

BOARD OF DIRECTORS' MEETING
SEWERAGE & WATER BOARD OF NEW ORLEANS
WEDNESDAY, JANUARY 20, 2016
9:00 AM
625 ST. JOSEPH STREET
2^{N D} FLOOR BOARD ROOM

ORDER OF BUSINESS

1. READING OF THE BOARD MINUTES
2. HONORS AND AWARDS
3. REPORT OF EXECUTIVE DIRECTOR
4. COMMITTEE REPORTS
 - A. Pension Committee
 - B. Governance Committee
 - C. Finance & Administration Committee
5. CORRESPONDING RESOLUTIONS
6. UNFINISHED BUSINESS
7. NEW BUSINESS
 - Election of President Pro-tem
8. EXECUTIVE SESSION
 - Board Assessment of Executive Director
 - Executive Director Performance Assessment Tool
9. INFORMATION ITEMS
 - D. FEMA Status Report
 - E. Report of the General Superintendent
 - F. Report of the Special Counsel
 - G. Financial Statements
 - H. Commitments to City Council
10. COMMUNICATION
11. RESPONSE TO QUESTIONS
12. ANY OTHER MATTERS
13. ADJOURNMENT

BOARD OF DIRECTOR'S MEETING

DECEMBER 16, 2015

MEETING MINUTES

SEWERAGE AND WATER BOARD OF NEW ORLEANS

ROLL CALL

The Board of Directors of the Sewerage and Water Board met on Wednesday, December 16, 2015 at 11:30 AM in the Board Room at 625 St. Joseph Street. The Executive Director, Cedric S. Grant, called the roll and showed the following members present: Ms. Suchitra Satpathi representing Mayor Landrieu, Mr. Alan Arnold, Mr. Marion Bracy, Dr. Tamika Duplessis, Mr. Scott Jacobs, and Ms. Kimberly Thomas.

The following members were absent: Mrs. Robin Barnes, Mrs. Kerri Kane, Mr. Wm. Raymond Manning and Mr. Joseph Peychaud.

Also present were: Brenda Thornton, CommuniRep, Inc.; Amer Tufail, Greenpoint Engineers; Robert Bredberg, Burk-Kleinpeter; Randy Smith, Royal Engineers; Will Bryant, PPUSA; Nathalie Simon, Laitram; Corinne Villavaso, Villavaso Group, LLC.

Staff present were: Cedric S. Grant, Executive Director; Robert Miller, Deputy Director; Joseph Becker, General Superintendent; Bruce Adams, Deputy General Superintendent; Ronald Doucette, Deputy Director of Security; Kimberly Johnson, Deputy Director of Continuous Improvement; Nolan Lambert, Special Counsel; Harold Marchand, Yolanda Grinstead, Legal Department; Willie Mingo, Purchasing Department; Robert Jackson, Community & Intergovernmental Relations Department; Jason Higginbotham, Emergency Management Department; Shaval Stewart, Executive Director's Office.

APPROVAL OF PREVIOUS REPORT

Mr. Scott Jacobs moved to accept the minutes of the Regular Board Meeting held on November 18, 2015. Ms. Kimberly Thomas seconded the motion. The motion carried.

HONORS AND AWARDS

None.

REPORT OF THE EXECUTIVE DIRECTOR

Mr. Cedric S. Grant announced the FEMA settlement for the Public Assistance Program to restore infrastructure damaged by Hurricane Katrina. He also discussed the Urban Water Plan that is financed through the state's Comprehensive Resiliency Program in which the U.S. Housing and Urban Development Department has offered additional funds through community block grants for Orleans Parish. The 'Fix My Streets Financing Task Force' has been charged with coming up with innovative ways to pay for the massive backlog of street repairs. Next, he also discussed the newly added executive staff members of the Sewerage and the Water Board and its new staffing organization structure. Lastly, he highlighted key agenda topics brought up for discussion at the December Strategy, Pension and Finance & Administration Committee meetings.

COMMITTEE REPORTS

STRATEGY COMMITTEE

The Chairperson, Mr. Marion Bracy reported on the actions taken by the Strategy Committee. Mr. Marion Bracy moved acceptance of the Strategy Committee Report and approval of the Strategy Committee recommendations, therein. Dr. Tamika Duplessis seconded the motion. The motion carried.

FINANCE & ADMINISTRATION COMMITTEE

The Chairperson, Mr. Scott Jacobs reported on the summary report on the actions taken by the Finance & Administration Committee Meeting.

Mr. Jacobs noted that the Finance & Administration Committee Report would be accepted for approval with the exception of the 2016 Operating and Capital Budget information and resolutions; this matter will be taken up separately at today's meeting.

Mr. Scott Jacobs moved acceptance of the Finance & Administration Committee report and the Finance & Administration Committee recommendations, therein. Mr. Marion Bracy seconded the motion. The motion carried.

PENSION COMMITTEE

The Deputy Director, Mr. Robert Miller reported on the actions taken by the Pension Committee.

Mr. Scott Jacobs moved acceptance of the Pension Committee report and the Pension Committee recommendations, therein. Ms. Kimberly Thomas seconded the motion. The motion carried.

CORRESPONDING RESOLUTIONS

The following resolutions were adopted in conjunction with approval of the Committee reports as follows:

R-221-2015 – Commercial Flood Insurance Policy for Facilities

R-222-2015 – Furnishing Polyelectrolyte – Req. Nos. AL150013 & CM150023

R-223-2015 – Furnishing Lime – Req. No. CM150039

R-224-2015 – Furnishing Anhydrous Ammonia – Req. Nos. AL150026 & CM150039

R-225-2015 – Furnishing Reclaimed Asphaltic Pavement (RAP) – Req. No. YW160001

R-226-2015 – Contract 1345 – Replacement of Filter Backwash Equipment at the Main Water Purification Plant

R-227-2015 – First and Final Renewal of Contract for Furnishing Fire Hydrant Anti-Tampering Devices – Req. No. YW140064

R-232-2015 – Award of Contract to Roedel Parsons Koch Blache Balhoff & McCollister to Represent the Board in Construction Litigation Matter Entitled Wallace C. Drennan, Inc. v. Sewerage and Water Board of New Orleans, CDC No. 2015-11017

UNFINISHED BUSINESS

- 2016 Operating Budget and 2016 Capital Budget Blanket Appropriation Resolution
- Adoption of 2016 Operating and Maintenance Budget
- Adoption of 2016 Capital Budget and 2016-2025 Capital Improvement Program

Mr. Miller provided a slide presentation on the recommended 2016 Operating Budget, 2016 Capital Budget, and 2016-2025 Capital Improvement Program and financing plans. He discussed the assumptions used to develop these documents and he provided budgetary details of each plan. He recommended the adoption of the 2016 Operating Budget and 2016 Capital Budget Blanket Appropriations, 2016 Operating and Maintenance Budget, 2016 Capital Budget and 2016-2025 Capital Improvement Programs for the water, sewer and drainage systems.

Mr. Scott Jacobs moved approval of the 2016 Operating Budget and 2016 Capital Budget Blanket Appropriations, as described in resolution (R-220-2015). Mr. Alan Arnold seconded the motion. The motion carried.

Mr. Scott Jacobs moved approval of the 2016 Operating and Maintenance Budget, as described in resolution, R-231-2015. Dr. Tamika Duplessis seconded the motion. The motion carried.

Dr. Tamika Duplessis moved approval of the adoption of the 2016 Capital Budget and 2016-2025 Capital Improvement Program, as described in resolution, R-230-2015. Ms. Kimberly Thomas seconded the motion. The motion carried.

ANY OTHER MATTERS

None

INFORMATION ITEMS

The following items were submitted for informational purposes only:

- FEMA Status Report
- Report of the General Superintendent
- Report of the Special Counsel
- Financial Statements

ADJOURNMENT

There being no further business the meeting adjourned at 12:35 PM.

**Commendation to Gerald Elwood, William Easterling, Gerrard Alexander, Linda Spears,
Kevin Smith, Demetrice Joseph and Walter Burton
For being Selected
Sewerage and Water Board
Employee Group of the Month for January 2016**

Whereas, the Sewerage and Water Board recognizes that its employees are its most valuable resource, without which our mission to provide quality, reliable, and cost effective sewer, water, and drainage services to our customers, the people of the City of New Orleans, would not be possible; and

Whereas, while each and every one of our employees strives to excel in his or her capacity, we do on occasion honor an employee, or group of employees, whose actions are above and beyond the routine; and

Whereas, Gerald Elwood, William Easterling, Gerrard Alexander, Linda Spears, Kevin Smith, Demetrice Joseph and Walter Burton are responsible for distributing power to critical SWB facilities. Additionally this group works in the Central Control unit that is responsible for communicating power needs to the SWB power plant and distributing that generated power to the drainage pumping stations, during rain events. The following is a summary from Mr. Joseph Becker, P.E. General Superintendent, outlining your outstanding performance:

On Saturday, December 26, Gerald Elwood, Dispatcher 2 and William Easterling, Pumping Plant Operator were on duty at around 4:25 a.m. when 60 cycle power experienced multiple surges during the severe thunderstorm/tornado watch weather event. These power surges caused equipment to lose power and go off line. Msrs. Elmwood and Easterling, while also addressing drainage pumping operations related to power consumption during the severe thunderstorms, were able to quickly assess the situation at Claiborne Station where Gerrard Alexander, Pumping Plant Operator, was able to take immediate corrective action in re-starting pumps in order to maintain critical water pressure and water purification operations after redirecting power distribution.

On December 28th early morning, Linda Spears, Power Dispatcher 2, Kevin Smith, Power Dispatcher 1, and Demetrice Joseph, Utility Plant Worker were on duty in Central Control at around 2:20 p.m., Claiborne Station lost #3 pump which was on line supplying a portion of the freshwater supply to the eastbank of the city. Ms. Spears, Mr. Smith and Mr. Joseph immediately noted the loss in water pressure and were able to alert all parties involved to take corrective action to return #3 pump to service. Walter Burton, Pumping Plant Operator, was on duty at the Claiborne pumping station and was able to work with Central Control and take the immediate steps required to maintain water pressure and avoid a scenario that could have resulted in a boil water advisory. Overall, the employees in Central Control and the Water Pumping Stations consistently take immediate steps required to avoid a loss of services to our potable water distribution, sewage treatment and drainage pumping operations.

Now Therefore, Be It Resolved, that upon the recommendation of Mr. Joseph Becker, P.E. General Superintendent that Gerald Elwood, Dispatcher 2, William Easterling, Pumping Plant Operator, Gerrard Alexander, Pumping Plant Operator, Linda Spears, Power Dispatcher 2, Kevin Smith, Power Dispatcher 1, Demetrice Joseph, Utility Plant Worker and Walter Burton, Pumping Plant Operator be recognized as “Employee Group of the Month” for January 2016.

Be It Further Resolved, that a copy of this recommendation be transmitted to all of the subject employees and throughout the various departments of the Sewerage and Water Board of New Orleans.

I, Cedric S. Grant, Executive Director,
Sewerage and Water Board of New Orleans, do
hereby certify that the above and foregoing is a true
and correct copy of a resolution adopted at the
Regular Meeting of said Board, duly called and
held, according to law, on January 20, 2016.

CEDRIC S. GRANT
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS



"RE-BUILDING THE CITY'S WATER SYSTEMS FOR THE 21ST CENTURY"

Sewerage & Water Board OF NEW ORLEANS

MITCHELL J. LANDRIEU, President

**625 ST. JOSEPH STREET
NEW ORLEANS, LA 70165 • 504-529-2837 OR 52W-ATER
www.swbno.org**

January 14, 2016

The Pension Committee of Sewerage and Water Board of New Orleans met on Wednesday, January 14, 2016 at 8:15AM in the Board Room at 625 St. Joseph Street.

ATTENDANCE:

PRESENT: Mr. Joseph Peychaud (Chairperson)
Mr. Eric Blue
Mr. Scott Jacobs
Mr. Marvin R Russell, Jr.
Mr. Gerald Tilton
Mr. John H. Wilson III

ABSENT: Mr. Alan Arnold
Mr. Harold Heller, Jr.

Also in attendance were Cedric S. Grant, Executive Director; Robert K. Miller, Deputy Director; Nolan P. Lambert, Special Counsel, Director's Office, Continuous Improvement, Finance and Personnel Department staff; Octave Francis, Steven Daste and Melanie Parent of FFC Capital Management and John Weiler of Weiler & Rees.

MR. GRANT'S READING INTO THE COMMITTEE MEETING'S RECORD:

Mr. Grant read into the record a letter from Mr. Alan Arnold. Mr. Arnold's letter addressed his feeling that there is no reason to rush to judgement on the January 14, 2016 ACTION ITEM 1.

ACTION ITEM 1: RECEIPT OF SWBNO 20 YEAR CAPITAL MARKET ASSUMPTIONS

Mr. Francis presented FFC's analysis of SWBNO Capital Market Assumptions - under 20 Year Capital Market Assumptions

Mr. Wilson motioned to receive FFC's SWBNO Capital Market Assumptions. Upon a second by Mr. Russel, the motion passed

**ACTION ITEM 2: RECOMMEDATION TO REMOVE AD HOC LEGACY REPORTS
FROM FUTURE AGENDAS**

Mr. Miller presented staff's position that the following reports no longer serve their original value added objectives to the Committee and should no longer be included on future Pension Committee Agendas.

- Voluntary Retirements
- Investment Managers Report for actively managed investment funds
- LAMP Summary Statement of Activity
- Security Lending Report
- Money Managers Report
- Pension Employer/Employee Contribution and Distribution Statement

Mr. Wilson motioned to remove the aforementioned reports from future Pension Committee Agendas. Upon a second by Mr. Jacob, the motion passed.

ADJOURNMENT:

There being no further business to come before the Committee, the meeting adjourned at approximately 11:03AM

Respectfully Submitted,

Joseph Peychaud
Chairman



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January 14, 2016

The Governance Committee met on Thursday, January 14, 2016 in the 2nd Floor Board Room, 625 St. Joseph Street, New Orleans, LA. The meeting convened at 2:05 PM.

ATTENDANCE

PRESENT:

Kerri Kane, Chair
Robin Barnes
Suchitra Satpathi

ABSENT:

Alan Arnold, Vice Chair
Kimberly Thomas

Others:

Cedric S. Grant, Executive Director; Joseph R. Becker, General Superintendent; Bruce Adams, Deputy General Superintendent; Nolan P. Lambert, Special Counsel; Harold Marchand, Legal; Robert K. Miller, Deputy Director; Lisa Martin, Deputy Director Communications; Valarie Rivers, Deputy Director Logistics; Sharon Judkins, Deputy Director Administration; Willie Mingo, Purchasing; Robert Jackson, Communication & Intergovernmental Relations; and Brenda Thornton, CommuniRep, Inc.

ACTION ITEMS:

1. Board Self-Assessment Tool and Board Planning Retreat

Mr. Grant gave an overview of the Board Self-Assessment Tool and Board Planning Retreat. This tool is meant to create an informed starting point for discussion among the Board to address priorities which will result in Board effectiveness. He stated in advance of the next planning retreat, he recommends that the Governance Committee request the individual board members to complete the self-assessment tool and return the completed document to staff for compilation of the results. Board members will be contacted to identify the most convenient date for the next all-day planning meeting.

Robin Barnes moved to accept staff recommendation. Upon a second by Suchitra Satpathi, the motion passed.

2. Cooperative Endeavor Agreement (CEA) between the City of New Orleans and the Sewerage & Water Board of New Orleans / Supplemental Equipment and Operations for Special Event Clean-Up

Staff recommends the Committee accept the CEA between the City of New Orleans and the Sewerage & Water Board of New Orleans to accomplish a valuable public purpose of cleaning up the City's neutral grounds, streets and sidewalks during and after certain special events. This agreement supports continued improvements to the City of New Orleans and thereby enhances community development and supports economic growth and related public purposes.

Robin Barnes moved to accept staff recommendation. Upon a second by Suchitra Satpathi, the motion passed.

PRESENTATION ITEMS:

3. Executive Director Work Plan 2015 Results

Mr. Grant submitted an update of his 100 Day Implementation Plan he presented to the Board in December 2014. In addition to the remaining elements of the 100 Day Implementation Plan, he discussed the following key strategic initiatives that were achieved during 2015.

- FEMA Settlement
- Reliability of Power Supply to Water Distribution System
- Board Structure
- Organization Structure
- Cost Reduction
- Water & Sewer System Revenue Bond Issues
- Pension System Improvements
- Organization Culture

Mr. Grant stated he will be prepared to present his work plan for 2016 at the February 2016 meeting.

4. Executive Session

None

5. Orientation of New Board Member

Mr. Grant asked the Committee to submit to him any information they believe should be presented to new Board Members.

There being no further business to come before the Committee, the meeting adjourned at 2:53 PM.

Respectfully Submitted,

Kerri Kane
Chairperson



"RE-BUILDING THE CITY'S WATER SYSTEMS FOR THE 21ST CENTURY"

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MITCHELL J. LANDRIEU, President

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January 14, 2016

The Finance and Administration Committee of the Sewerage and Water Board of New Orleans met on Thursday, January 14, 2016 at 3:15 PM in the Board Room, 625 St. Joseph Street to consider the following matters.

ATTENDANCE

Present:

Scott Jacobs, Chair
Joseph Peychaud, Vice-Chair
Kerri Kane

Absent:

Dr. Tamika Duplessis
Kimberly Thomas

Others:

Eric C. Blue

ACTION ITEMS

Item 1 General Superintendent's Recommendations

Bids:

Mrs. Kerri Kane moved to accept the General Superintendent's Recommendations for award of contracts for the items listed below. Mr. Joseph Peychaud seconded and the motion carried.

- R-008-2016 – Furnishing Sodium Hypochlorite for the total amount of \$1,333,500.00.
- R-009-2016 – Furnishing Liquid Polyphosphate for the total amount of \$287,600.00.

- R-010-2016 – Furnishing and Delivering Small Fleet Trucks for Items 1 through 6, 10 and 13 for the total amount of \$790,215.00; for Items 7 through 9, 11 and 12 for the total amount of \$356,971.00.
- R-011-2016 – Furnishing and Installing Automotive Remanufactured Engines and Related Parts for the total amount of \$362,425.00.
- R-012-2016 – Furnishing Pest and Rodent Control Services for the Sewerage and Water Board for the total amount of \$31,092.00.
- R-013-2016 – Furnishing and Delivering Gasoline and Diesel Fuel for the total amount of \$1,063,629.52.

Contract Renewals:

Mrs. Kerri Kane moved to accept the General Superintendent's Recommendations for award of contract renewals for the items listed below. Mr. Joseph Peychaud seconded and the motion carried.

- R-014-2016 – First and Final Renewal of Contract 2111 – Water Main Point Repair, Water Service Connection, Water Valve and Fire Hydrant Replacement at various sites throughout Orleans Parish for the total amount of \$3,197,190.00.
- R-015-2016 – First and Final Renewal of Contract 30009 – Restoration of Existing Gravity Sewer Mains by Excavation and Replacement from Manhole to Manhole at various sites throughout Orleans Parish for the total amount of \$2,088,008.00.
- R-016-2016 – First and Final Renewal of Contract 30015 – Restoration of Existing Gravity Sewer by Point Repair and CIPP Lining of Sewer Mains at various sites throughout Orleans Parish

Professional Service Contract Amendment:

- R-017-2016 – Amendment to Agreement with Arcadis for Additional Design and Engineering Services for the Carrollton Water Plant Sycamore Filter Gallery Structural Repairs to contract value of \$790,654.00.

Mr. Joseph Peychaud moved to approve the General Superintendent's Recommendations for one professional service contract amendment as listed above. Mrs. Kerri Kane seconded and the motion carried.

Item 2 Change Orders

Mrs. Kane moved to accept the Ratification of Change Orders as described in resolutions R-001-2016, R-002-2016, R-003-2016, R-004-2016, and R-005-2016. Mr. Peychaud seconded and the motion carried.

PRESENTATION ITEMS

Item 3 Financial Results through November 2015

Mr. Miller presented the summary of financial results for the water, sewer and drainage systems through November 2015.

