to make motions, enter into discussions, vote and otherwise fully participate in the matters at hand. Each Trustee shall have one vote. All actions of the Trustees shall be by voice vote, unless a roll call is requested and a majority of all Trustees present shall prevail.

Upon conclusion of the specific items of the Board of Trustees agenda, to include that specified on the agenda of the Pension Committee, the chairman shall call for a motion to end the Board of Trustees meeting. Upon such affirmative vote to end, the employee-elected Trustees shall withdraw and the Board of Directors shall continue its regular business.

#### Section 9:

The Plumbing Conference Committee is established by the Plumbing Code of the City of New Orleans. Matters relating to the Plumbing Code shall be brought before the Plumbing Conference Committee for consideration. This Committee consists of: the General Superintendent, the Supervisor of Plumbing; four members of the Sewerage and Water Board appointed by the President, one licensed master plumber, one licensed journeyman plumber, and one member of any allied plumbing or engineering trade or profession. Except for the first two above, all shall be appointed to two (2) year terms by the President of the Board. Five members constitute a quorum.

#### Section 10:

Any of the Committees may meet upon regular call of the Chairperson and shall meet upon ruling to that effect by the Board.

Section 11:

All reports of Committees for submission at the regular meeting of the Board shall be in writing and action thereon may be deferred to the subsequent regular meeting of the Board.

Section 12:

Both the President of the Board and the President Pro Tem shall be ex-officio members of each and all committees, both with the right to vote the same as any other member of said committees. Both the President of the Board, and the President Pro Tem, when acting as ex-officio members of a Committee in accordance with the foregoing, shall be true members in every sense and shall be counted towards the establishment of a quorum for the meeting.

Section 13:

Action taken by a Committee of the Board shall be by a majority of those present.

Section 14:

Whenever there is an absence of a quorum required for a Committee of the Board to meet, the Executive Director shall reschedule the Committee Meeting to the next available date and time at which a quorum can be reasonably anticipated. Absent a quorum, there shall be no meeting of the Committee, however, the members present may, if they so desire, receive information or reports pertaining to matters on the agenda for such meeting.

## **ARTICLE VII - DISBURSEMENTS**

### **Section 1:**

All warrants for payment of money administered by the Board of Liquidation, City Debt, for account of the Sewerage and Water Board of New Orleans, shall be drawn as provided in Article XIV of the Constitution of 1921, as amended by Sections 23.1 through 23.12 and 23.28 through 23.43, which has subsequently been reenacted as LRS 33:4094 through 33:4136, and all said warrants except those for contracts require two (2) signatures, one of which must be provided by the Executive Director, a Deputy Director, General Superintendent, or Deputy General Superintendent. The second signature must be provided by staff designated in writing by the Executive Director. Said warrants shall be listed on audit sheet(s), setting forth the number of the warrant, date of warrant, and number of appropriation; and said audit sheet or sheets require the same signatory authorization, as stated above.

All checks for transfer of money for clearing accounts (i.e., payroll, voucher, etc.) of the Sewerage and Water Board of New Orleans, shall be drawn on the master accounts of the Board and require two (2) signatures, one of which must be provided by the Executive Director, a Deputy Director, General Superintendent, or Deputy General Superintendent. The second signature must be provided by staff designated in writing by the Executive Director. Said checks shall be listed on audit sheet(s), and said audit sheet or sheets require the same signatory authorization, as stated above.

All checks for payment of construction contracts shall be handled in the same manner, as stated above.

### **Section 2:**

There shall be no disbursement of the monies of this Board without the direct order of resolution of the Board, made and entered of record at a meeting thereof, except as provided in R.S. 33:4084.

## **ARTICLE VIII - EMPLOYEES**

### **Section 1:**

The appointment, suspension and removal of employees shall be in accordance with the provisions of R.S. 33:4076 of Louisiana Revised Statutes. All payment of wage or salaries shall cease on dismissal of employees.

## **ARTICLE IX - DEPOSITORY**

### **Section 1:**

All funds of Sewerage and Water Board of New Orleans, subject to its control, shall be deposited with any bank or banks located in the City of New Orleans selected by the Board. Such banks shall furnish acceptable collateral in accordance with applicable law.

## **ARTICLE X – AMENDMENTS**

### **Section 1:**

Any amendments or changes to these by-laws shall be adopted only after approved by a quorum of the board (R.S. 33:4071E), provided that notice of the proposed amendment shall be given at a regular monthly meeting preceding final action upon such amendment. The amendment to be filed in writing at this meeting and entered upon the Minutes of the Board; further providing that the Executive Director of the Board shall have filed a copy of the amendment with a notice of the meeting for final action, upon every member of the Board not less than a week prior to said meeting.

## **ARTICLE XI – ORDER OF BUSINESS**

### **Section 1:**

Executive Director shall distribute an agenda for the regular monthly meeting of the Board at least 24 hours in advance of the meeting with an Order of Business. Contained within the Order of Business may be a consent agenda which can be adopted at the Board meeting in globo by voice vote of the majority members present. Only matters receiving a unanimous vote of the members present at a meeting of the Committee before which it has been brought shall be placed upon a consent agenda for consideration by the Board at its regular or special meeting. Should any Board member at the Board meeting object to any item(s) contained in the consent agenda, that item(s) shall be removed, not voted upon as part of the consent agenda and the item(s) placed appropriately in the Order of Business for discussion.

The Board may, by a vote of two-thirds (2/3) of the members present at a meeting, alter the order of business for that meeting.

## **ARTICLE XII – PUBLIC COMMENT**

### **Section 1:**

In accordance with the provisions of R.S. 42:5(D), the public is invited to attend and give comment on any matter listed on the agenda of a regular or special meeting of the Board or any of its committees. The time and length of such comments shall be determined by the Chair of the meeting.