

***SEWERAGE & WATER BOARD OF NEW ORLEANS***  
**GOVERNANCE COMMITTEE MEETING**  
**MONDAY, SEPTEMBER 14, 2015**

**11:00 AM**

Kerri Kane, Chair • Alan Arnold, Vice Chair • Kimberly Thomas • Marion Bracy • Robin Barnes

---

**FINAL AGENDA**

---

**ACTION ITEMS**

NONE

**PRESENTATION ITEMS**

1. Organizing Committee Responsibilities

**INFORMATION ITEMS**

2. Any Other Matters

**REFERENCE MATERIALS (In Binders)**

- I. Sewerage & Water Board By-Laws
- J. 2015 Operating and Capital Budgets
- K. 2011-2020 Strategic Plan
- L. Commitments to the City Council
- M. Bond Ratings Information



## SEWERAGE AND WATER BOARD OF NEW ORLEANS

September 14, 2015

Board of Directors  
Sewerage and Water Board of New Orleans  
New Orleans, Louisiana

Subject: Planning for Committee Meetings and Agendas

Attached is a crosswalk of responsibilities from the previous committees to the new committees of the Board of Directors. This document was prepared to support planning for the committee meetings and agendas.

It appears that there are three key items to be considered as the committees meet for the first time in September 2015:

- What topics will each committee monitor, advise, and recommend action?
- How frequently will regular meetings be held?
- On what date and time will those meetings be held?

Staff looks forward to discussing these and other topics at upcoming Committee meetings.

Cedric S. Grant  
Executive Director

# Sewerage and Water Board of New Orleans

## Crosswalk of Changes to Responsibilities of the Committees of the Board of Directors

August 19, 2015

		Previous Committee Structure								New Committee Structure							
		A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P
<b>Legend</b>		Operations Committee								Audit Committee							
W Responsible for work of making decision		Finance Committee								Governance Committee							
C Must be consulted before a decision is made or action taken		Infrastructure Committee								Finance and Administration Committee							
N Must be kept informed of decision		Pension Committee								Pension Committee							
X No Action		Plumbing Committee								Plumbing Committee							
A Must approve decision		Executive Committee								Strategy Committee							
D Decides in case of conflict on issues submitted for resolution		Pension Board of Trustees								Pension Board of Trustees							
		Board of Directors								Board of Directors							
<b>New Strategic Governance Responsibilities</b>																	
1	Approve Executive Director Annual Work Plan																W
2	Establish Policy and Administrative Constraints for Executive Director																W
3	Evaluate Board of Directors Performance																W
4	Review Compliance with Regulations									W							A
5	Evaluate Effectiveness of Internal Control Framework									W							A
6	Evaluate Effectiveness of Risk Management Framework									W							A
7	Adopt Long-Range Facilities Plan													W			A
8	Adopt Long-Range Staffing Plan													W			A
9	Adopt Long-Range Information Technology Plan													W			A
<b>Existing Governance Responsibilities</b>																	
10	Establish DBE Participation on Contracts	W								A							N
11	Review Customer Service Results	N								N							N
12	Adopt Service Rules and Regulations	W								A	W						A
13	Award Contracts less than \$1,000,000		W							A		N					N
14	Award Contracts more than \$1,000,000		W							A		W					A
15	Establish Cooperative Endeavor Agreements less than \$1,000,000		W							A	W	C			C		N

	Previous Committee Structure								New Committee Structure							
	A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P
<b>Legend</b>																
<b>W</b> Responsible for work of making decision																
<b>C</b> Must be consulted before a decision is made or action taken																
<b>N</b> Must be kept informed of decision																
<b>X</b> No Action																
<b>A</b> Must approve decision																
<b>D</b> Decides in case of conflict on issues submitted for resolution																
16 Establish Cooperative Endeavor Agreements more than \$1,000,000		W						A		W	C			C		A
17 Authorize Settlement of Lawsuits less than \$1,000,000		W						A		W	C					N
18 Authorize Settlement of Lawsuits more than \$1,000,000		W						A		W	C					A
19 Authorize Issuance of Debt		W						A			W					A
20 Approve Capital Budget			W					A			W					A
21 Approve Operating Budget		W						A			W					A
22 Approve Changes in Water and Sewer Rates		W						A			W					A
23 Approve Changes in Drainage Millages		W						A			W					A
24 Review Monthly Financial Results		W						N			W					N
25 Review Annual Financial Audit		W						N								N
26 Review Comprehensive Annual Financial Report		W						N								N
27 Review Consulting Engineer Annual Report on Operations		W						N	W		N					N
28 Establish Levels of Insurance		W						A			W					A
29 Review Condition of Infrastructure			W					N			W					N
30 Selection Pension Investment Consultant				W			A					W			A	
31 Adopt Pension Investment Policy Statement				W			A					W			A	
32 Change Pension Rules				W			A					W			A	
33 Review Pension Investment Results				W				N				W				N
34 Review Annual Pension Actuarial Valuation Report		W		N				N				W				N
35 Change Pension Investment Managers				W			A					W			A	
36 Establish Employer Pension Contribution Rates		W		N				A			W	N				A

	Legend	Previous Committee Structure								New Committee Structure							
		A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P
	<b>W</b> Responsible for work of making decision <b>C</b> Must be consulted before a decision is made or action taken <b>N</b> Must be kept informed of decision <b>X</b> No Action <b>A</b> Must approve decision <b>D</b> Decides in case of conflict on issues submitted for resolution	Operations Committee	Finance Committee	Infrastructure Committee	Pension Committee	Plumbing Committee	Executive Committee	Pension Board of Trustees	Board of Directors	Audit Committee	Governance Committee	Finance and Administration Committee	Pension Committee	Plumbing Committee	Strategy Committee	Pension Board of Trustees	Board of Directors
37	Establish Employee Pension Contribution Rates		N		W			A				N	W			A	
38	Establish Pension Investment Strategy		N		W			A				C	W		C	A	
39	Adopt Plumbing Code					W			A				W				A
40	Establish Legislative and Regulatory Lobbying Objectives						W		A		W			C		A	
41	Monitor Commitments to City Council						W		N					W			N
42	Adopt Strategic Plan	N	N	N	N		W		A	N	N	N	N		W		A
43	Monitor Labor Relations Activities						W		N			N					N
44	Change Bylaws	N	N	N	N		W		A	N	W	N	N		N		A
45	Evaluate Executive Director Performance																W
46	Approve Changes to Compensation Program		W						A			C		W		A	
47	Approve Changes to Benefits Program		W						A			C		W		A	
48	Monitor Operational Performance Metrics	W							N			W					N
49	Evaluate Business Development Opportunities		W						A			C		W		A	
50	Adopt Long-Range Financial Plan		W						A			W			C		A