Item 4 CEA – Between the City of New Orleans and the Sewerage & Water Board of New Orleans/Supplemental Equipment and Operations for Special Event Clean Up

Mr. Becker provided background history on the City of New Orleans proposal to enter into a Cooperative Endeavor Agreement with the Sewerage and Water Board of New Orleans to provide use of equipment and manpower for special events like the 2016 Mardi Gras parade season; and the cost for manpower and use of equipment, and fuel by the Sewerage and Water Board shall not exceed the amount of \$140,000.00. This is a significant cost savings for the city of New Orleans.

INFORMATION ITEMS

Item 5 – 9 The committee received all information items.

ANY OTHER MATTERS

Mr. Grant discussed the Sewerage and Water Board of New Orleans' goods and services contracts that have been approved for DBE Participation at the Staff Contract Review Committee Meeting held on January 13, 2016 regarding the following:

- Furnishing Professional Services for State Inter-Governmental Affairs Representation
- Furnishing Security Guard Services for Sewerage and Water Board of New Orleans

ADJOURNMENT

There being no further business to come before the Committee, the meeting adjourned at 3:55 PM.

Also in attendance were Cedric S. Grant, Executive Director; Robert Miller, Deputy Director; Joseph Becker, General Superintendent; Bruce Adams, Deputy General Superintendent; Kimberly Johnson, Deputy Director of Continuous Improvement; Sharon Judkins, Deputy Director of Administration; Nolan Lambert, Special Counsel; Harold Marchand, Yolanda Grinstead, Legal Counsel; Robert Jackson, Community & Intergovernmental Relations Administrator; Lisa Martin, Deputy Director of Communications; Valerie Rivers, Deputy Director of Logistics; Willie Mingo, Purchasing Administrator; Brenda Thornton, Communirep, Inc.; Randy Smith, Royal Engineers; Amer Tufail, Green Point Engineers.

Respectfully submitted,

Mr. Scott Jacobs, Chair

**GENERAL SUPERINTENDENT RECOMMENDATIONS
FOR THE JANUARY 20, 2016 MEETING**

- A. BIDS.** A listing of the bids received during the month of December is included in the following report. A brief summary is attached for your review.

- Item 1 - R-008-2016 - FURNISHING SODIUM HYPOCHLORITE -
REQ. NOS. AL150025 & CM150038
- Item 2 - R-009-2016 - FURNISHING LIQUID POLYPHOSPHATE -
REQ. NO. CM150040
- Item 3 - R-010-2016 - FURNISHING AND DELIVERING SMALL FLEET
TRUCKS - REQ. NO. YG160080
- Item 4 - R-011-2016 - FURNISHING AND INSTALLING AUTOMOTIVE
REMANUFACTURED ENGINES AND RELATED
PARTS - REQ. NO. YW150099
- Item 5 - R-012-2016 - FURNISHING PEST AND RODENT CONTROL
SERVICES FOR THE SEWERAGE AND WATER
BOARD - REQ. NO. YO160019
- Item 6 - R-013-2016 - FURNISHING AND DELIVERING GASOLINE AND
DIESEL FUEL - REQ. NO. YG160070

B. CONTRACT RENEWAL

- Item 7 - R-014-2016 - FIRST AND FINAL RENEWAL OF CONTRACT
2111 - WATER MAIN POINT REPAIR, WATER
SERVICE CONNECTION, WATER VALVE AND
FIRE HYDRANT REPLACEMENT AT VARIOUS
SITES THROUGHOUT ORLEANS PARISH
- Item 8 - R-015-2016 - FIRST AND FINAL RENEWAL OF CONTRACT
30009 - RESTORATION OF EXISTING
GRAVITY SEWER MAINS BY EXCAVATION AND
REPLACEMENT FROM MANHOLE TO MNHOLE AT
VARIOUS SITES THROUGHOUT ORLEANS
PARISH
- Item 9 - R-016-2016 - FIRST AND FINAL RENEWAL OF CONTRACT
30015 - RESTORATION OF EXISTING
GRAVITY SEWER BY POINT REPAIR AND CIPP
LINING OF SEWER MAINS AT VARIOUS SITES
THROUGHOUT ORLEANS PARISH

C. PROFESSIONAL SERVICE CONTRACT AMENDMENTS

Item 10 - R-017-2016 - AMENDMENT TO AGREEMENT WITH ARCADIS
FOR ADDITIONAL DESIGN AND ENGINEERING
SERVICES FOR THE CARROLLTON WATER
PLANT SYCAMORE FILTER GALLERY
STRUCTURAL REPAIRS

**GENERAL SUPERINTENDENT RECOMMENDATIONS
REPORT OF FINAL ACCEPTANCE TO BE
CONSIDERED BY THE FINANCE COMMITTEE AND
THE BOARD'S MEETING OF JANUARY 20, 2016**

During December 2015 bids were received and evaluated (as per attached tabulations) and on various items as follows:

1. FURNISHING SODIUM HYPOCHLORITE - REQ. NOS. AL150025 & CM150038

Three (3) bids were received on December 17, 2015 for furnishing 3,000,000 pounds of sodium hypochlorite to the Carrollton and Algiers Water Plants. It is recommended that the low bid of **DPC Enterprises, L.P.** in the total amount of **\$1,333,500.00**, be accepted based upon the technical review of the proposals.

The three (3) bidders are as follows:

1. DPC Enterprises, L.P.	\$1,333,500.00
2. Brenntag Southwest, Inc.	1,421,400.00
3. Allied Universal Corporation	1,764,000.00

There is no DBE participation.

Funds for this project are budgeted under Account Codes 3224 (Chemicals-New Orleans) and 3244 (Chemicals-Algiers) and Object Code 4745 (Highest Hypochlorite).

2. FURNISHING LIQUID POLYPHOSPHATE - REQ. NO. CM150040

Three (3) bids were received on December 17, 2015 for furnishing 800,000 pounds of liquid polyphosphate to the Carrollton Water Plant. It is recommended that the low bid of **Sterling Water Technologies, LLC** in the total amount of **\$287,600.00**, be accepted based upon the technical review of the proposals.

The three (3) bidders are as follows:

1. Sterling Water Technologies, LLC	\$287,600.00
2. Carus Corporation	320,000.00
3. Shannon Chemical Corporation	309,600.00

There is no DBE participation.

Funds for this project are budgeted under Account Code 3224 (Chemicals-New Orleans) and Object Code 4750 (Sodium Polyphosphate).

GENERAL SUPERINTENDENT RECOMMENDATIONS (CONT'D)

January 20, 2016

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3. FURNISHING AND DELIVERING SMALL FLEET TRUCKS - REQ. NO. YG160080

Two (2) bids were received on December 17, 2015 for furnishing and delivering small fleet trucks. It is recommended that awards be made on an item-by-item basis, to the low bidder as follows:

Items 1 thru 6, 10 and 13, to Lamarque Ford in the total amount of \$790,215.00, be accepted based upon the technical review of the proposals.

Items 7 thru 9, 11 and 12, to Crescent Ford Trucks Sales, Inc. in the total amount of \$356,971.00, be accepted based upon the technical review of the proposals.

There is no DBE participation.

The estimated amount for this project is \$2,000,000.00.

Funds for this project are budgeted under Account Code 0840 (Garage I) and Object Code 3230 (Repair and Maintenance Equipment).

4. FURNISHING AND INSTALLING AUTOMOTIVE REMANUFACTURED ENGINES AND RELATED PARTS - REQ. NO. YW150099

One (1) bid was received on December 17, 2015 for furnishing and installing automotive remanufactured engines and related parts. The bidder is over the estimated amount of \$350,000.00. It is recommended that the bid of Crescent Ford Trucks Sales, Inc. in the total amount of \$362,425.00, be accepted based upon the technical review of the proposals.

There is no DBE participation.

Funds for this project are budgeted under Account Code 0840 (Garage II) and Object Code 3230 (Repair and Maintenance Equipment).

GENERAL SUPERINTENDENT RECOMMENDATIONS (CONT'D)

January 20, 2016

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5. FURNISHING PEST AND RODENT CONTROL SERVICES FOR THE SEWERAGE AND WATER BOARD - REQ. NO. YO160019

One (1) bid was received on November 30, 2015 for furnishing pest and rodent control services for the Sewerage and Water Board. It is recommended that the bid of **Imperial Exterminating, Inc.** in the total amount of **\$31,092.00**, be accepted based upon the technical review of the proposals.

The DBE participation is 33%.

The estimated amount for this project is \$60,000.00.

Funds for this project are budgeted under Account Code 3370 (Contract Janitorial Services).

6. FURNISHING AND DELIVERING GASOLINE AND DIESEL FUEL - REQ. NO. YO160070

Three (3) bids were received on September 24, 2015 for furnishing and delivering gasoline and diesel fuel. It is recommended that the low bid of **Petroleum Traders Corporation** in the total amount of **\$1,063,629.52**, be accepted based upon the technical review of the proposals.

The three (3) bidders are as follows:

1. Petroleum Traders Corp.	\$1,063,629.52
2. Lard Oil Company	1,063,807.92
3. Retif Oil & Fuel	1,082,039.32

There is no DBE participation.

The estimated amount for this project is \$1,610,000.00.

Funds for this project are budgeted under Account Code 0840 (Garage II) and Object Code 3230 (Repair and Maintenance Equipment).

GENERAL SUPERINTENDENT RECOMMENDATIONS (CONT'D)

January 20, 2016

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7.

FIRST AND FINAL RENEWAL OF CONTRACT 2111
WATER MAIN POINT REPAIR, WATER SERVICE CONNECTION,
WATER VALVE AND FIRE HYDRANT REPLACEMENT
AT VARIOUS SITES THROUGHOUT ORLEANS PARISH

PROPOSAL:

The contractor, **Drennan Construction** has requested that the Board renew their current contract effective January 1, 2016, as allowed under this contract with no increase in cost and with no change in terms and conditions of their original contract, with the total being **\$3,197,190.00**.

EVALUATION:

The original Contract 2111 - Water main Point Repair, Water Service Connection, Water Valve and Fire Hydrant Replacement at Various Sites Throughout Orleans Parish was awarded to Drennan Construction by the Board at its meeting of April 15, 2015 in the total amount of **\$3,197,190.00**. If approved, this would be the first and only renewal as allowed under the terms of the contract. The DBE participation is 36%. Funds for this project are budgeted under Capital Program 110 (Normal Extensions and Replacements) and Account Code 02111.

RECOMMENDATION:

It is recommended that the Board approve this first and only renewal to **Drennan Construction** in the total amount of **\$3,197,190.00**.

GENERAL SUPERINTENDENT RECOMMENDATIONS (CONT'D)

January 20, 2016

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8.

FIRST AND FINAL RENEWAL OF CONTRACT 30009
RESTORATION OF EXISTING GRAVITY SEWER MAINS
BY EXCAVATION AND REPLACEMENT FROM MANHOLE TO MANHOLE AT VARIOUS
SITES THROUGHOUT ORLEANS PARISH

PROPOSAL:

The contractor, **Drennan Construction** has requested that the Board renew their current contract effective January 2, 2016, as allowed under this contract with no increase in cost and with no change in terms and conditions of their original contract, with the total being **\$2,088,008.00**.

EVALUATION:

The original Contract 30009 - Restoration of Existing Gravity Sewer Mains by Excavation and Replacement from Manhole to Manhole at Various Sites Throughout Orleans Parish was awarded to Drennan Construction by the Board at its meeting of May 21, 2014 in the total amount of **\$2,088,008.00**. If approved, this would be the first and only renewal as allowed under the terms of the contract. The DBE participation is 36%. Funds for this project are budgeted under Account Code 90009.

RECOMMENDATION:

It is recommended that the Board approve this first and only renewal to **Drennan Construction** in the total amount of **\$2,088,008.00**.

GENERAL SUPERINTENDENT RECOMMENDATIONS (CONT'D)

January 20, 2016

Page 6

9.

FIRST AND FINAL RENEWAL OF CONTRACT 30015
RESTORATION OF EXISTING GRAVITY SEWER
BY POINT REPAIR AND CIPP LINING OF SEWER MAINS
AT VARIOUS SITES THROUGHOUT ORLEANS PARISH

PROPOSAL:

The contractor, **Drennan Construction** has requested that the Board renew their current contract effective January 20, 2016, as allowed under this contract with no increase in cost and with no change in terms and conditions of their original contract, with the total being **\$1,988,550.00**.

EVALUATION:

The original Contract 30015 - Restoration of Existing Gravity Sewer by Point Repair and CIPP Lining of Sewer Mains at Various Sites Throughout Orleans Parish was awarded to Drennan Construction by the Board at its meeting of December 17, 2014 in the total amount of **\$1,988,550.00**. If approved, this would be the first and only renewal as allowed under the terms of the contract. The DBE participation is 36%.

RECOMMENDATION:

It is recommended that the Board approve this first and only renewal to **Drennan Construction** in the total amount of **\$1,988,550.00**.

GENERAL SUPERINTENDENT RECOMMENDATIONS (CONT'D)

January 20, 2016

Page 7

10. AMENDMENT TO AGREEMENT WITH ARCADIS FOR ADDITIONAL DESIGN AND ENGINEERING SERVICES FOR THE CARROLLTON WATER PLANT SYCAMORE FILTER GALLERY STRUCTURAL REPAIRS

Please ratify the amendment to the existing agreement with Arcadis for additional work required to increase the structural integrity of the Sycamore Filter Gallery. On August 5, 2015, the Sewerage and Water Board selected Arcadis to provide design and engineering services for the Carrollton Water Plant in the amount of \$654,000.00. Inspections during the effort has revealed that their design work must be expanded to address additional structural repairs required to ensure proper installation of the filter modifications. This amendment is in the amount of \$136,654.00 bringing the total contract value to \$790,654.00. The cost of these additional services will be funded by Board system funds. The DBE participation goal remains unchanged at 35%.

JRB/J
RJAN16.GSR

**RATIFICATION OF CHANGE ORDER NO. 13 FOR CONTRACT 1350 -
HURRICANE RELATED REPAIRS TO TURBINE 4 AT THE CARROLLTON
WATER PURIFICATION PLANT – POWER COMPLEX**

WHEREAS, the Sewerage and Water Board entered into Contract 1350 with Industrial & Mechanical Contractors, Inc. in the amount of \$12,750,000.00 for FEMA funded repairs to Turbine 4 at the Carrollton Water Purification Plant – Power Complex and

WHEREAS, the Contractor shall complete the work on the Turbine 4 governor controls and hydraulic power unit, replacement of the deteriorated steam/water piping and replacement of existing turbine drains in order to facilitate ongoing plant operations and,

WHEREAS, the Contractor shall be granted two hundred and thirty three (233) additional Contract days to complete the work and,

WHEREAS, this Change Order, in the amount of \$167,058.54, brings the accumulated Contract change order total to \$1,983,663.42 or 15.56% of the original Contract value.

NOW THEREFORE BE IT RESOLVED, the approval of Change Order No. 13 for Contract 1350 is ratified by the Sewerage and Water Board of New Orleans.

I, Cedric S. Grant, Executive Director,
Sewerage and Water Board of New Orleans, do hereby
certify that the above and foregoing is a true
and correct copy of a Resolution adopted at the Regular
Monthly Meeting of said Board, duly called and held,
according to law, on January 20, 2016.

CEDRIC S. GRANT, EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**RATIFICATION OF CHANGE ORDER NO. 8 FOR CONTRACT ME 11-0017 -
EMERGENCY REPAIRS TO PANOLA STREET STATION AT MAIN WATER
PURIFICATION PLANT – POWER COMPLEX**

WHEREAS, the Sewerage and Water Board entered into Contract ME 11-0017 with Lou-Con, Inc. in the amount of \$731,500.00 for FEMA funded repairs to Panola Street Station at the Main Water Purification Plan – Power Complex and

WHEREAS, the Contractor completed the inspection of pump II impeller and seals, the Contractor incurred additional insurance costs, offset by an agreed upon credit, close-out items, etc. as detailed in the Scope of Changes form and,

WHEREAS, this Change Order, in the amount of \$98,944.60, brings the accumulated Contract change order total to \$1,385,810.52, or 189.45% of the original Contract value.

NOW THEREFORE BE IT RESOLVED, the approval of Change Order No. 8 for Contract ME 11-0017 is ratified by the Sewerage and Water Board of New Orleans.

I, Cedric S. Grant, Executive Director,
Sewerage and Water Board of New Orleans, do hereby
certify that the above and foregoing is a true
and correct copy of a Resolution adopted at the Regular
Monthly Meeting of said Board, duly called and held,
according to law, on January 20, 2016.

CEDRIC S. GRANT, EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

RATIFICATION OF CHANGE ORDER NO.1 FOR CONTRACT 2110 - Water Main Line Replacements and Extensions at Scattered Locations throughout Orleans Parish.

WHEREAS, the Sewerage and Water Board of New Orleans entered into Contract 2110 with **Wallace C. Drennan Inc.** in the amount of \$988,150.00, and

WHEREAS, the contractor provided additional services and waterline replacement activities under this Contract, and

WHEREAS, this first Change Order, in the amount of **\$510,173.64**, is **51.63% of the original Contract value.**

NOW THEREFORE BE IT RESOLVED, the approval of Change Order No. 1 for Contract 2110 is ratified by the Sewerage and water Board of New Orleans.

I, Cedric S. Grant, Executive Director, Sewerage and Water Board of New Orleans, do hereby certify that the above and foregoing is a true and correct copy of a resolution adopted at the Regular Meeting of the said Board, duly called and held, according to law, on January 20, 2016.

CEDRIC S. GRANT
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**RATIFICATION OF CHANGE ORDER NO. 2 FOR CONTRACT 8132 -
KATRINA RELATED REPAIRS TO GARAGE #1 AND THE GENERATOR
BUILDING AT CENTRAL YARD**

WHEREAS, the Sewerage and Water Board entered into Contract 8132 with Industrial & Mechanical Contractors, Inc. in the amount of \$1,204,500.00 for FEMA funded repairs to Garage #1 and the Generator Building at Central Yard

WHEREAS, additional damages have been discovered requiring action and the Contractor shall be compensated for additional labor and materials required to replace soffit around all portions of garage 1, replace window frames in addition to the window glass, install door and frame work, replace two water closets, two lavatories and two urinals, including piping modifications, increase the size of the louvers in Garage 1 and the generator building, remove deteriorated existing compressed air piping and accessories and replace with new compressed air piping and accessories, adjust the generator stair landing, and replace the floor in the first floor bathrooms and showers and,

WHEREAS, the Contractor shall be granted thirty six (36) additional Contract Days to complete the work and,

WHEREAS, this Change Order, in the amount of \$176,062.70, brings the accumulated Contract change order total to \$487,565.24, or 40.48% of the original Contract value.

NOW THEREFORE BE IT RESOLVED, the approval of Change Order No. 2 for Contract 8132 is ratified by the Sewerage and Water Board of New Orleans.

I, Cedric S. Grant, Executive Director,
Sewerage and Water Board of New Orleans, do hereby
certify that the above and foregoing is a true
and correct copy of a Resolution adopted at the Regular
Monthly Meeting of said Board, duly called and held,
according to law, on January 20, 2016.

CEDRIC S. GRANT, EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**RATIFICATION OF CHANGE ORDER 2 FOR CONTRACT 30002
RESTORATION OF EXISTING GRAVITY SANITARY SEWER MAIN DAMAGED BY
HURRICANE FOR ESSA II RELATED WORK**

WHEREAS, the Sewerage and Water Board entered into Contract 30002 with BLD Services, LLC in the amount of \$4,042,233.00 for FEMA funded repairs for ESSA related work, and

WHEREAS, the magnitude of the work via additional work added to the contact, along with repairing critical damage point repairs identified in pre-lining videos and coordinating with other projects in the area requires extending the duration of the contract by 455 calendar days to facilitate the continuity of the services described in the contract.

NOW THEREFORE BE IT RESOLVED, that approval of Change Order No. 2 for Contract 30002 is ratified by the Sewerage and Water Board of New Orleans.

I, Cedric S. Grant, Executive Director, Sewerage and Water Board of New Orleans, do hereby certify that the above and foregoing is a true and correct copy of a Resolution adopted at the Regular Monthly meeting of said Board, duly called and held, according to law, on January 20, 2016

CEDRIC S. GRANT, EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**AUTHORIZATION FOR PRESIDENT PRO TEM TO EXECUTE
COOPERATIVE ENDEAVOR AGREEMENT WITH THE CITY OF NEW ORLEANS**

WHEREAS, the Sewerage and Water Board of New Orleans was requested by the City of New Orleans to supply dump trucks, tandem trucks, backhoes, and skid steers as well as experienced operator and supervisors to render solid waste moving and transporting services during a designated special event, which shall include but is not limited to the 2016 Mardi Gras parade season; and

WHEREAS, the cost for manpower and use of equipment and fuel by Sewerage and Water Board of New Orleans under this agreement shall not exceed the amount of \$140,000.00; and

WHEREAS, the City of New Orleans has proposed entering into a Cooperative Endeavor Agreement between the parties in order to memorialize the efforts undertaken by the Sewerage and Water Board of New Orleans and to facilitate the payment by the City of New Orleans for costs incurred by the Board.

NOW THEREFORE, BE IT RESOLVED, that the President Pro Tem is hereby authorized to execute on behalf of the Sewerage and Water Board of New Orleans a Cooperative Endeavor Agreement with the City of New Orleans to supply dump trucks, tandem trucks, backhoes, and skid steers as well as experienced operators and supervisors to render solid-waste moving and transporting services during a designated special event, which shall include but is not limited to the 2016 Mardi Gras parade season for an amount not to exceed \$140,000.00.

I, Cedric S. Grant, Executive Director,
Sewerage and Water Board of New Orleans, do hereby
certify that the above and foregoing is a true and
correct copy of a Resolution adopted at the Regular
Monthly Meeting of said Board, duly called and held,
according to law, on January 20, 2016.

**CEDRIC S. GRANT, EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS**

FURNISHING SODIUM HYPOCHLORITE - REQ. NOS. AL150025 & CM150038

BE IT RESOLVED by the Sewerage and Water Board of New Orleans that three (3) bids were received on December 17, 2015 after advertising according to the Public Bid Law, for Furnishing Sodium Hypochlorite. The low bid was hereby accepted and contract awarded therefore to **DPC Enterprises, L.P.** for furnishing 3,000,000 pounds of sodium hypochlorite to the Carrollton and Algiers Water Plants for the total amount of **\$1,333,500.00.**

I, Cedric S. Grant, Executive Director, Sewerage and Water Board of New Orleans, do hereby certify that the above and foregoing is a true and correct copy of a resolution adopted at the Regular Meeting of the said Board, duly called and held, according to law, on January 20, 2016.

CEDRIC S. GRANT
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

FURNISHING LIQUID POLYPHOSPHATE - REQ. NO. CM150040

BE IT RESOLVED by the Sewerage and Water Board of New Orleans that three (3) bids were received on December 17, 2015 after advertising according to the Public Bid Law, for Furnishing Liquid Polyphosphate. The low bid was hereby accepted and contract awarded therefore to **Sterling Water Technologies, LLC** for furnishing 800,000 pounds of liquid polyphosphate to the Carrollton Water Plant for the total amount of **\$287,600.00.**

I, Cedric S. Grant, Executive Director, Sewerage and Water Board of New Orleans, do hereby certify that the above and foregoing is a true and correct copy of a resolution adopted at the Regular Meeting of the said Board, duly called and held, according to law, on January 20, 2016.

CEDRIC S. GRANT
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

FURNISHING AND DELIVERING SMALL FLEET TRUCKS - REQ. NO. YG160080

BE IT RESOLVED by the Sewerage and Water Board of New Orleans that one bid was received on November 19, 2015 after advertising according to the Public Bid Law, for Furnishing and Delivering Small Fleet Trucks. The bidder took exceptions to the specifications by editing the insurance and indemnification paragraphs. **It is recommended that this bid be rejected.**

I, Cedric S. Grant, Executive Director, Sewerage and Water Board of New Orleans, do hereby certify that the above and foregoing is a true and correct copy of a resolution adopted at the Regular Meeting of the said Board, duly called and held, according to law, on January 20, 2016.

CEDRIC S. GRANT
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**FURNISHING AND INSTALLING AUTOMOTIVE REMANUFACTURED ENGINES AND
RELATED PARTS - REQ. NO. YW150099**

BE IT RESOLVED by the Sewerage and Water Board of New Orleans that two bids were received on November 19, 2015 after advertising according to the Public Bid Law, for Furnishing and Installing Automotive Remanufactured Engines and Related Parts. **It is recommended that all bids be rejected.**

I, Cedric S. Grant, Executive Director, Sewerage and Water Board of New Orleans, do hereby certify that the above and foregoing is a true and correct copy of a resolution adopted at the Regular Meeting of the said Board, duly called and held, according to law, on January 20, 2016.

CEDRIC S. GRANT
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**FURNISHING PEST AND RODENT CONTROL SERVICES FOR THE SEWERAGE AND
WATER BOARD - REQ. NO. YO160019**

BE IT RESOLVED by the Sewerage and Water Board of New Orleans that one (1) bid was received on November 30, 2015 after advertising according to the Public Bid Law, for Furnishing Pest and Rodent Control Services for the Sewerage and Water Board. The bid was hereby accepted and contract awarded therefore to **Imperial Exterminating, Inc.** for the amount of **\$31,092.00.**

I, Cedric S. Grant, Executive Director, Sewerage and Water Board of New Orleans, do hereby certify that the above and foregoing is a true and correct copy of a resolution adopted at the Regular Meeting of the said Board, duly called and held, according to law, on January 20, 2016.

CEDRIC S. GRANT
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**FURNISHING AND DELIVERING GASOLINE AND DIESEL FUEL - REQ. NO.
CM160070**

BE IT RESOLVED by the Sewerage and Water Board of New Orleans that three (3) bids were received on September 24, 2015 after advertising according to the Public Bid Law, for Furnishing and Delivering Gasoline and Diesel Fuel. The low bid was hereby accepted and contract awarded therefore to **Petroleum Traders Corporation** for the total amount of **\$1,063,629.52**.

I, Cedric S. Grant, Executive Director, Sewerage and Water Board of New Orleans, do hereby certify that the above and foregoing is a true and correct copy of a resolution adopted at the Regular Meeting of the said Board, duly called and held, according to law, on January 20, 2016.

CEDRIC S. GRANT
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**FIRST AND FINAL RENEWAL OF CONTRACT 2111 - WATER MAIN POINT
REPAIR, WATER SERVICE CONNECTION, WATER VALVE AND FIRE HYDRANT
REPLACEMENT AT VARIOUS SITES THROUGHOUT ORLEANS PARISH**

WHEREAS, under the provisions of the contract, the Board, with the contractor's concurrence, reserves the right to renew the contract with no increase in the cost of services and no change in terms and conditions; and

WHEREAS, the contractor, **Drennan Construction Company**, desires to exercise its renewal option as allowed under this contract with the total being **\$3,197,190.00** for performing work under Contract 2111.

NOW, THEREFORE, BE IT RESOLVED, that the request of **Drennan Construction Company**, for this first and only renewal, effective **January 1, 2016**, is hereby approved.

I, Cedric S. Grant, Executive Director, Sewerage and Water Board of New Orleans, do hereby certify that the above and foregoing is a true and correct copy of a resolution adopted at the Regular Meeting of the said Board, duly called and held, according to law, on January 20, 2016.

CEDRIC S. GRANT
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

FIRST AND FINAL RENEWAL OF CONTRACT 30009 - RESTORATION OF
EXISTING GRAVITY SEWER MAINS BY EXCAVATION AND REPLACEMENT FROM
MANHOLE TO MANHOLE AT VARIOUS SITES THROUGHOUT ORLEANS PARISH

WHEREAS, under the provisions of the contract, the Board, with the contractor's concurrence, reserves the right to renew the contract with no increase in the cost of services and no change in terms and conditions; and

WHEREAS, the contractor, Drennan Construction Company, desires to exercise its renewal option as allowed under this contract with the total being \$2,088,008.00 for performing work under Contract 30009.

NOW, THEREFORE, BE IT RESOLVED, that the request of Drennan Construction Company, for this first and only renewal, effective January 2, 2016, is hereby approved.

I, Cedric S. Grant, Executive Director, Sewerage and Water Board of New Orleans, do hereby certify that the above and foregoing is a true and correct copy of a resolution adopted at the Regular Meeting of the said Board, duly called and held, according to law, on January 20, 2016.

CEDRIC S. GRANT
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

FIRST AND FINAL RENEWAL OF CONTRACT 30015 - RESTORATION OF
EXISTING GRAVITY SEWER BY POINT REPAIR AND CIPP LINING OF SEWER
MAINS AT VARIOUS SITES THROUGOUT ORLEANS PARISH

WHEREAS, under the provisions of the contract, the Board, with the contractor's concurrence, reserves the right to renew the contract with no increase in the cost of services and no change in terms and conditions; and

WHEREAS, the contractor, Drennan Construction Company, desires to exercise its renewal option as allowed under this contract with the total being \$1,988,550.00 for performing work under Contract 30015.

NOW, THEREFORE, BE IT RESOLVED, that the request of Drennan Construction Company, for this first and only renewal, effective January 20, 2016, is hereby approved.

I, Cedric S. Grant, Executive Director, Sewerage and Water Board of New Orleans, do hereby certify that the above and foregoing is a true and correct copy of a resolution adopted at the Regular Meeting of the said Board, duly called and held, according to law, on January 20, 2016.

CEDRIC S. GRANT
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**RATIFICATION OF AMENDMENT NO. 1 FOR ADDITIONAL DESIGN AND
ENGINEERING SERVICES FOR THE CARROLTON WATER PLANT SYCAMORE
FILTER GALLERY STRUCTURAL REPAIRS**

WHEREAS, on August 5, 2015 the Sewerage and Water Board entered into an agreement with Arcadis in the amount of \$654,000.00 to provide design and engineering services for the Carrolton Water Plant, and

WHEREAS, Inspections during the effort has revealed that their design work must be expanded to address additional structural repairs required to ensure proper installation of the filter modifications, and

WHEREAS, the firm has provide a price of \$136,654.00 to complete the additional design work required, bringing the total contract value to \$790,654.00. The DBE participation goal remains unchanged at 35%.

NOW THEREFORE BE IT RESOLVED, the approval of Amendment No. 1 to this design contract with Arcadis is ratified by the Sewerage and Water Board of New Orleans.

I, Cedric S. Grant, Executive Director,
Sewerage and Water Board of New Orleans, do hereby
certify that the above and foregoing is a true
and correct copy of a Resolution adopted at the Regular
Monthly Meeting of said Board, duly called and held,
according to law, on January 20, 2016.

CEDRIC S. GRANT, EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS



"RE-BUILDING THE CITY'S WATER SYSTEMS FOR THE 21ST CENTURY"

Sewerage & Water Board OF NEW ORLEANS

MITCHELL J. LANDRIEU, President

625 ST. JOSEPH STREET
NEW ORLEANS, LA 70165 • 504-529-2837 OR 52W-ATER
www.swbno.org

January 14, 2016

Governance Committee
Sewerage and Water Board of New Orleans
New Orleans, Louisiana

Dear Directors:

Subject: Executive Director Work Plan 2015 Results

At the beginning of my term as Executive Director in late July 2014, I prepared a *100 Day Implementation Plan* that reflected my recommended priorities for conclusion of initiatives already underway and commencement of initiatives to redirect the work activities of Sewerage and Water Board. This plan was submitted to and accepted by the Board of Directors and Mayor in August 2014. A status update of that plan was presented to the Board in December 2014. The remaining elements of the *100 Day Implementation Plan* served as the basis for my 2015 Work Plan. Attached is a copy of the work plan updated with results.

In addition to the remaining elements of the *100 Day Implementation Plan*, there were several key strategic initiatives that were achieved during 2015:

- **FEMA Settlement**

As a result of direct negotiations held during the past year FEMA, a final settlement was announced in December 2015 for more than \$1.2 billion of previously unobligated recovery funds for roads and subsurface infrastructure. This increased Board obligations by \$129.0 million up to \$811.5 million. This approval of a capped sub-grant permits the funds to be used with flexibility throughout the roadway and subsurface infrastructure systems.

- **Reliability of Power Supply to Water Distribution System**

Following two power supply interruptions which resulted in boil water advisories for the East Bank of Orleans Parish, staff was directed to increase the amount of power generated by Board facilities in order reduce reliance on electricity purchased from Entergy. As a result, there have been additional occasions of disruptions of the public power supply that have not resulted in catastrophic losses in system pressure.

- **Board Structure**

Staff provided research to the newly established Board of Directors and recommended that the previously existing operating committees be ended and new strategic committees be established. Following a planning retreat in April 2015, the Board adopted changes to the Bylaws and the new committees have begun to function. A crosswalk was prepared to define which responsibilities from the previous committees were transferred to the new committees or delegated to management.

- **Organization Structure**

A new organization structure was developed and presented which would create more throughput capacity at the executive management level and align more according to process responsibilities. New executive responsibilities were defined, five new positions were created, and recruitment of the executives was successfully completed in December 2015.

- **Cost Reduction**

Cost reductions of more than \$1 million annually have been achieved during procurement of medical insurance administration, pharmacy benefits administration, life insurance, and property insurance. These cost reductions occurred while maintaining or increasing the value of the services provided. While past cost reductions have occurred as a result of effective procurement activities, future cost reductions will also be driven by process analysis and redesign.

- **Water and Sewer System Revenue Bond Issues**

The water and sewer system capital programs are fully funded for 2016 following issuance of \$200 million in new revenue bonds. It is important to note that the bond rating agencies increased the credit ratings for the bonds at a time when the debt load for the utility nearly doubled. The procurement of underwriter services and the effective marketing of the bonds yielded significant savings in underwriter fees and interest rates.

- **Pension System Improvements**

Employer and employee contribution rates were increased in 2015 to improve the funded ratio for the pension system. The Pension Investment Policy was reviewed and updated. A comprehensive review of investment strategies is underway. These initiatives are necessary to stabilize the existing pension system in advance of a comprehensive study of salaries, medical benefits, and pension benefits in 2016. Staff will be evaluating a possible shift from a defined benefit to a defined contribution pension system.

- **Organization Culture**

Perhaps most importantly, the organization culture has begun to shift from an internal staff focus to an external customer focus while increasing accountability for results. This cultural shift will need to continue and accelerated, led by the executive staff and implementation of a process-based system of management. This system will require more rigorous training, planning, and reporting of performance metrics.

In summary, this marks the conclusion of a remarkable and historic year of successful change at Sewerage and Water Board.

I will be prepared to discuss these matters further at the January 2016 meeting of the Governance Committee in preparation for full consider by the Board of Directors. Likewise, I will be prepared to present my work plan for 2016 at the February 2016 meeting.

Sincerely,



Cedric S. Grant
Executive Director

Sewerage and Water Board of New Orleans

Executive Director Work Plan

Results for 2015

Topic	Commitment	Status	Next Steps
I. ORGANIZATIONAL CHANGE	A. Restructure Board Committee Responsibilities	Completed. New strategic committees have been established in bylaws and begun meeting. Previous operating committees have been terminated.	Establish committee charters and schedule meetings for 2016.
	B. Implement Economic Opportunities Program		
	1. Link S&WB Managers to Economic Opportunity Staff for Recruitment	Completed. STRIVE Program integrated into hiring process.	Continue support of economic opportunity initiatives.
	2. Expand partnership with Delgado Community College	Underway. New partnership initiatives have been commenced.	Continue expansion of partnership initiatives.
	3. Streamline hiring process with Department of Civil Service	Underway. Continuous improvement review of existing process underway in advance of implementation of new software.	Implement interim streamlined measures. Implement new Human Resources and Payroll software.
	4. Implement Management Training Program	On hold. Management training methodology has been piloted.	Review results of pilot and roll out to remainder of organization.
	C. Convene DBE Summit		
	1. USDOT Seminar on DBE Programs	Completed. Strategy developed to synchronize and streamline DBE initiatives.	None.
	2. Align all City DBE Programs	Completed. Strategy for synchronization of initiatives implemented.	None.
	D. Develop Succession Plan Strategy	On hold. Succession planning methodology has been piloted.	Review results of pilot and roll out to remainder of organization.
	E. Implement Management Reorganization Plan	Completed. Five new and one previously vacant executive leadership positions have been filled.	Continue reorganization implementation at senior management level.

Sewerage and Water Board of New Orleans Executive Director Work Plan Results for 2015

Topic	Commitment	Status	Next Steps
II. INFRASTRUCTURE MANAGEMENT INTEGRATION	A. Implement City/S&WB CEA for Coordinated Infrastructure Management	Completed. CEA adopted.	None.
	B. Continue integration of S&WB and DPW Engineering Staff to Deliver Recovery Roads Program	Completed. Engineering staffs fully integrated.	None.
	C. Integrate S&WB and DPW Maintenance Staff to Deliver Coordinated Maintenance	Underway. Development of implementation plan during weekly meetings held to align maintenance.	Develop implementation plan.
	D. Coordinate Infrastructure Financial Management		
	1. FEMA	Completed. Full settlement of DPW and S&WB projects authorized.	Develop FEMA master plan.
	2. S&WB Bond Funds	Completed. Water and sewer capital programs fully funded by Series 2015 proceeds.	None.
	E. Implement opportunities to facilitate, link, and leverage assets		
	1. CZO Stormwater Management Task Force	Completed. Article 23 adopted as part of the Comprehensive Zoning Ordinance.	Implement fee structure with the City for new real estate developments.
	2. NORA and S&WB Collaboration on Green Infrastructure Pilot Projects	Completed.	Monitor and maintain pilot investments.
	3. Implement S&WB Customer Service Centers in Algiers and New Orleans East	Algiers Center complete. New Orleans East Center on hold. Utilization data being gathered.	Determine implementation plan based upon utilization data.
	4. Develop partnership with Public Library to Educate Community on Green Infrastructure	On hold. Pending development of education materials on green infrastructure.	Evaluate education materials and appropriateness for Public Library facilities.

Sewerage and Water Board of New Orleans

Executive Director Work Plan

Results for 2015

Topic	Commitment	Status	Next Steps
III. ENVIRONMENTAL MANAGEMENT INTEGRATION	A. Integration of Green Infrastructure	Underway. Green infrastructure projects bid and awarded.	Continue integration of green infrastructure.
	B. Development and implementation of Stormwater Management Strategies	Underway. Stormwater Manager hired to develop strategies.	Continue development and implementation of stormwater management strategies.
	C. Implementation of Stormwater regulation of the Comprehensive Zoning Ordinance	Underway. Implementation plans developed including fee structure for new developments.	Continue implementation of CZO Article 23.
IV. FINANCIAL INTEGRATION	A. Implementation of the S&WBNO Operating and Capital Budget	Completed.	Determine if deposit into Rate Stabilization Fund is appropriate following completion of annual audit.
	B. Develop Financing plan based on Rockefeller Re-Invest Initiative	In discussions with The Rockefeller Foundation.	Develop financing plan based on Rockefeller Re-Invest Initiative.
	C. Integration of Capital Project Financial Management System with Capital Project System	Underway. Plans for Project Delivery Unit under development.	Reorganize staff to streamline project delivery.
	D. Conduct Public Meetings on Operating and Capital Budget	Completed.	None.

Sewerage and Water Board of New Orleans

Executive Director Work Plan

Results for 2015

Topic V. BUSINESS PROCESS INTEGRATION

Commitment	Status	Next Steps
A. Fully Implement Executive Order MJL 10-05	Completed. Procurement policy updated to reflect executive order.	None.
B. Customer Service Improvements – New Service Centers, New Processes		
1. Water Help	Completed. Methodology streamlined with TCA staff.	None.
2. Plumber Help	On hold.	Streamline methodology with TCA staff.
3. New Billing System	Underway. Implementation planning and training continues.	Monitor targeted go-live issues.
C. Review all contracts and implement standardized procurement strategy for contracts	Underway. Standardization of contract documents initiated but not yet completed.	Complete standardization of contract documents.
D. Settlement of Outstanding Claims	Underway. Payment of outstanding claims proceeding as scheduled.	Complete payment of outstanding claims.
E. Implement Schools Billing Program	Underway. Bills computed and delivered for 2014-2015 school year.	Resolve collection issues. Consider request for legislative changes.
F. Produce Mandated Annual Report	Completed.	Continue production of annual report according to schedule.
A. Conduct Quarterly Reforms Update to the City Council	Completed.	Continue presentation of status of quarterly reforms.
B. Coordinated Federal, State and Local Governmental Liaison with City and other Public Agencies	Completed.	Continue participation in future meetings and initiatives.
C. Establish and enhance partnerships with Federal, State, Local and Private Partners	Completed.	Continue participation in future meetings and initiatives.
D. Coordinate Urban Waters Strategies across all sectors	Underway. Survey completed for community awareness.	Develop communications strategy.
E. Serve as point agency for:		

VI. INTERGOVERNMENTAL INTEGRATION

Sewerage and Water Board of New Orleans

Executive Director Work Plan

Results for 2015

Topic	Commitment	Status	Next Steps
	1. C40 Global Initiative on Climate Change	Completed.	Continue participation in future meetings and initiatives.
	2. Clinton Global Initiative on Infrastructure Finance	Completed.	Continue participation in future meetings and initiatives.
	3. Water Environment Federation	Completed.	Continue participation in future meetings and initiatives.
	4. American Water Work Association	Completed.	Continue participation in future meetings and initiatives.

Sewerage and Water Board of New Orleans

2015 Performance Appraisal of Executive Director

				Exceeds Expectations	Meets Expectations	Does Not Meet Expectations
I. Organizational Change						
Comments						
II. Infrastructure Management Integration						
Comments						
III. Environmental Management Integration						
Comments						
IV. Financial Integration						
Comments						

V. Business Process Integration					
Comments					
VI. Intergovernmental Integration					
Comments					
Other Strategic Initiatives					
Comments					
Overall					
Overall Comments					

Approving Authority

Sewerage and Water Board
Department of Emergency Management
“Preparedness, Mitigation, Response, and Recovery”

FEMA Project Update as of 1-5-16

This data was collected from E.M. Data and Louisianaapa.com on the above reference date

Prepared by: Jason Higginbotham, CEM, LEM-P
 Director of Emergency Management

Hurricane Katrina

Estimated Project Cost	\$ 853,578,989.98
Obligated Amount	\$ 682,498,979.03
Not Eligible PW ¹	\$ 10,896,751.08
Not Eligible Loan PW ²	\$ 71,526,568.73
Insurance Deduction ³	\$ 2,747,339.04
Insurance Settlement ⁴	\$ 2,303,000.00
Version Request ⁵	\$ 72,059,488.92
Appeal Amount ⁶	\$ 0
Close Out Reconciliation ⁷	\$ 0
Submitted Project Cost	\$ 710,261,350.26
Awaiting Obligation ⁸	\$ 11,546,863.18
Total Invoices in Progress at State	\$ 20,020,704.95
Total Paid by State (LAPA Data)	\$ 391,217,179.52
SPS HMGP Grant	\$ 20,082,538.00
Power Plant HMGP GRANT	\$ 141,175,000.00
Power Plant HMGP Amendment 1	\$ 9,620,389.00

¹ Represents the amount that will not result in payments by FEMA on non-100 Million Dollar P.W.'s.

² Represents the amount that will not result in payments by FEMA on 100 Million Dollar P.W.'s.

³ National Flood Insurance deductions.

⁴ Insurance Settlement

⁵ Amount that FEMA is reviewing documentation to adjust scope of work or cost adjustments

⁶ Amount that is currently under appeal or arbitration.

⁷ Amount that will be reconciled when Project Worksheet's are closed out.

⁸ Amount pending obligation in FEMA Million Dollar Queue or Funding Review Queue.

Hurricane Gustav

This data was collected from E.M. Data and Louisianapa.com

Original ESTIMATED PROJECT COST	\$ 750,945.28
PROJECT SUBMITTED AMOUNT	\$ 750,945.28
NFIP Reduction	\$ -
OBLIGATED Amount	\$ 544,884.26
Amount Paid by State	\$ 518,864.38

Hurricane Isaac

This data was collected from E.M. Data and Louisianapa.com

Site	Estimated	Insurance Claim	FEMA Claim	PW Amount
CAT B Emergency Labor/Equipment	\$ 1,217,743.75	\$ -	\$ 1,398,010.67	\$ 1,398,010.67
East Bank Waste Water Treatment Plant	\$ 873,596.00	\$ 367,636.00	\$ 505,960.00	\$ 224,987.37
West Bank Waste Water Treatment Plant	\$ 118,755.00	\$ 38,887.00	\$ 79,868.00	\$ 28,053.10
East Bank Water Plant	\$ 184,556.00	\$ 36,850.00	\$ 174,706.00	\$ 74,678.91
West bank Water Plant	\$ 2,269.74	\$ -	\$ 2,269.74	\$ 2,269.74
Sewer Pumping Stations/DPS	\$ 94,063.80	\$ -	\$ 94,377.90	\$ 80,711.15
Central Yard Roof Repairs	\$ 6,053.60	\$ -	\$ 6,053.60	\$ 6,053.60
Central Yard Fence	\$ 18,836.61		\$ 18,836.61	\$ 18,836.61
Total	\$ 2,515,874.50	\$ 443,373.00	\$ 2,280,082.52	\$ 1,833,601.15

**OFFICE OF GENERAL SUPERINTENDENT
SEWERAGE AND WATER BOARD OF NEW ORLEANS**

JANUARY 20, 2016

To the Honorable President and members of the Sewerage and Water Board of New Orleans:
Gentlemen:

The following report for the month of **December** presented herewith:

Contract 1350 – Industrial & Mechanical Contractors, Inc. – Katrina related repairs to Stream Turbine No. 4 & Auxiliaries at the Main Water Purification Plant Power Complex. This work is approximately 98% complete. (CP Item 175).

Contract 1351 – Lou -Con, Inc. – Repairs to A & B Pumps & Auxiliaries at the Main Water Purification Plant. This work is approximately 98% complete. (CP Item 175).

Contract 1352 – Industrial & Mechanical Contractors, Inc. – Katrina related replacements of Pump Package at the Main Water Purification Power Plant Complex. This work is approximately 99% complete. (CP Item 175).

Contract 1378 – Plant Power Services, LLP – Hurricane Katrina related repairs to Boilers/Duct/Elevator at the Main Water Purification Plant Power Complex. This work is approximately 46% complete. (CP Item 175).

Contract 1382 – Lou -Con, Inc. – Replacement of Media Filters 1A, 1B, 5A & 5B at the Claiborne Filter Gallery at the Main Water Purification Plant. This work is approximately 24% complete. (CP Item 110).

Contract 1387 – New Orleans Metalworks, Inc. – Painting & Repairs of Four 4 Million Gallon Water Storage Tanks at the Main Water Purification Plant. This work is approximately 13% complete. (CP Item 110).

Contract 2098 – Fleming Construction Co., LLC – Water Main Point Repair, Water Service Connection, Water Valve and Fire Hydrant Replacement at Various Sites throughout Orleans Parish. This work is approximately 95% complete. (CP Item 175).

Contract 2103 – Boh Brothers Construction Co., LLC – Replacement of Water Lines damaged by Hurricane Katrina within portions of the Broadmoor, Lakeview, Milneburg, Read Boulevard East & the Lower Ninth Ward Neighborhoods. This work is 100% complete. (CP Item 175).

Contract 2105– Boh Brothers Construction Co., LLC – Replacement of Water Lines damaged by Hurricane Katrina within various roadways in different neighborhoods throughout the City of New Orleans. This work is approximately 66% complete. (CP Item 175).

Contract 2110 – Wallace C. Drennan, Inc. – Water Line Replacement and Extensions. This work is 101% complete. (CP Item 216).

Contract 2111 – Wallace C. Drennan, Inc. – Water Main Point Repair, Water Service Connection, Water Valve & Fire Hydrant Replacement at Various Sites throughout Orleans Parish. This work is 68% complete. (CP Item 175).

Contract 3663 – Lou-Con, Inc. – Hurricane Katrina 404 Hazard Mitigation Grant Program Replacement of Bullard Sewage Pumping Station. This work is approximately 73% complete. (CP Item 340).

Contract 3665 – TKT MJ, Inc. – Hurricane Katrina 404 Hazard Mitigation Grant Program Replacement of DOTD Sewage Lift Station. This work is approximately 45% complete. (CP Item 340).

Contract 3666 – Lou Con, Inc. – Hurricane Katrina 404 Hazard Mitigation Grant Program Replacement of Lake Forest Sewage Pumping Station. This work is approximately 88% complete. (CP Item 340).

Contract 3667 – TKT MJ, Inc. – Hurricane Katrina 404 Hazard Mitigation Grant Program Replacement of Plum Orchard Sewage Lift Station. This work is approximately 75% complete. (CP Item 340).

Contract 3669– Industrial & Mechanical Contractors, Inc. – Hurricane Katrina 404 Hazard Mitigation Grant Program Replacement of Lawrence Sewage Pumping Station #6. This work is approximately 48% complete. (CP Item 340).

Contract 3670 – Lou-Con, Inc. – Hurricane Katrina 404 Hazard Mitigation Grant Program Replacement of Lawrence Sewage Pumping Station. This work is approximately 80% complete. (CP Item 340).

Contract 3675 – F.H. Paschen, S.N. Nielsen & Associates LLC - Katrina related replacement of the Administration Building at the East Bank Waste Water Treatment Plant. This work is 100% complete. (CP Item 375).

Contract 3695 – Fleming Construction Co., LLC – Restoration of existing gravity sewer main damaged by Hurricane Katrina by excavation, replacement & CIPP. This work is approximately 98% complete. (CP Item 375).

Contract 3696 – Blue Flash Sewer Service, Inc. – Cleaning & CCTV Inspection of Sanitary Sewer Mains at Scattered Sites Within Orleans Parish. This work is approximately 100% complete. (CP Item 318).

Contract 3737 – Wallace C. Drennan, Inc. – Carrollton Area Sewer Rehabilitation Project Mistletoe St., 18-Inch Sewer Line Replacement SSERP. This work is approximately 5% complete. (CP Item 317).

Contract 3792 – IMC, Inc. – Central Wetlands Unit Expansion at the EBWWTP. This work is approximately 88% complete. (CP Item 368).

Contract 3794– Industrial & Mechanical Contractors, Inc. – Rehabilitation of Bio-Reactor Train #1 at the EBWTP. This work is approximately 75% complete. (CP Item 348).

Contract 3795– IMC, Inc. – Modifications to Return Activated Sludge PS & Pipeline at the EBSTP. This work is approximately 30% complete. (CP Item 348).

Contract 3986– BLD Services, LLC – Ninth Ward Area Sewer Rehabilitation-Sewer Rehabilitation No. 5. This work is approximately 57% complete. (CP Item 317).

Contract 5203 – F.H. Paschen, S.N. Nielsen & Associates LLC - DPS No. 14 Trash Screen & Cleaner Replacement. This work is 100% complete. (CP Item 511).

Contract 5221 – Lou -Con, Inc. – Hurricane Katrina related repairs to Ponchartrain Drainage Underpass Pumping Station. This work is 100% complete. (CP Item 575).

Contract 5222 – Lou -Con, Inc. – Hurricane Katrina related repairs to Canal Boulevard Underpass Pumping Station. This work is 100% complete. (CP Item 575).

Contract 5223 – Lou -Con, Inc. – Hurricane Katrina related repairs to St. Bernard Avenue Drainage Underpass Pumping Station. This work is 100% complete. (CP Item 575).

Contract 5226 – Lou -Con, Inc. – Hurricane Katrina related repairs to Franklin Avenue Drainage Underpass Pumping Station. This work is 100% complete. (CP Item 575).

Contract 6248 – Walter J. Barnes Electric Co., Inc. – Installation of Two 60- Hertz Feeders from the Carrollton Water Plant to Drainage Pumping Station No. 1. This work is approximately 97% complete. (CP Item 676).

Contract 6252 – Frischhertz Electric Co., Inc. – HMGP Installation of Transformers T2 & T3, Construction of the New Electrical Duct Bank at Carrollton Water Plant. This work is approximately 95% complete. (CP Item 624).

Contract 8132– Industrial & Mechanical Contractors, Inc. - Hurricane Katrina related repairs to Central Yard Garage 1/Generator and Power Room. This work is approximately 76% complete. (CP Item 175).

Contract 8136 – Courseault Construction, Inc. – Hurricane Katrina related Construction of Central Yard Site Relocation Facility. This work is 100% complete. (CP Item 175).

Contract 8139 – W.L. Wymann Construction Co., Inc. – Re-bid to replace the Central Yard Annex Building damaged during Hurricane Katrina. This work is 100% complete. (CP Item 175).

Contract 8142 – Fleming Construction Co., LLC – Re-paving Open Cuts in Streets, Driveways, Sidewalks resulting from the repair to the Sewerage and Water Board of New Orleans Underground Utilities. This work is approximately 88% complete. (CP Item 175).

Contract 8143 – Hamp’s Construction – Hurricane Katrina related repairs to Central Yard Garage 2/Building & Roof. This work is approximately 46% complete. (CP Item 175).

Contract 30002 – BLD Services, Inc. – Restoration of existing Gravity Sewer Mains damaged by Hurricane Katrina by excavation & replacement from Manhole to Manhole, CIPP Lining from Manhole to Manhole & Point Repairs at various sites throughout Orleans Parish. This work is approximately 96% complete. (CP Item 375).

Contract 30003 – BLD Services, Inc. – Restoration of existing Gravity Sewer Mains damaged by Hurricane Katrina by excavation & replacement from Manhole to Manhole, CIPP Lining from Manhole to Manhole & Point Repairs at various sites throughout Orleans Parish. This work is approximately 97% complete. (CP Item 375).

Contract 30004 – Fleming Construction Co., LLC – Restoration of existing Gravity Sewer Mains damaged by Hurricane Katrina by excavation & replacement from Manhole to Manhole, CIPP Lining from Manhole to Manhole & Point Repairs at various sites throughout Orleans Parish. This work is approximately 96% complete. (CP Item 375).

Contract 30005 – Wallace C. Drennan, Inc. – Restoration of existing Gravity Sewer Mains damaged by Hurricane Katrina by excavation & replacement from Manhole to Manhole, CIPP Lining from Manhole to Manhole & Point Repairs at various sites throughout Orleans Parish. This work is approximately 83% complete. (CP Item 375).

Contract 30006 – Boh Brothers Construction Co., LLC – Restoration of existing Gravity Sewer Mains damaged by Hurricane Katrina by excavation & replacement from Manhole to Manhole, CIPP Lining from Manhole to Manhole & Point Repairs at various sites throughout Orleans Parish. This work is approximately 87% complete. (CP Item 375).

Contract 30009 – Wallace C. Drennan, Inc. – Restoration of existing Gravity Sewer Mains damaged by Hurricane Katrina by excavation & replacement from Manhole to Manhole, CIPP Lining from Manhole to Manhole & Point Repairs at various sites throughout Orleans Parish. This work is approximately 87% complete. (CP Item 375).

Contract 30014 – CES – Cleaning & CCTV Inspection. This work is approximately 13% complete. (CP Item 375).

Contract 30015 – Wallace C. Drennan, Inc. – Restoration of existing Gravity Sewer Mains by Point Repair & CIPP Lining of Sewer Mains. This work is approximately 97% complete. (CP Item 375).

CURRENT EMERGENCY BID CONTRACTS

Reconstruction of the Existing Florida Avenue Canal between Spain Street and Music Street (Contract 4188)

Bids were received on Friday, January 17, 2014.

Contractor: Cajun Constructors, Inc.

Amount: \$2,216,000.00

Pre-Construction Meeting: To be determined.

% Complete 99%

Notice to Proceed was issued on February 7, 2014.

2014 Off-Site Rewind/Refurbish Motor for the 2250HP, 6600V, 3-PHASE, 25HZ, Synchronous Drive Motor for Panola Pumping Station Pump No. 1

Bids were received on Friday, July 11, 2014.

Contractor: Bollinger Armature, Services, LLC

Amount: \$338,000.00

% Complete 98%

Notice to Proceed was issued on July 16, 2014.

2015 Inspection of Steam Turbine #3 at Carrollton Water Plant

Bids were received on Friday, October 2, 2015.

Contractor: Industrial & Mechanical Contractors, Inc.

Amount: \$539,655.00

% Complete 99%

Notice to Proceed was issued on October 2, 2015.

PLUMBING DEPARTMENT

Submitted herewith, you will find my monthly report for work performed by the Plumbing Department;

There were 242 Plumbing, and 83 Backflow permits issued during the month of **December**.

This department complied with 556 requests for Plumbing Inspections, which consists of inspections conducted with Licensed Plumbing Contractors, Property Owners, Tenants, Department of Health, and Hospitals Sanitarians, and Environmental Inspectors.

For your information, the following numbers are of the permits issued, and inspections conducted.

	<u>Oct</u>	<u>Nov</u>	<u>2015 Dec</u>	<u>2014 Dec</u>	<u>YTD 2015</u>
Plumbing Permits Issued	260	177	242	350	
Backflow permits Issued	153	114	083	010	
	413	291	325	314	4177
Inspections Conducted					
Water	390	306	353	448	
Special	131	065	043	217	
Final	151	141	160	253	
Environmental	0	1	0	0	
Totals	672	513	556	918	9550

RAINFALL FOR DECEMBER 2015

The rainfall for the month of **December** was **6.76"** compared to the 122-year average for the month of **December** was **4.67"**. The cumulative rainfall through the Twelfth month of the year was **56.03"** compared to the 122-year average of **59.05"**.

AVERAGE DAILY PUMPAGE FOR THE MONTH OF DECEMBER

New Orleans Side
Algiers Side

125.266 Million Gallons Per Day
9.33 Million Gallons Per Day

Respectfully submitted,



JOSEPH R. BECKER
GENERAL SUPERINTENDENT

JRB/zfg
GENSUP%1-20-16

**SEWERAGE AND WATER BOARD OF NEW ORLEANS
OFFICE OF SPECIAL COUNSEL**

January 20, 2016

**To the Honorable President and Members of the
Sewerage and Water Board of New Orleans:**

The following represents Legal Department activities during the month of **December 2015**.

CONTRACTS EXECUTED BEFORE SPECIAL COUNSEL:

None.

EMPLOYEE GARNISHMENTS:

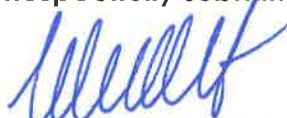
None.

CIVIL SUITS FILED AGAINST BOARD:

New:

K&B LOUISIANA CORPORATION D/B/A RITE AID CORPORATION V. SEWERAGE AND WATER BOARD OF NEW ORLEANS, No. 15-11394, Civil District Court. In this case, plaintiff sued judgment for damages to property at 3401 St. Charles Avenue allegedly sustained due to SELA activities.

Respectfully submitted,



**NOLAN P. LAMBERT
SPECIAL COUNSEL**

NPL:mkt

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Sewerage and Water Board of New Orleans

Summary of Financial Results

Through November 30, 2015

Prior Year Variances	Water	Sewer	Drainage
Revenues	7,090,312	8,416,715	48,482
Operating Expenses	10,729,718	7,100,162	5,861,764
Non-Operating Revenues and Expenses	1,196,203	1,296,919	5,152,320
Income before Capital Contributions	(2,443,203)	2,613,472	(660,962)

Budget Variances	Water	Sewer	Drainage
Revenues	(1,430,144)	(1,330,843)	949,036
Operating Expenses	(1,985,652)	(5,321,768)	(8,455,509)
Non-Operating Revenues and Expenses	462,171	2,305,629	4,814,335
Income before Capital Contributions	1,017,679	6,296,554	14,218,880

Days of Cash	Water	Sewer	Drainage
	137.4	241.3	320.2

Projected Debt Service Coverage Times	Water	Sewer	Drainage
	2.15	2.5	Not Applicable



SEWERAGE AND WATER BOARD OF NEW ORLEANS

Finance and Administration Committee
Sewerage and Water Board of New Orleans
New Orleans, Louisiana

Re: Financial Results through November 2015

Attached are the *Statement of Net Position* and the *Statement of Revenues, Expenses, and Changes in Net Position* with budget and prior year comparisons for the water, sewer, drainage and total systems through November 2015. The Variance Indicators for Financial Results through November 2015 and the *Statement of Cash Flows* are also attached.

Operating Revenues:

Water System Fund (pages 7 and 8, line 5) for November of \$6,548,173 is \$357,056 or 5.2% less than budgeted and \$853,187 or 15.0% more than November 2014. November YTD operating revenues of \$74,527,366 is \$1,430,144 or 1.9% less than budgeted and \$7,090,312 or 10.5% more than November YTD 2014.

Sewer System Fund (pages 13 and 14, line 5) for November of \$7,710,936 is \$410,730 or 5.1% less than budgeted and \$838,676 or 12.2% more than November 2014. November YTD operating revenues of \$88,007,479 is \$1,330,843 or 1.5 less than budgeted and \$8,416,715 or 10.6% more than November YTD 2014.

Drainage System Fund (pages 19 and 20, line 5) for November of -\$2,480,272 is -\$2,480,272 or 100.0% less than budgeted and \$2,723,938 or 1117.9% less than for November 2014. November YTD operating revenues of \$949,036 is \$949,036 or 100.0% more than budgeted and \$48,482 or 5.4% more than November YTD 2014. The month to date and year to date budget and actual variances are due to reclassification of the proceeds from a lawsuit from operating to non-operating income.

Total System Funds (pages 1 and 2, line 5) for November of \$11,778,837 are \$3,248,057 or 21.6% less than budgeted and \$1,032,075 or 8.1% less than November 2014. November YTD operating revenues of \$163,483,881 is \$1,811,951 or 1.1% less than budgeted and \$15,555,509 or 10.5% more than November YTD 2014.

Operating Expenses:

Water System Fund (pages 7 and 8, line 18) for November of \$8,334,047 is \$517,190 or 6.6% more than budgeted and \$2,076,431 or 33.2% more than November 2014. November YTD operating expenses of \$83,999,774 is \$1,985,651 or 2.3% less than budgeted and \$10,729,718 or 14.6% more than November YTD 2014.

Sewer System Fund (pages 13 and 14, line 18) for November of \$4,820,769 is \$1,261,524 or 20.7% less than budgeted and \$284,117 or 6.3% more than November 2014. November YTD operating expenses of \$61,583,458 is \$5,321,768 or 8.0% less than budgeted and \$7,100,162 or 13.0% more than November YTD 2014.

Drainage System Fund (pages 19 and 20, line 18) for November of \$4,299,904 is \$570,535 or 11.7% less than budgeted and \$883,882 or 25.9% more than November 2014. November YTD operating expenses of \$45,119,325 is \$8,455,509 or 15.8% less than budgeted and \$5,861,764 or 14.9% more than November YTD 2014.



SEWERAGE AND WATER BOARD OF NEW ORLEANS

Total System Funds (pages 1 and 2, line 18) for November of \$17,454,720 are \$1,314,870 or 7.0% less than budgeted and \$3,244,430 or 22.8% more than November 2014. November YTD operating expenses of \$190,702,557 is \$15,762,928 or 7.6% less than budgeted and \$23,691,644 is 14.2% more than November YTD 2014.

Net Non-Operating Revenues:

Water System Fund (pages 7 and 8, line 28) for November of \$32,894 is \$21,903 or 199.3% more than budgeted and \$61,551 or 65.2% less than November 2014. November YTD net non-operating revenues of \$583,074 are \$462,171 or 382.3% more than budgeted and \$1,196,203 or 195.1% more than November YTD 2014.

Sewer System Fund (pages 13 and 14, line 28) for November of \$5,413 is \$184,298 or 103.0% more than budgeted and \$44,978 or 89.3% less than November 2014. November YTD net non-operating revenues of \$337,892 are \$2,305,629 or 117.2% more than budgeted and \$1,296,919 or 135.2% more than November YTD 2014.

Drainage System Fund (pages 19 and 20, line 28) for November of \$3,078,711 is \$3,041,499 or 8173.4% more than budgeted and \$2,403,631 or 356.1% more November 2014. November YTD net non-operating revenues of \$52,446,023 are \$4,814,335 or 10.1% more than budgeted and \$5,152,320 are 10.9% more than November YTD 2014. The month to date and year to date budget and actual variances are due to reclassification of the proceeds from a lawsuit from operating to non-operating income.

Total System Funds (pages 1 and 2, line 28) for November of \$3,117,018 is \$3,247,700 or 2485.2% more than budgeted and \$2,297,102 or 280.2% more than November 2014. November YTD net non-operating revenues of \$53,366,989 are \$7,582,135 or 16.6% more than budgeted and \$7,645,442 or 16.7% more than November YTD 2014.

Income Before Contributions in Aid of Construction:

Water System Fund (pages 7 and 8, line 29) for November of -\$1,752,980 is \$852,342 or 94.6% less than budgeted and \$1,284,795 or 274.4% less than November 2014. November YTD income before capital contributions of -\$8,889,334 is \$1,017,678 or 10.3% more than budgeted and \$2,443,203 or 37.9% less than November YTD 2014.

Sewer System Fund (pages 13 and 14, line 29) for November of \$2,895,580 is \$1,035,093 or 55.6% more than budgeted and \$509,581 or 21.4% more than November 2014. November YTD income before capital contributions of \$26,761,913 is \$6,296,553 or 30.8% more than budgeted and \$2,613,472 or 10.8% more than November YTD 2014.

Drainage System Fund (pages 19 and 20, line 29) for November of -\$3,701,465 is \$1,131,762 or 23.4% more than budgeted and \$1,204,189 or 48.2% less than November 2014. November YTD income before capital contributions of \$8,275,734 is \$14,218,880 or 239.2% more than budgeted and \$660,962 or 7.4% less than November YTD 2014.

Total System Funds (pages 1 and 2, line 29) for November of -\$2,558,865 is \$1,314,513 or 33.9% more than budgeted and \$1,979,403 or 341.6% less than November 2014. November YTD income before capital contributions of \$26,148,313 is \$21,533,111 or 466.6% more than budgeted and \$490,693 or 1.8% less than November YTD 2014.



SEWERAGE AND WATER BOARD OF NEW ORLEANS

The balances of funds from the Series 2014 bond proceeds available for capital construction as of November 30, 2015 are:

	Water	Sewer	Total
Original Balance	\$38,991,222.23	\$4,075,665.87	\$43,066,888.10
Less Disbursements	(1,000,000.00)	(1,775,000.00)	(2,775,000.00)
Plus Reimbursements	1,034,682.06	307,573.91	1,342,255.97
Plus Income	32,077.92	2,450.81	34,528.73
Ending Balance	\$39,057,982.21	\$2,610,690.59	\$41,668,672.80

The days-of-cash at November 30, 2015 were 137.4 for the water system, 241.3 for the sewer system, and 320.2 for the drainage system. The days-of-cash for the water system will increase in December as a result of a large requisition of bond funds to reimburse previously expended operating reserves on capital projects.

The projected coverage for the year ending December 31, 2015, based upon financial results through November 30, 2015, is 2.15 times for the water system and 2.50 times for the sewer system.

Certification. In connection with the accompanying monthly financial report of the Sewerage and Water Board of New Orleans for the period ended November 30, 2015, I hereby certify that, to my knowledge, the information contained in the report fairly presents, in all material respects, the financial condition and results of the Board.

Robert K. Miller
Deputy Director

SEWERAGE AND WATER BOARD OF NEW ORLEANS
ALL SYSTEM FUNDS
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH PRIOR YEAR COMPARISONS
NOVEMBER 2015

	A	B	C	D	E	F	G	H
	MTD	MTD	MTD	%	YTD	YTD	YTD	%
	Actual	Prior Year	Variance		Actual	Prior Year	Variance	
Operating revenues:								
1 Sales of water and delinquent fees	6,265,591	5,485,637	779,954	14.2%	71,196,321	64,425,109	6,771,212	10.5%
2 Sewerage service charges and del fees	7,652,720	6,788,756	863,964	12.7%	87,307,819	78,749,813	8,558,006	10.9%
3 Plumbing inspection and license fees	48,200	65,730	(17,530)	-26.7%	556,818	626,100	(69,282)	-11.1%
4 Other revenues	(2,187,674)	470,789	(2,658,463)	-564.7%	4,422,923	4,127,350	295,573	7.2%
5 Total operating revenues	11,778,837	12,810,912	(1,032,075)	-8.1%	163,483,881	147,928,372	15,555,509	10.5%
Operating Expenses:								
6 Power and pumping	1,208,748	1,305,597	(96,849)	-7.4%	11,473,278	11,734,962	(261,684)	-2.2%
7 Treatment	788,528	1,646,626	(858,098)	-52.1%	16,245,617	17,507,737	(1,262,120)	-7.2%
8 Transmission and distribution	2,511,193	2,259,577	251,616	11.1%	28,251,199	22,508,942	5,742,257	25.5%
9 Customer accounts	415,375	400,786	14,589	3.6%	3,335,580	3,204,815	130,765	4.1%
10 Customer service	430,341	335,239	95,102	28.4%	3,430,608	3,348,567	82,041	2.5%
11 Administration and general	1,443,939	1,406,195	37,744	2.7%	15,845,259	15,833,886	11,373	0.1%
12 Payroll related	3,233,371	1,170,720	2,062,651	176.2%	33,678,446	31,688,039	1,990,407	6.3%
13 Maintenance of general plant	2,361,427	2,206,184	155,243	7.0%	23,303,003	24,071,235	(768,232)	-3.2%
14 Depreciation	4,679,821	3,074,342	1,605,479	52.2%	51,478,031	33,817,762	17,660,269	52.2%
15 Amortization	-	-	-	0.0%	-	-	-	0.0%
16 Provision for doubtful accounts	185,403	165,235	20,168	12.2%	2,039,433	1,817,585	221,848	12.2%
17 Provision for claims	196,574	239,789	(43,215)	-18.0%	1,622,103	1,477,383	144,720	9.8%
18 Total operating expenses	17,454,720	14,210,290	3,244,430	22.8%	190,702,557	167,010,913	23,691,644	14.2%
19 Operating income (loss)	(5,675,883)	(1,399,378)	(4,276,505)	305.6%	(27,218,676)	(19,082,541)	(8,136,135)	42.6%
Non-operating revenues (expense):								
20 Two-mill tax	-	-	-	0.0%	2,339	1,157	1,182	102.2%
21 Three-mill tax	-	1,157	(1,157)	-100.0%	13,982,639	13,397,897	584,742	4.4%
22 Six-mill tax	-	191,057	(191,057)	-100.0%	14,132,600	13,541,479	591,121	4.4%
23 Nine-mill tax	-	193,118	(193,118)	-100.0%	21,183,906	20,298,124	885,782	4.4%
24 Interest income	40,905	434,008	(393,103)	-90.6%	694,676	583,105	111,571	19.1%
25 Other Income	3,074,113	276	3,073,837	1113709.1%	3,362,398	283,796	3,078,602	1084.8%
26 Interest expense	-	-	-	0.0%	-	(2,385,511)	2,385,511	-100.0%
27 Operating and maintenance grants	2,000	300	1,700	566.7%	8,431	1,500	6,931	462.1%
28 Total non-operating revenues	3,117,018	819,916	2,297,102	280.2%	53,366,989	45,721,547	7,645,442	16.7%
29 Income before capital contributions	(2,558,865)	(579,462)	(1,979,403)	341.6%	26,148,313	26,639,006	(490,693)	-1.8%
30 Capital contributions	5,432,131	6,377,251	(945,120)	-14.8%	35,361,544	43,320,922	(7,959,378)	-18.4%
31 Change in net position	2,873,266	5,797,789	(2,924,523)	-50.4%	61,509,857	69,959,928	(8,450,071)	-12.1%
32 Net position, beginning of year					1,968,799,361	1,816,132,598	152,666,763	8.4%
33 Net position, end of year					2,030,309,218	1,886,092,526	144,216,692	7.6%

SEWERAGE AND WATER BOARD OF NEW ORLEANS
ALL SYSTEM FUNDS
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH BUDGET COMPARISONS
NOVEMBER 2015

	A	B	C	D	E	F	G	H
	MTD Actual	MTD Budget	MTD Variance	%	YTD Actual	YTD Budget	YTD Variance	%
Operating revenues:								
1 Sales of water and delinquent fees	6,265,591	6,609,060	(343,469)	-5.2%	71,196,321	72,699,658	(1,503,337)	-2.1%
2 Sewerage service charges and del fees	7,652,720	8,070,794	(418,074)	-5.2%	87,307,819	88,778,733	(1,470,914)	-1.7%
3 Plumbing inspection and license fees	48,200	53,586	(5,386)	-10.1%	556,818	589,448	(32,630)	-5.5%
4 Other revenues	(2,187,574)	293,454	(2,481,128)	-845.5%	4,422,923	3,227,993	1,194,930	37.0%
5 Total operating revenues	11,778,837	15,026,894	(3,248,057)	-21.6%	163,483,881	165,295,832	(1,811,951)	-1.1%
Operating Expenses:								
6 Power and pumping	1,208,748	1,121,475	87,274	7.8%	11,473,278	12,336,220	(862,942)	-7.0%
7 Treatment	788,528	1,727,477	(938,949)	-54.4%	16,245,617	19,002,250	(2,756,633)	-14.5%
8 Transmission and distribution	2,511,193	2,806,939	(295,746)	-10.5%	28,251,199	30,876,334	(2,625,135)	-8.5%
9 Customer accounts	415,375	287,327	128,048	44.6%	3,335,580	3,160,596	174,984	5.5%
10 Customer service	430,341	375,995	54,346	14.5%	3,430,608	4,135,950	(705,342)	-17.1%
11 Administration and general	1,443,939	3,203,992	(1,760,053)	-54.9%	15,845,259	35,243,908	(19,398,649)	-55.0%
12 Payroll related	3,233,371	2,362,837	870,534	36.8%	33,678,446	25,991,205	7,687,241	29.6%
13 Maintenance of general plant	2,361,427	2,774,663	(413,236)	-14.9%	23,303,003	30,521,295	(7,218,292)	-23.7%
14 Depreciation	4,679,821	3,885,847	793,974	20.4%	51,478,031	42,744,315	8,733,716	20.4%
15 Amortization	-	-	-	0.0%	-	-	-	0.0%
16 Provision for doubtful accounts	185,403	-	185,403	0.0%	2,039,433	-	2,039,433	0.0%
17 Provision for claims	196,574	223,038	(26,464)	-11.9%	1,622,103	2,453,413	(831,310)	-33.9%
18 Total operating expenses	17,454,720	18,769,590	(1,314,870)	-7.0%	190,702,557	206,465,485	(15,762,928)	-7.6%
19 Operating income (loss)	(5,675,883)	(3,742,696)	(1,933,188)	51.7%	(27,218,676)	(41,169,652)	13,950,976	-33.9%
Non-operating revenues (expense):								
20 Two-mill tax	-	-	-	0.0%	2,339	-	2,339	0.0%
21 Three-mill tax	-	-	-	0.0%	13,982,639	13,357,609	625,030	4.7%
22 Six-mill tax	-	-	-	0.0%	14,132,600	13,500,746	631,854	4.7%
23 Nine-mill tax	-	-	-	0.0%	21,183,906	20,364,000	819,906	4.0%
24 Interest income	40,905	-	40,905	0.0%	694,676	-	694,676	0.0%
25 Other income	3,074,113	131,095	2,943,018	2245.0%	3,362,398	1,442,045	1,920,353	133.2%
26 Interest expense	-	(261,777)	261,777	-100.0%	-	(2,879,546)	2,879,546	-100.0%
27 Operating and maintenance grants	2,000	-	2,000	0.0%	8,431	-	8,431	0.0%
28 Total non-operating revenues	3,117,018	(130,682)	3,247,700	-2485.2%	53,366,989	45,784,854	7,582,135	16.6%
29 Income before capital contributions	(2,558,865)	(3,873,378)	1,314,513	-33.9%	26,148,313	4,615,202	21,533,111	466.6%
30 Capital contributions	5,432,131	-	5,432,131	0.0%	35,361,544	-	35,361,544	0.0%
31 Change in net position	2,873,266	(3,873,378)	6,746,644	-174.2%	61,509,857	4,615,202	56,894,655	1232.8%
32 Net position, beginning of year	-	-	-	-	1,968,799,361	1,816,132,598	152,666,763	8.4%
33 Net position, end of year	-	-	-	-	2,030,309,218	1,810,189,452	220,119,766	12.2%

SEWERAGE AND WATER BOARD OF NEW ORLEANS
ALL SYSTEM FUNDS

STATEMENTS OF NET POSITION
WITH PRIOR YEAR AND BEGINNING OF YEAR COMPARISONS
NOVEMBER 2015

Assets											
Noncurrent assets:											
		Prior Year	Variance	B	C	D	E	F	G		
					%	Current Year	Variance	%	Beginning of Year		
1	Property, plant and equipment	2,998,467,681	227,983,127		7.6%	3,226,450,808	77,383,419	2.5%	3,149,067,389	1	
2	Less: accumulated depreciation	817,400,318	63,145,820		7.7%	880,546,138	51,478,030	6.2%	829,068,108	2	
3	Property, plant, and equipment, net	2,181,067,363	164,837,307		7.6%	2,345,904,670	25,905,389	1.1%	2,319,999,281	3	
Restricted cash, cash equivalents, and investments											
4	Cash and cash equivalents restricted for capital projects	109,058,405	(52,496,683)		-48.1%	56,561,722	(36,840,733)	-39.4%	93,402,475	4	
5	Debt service reserve	42,443,794	21,003,729		49.5%	63,447,523	33,600,564	105.7%	30,846,959	5	
6	Health insurance reserve	2,327,500	(350,001)		-15.0%	1,977,499	-	0.0%	1,977,499	6	
7	Total restricted cash, cash equivalents, and investments	133,829,699	(31,842,955)		-20.7%	121,986,744	(4,240,189)	-3.4%	126,226,933	7	
Designated cash, cash equivalents, and investments											
8	Cash and cash equivalents designated for capital projects	28,672,950	16,610,495		57.9%	45,283,445	23,033,788	103.5%	22,249,657	8	
9	Customer deposits	10,677,049	470,284		4.4%	11,147,333	445,478	4.2%	10,701,855	9	
10	Other	3,647,355	362,411		9.9%	4,009,766	10,495	0.3%	3,999,271	10	
11	Total designated cash and cash equivalents, and investments	42,997,354	17,443,190		40.6%	60,440,544	23,489,761	63.6%	36,950,783	11	
Current assets:											
Unrestricted and undesignated											
Cash and cash equivalents											
12		26,977,449	11,623,358		43.1%	38,602,807	(275,389)	-0.7%	38,878,196	12	
Accounts receivable:											
Customers (net of allowance for doubtful accounts)											
13		16,138,343	1,944,808		12.1%	18,083,151	1,731,870	10.6%	16,351,281	13	
14	Taxes	7,998,106	(113,852)		-1.4%	7,884,254	(246,693)	-3.0%	8,130,947	14	
15	Interest	115	(57)		-49.6%	58	58	0.0%	-	15	
16	Grants	18,731,408	22,354,330		119.3%	41,085,738	(3,160,416)	-7.1%	44,246,154	16	
17	Miscellaneous	1,486,323	4,772,701		321.1%	6,259,024	3,856,798	160.6%	2,402,226	17	
18	Due from enterprise fund	-	-		0.0%	-	-	0.0%	-	18	
19	Inventory of supplies	6,935,599	(1,468,498)		-21.2%	5,467,101	-	0.0%	5,467,101	19	
20	Prepaid expenses	976,007	(73,290)		-7.5%	902,717	-	0.0%	902,717	20	
21	Total unrestricted current assets	79,243,350	39,041,500		49.3%	118,284,850	1,906,228	1.6%	116,378,622	21	
Other assets:											
22	Bond issue costs	-	-		0.0%	-	-	0.0%	-	22	
23	Deposits	51,315	-		0.0%	51,315	-	0.0%	51,315	23	
24	Total other assets	51,315	-		0.0%	51,315	-	0.0%	51,315	24	
25	TOTAL ASSETS	2,457,189,081	189,479,042		7.7%	2,646,668,123	47,061,189	1.8%	2,599,606,934	25	
Deferred outflows or resources:											
26	Deferred charge on refunding	4,503,560	(196,166)		-4.4%	4,307,394	-	0.0%	4,307,394	26	
27	TOTAL DEFERRED OUTFLOWS OF RESOURCES	4,503,560	(196,166)		-4.4%	4,307,394	-	0.0%	4,307,394	27	
28	TOTAL ASSETS AND TOTAL DEFERRED OUTFLOWS	2,461,692,641	189,282,876		3.4%	2,650,975,517	47,061,189	1.8%	2,603,914,328	28	

SEWERAGE AND WATER BOARD OF NEW ORLEANS
ALL SYSTEM FUNDS
STATEMENTS OF NET POSITION
WITH PRIOR YEAR COMPARISONS
NOVEMBER 2015

	A		B		C		D		E		F		G	
	Prior Year	Variance	%	Current Year	Variance	%	Beginning of Year	%	Beginning of Year	%	Beginning of Year	%	Beginning of Year	%
NET ASSETS AND LIABILITIES														
Net position														
1 Net investments in capital assets	1,906,517,079	133,390,976	7.0%	2,039,908,055	26,847,678	1.3%	2,013,060,377	1.3%	2,013,060,377	1.3%	2,013,060,377	1.3%	2,013,060,377	1.3%
2 Restricted for Debt Service	40,564,731	22,883,062	56.4%	63,447,793	32,600,834	105.7%	30,846,959	105.7%	30,846,959	105.7%	30,846,959	105.7%	30,846,959	105.7%
3 Unrestricted	(60,989,244)	(12,017,346)	19.8%	(73,046,630)	(2,061,350)	-2.7%	(75,107,980)	-2.7%	(75,107,980)	-2.7%	(75,107,980)	-2.7%	(75,107,980)	-2.7%
4 Total net position	1,886,092,566	144,216,692	7.6%	2,030,309,218	61,509,962	3.1%	1,968,799,350	3.1%	1,968,799,350	3.1%	1,968,799,350	3.1%	1,968,799,350	3.1%
Long-term liabilities														
5 Claims payable	1,913,607	680,547	35.6%	2,594,154	-	0.0%	2,594,154	0.0%	2,594,154	0.0%	2,594,154	0.0%	2,594,154	0.0%
6 Net pension obligation	19,377,913	3,480,993	18.0%	22,858,906	4,981,846	27.9%	17,875,060	27.9%	17,875,060	27.9%	17,875,060	27.9%	17,875,060	27.9%
7 Other postretirement benefits liability	60,925,812	5,256,084	8.6%	66,181,896	4,973,151	8.1%	61,208,745	8.1%	61,208,745	8.1%	61,208,745	8.1%	61,208,745	8.1%
8 Bonds payable (net of current maturities)	306,787,727	(13,107,823)	-4.3%	293,679,904	-	0.0%	293,679,904	0.0%	293,679,904	0.0%	293,679,904	0.0%	293,679,904	0.0%
9 Special Community Disaster Loan Payable	-	-	0.0%	-	-	0.0%	-	0.0%	-	0.0%	-	0.0%	-	0.0%
10 Southeast Louisiana Project liability	29,391,071	37,264,905	126.8%	66,655,976	-	0.0%	66,655,976	0.0%	66,655,976	0.0%	66,655,976	0.0%	66,655,976	0.0%
11 Debt Service Assistance Fund Loan payable	65,879,035	(4,225,896)	-6.4%	61,653,139	-	0.0%	61,653,139	0.0%	61,653,139	0.0%	61,653,139	0.0%	61,653,139	0.0%
12 Total long-term liabilities	484,275,165	29,348,810	6.1%	513,623,975	9,956,967	2.0%	503,666,978	2.0%	503,666,978	2.0%	503,666,978	2.0%	503,666,978	2.0%
Current liabilities (payable from current assets)														
13 Accounts payable and other liabilities	12,928,348	8,676,201	67.1%	21,604,549	(25,124,599)	-53.8%	46,729,148	-53.8%	46,729,148	-53.8%	46,729,148	-53.8%	46,729,148	-53.8%
14 Due to City of New Orleans	283,072	94,971	33.6%	378,043	217,871	136.0%	160,172	136.0%	160,172	136.0%	160,172	136.0%	160,172	136.0%
15 Disaster Reimbursement Revolving Loan	17,978,971	(3,669,385)	-20.4%	14,309,586	(3,585,455)	-20.0%	17,895,041	-20.0%	17,895,041	-20.0%	17,895,041	-20.0%	17,895,041	-20.0%
16 Retainers and estimates payable	7,281,207	1,298,729	17.8%	8,579,936	633,661	8.0%	7,946,275	8.0%	7,946,275	8.0%	7,946,275	8.0%	7,946,275	8.0%
17 Due to other fund	276,242	15,030	5.4%	291,272	(2,398)	-0.8%	293,670	-0.8%	293,670	-0.8%	293,670	-0.8%	293,670	-0.8%
18 Accrued salaries, vacation and sick pay	11,406,169	(608,991)	-5.3%	10,797,178	(382,605)	-3.4%	11,179,783	-3.4%	11,179,783	-3.4%	11,179,783	-3.4%	11,179,783	-3.4%
19 Claims payable	11,000,794	(780,755)	-7.1%	10,220,039	-	0.0%	10,220,039	0.0%	10,220,039	0.0%	10,220,039	0.0%	10,220,039	0.0%
20 Debt Service Assistance Fund Loan payable	4,038,502	187,390	4.6%	4,225,892	(0)	0.0%	4,225,892	0.0%	4,225,892	0.0%	4,225,892	0.0%	4,225,892	0.0%
21 Advances from federal government	10,887,732	(2,709,106)	-24.9%	8,178,626	2,021,077	32.8%	6,157,549	32.8%	6,157,549	32.8%	6,157,549	32.8%	6,157,549	32.8%
22 Other Liabilities	290,755	969,317	333.4%	1,260,272	1,186,063	159.3%	74,209	159.3%	74,209	159.3%	74,209	159.3%	74,209	159.3%
23 Total current liabilities (payable from current assets)	76,371,792	3,473,601	4.5%	79,845,383	(25,056,385)	-23.9%	104,881,778	-23.9%	104,881,778	-23.9%	104,881,778	-23.9%	104,881,778	-23.9%
Current liabilities (payable from restricted assets)														
24 Accrued interest	1,879,063	602,615	32.1%	2,481,678	-	0.0%	2,481,678	0.0%	2,481,678	0.0%	2,481,678	0.0%	2,481,678	0.0%
25 Bonds payable	1,905,000	11,354,000	596.0%	13,259,000	-	0.0%	13,259,000	0.0%	13,259,000	0.0%	13,259,000	0.0%	13,259,000	0.0%
26 Retainers and estimates payable	102,312	206,608	201.9%	308,920	185,237	149.8%	123,683	149.8%	123,683	149.8%	123,683	149.8%	123,683	149.8%
27 Customer deposits	10,617,049	470,284	4.4%	11,147,333	445,478	4.2%	10,701,855	4.2%	10,701,855	4.2%	10,701,855	4.2%	10,701,855	4.2%
28 Total current liabilities (payable from restricted assets)	14,563,424	12,637,507	86.7%	27,146,931	650,715	2.4%	26,546,316	2.4%	26,546,316	2.4%	26,546,316	2.4%	26,546,316	2.4%
29 Total current liabilities	90,935,216	16,107,108	17.7%	107,042,324	(24,405,670)	-18.9%	131,447,994	-18.9%	131,447,994	-18.9%	131,447,994	-18.9%	131,447,994	-18.9%
30 Total liabilities	575,210,381	11,881,212	2.1%	620,666,299	(14,448,673)	-2.3%	655,114,972	-2.3%	655,114,972	-2.3%	655,114,972	-2.3%	655,114,972	-2.3%
Deferred inflows or resources:														
31 Unavailable revenue -refunding of bonds	389,734	(389,734)	-100.0%	-	-	0.0%	-	0.0%	-	0.0%	-	0.0%	-	0.0%
32 TOTAL DEFERRED INFLOWS OF RESOURCES	389,734	(389,734)	-100.0%	-	-	0.0%	-	0.0%	-	0.0%	-	0.0%	-	0.0%
33 Total Net Position, Liabilities and Deferred Inflows of Resources	2,461,692,641	156,097,904	6.3%	2,650,975,517	47,061,189	1.8%	2,603,914,328	1.8%	2,603,914,328	1.8%	2,603,914,328	1.8%	2,603,914,328	1.8%

SEWERAGE AND WATER BOARD OF NEW ORLEANS
ALL SYSTEM FUNDS
STATEMENTS OF CASH FLOWS
November 2015

	A	B	C	D
	YTD	YTD	YTD	%
	Current Year	Prior Year	Variance	
Cash flows from operating activities				
1 Cash received from customers	155,405,349	139,856,847	15,548,502	11.1%
2 Cash payments to suppliers for goods and services	(101,643,565)	(1,688,327)	(99,955,238)	5920.4%
3 Cash payments to employees for services	(54,776,847)	(148,138,761)	93,361,914	-63.0%
4 Other revenue	1,113,780	6,784,675	(5,670,895)	-83.6%
5 Net cash used in operating activities	98,718	(3,185,566)	3,284,284	-103.1%
Cash flows from noncapital financing activities				
6 Proceeds from property taxes	52,928,471	47,643,549	5,284,922	11.1%
7 Proceeds from federal operating and maintenance grants	-	4,189,318	(4,189,318)	-100.0%
8 Cash received paid to an other government	-	-	-	0.0%
9 Net cash provided by noncapital financing activities	52,928,471	51,832,867	1,095,604	2.1%
Cash flows from capital and related financing activities				
10 Acquisition and construction of capital assets	(72,864,095)	(64,624,855)	(8,239,240)	12.7%
11 Principal payments on bonds payable	-	(13,695,000)	13,695,000	-100.0%
12 Proceeds from bonds payable	-	284,063,460	(284,063,460)	-100.0%
13 Payments for bond issuance costs	-	-	-	0.0%
14 Payment to refunded bond crow agent	-	(154,469,418)	154,469,418	-100.0%
15 Interest paid on bonds payable	1,168,368	(3,115,903)	4,284,271	-137.5%
16 Proceeds from construction fund, net	(3,585,469)	6,285,628	(9,871,097)	-157.0%
17 Capital contributed by developers and federal grants	40,551,468	(5,260,720)	45,812,188	-870.8%
18 Net cash used in capital and related financing activities	(34,729,728)	49,183,192	(83,912,920)	-170.6%
Cash flows from investing activities				
19 Payments for purchase of investments	-	-	-	0.0%
20 Proceeds from maturities of investments	-	-	-	0.0%
21 Investment income	676,722	515,566	161,156	31.3%
22 Net cash provided by investing activities	676,722	515,566	161,156	31.3%
23 Net increase in cash	18,974,183	98,346,059	(79,371,876)	-80.7%
24 Cash at the beginning of the year	196,048,912	120,151,442	75,897,470	63.2%
25 Cash at the end of the period	215,023,095	218,497,501	(3,474,406)	-1.6%
Reconciliation of cash and restricted cash				
26 Current assets - cash	38,602,807	26,977,449	11,625,358	43.1%
27 Current assets - designated	57,190,544	39,747,354	17,443,190	43.9%
28 Restricted assets -cash	119,229,744	151,772,699	(32,542,955)	-21.4%
29 Total cash	215,023,095	218,497,502	(3,474,407)	-1.6%

SEWERAGE AND WATER BOARD OF NEW ORLEANS
ALL SYSTEM FUNDS
STATEMENTS OF CASH FLOWS
November 2015

	A YTD Current Year	B YTD Prior Year	C YTD Variance	D %
1 Reconciliation of operating loss to net cash used in operating activities is as follows:	(27,218,676)	(19,082,541)	(8,136,135)	42.6%
2 Adjustments to reconcile net operating loss to net cash used in operating activities:				
3 Depreciation	51,478,031	33,817,762	17,660,269	52.2%
4 Provision for claims	1,622,103	1,477,383	144,720	9.8%
5 Provision for (revision) doubtful accounts	2,030,270	1,817,585	212,685	11.7%
6 Amortization	-	-	-	0.0%
7 Change in operating assets and liabilities:				
8 (Increase) decrease in customer and other receivables	(3,316,663)	(3,441,025)	124,362	-3.6%
9 Increase in inventory	-	-	-	0.0%
10 Increase (decrease) in prepaid expenses and other receivables	(3,856,797)	2,031,224	(5,888,021)	-289.9%
11 Decrease in net pension asset	(28,825,026)	(28,394,140)	(430,886)	0.0%
12 Increase (decrease) in accounts payable	(385,003)	(153,610)	(231,393)	150.6%
13 Increase (decrease) in accrued salaries, due to pension and accrued vacation and sick pay	4,973,151	6,147,378	(1,174,227)	-19.1%
14 Increase in net other postretirement benefits liability	4,983,846	3,947,577	1,036,269	26.3%
15 Decrease (decrease) in net pension obligation	(1,386,518)	(1,353,158)	(33,360)	2.5%
Net cash used in operating activities	98,718	(3,185,565)	3,284,283	-103.1%

SEWERAGE AND WATER BOARD OF NEW ORLEANS
WATER SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH PRIOR YEAR COMPARISONS
NOVEMBER 2015

	A	B	C	D	E	F	G	H
	MTD	MTD	MTD	%	YTD	YTD	YTD	%
	Actual	Prior Year	Variance		Actual	Prior Year	Variance	
Operating revenues:								
1 Sales of water and delinquent fees	6,265,591	5,485,637	779,954	14.2%	71,196,321	64,425,109	6,771,212	10.5%
2 Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%
3 Plumbing inspection and license fees	24,100	32,865	(8,765)	-26.7%	278,409	313,050	(34,641)	-11.1%
4 Other revenues	258,482	176,484	81,998	46.5%	3,052,636	2,698,893	353,741	13.1%
5 Total operating revenues	6,548,173	5,694,986	853,187	15.0%	74,527,366	67,437,054	7,090,312	10.5%
Operating Expenses:								
6 Power and pumping	253,197	292,353	(39,156)	-13.4%	2,596,394	2,728,902	(132,508)	-4.9%
7 Treatment	755,158	690,383	64,775	9.4%	7,186,740	7,403,495	(216,755)	-2.9%
8 Transmission and distribution	1,640,692	1,359,611	281,081	20.7%	16,384,835	14,080,750	2,304,085	16.4%
9 Customer accounts	207,355	199,965	7,390	3.7%	1,665,700	1,599,116	66,584	4.2%
10 Customer service	207,721	164,612	43,109	26.2%	1,656,288	1,616,762	39,526	2.4%
11 Administration and general	526,091	589,913	(63,822)	-10.8%	5,691,300	5,819,123	(127,823)	-2.2%
12 Payroll related	1,367,352	420,829	946,523	224.9%	14,307,082	13,535,222	771,860	5.7%
13 Maintenance of general plant	1,454,948	1,264,757	190,191	15.0%	13,649,164	13,066,260	582,904	4.5%
14 Depreciation	1,737,062	1,046,508	690,554	66.0%	19,107,682	11,511,588	7,596,094	66.0%
15 Amortization	-	-	-	0.0%	-	-	-	0.0%
16 Provision for doubtful accounts	95,834	117,022	(21,188)	-18.1%	1,054,174	1,287,242	(233,068)	-18.1%
17 Provision for claims	88,637	111,563	(23,026)	-20.6%	700,415	621,596	78,819	12.7%
18 Total operating expenses	8,334,047	6,257,616	2,076,431	33.2%	83,999,774	73,270,056	10,729,718	14.6%
19 Operating income (loss)	(1,785,874)	(562,630)	(1,223,244)	217.4%	(9,472,408)	(5,833,002)	(3,639,406)	62.4%
Non-operating revenues (expense):								
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%
24 Interest income	30,894	94,445	(63,551)	-67.3%	446,356	299,559	146,797	49.0%
25 Other Income	-	-	-	0.0%	128,287	126,289	1,998	1.6%
26 Interest expense	-	-	-	0.0%	-	(1,040,131)	1,040,131	-100.0%
27 Operating and maintenance grants	2,000	-	2,000	0.0%	8,431	1,154	7,277	630.6%
28 Total non-operating revenues	32,894	94,445	(61,551)	-65.2%	583,074	(613,129)	1,196,203	-195.1%
29 Income before capital contributions	(1,752,980)	(468,185)	(1,284,795)	274.4%	(8,889,334)	(6,446,131)	(2,443,203)	37.9%
30 Capital contributions	519,211	(3,817,340)	4,336,551	-113.6%	11,148,428	5,949,817	5,198,611	87.4%
31 Change in net position	(1,233,769)	(4,285,525)	3,051,756	-71.2%	2,259,094	(496,314)	2,755,408	-555.2%
32 Net position, beginning of year	-	-	-	-	317,835,814	313,268,717	4,567,097	1.5%
33 Net position, end of year	-	-	-	-	320,094,908	312,772,403	7,322,505	2.3%

SEWERAGE AND WATER BOARD OF NEW ORLEANS
WATER SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH BUDGET COMPARISONS
NOVEMBER 2015

	A	B	C	D	E	F	G	H
	MTD Actual	MTD Budget	MTD Variance	%	YTD Actual	YTD Budget	YTD Variance	%
Operating revenues:								
1 Sales of water and delinquent fees	6,265,591	6,609,060	(343,469)	-5.2%	71,196,321	72,699,658	(1,503,337)	-2.1%
2 Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%
3 Plumbing inspection and license fees	24,100	26,793	(2,693)	-10.1%	278,409	294,724	(16,315)	-5.5%
4 Other revenues	258,482	269,375	(10,894)	-4.0%	3,052,636	2,963,128	89,508	3.0%
5 Total operating revenues	6,548,173	6,905,228	(357,056)	-5.2%	74,527,366	75,957,510	(1,430,144)	-1.9%
Operating Expenses:								
6 Power and pumping	253,197	252,605	592	0.2%	2,596,394	2,778,653	(182,259)	-6.6%
7 Treatment	755,158	749,153	6,005	0.8%	7,186,740	8,240,683	(1,053,943)	-12.8%
8 Transmission and distribution	1,640,692	1,514,064	126,628	8.4%	16,384,835	16,654,706	(269,871)	-1.6%
9 Customer accounts	207,355	143,362	63,993	44.6%	1,665,700	1,576,978	88,722	5.6%
10 Customer service	207,721	182,539	25,182	13.8%	1,665,288	2,007,930	(351,642)	-17.5%
11 Administration and general	526,091	1,112,068	(585,977)	-52.7%	5,691,300	12,232,744	(6,541,444)	-53.5%
12 Payroll related	1,367,352	1,105,844	261,509	23.6%	14,307,082	12,164,279	2,142,804	17.6%
13 Maintenance of general plant	1,454,948	1,126,732	328,216	29.1%	13,649,164	12,394,055	1,255,109	10.1%
14 Depreciation	1,737,062	1,537,917	199,145	12.9%	19,107,682	16,917,083	2,190,599	12.9%
15 Amortization	-	-	-	0.0%	-	-	-	0.0%
16 Provision for doubtful accounts	95,834	-	95,834	0.0%	1,054,174	-	1,054,174	0.0%
17 Provision for claims	88,637	92,574	(3,937)	-4.3%	700,415	1,018,314	(317,899)	-31.2%
18 Total operating expenses	8,334,047	7,816,857	517,190	6.6%	83,999,774	85,985,425	(1,985,651)	-2.3%
19 Operating income (loss)	(1,785,874)	(911,629)	(874,246)	95.9%	(9,472,408)	(10,027,915)	555,507	-5.5%
Non-operating revenues (expense):								
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%
24 Interest income	30,894	-	30,894	0.0%	446,356	-	446,356	0.0%
25 Other Income	-	33,375	(33,375)	-100.0%	128,287	367,126	(238,839)	-65.1%
26 Interest expense	-	(22,384)	22,384	-100.0%	-	(246,223)	246,223	-100.0%
27 Operating and maintenance grants	2,000	-	2,000	0.0%	8,431	-	8,431	0.0%
28 Total non-operating revenues	32,894	10,991	21,903	199.3%	583,074	120,903	462,171	382.3%
29 Income before capital contributions	(1,752,980)	(900,638)	(852,342)	94.6%	(8,889,334)	(9,907,012)	1,017,678	-10.3%
30 Capital contributions	519,211	-	519,211	0.0%	11,148,428	-	11,148,428	0.0%
31 Change in net position	(1,233,769)	(900,638)	(333,131)	37.0%	2,259,094	-	2,259,094	-
32 Net position, beginning of year					317,835,814	313,268,717	4,567,097	1.5%
33 Net position, end of year					320,094,908	313,268,717	6,826,191	2.2%

**SEWERAGE AND WATER BOARD OF NEW ORLEANS
WATER SYSTEM FUND**

**STATEMENTS OF NET POSITION
WITH PRIOR YEAR AND BEGINNING OF YEAR COMPARISONS
NOVEMBER 2015**

Assets													
Noncurrent assets:													
	Prior Year	Variance	%	Current Year	Variance	%	Beginning of Year						
1	702,456,885	42,815,980	6.1%	745,272,865	27,207,102	3.8%	718,045,763	1					
2	315,847,935	24,491,460	7.8%	340,339,395	19,107,681	5.9%	321,231,714	2					
3	386,588,950	18,324,520	4.7%	404,913,470	8,099,421	2.0%	396,814,049	3					
Restricted cash, cash equivalents, and investments													
4	65,361,594	(22,949,360)	-35.1%	42,412,234	(16,014,534)	-27.4%	58,426,768	4					
5	13,263,240	2,103,701	15.9%	15,366,941	5,144,842	50.3%	10,222,099	5					
6	775,834	(116,667)	-15.0%	659,167	-	0.0%	659,167	6					
7	79,400,668	(20,962,326)	-26.4%	58,438,342	(10,869,692)	-15.7%	69,308,034	7					
Designated cash, cash equivalents, and investments													
8	9,138,242	(10,515,367)	-11.5%	(1,377,125)	(9,293,377)	-117.4%	7,916,252	8					
9	10,677,049	470,284	4.4%	11,147,333	445,478	4.2%	10,701,855	9					
10	1,558,355	121,444	7.8%	1,679,800	4,540	0.2%	1,675,260	10					
11	21,373,647	(9,923,639)	-46.4%	11,450,008	(3,845,859)	-43.6%	20,295,867	11					
Current assets:													
Unrestricted and undesignated													
12	5,586,864	9,937,600	177.9%	15,524,464	3,320,857	21.4%	12,203,607	12					
Accounts receivable:													
13	8,112,425	1,593,344	19.7%	9,707,769	1,074,200	11.1%	8,633,569	13					
14	-	-	0.0%	-	-	0.0%	-	14					
15	-	-	0.0%	-	-	0.0%	-	15					
16	8,272,053	10,496,889	126.9%	18,768,942	1,014,198	5.7%	17,754,744	16					
17	679,284	46,076	6.8%	725,360	52,050	7.7%	673,310	17					
18	(9,907,493)	4,119,739	-41.6%	(5,787,754)	2,523,916	-30.4%	(8,311,670)	18					
19	4,528,425	(838,553)	-18.5%	3,689,872	-	0.0%	3,689,872	19					
20	505,681	(24,430)	-4.8%	481,251	-	0.0%	481,251	20					
21	17,777,239	25,332,665	142.5%	43,109,904	7,985,221	22.7%	35,124,683	21					
Other assets:													
22	-	-	0.0%	-	-	0.0%	-	22					
23	22,950	-	0.0%	22,950	-	0.0%	22,950	23					
24	22,950	-	0.0%	22,950	-	0.0%	22,950	24					
25	505,163,454	12,771,220	2.5%	517,934,674	(3,658,909)	-0.7%	521,593,583	25					
Deferred outflows or resources:													
26	34,901	(2,182)	-6.3%	32,719	-	0.0%	32,719	26					
27	34,901	(2,182)	-6.3%	32,719	-	0.0%	32,719	27					
TOTAL DEFERRED OUTFLOWS OF RESOURCES													
28	505,198,355	12,769,038	-3.7%	517,967,393	(3,628,909)	-0.7%	521,596,302	28					

SEWERAGE AND WATER BOARD OF NEW ORLEANS
WATER SYSTEM FUND
STATEMENTS OF NET POSITION
WITH PRIOR YEAR COMPARISONS
NOVEMBER 2015

NET ASSETS AND LIABILITIES	A	B	C	D	E	F	G
	Prior Year	Variance	%	Current Year	Variance	%	Beginning of Year
Net position							
1 Net investments in capital assets	286,873,823	7,629,551	2.7%	294,503,374	8,913,508	3.1%	285,589,866
2 Restricted for Debt Service	13,072,099	2,294,842	17.6%	15,366,941	5,144,842	50.3%	10,222,099
3 Unrestricted	12,826,481	(2,601,888)	-20.3%	10,224,593	(11,799,247)	-53.6%	22,023,840
4 Total net position	312,772,403	7,322,505	2.3%	320,094,908	2,259,103	0.7%	317,835,805
Long-term liabilities							
5 Claims payable	637,869	226,849	35.6%	864,718	-	0.0%	864,718
6 Net pension obligation	6,364,147	1,160,331	18.2%	7,524,478	1,661,282	28.3%	5,863,196
7 Other postretirement benefits liability	22,749,770	1,752,028	7.7%	24,501,798	1,657,717	7.3%	22,844,081
8 Bonds payable (net of current maturities)	111,228,048	(3,865)	0.0%	111,224,183	-	0.0%	111,224,183
9 Special Community Disaster Loan Payable	-	-	0.0%	-	-	0.0%	-
10 Southeast Louisiana Project liability	-	-	0.0%	-	-	0.0%	-
11 Debt Service Assistance Fund Loan payable	5,788,945	(371,342)	-6.4%	5,417,603	-	0.0%	5,417,603
12 Total long-term liabilities	146,768,779	2,764,001	1.9%	149,532,780	3,318,959	2.3%	146,213,781
Current liabilities (payable from current assets)							
13 Accounts payable and other liabilities	5,338,830	4,180,601	78.3%	9,519,431	(9,294,767)	-49.4%	18,814,198
14 Due to City of New Orleans	283,072	94,971	33.6%	378,043	217,871	136.0%	160,172
15 Disaster Reimbursement Revolving Loan	8,394,315	(1,408,506)	-16.8%	6,985,809	(1,356,361)	-16.3%	8,342,170
16 Retainers and estimates payable	2,395,728	610,756	25.5%	3,006,484	14,790	0.5%	2,991,694
17 Due to other fund	135,358	7,365	5.4%	142,723	(815)	-0.5%	143,538
18 Accrued salaries, vacation and sick pay	5,364,208	(241,967)	-4.5%	5,122,241	(184,477)	-3.5%	5,306,712
19 Claims payable	3,982,894	(131,891)	-3.3%	3,851,003	-	0.0%	3,851,003
20 Debt Service Assistance Fund Loan payable	354,869	16,469	4.6%	371,338	-	0.0%	371,338
21 Advances from federal government	8,182,773	(1,859,426)	-22.7%	6,323,347	165,798	2.7%	6,157,549
22 Other Liabilities	277,527	654,979	236.0%	932,506	859,876	1183.9%	72,630
23 Total current liabilities (payable from current assets)	34,709,574	1,923,351	5.5%	36,632,925	(9,578,079)	-20.7%	46,211,004
Current liabilities (payable from restricted assets)							
24 Accrued interest	191,142	363,307	190.1%	554,449	-	0.0%	554,449
25 Bonds payable	-	-	0.0%	-	-	0.0%	-
26 Retainers and estimates payable	79,408	(74,410)	-93.7%	4,998	(74,410)	-93.7%	79,408
27 Customer deposits	10,672,049	476,284	4.4%	11,147,333	445,478	4.2%	10,701,855
28 Total current liabilities (payable from restricted assets)	10,942,599	759,181	6.9%	11,706,780	371,568	3.3%	11,355,712
29 TOTAL CURRENT LIABILITIES	45,652,173	2,682,532	5.9%	48,339,705	(9,207,011)	-16.0%	57,546,716
30 TOTAL LIABILITIES	192,425,952	5,446,533	2.8%	197,872,485	(5,888,012)	-2.9%	203,760,497
Deferred inflows or resources:							
31 Unavailable revenue -refunding of bonds	-	-	0.0%	-	-	0.0%	-
32 TOTAL DEFERRED INFLOWS OF RESOURCES	-	-	0.0%	-	-	0.0%	-
33 Total Net Position, Liabilities and Deferred Inflows of Resources	505,198,335	12,769,038	2.5%	517,967,393	(3,628,909)	-0.7%	521,596,302

SEWERAGE AND WATER BOARD OF NEW ORLEANS
WATER SYSTEM FUND
STATEMENTS OF CASH FLOWS
November 2015

	A YTD Current Year	B YTD Prior Year	C YTD Variance	D %	
Cash flows from operating activities					
1 Cash received from customers	69,731,296	63,068,217	6,663,079	10.6%	1
2 Cash payments to suppliers for goods and services	(43,556,252)	(42,693,191)	(863,061)	2.0%	2
3 Cash payments to employees for services	(29,693,210)	(25,818,002)	(3,875,208)	15.0%	3
4 Other revenue	3,278,995	3,175,038	103,957	3.3%	4
5 Net cash used in operating activities	(339,171)	(2,267,938)	2,028,767	-89.5%	5
Cash flows from noncapital financing activities					
6 Proceeds from property taxes	128,287	126,289	1,998	1.6%	6
7 Proceeds from federal operating and maintenance grants	-	3,770,115	(3,770,115)	-100.0%	7
8 Cash received paid to an other government	-	-	-	0.0%	8
9 Net cash provided by noncapital financing activities	128,287	3,896,404	(3,768,117)	-96.7%	9
Cash flows from capital and related financing activities					
10 Acquisition and construction of capital assets	(26,540,131)	(30,108,527)	3,568,396	-11.9%	10
11 Principal payments on bonds payable	-	-	-	0.0%	11
12 Proceeds from bonds payable	-	111,224,183	(111,224,183)	-100.0%	12
13 Payments for bond issuance costs	-	-	-	0.0%	13
14 Payment to refunded bond escrow agent	859,876	(27,884,012)	27,884,012	-100.0%	14
15 Interest paid on bonds payable	(1,356,370)	(780,210)	1,640,086	-210.2%	15
16 Proceeds from construction fund, net	10,308,459	5,999,098	(7,355,468)	-122.6%	16
17 Capital contributed by developers and federal grants	(16,728,166)	(3,695,259)	14,003,718	-379.0%	17
18 Net cash used in capital and related financing activities	(16,728,166)	54,755,273	(71,483,439)	-130.6%	18
Cash flows from investing activities					
19 Payments for purchase of investments	-	-	-	0.0%	19
20 Proceeds from maturities of investments	-	-	-	0.0%	20
21 Investment income	446,356	286,243	160,113	55.9%	21
22 Net cash provided by investing activities	446,356	286,243	160,113	55.9%	22
23 Net increase in cash	(16,392,694)	56,669,982	(73,062,676)	-128.9%	23
24 Cash at the beginning of the year	95,855,508	44,441,197	51,414,311	115.7%	24
25 Cash at the end of the period	79,462,814	101,111,179	(21,648,365)	-21.4%	25
Reconciliation of cash and restricted cash					
26 Current assets - cash	15,524,464	5,586,864	9,937,600	177.9%	26
27 Current assets - designated	8,200,008	18,123,647	(9,923,639)	-54.8%	27
28 Restricted assets -cash	55,738,342	77,400,668	(21,662,326)	-28.0%	28
29 Total cash	79,462,814	101,111,179	(21,648,365)	-21.4%	29

SEWERAGE AND WATER BOARD OF NEW ORLEANS
WATER SYSTEM FUND
STATEMENTS OF CASH FLOWS
November 2015

	A YTD Current Year	B YTD Prior Year	C YTD Variance	D %
Reconciliation of operating loss to net cash used in operating activities is as follows:				
Operating loss	(9,472,408)	(5,833,002)	(3,639,406)	62.4%
Adjustments to reconcile net operating loss to net cash used in operating activities:				
Depreciation	19,107,682	11,511,588	7,596,094	66.0%
Provision for claims	700,415	621,596	78,819	12.7%
Provision for (revision) doubtful accounts	1,054,174	1,287,242	(233,068)	-18.1%
Amortization	-	-	-	0.0%
Change in operating assets and liabilities:				
(Increase) decrease in customer and other receivables	(1,682,896)	(1,479,842)	(203,054)	13.7%
Increase in inventory	-	-	-	0.0%
Increase (decrease) in prepaid expenses and other receivables	(2,575,966)	456,279	(3,032,245)	-664.6%
Decrease in net pension asset	-	-	-	0.0%
Increase (decrease) in accounts payable	(10,021,359)	(11,619,931)	1,598,572	-13.8%
Increase (decrease) in accrued salaries, due to pension and accrued vacation and sick pay	(185,286)	(78,207)	(107,079)	136.9%
Increase in net other postretirement benefits liability	1,657,717	2,049,126	(391,409)	-19.1%
Increase (decrease) in net pension obligation	1,661,282	1,315,859	345,423	26.3%
Decrease in other liabilities	(482,526)	(498,646)	16,120	-3.2%
Net cash used in operating activities	(239,171)	(2,267,938)	2,028,767	-89.5%

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SEWERAGE AND WATER BOARD OF NEW ORLEANS
SEWER SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH PRIOR YEAR COMPARISONS
NOVEMBER 2015

	A	B	C	D	E	F	G	H
	MTD Actual	MTD Prior Year	MTD Variance	%	YTD Actual	YTD Prior Year	YTD Variance	%
Operating revenues:								
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%
2 Sewerage service charges and del fees	7,652,720	6,788,756	863,964	12.7%	87,307,819	78,749,813	8,558,006	10.9%
3 Plumbing inspection and license fees	24,100	32,865	(8,765)	-26.7%	278,409	313,050	(34,641)	-11.1%
4 Other revenues	34,116	50,639	(16,523)	-32.6%	421,251	527,901	(106,650)	-20.2%
5 Total operating revenues	7,710,936	6,872,260	838,676	12.2%	88,007,479	79,590,764	8,416,715	10.6%
Operating Expenses:								
6 Power and pumping	237,120	261,412	(24,292)	-9.3%	2,413,369	2,547,445	(134,076)	-5.3%
7 Treatment	24,485	946,285	(921,800)	-97.4%	8,967,539	10,007,713	(1,040,174)	-10.4%
8 Transmission and distribution	787,508	819,924	(32,416)	-4.0%	11,005,002	7,755,952	3,249,050	41.9%
9 Customer accounts	207,355	199,976	7,379	3.7%	1,665,697	1,599,112	66,585	4.2%
10 Customer service	209,662	166,900	42,762	25.6%	1,673,298	1,635,946	37,352	2.3%
11 Administration and general	484,225	371,436	112,789	30.4%	5,405,621	5,297,869	107,752	2.0%
12 Payroll related	1,035,926	429,740	606,186	141.1%	10,805,342	10,132,015	673,327	6.6%
13 Maintenance of general plant	272,156	247,592	24,564	9.9%	2,667,401	3,493,191	(825,790)	-23.6%
14 Depreciation	1,417,143	1,011,382	405,761	40.1%	15,588,573	11,125,202	4,463,371	40.1%
15 Amortization	-	-	-	0.0%	-	-	-	0.0%
16 Provision for doubtful accounts	88,736	48,213	40,523	84.0%	976,096	530,343	445,753	84.0%
17 Provision for claims	56,453	33,792	22,661	67.1%	415,520	358,508	57,012	15.9%
18 Total operating expenses	4,820,769	4,596,652	284,117	6.3%	61,583,458	54,483,296	7,100,162	13.0%
19 Operating income (loss)	2,890,167	2,335,608	554,559	23.7%	26,424,021	25,107,468	1,316,553	5.2%
Non-operating revenues (expense):								
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%
24 Interest income	5,413	50,091	(44,678)	-89.2%	177,894	228,523	(50,629)	-22.2%
25 Other Income	-	-	-	0.0%	159,998	157,507	2,491	1.6%
26 Interest expense	-	-	-	0.0%	-	(1,345,380)	1,345,380	-100.0%
27 Operating and maintenance grants	-	300	(300)	-100.0%	-	323	(323)	-100.0%
28 Total non-operating revenues	5,413	50,391	(44,978)	-89.3%	337,892	(959,027)	1,296,919	-135.2%
29 Income before capital contributions	2,895,580	2,385,999	509,581	21.4%	26,761,913	24,148,441	2,613,472	10.8%
30 Capital contributions	4,299,214	5,624,375	(1,325,161)	-23.6%	17,241,329	23,251,038	(6,009,709)	-25.8%
31 Change in net position	7,194,794	8,010,374	(815,580)	-10.2%	44,003,242	47,399,479	(3,396,237)	-7.2%
32 Net position, beginning of year					732,566,915	606,666,891	65,900,024	9.9%
33 Net position, end of year					776,570,157	714,066,370	62,503,787	8.8%

SEWERAGE AND WATER BOARD OF NEW ORLEANS
SEWER SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH BUDGET COMPARISONS
NOVEMBER 2015

	A	B	C	D	E	F	G	H
	MTD	MTD	MTD	%	YTD	YTD	YTD	%
	Actual	Budget	Variance		Actual	Budget	Variance	
Operating revenues:								
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%
2 Sewerage service charges and del fees	7,652,720	8,070,794	(418,074)	-5.2%	87,307,819	88,778,733	(1,470,914)	-1.7%
3 Plumbing inspection and license fees	24,100	26,793	(2,693)	-10.1%	278,409	294,724	(16,315)	-5.5%
4 Other revenues	34,116	24,079	10,037	41.7%	421,251	264,865	156,386	59.0%
5 Total operating revenues	7,710,936	8,121,666	(410,730)	-5.1%	88,007,479	89,338,322	(1,330,843)	-1.5%
Operating Expenses:								
6 Power and pumping	237,120	214,284	22,836	10.7%	2,413,369	2,357,129	56,240	2.4%
7 Treatment	24,485	964,066	(939,581)	-97.3%	8,967,539	10,604,728	(1,637,189)	-15.4%
8 Transmission and distribution	787,508	1,231,476	(443,968)	-36.1%	11,005,002	13,546,236	(2,541,234)	-18.8%
9 Customer accounts	207,355	143,362	63,993	44.6%	1,665,697	1,576,978	88,719	5.6%
10 Customer service	209,662	184,088	25,574	13.9%	1,673,298	2,024,972	(351,674)	-17.4%
11 Administration and general	484,225	1,180,864	(696,639)	-59.0%	5,405,621	12,989,509	(7,583,888)	-58.4%
12 Payroll related	1,035,926	726,300	309,626	42.6%	10,805,342	7,989,298	2,816,044	35.2%
13 Maintenance of general plant	272,156	256,304	15,852	6.2%	2,667,401	2,819,344	(151,943)	-5.4%
14 Depreciation	1,417,143	1,121,055	296,088	26.4%	15,588,573	12,331,607	3,256,966	26.4%
15 Amortization	-	-	-	0.0%	-	-	-	0.0%
16 Provision for doubtful accounts	88,736	-	88,736	0.0%	976,096	-	976,096	0.0%
17 Provision for claims	56,453	60,493	(4,040)	-6.7%	415,520	665,426	(249,906)	-37.6%
18 Total operating expenses	4,820,769	6,082,293	(1,261,524)	-20.7%	61,583,458	66,905,226	(5,321,768)	-8.0%
19 Operating income (loss)	2,890,167	2,039,372	850,795	41.7%	26,424,021	22,433,097	3,990,924	17.8%
Non-operating revenues (expense):								
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%
24 Interest income	5,413	-	5,413	0.0%	177,894	-	177,894	0.0%
25 Other Income	-	39,245	(39,245)	-100.0%	159,998	431,699	(271,701)	-62.9%
26 Interest expense	-	(218,131)	218,131	-100.0%	-	(2,399,436)	2,399,436	-100.0%
27 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%
28 Total non-operating revenues	5,413	(178,885)	184,298	-103.0%	337,892	(1,967,737)	2,305,629	-117.2%
29 Income before capital contributions	2,895,580	1,860,487	1,035,093	55.6%	26,761,913	20,465,360	6,296,553	30.8%
30 Capital contributions	4,299,214	-	4,299,214	0.0%	17,241,329	-	17,241,329	0.0%
31 Change in net position	7,194,794	1,860,487	5,334,307	286.7%	44,003,242	-	-	-
32 Net position, beginning of year	-	-	-	-	732,566,915	666,666,891	65,900,024	9.9%
33 Net position, end of year	-	-	-	-	776,570,157	666,666,891	109,903,266	16.5%

SEWERAGE AND WATER BOARD OF NEW ORLEANS
SEWER SYSTEM FUND
STATEMENTS OF NET POSITION
WITH PRIOR YEAR AND BEGINNING OF YEAR COMPARISONS
NOVEMBER 2015

	A		B	C	D	E	F	G
	Assets							
	Prior Year	Variance	%	Current Year	Variance	%	Beginning of Year	
Noncurrent assets:								
1 Property, plant and equipment	1,116,746,843	57,215,921	5.1%	1,173,962,764	56,434,850	3.2%	1,137,527,934	1
2 Less: accumulated depreciation	225,347,460	16,761,313	7.4%	242,108,773	15,588,574	6.9%	226,520,199	2
3 Property, plant, and equipment, net	891,399,383	40,454,608	4.5%	931,853,991	20,846,256	2.3%	911,007,735	3
Restricted cash, cash equivalents, and investments								
4 Cash and cash equivalents restricted for capital projects	39,293,575	(29,870,974)	-76.0%	9,422,601	(21,105,352)	-69.1%	30,527,953	4
5 Debt service reserve	27,146,275	18,360,856	67.6%	45,507,111	25,039,305	122.3%	20,467,806	5
6 Health insurance reserve	775,833	(116,667)	-15.0%	659,166	-	0.0%	659,166	6
7 Total restricted cash, cash equivalents, and investments	67,215,683	(11,626,805)	-17.3%	55,588,878	3,933,953	7.6%	51,654,925	7
Designated cash, cash equivalents, and investments								
8 Cash and cash equivalents designated for capital projects	4,800,973	21,418,322	446.1%	26,219,295	22,519,986	608.8%	3,699,309	8
9 Customer deposits	-	-	0.0%	-	-	0.0%	-	9
10 Other	1,065,060	120,484	11.3%	1,185,544	3,228	0.3%	1,182,316	10
11 Total designated cash and cash equivalents, and investments	5,866,033	21,538,806	367.2%	27,404,839	22,523,214	461.4%	4,881,625	11
Current assets:								
Unrestricted and undesignated								
12 Cash and cash equivalents	15,256,035	(5,731,924)	-37.6%	9,524,111	(12,330,960)	-56.4%	21,855,071	12
Accounts receivable:								
13 Customers (net of allowance for doubtful accounts)	8,025,918	349,464	4.4%	8,375,382	657,670	8.5%	7,717,712	13
14 Taxes	-	-	0.0%	-	-	0.0%	-	14
15 Interest	115	(57)	-49.6%	58	58	0.0%	-	15
16 Grants	2,919,690	14,967,611	512.6%	17,887,301	(1,656,393)	-8.5%	19,543,694	16
17 Miscellaneous	606,175	4,201,996	693.2%	4,808,171	4,456,681	1267.9%	351,490	17
18 Due from enterprise fund	10,240,178	(2,301,845)	-22.5%	7,938,333	(3,125,591)	-28.3%	11,063,924	18
19 Inventory of supplies	1,507,642	(336,841)	-22.3%	1,170,801	-	0.0%	1,170,801	19
20 Prepaid expenses	351,099	(24,430)	-7.0%	326,669	-	0.0%	326,669	20
21 Total unrestricted current assets	38,906,852	11,123,974	28.6%	50,050,826	(11,998,535)	-19.3%	62,029,361	21
Other assets:								
22 Bond issue costs	-	-	0.0%	-	-	0.0%	-	22
23 Deposits	17,965	-	0.0%	17,965	-	0.0%	17,965	23
24 Total other assets	17,965	-	0.0%	17,965	-	0.0%	17,965	24
25 TOTAL ASSETS	1,003,405,916	61,490,583	6.1%	1,064,896,499	35,304,888	3.4%	1,029,591,611	25
Deferred outflows or resources:								
26 Deferred charge on refunding	4,468,659	(537,057)	-12.0%	3,931,602	-	0.0%	3,931,602	26
27 TOTAL DEFERRED OUTFLOWS OF RESOURCES	4,468,659	(537,057)	-12.0%	3,931,602	-	0.0%	3,931,602	27
28 TOTAL ASSETS AND TOTAL DEFERRED OUTFLOWS	1,007,874,575	60,953,526	-5.9%	1,068,828,101	35,304,888	3.4%	1,033,523,213	28

SEWERAGE AND WATER BOARD OF NEW ORLEANS

SEWER SYSTEM FUND

STATEMENTS OF NET POSITION
WITH PRIOR YEAR COMPARISONS
NOVEMBER 2015

	A	B	C	D	E	F	G
	Prior Year	Variance	%	Current Year	Variance	%	Beginning of Year
NET ASSETS AND LIABILITIES							
Net position							
1 Net investments in capital assets	721,588,254	29,545,280	4.1%	751,133,534	20,970,478	2.9%	730,163,056
2 Restricted for Debt Service	25,602,584	19,904,527	77.7%	45,507,111	25,039,305	122.3%	20,467,806
3 Unrestricted	(33,124,458)	13,053,980	-39.4%	(20,070,488)	(2,006,543)	11.1%	(18,063,945)
4 Total net position	714,066,370	62,503,787	8.8%	776,570,157	44,003,240	6.0%	772,566,917
Long-term liabilities							
5 Claims payable	637,869	226,849	35.6%	864,718	-	0.0%	864,718
6 Net pension obligation	6,494,093	1,160,331	17.9%	7,654,424	1,661,282	27.7%	5,993,142
7 Other postretirement benefit liability	19,771,422	1,752,028	8.9%	21,523,450	1,657,717	8.3%	19,865,733
8 Bonds payable (net of current maturities)	180,844,679	(11,644,000)	-6.4%	169,200,679	-	0.0%	169,200,679
9 Special Community Disaster Loan Payable	-	-	0.0%	-	-	0.0%	-
10 Southeast Louisiana Project liability	-	-	0.0%	-	-	0.0%	-
11 Debt Service Assistance Fund Loan payable	56,403,364	(3,618,064)	-6.4%	52,785,300	-	0.0%	52,785,300
12 Total long-term liabilities	264,151,427	(12,122,856)	-4.6%	252,028,571	3,318,000	1.3%	248,709,572
Current liabilities (payable from current assets)							
13 Accounts payable and other liabilities	7,650,868	1,905,216	24.9%	9,557,084	(12,293,231)	-56.2%	21,860,315
14 Due to City of New Orleans	-	-	0.0%	-	-	0.0%	-
15 Disaster Reimbursement Revolving Loan	2,551,746	(2,211,194)	-86.7%	340,552	(2,228,871)	-86.7%	2,569,423
16 Retailers and estimates payable	4,433,184	295,370	6.7%	4,728,554	225,664	5.0%	4,502,890
17 Due to other fund	63,536	3,457	5.4%	66,993	(312)	-0.5%	67,305
18 Accrued salaries, vacation and sick pay	3,397,261	(188,319)	-5.5%	3,208,943	(144,019)	-4.3%	3,352,762
19 Claims payable	3,004,311	(233,539)	-7.8%	2,770,772	-	0.0%	2,770,772
20 Debt Service Assistance Fund Loan payable	3,457,650	160,434	4.6%	3,618,084	3,618,064	100.0%	3,618,064
21 Advances from federal government	2,704,959	(849,680)	-31.4%	1,855,279	1,855,279	0.0%	-
22 Other liabilities	11,954	298,091	2493.7%	310,045	308,492	19864.3%	1,553
23 Total current liabilities (payable from current assets)	27,285,449	(819,363)	-3.0%	26,466,086	(12,276,998)	-31.7%	38,743,084
Current liabilities (payable from restricted assets)							
24 Accrued interest	1,543,691	271,674	17.6%	1,815,365	-	0.0%	1,815,365
25 Bonds payable	415,000	11,229,000	2705.8%	11,644,000	-	0.0%	11,644,000
26 Retainers and estimates payable	22,904	281,018	1226.9%	303,922	(259,647)	-586.4%	44,275
27 Customer deposits	-	-	0.0%	-	-	0.0%	-
28 Total current liabilities (payable from restricted assets)	1,981,595	11,781,692	594.6%	13,763,287	259,647	1.9%	13,503,640
29 TOTAL CURRENT LIABILITIES	29,267,044	10,962,329	37.5%	40,229,373	(12,017,351)	-23.0%	52,246,724
30 TOTAL LIABILITIES	293,418,471	(1,160,527)	-0.4%	292,257,944	(8,698,352)	-2.9%	300,956,296
Deferred inflows or resources:							
31 Unavailable revenue-refunding of bonds	389,734	(389,734)	-100.0%	-	-	0.0%	-
32 TOTAL DEFERRED INFLOWS OF RESOURCES	389,734	(389,734)	-100.0%	-	-	0.0%	-
33 Total Net Position, Liabilities and Deferred Inflows of Resources	1,007,874,575	61,343,260	6.1%	1,068,228,101	35,304,888	3.4%	1,033,523,213

SEWERAGE AND WATER BOARD OF NEW ORLEANS
SEWER SYSTEM FUND
STATEMENTS OF CASH FLOWS
November 2015

	A YTD Current Year	B YTD Prior Year	C YTD Variance	D %
Cash flows from operating activities				
1 Cash received from customers	85,674,053	76,788,630	8,885,423	11.6%
2 Cash payments to suppliers for goods and services	(39,952,315)	61,043,025	(100,995,340)	-165.4%
3 Cash payments to employees for services	(13,601,551)	(121,843,745)	108,242,194	-88.8%
4 Other revenue	(3,757,021)	841,579	(4,598,600)	-546.4%
5 Net cash used in operating activities	28,363,166	16,829,489	11,533,677	68.5%
Cash flows from noncapital financing activities				
6 Proceeds from property taxes	177,894	157,507	20,387	12.9%
7 Proceeds from federal operating and maintenance grants	-	419,203	(419,203)	-100.0%
8 Cash received paid to an other government	-	-	-	0.0%
9 Net cash provided by noncapital financing activities	177,894	576,710	(398,816)	-69.2%
Cash flows from capital and related financing activities				
10 Acquisition and construction of capital assets	(33,407,413)	(35,554,242)	2,146,829	-6.0%
11 Principal payments on bonds payable	-	(13,695,000)	13,695,000	-100.0%
12 Proceeds from bonds payable	-	172,839,277	(172,839,277)	-100.0%
13 Payments for bond issuance costs	-	-	-	0.0%
14 Payment to refunded bond escrow agent	-	(126,585,406)	126,585,406	-100.0%
15 Interest paid on bonds payable	308,492	(1,362,155)	1,670,647	-122.6%
16 Proceeds from construction fund, net	(2,228,873)	531,335	(2,760,208)	-519.5%
17 Capital contributed by developers and federal grants	20,753,001	22,080,711	(1,327,710)	-6.0%
18 Net cash used in capital and related financing activities	(14,574,793)	18,254,520	(32,829,313)	-179.8%
Cash flows from investing activities				
19 Payments for purchase of investments	-	-	-	0.0%
20 Proceeds from maturities of investments	-	-	-	0.0%
21 Investment income	159,940	174,300	(14,360)	-8.2%
22 Net cash provided by investing activities	159,940	174,300	(14,360)	-8.2%
23 Net increase in cash	14,126,207	35,835,019	(21,708,812)	-60.6%
24 Cash at the beginning of the year	78,334,621	52,445,731	25,888,890	49.4%
25 Cash at the end of the period	92,460,828	88,280,750	4,180,078	4.7%
Reconciliation of cash and restricted cash				
26 Current assets - cash	9,524,111	15,256,035	(5,731,924)	-37.6%
27 Current assets - designated	27,404,839	5,866,033	21,538,806	367.2%
28 Restricted assets -cash	55,531,878	67,158,683	(11,626,805)	-17.3%
29 Total cash	92,460,828	88,280,751	4,180,077	4.7%

SEWERAGE AND WATER BOARD OF NEW ORLEANS
SEWER SYSTEM FUND
STATEMENTS OF CASH FLOWS
November 2015

	A YTD Current Year	B YTD Prior Year	C YTD Variance	D %
Reconciliation of operating loss to net cash used in operating activities is as follows:				
1 Operating loss	26,424,021	25,107,468	1,316,553	5.2%
Adjustments to reconcile net operating loss to net cash used in operating activities:				
2 Depreciation	15,588,573	11,125,202	4,463,371	40.1%
3 Provision for claims	415,520	358,508	57,012	15.9%
4 Provision for (revision) doubtful accounts	976,096	530,343	445,753	84.0%
5 Amortization	-	-	-	0.0%
Change in operating assets and liabilities:				
6 (Increase) decrease in customer and other receivables	(1,633,766)	(1,961,183)	327,417	-16.7%
7 Increase in inventory	-	-	-	0.0%
8 Increase (decrease) in prepaid expenses and other receivables	(1,331,090)	(9,670,079)	8,338,989	-86.2%
9 Decrease in net pension asset	-	-	-	0.0%
10 Increase (decrease) in accounts payable	(14,835,336)	(11,606,997)	(3,228,339)	27.8%
11 Increase (decrease) in accrued salaries, due to pension and accrued vacation and sick pay	(144,331)	(60,249)	(84,082)	139.6%
12 Increase in net other postretirement benefits liability	1,657,717	2,049,126	(391,409)	-19.1%
13 Increase (decrease) in net pension obligation	1,661,282	1,315,859	345,423	26.3%
14 Decrease in other liabilities	(415,520)	(358,508)	(57,012)	15.9%
15 Net cash used in operating activities	28,363,166	16,829,490	11,533,676	68.5%

SEWERAGE AND WATER BOARD OF NEW ORLEANS
DRAINAGE SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH PRIOR YEAR COMPARISONS
NOVEMBER 2015

	A	B	C	D	E	F	G	H
	MTD Actual	MTD Prior Year	MTD Variance	%	YTD Actual	YTD Prior Year	YTD Variance	%
Operating revenues:								
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%
2 Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%
3 Plumbing inspection and license fees	-	-	-	0.0%	-	-	-	0.0%
4 Other revenues	(2,480,272)	243,666	(2,723,938)	-1117.9%	949,036	900,554	48,482	5.4%
5 Total operating revenues	(2,480,272)	243,666	(2,723,938)	-1117.9%	949,036	900,554	48,482	5.4%
Operating Expenses:								
6 Power and pumping	718,431	751,832	(33,401)	-4.4%	6,463,515	6,458,615	4,900	0.1%
7 Treatment	8,885	9,958	(1,073)	-10.8%	91,338	96,529	(5,191)	-5.4%
8 Transmission and distribution	82,993	80,042	2,951	3.7%	861,362	672,240	189,122	28.1%
9 Customer accounts	665	845	(180)	-21.3%	4,183	6,587	(2,404)	-36.5%
10 Customer service	12,958	3,727	9,231	247.7%	101,022	95,859	5,163	5.4%
11 Administration and general	433,623	444,846	(11,223)	-2.5%	4,748,338	4,716,894	31,444	0.7%
12 Payroll related	830,093	320,151	509,942	159.3%	8,566,022	8,020,802	545,220	6.8%
13 Maintenance of general plant	634,323	693,835	(59,512)	-8.6%	6,986,438	7,511,784	(525,346)	-7.0%
14 Depreciation	1,525,616	1,016,452	509,164	50.1%	16,781,776	11,180,972	5,600,804	50.1%
15 Amortization	-	-	-	0.0%	-	-	-	0.0%
16 Provision for doubtful accounts	833	-	833	0.0%	9,163	-	9,163	0.0%
17 Provision for claims	51,484	94,334	(42,850)	-45.4%	506,168	497,279	8,889	1.8%
18 Total operating expenses	4,299,904	3,416,022	883,882	25.9%	45,119,325	39,257,561	5,861,764	14.9%
19 Operating income (loss)	(6,780,176)	(3,172,356)	(3,607,820)	113.7%	(44,170,289)	(38,357,007)	(5,813,282)	15.2%
Non-operating revenues (expense):								
20 Two-mill tax	-	-	-	0.0%	2,339	1,157	1,182	102.2%
21 Three-mill tax	-	1,157	(1,157)	-100.0%	13,982,639	13,397,897	584,742	4.4%
22 Six-mill tax	-	191,057	(191,057)	-100.0%	14,132,600	13,541,479	591,121	4.4%
23 Nine-mill tax	-	193,118	(193,118)	-100.0%	21,183,906	20,298,124	885,782	4.4%
24 Interest income	4,598	289,472	(284,874)	-98.4%	70,426	55,023	15,403	28.0%
25 Other Income	3,074,113	276	3,073,837	1113709.1%	3,074,113	-	3,074,113	0.0%
26 Interest expense	-	-	-	0.0%	-	-	-	0.0%
27 Operating and maintenance grants	-	-	-	0.0%	-	23	(23)	-100.0%
28 Total non-operating revenues	3,078,711	675,080	2,403,631	356.1%	52,446,023	47,293,703	5,152,320	10.9%
29 Income before capital contributions	(3,701,465)	(2,497,276)	(1,204,189)	48.2%	8,275,734	8,936,696	(660,962)	-7.4%
30 Capital contributions	613,706	4,570,216	(3,956,510)	-86.6%	6,971,787	14,120,067	(7,148,280)	-50.6%
31 Change in net position	(3,087,759)	2,072,940	(5,160,699)	-249.0%	15,247,521	23,056,763	(7,809,242)	-33.9%
32 Net position, beginning of year					918,396,632	836,196,980	82,199,642	9.8%
33 Net position, end of year					933,644,153	859,253,753	74,390,400	8.7%

SEWERAGE AND WATER BOARD OF NEW ORLEANS
DRAINAGE SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH BUDGET COMPARISONS
NOVEMBER 2015

	A	B	C	D	E	F	G	H
	MTD Actual	MTD Budget	MTD Variance	%	YTD Actual	YTD Budget	YTD Variance	%
Operating revenues:								
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%
2 Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%
3 Plumbing inspection and license fees	-	-	-	0.0%	-	-	-	0.0%
4 Other revenues	(2,480,272)	-	(2,480,272)	0.0%	949,036	-	949,036	0.0%
5 Total operating revenues	(2,480,272)	-	(2,480,272)	0.0%	949,036	-	949,036	0.0%
Operating Expenses:								
6 Power and pumping	718,431	654,585	63,846	9.8%	6,463,515	7,200,438	(736,923)	-10.2%
7 Treatment	8,885	14,258	(5,373)	-37.7%	91,338	156,839	(65,501)	-41.8%
8 Transmission and distribution	82,993	61,399	21,594	35.2%	861,362	675,392	185,970	27.5%
9 Customer accounts	665	604	61	10.2%	4,183	6,639	(2,456)	-37.0%
10 Customer service	12,958	9,368	3,590	38.3%	101,022	103,048	(2,026)	-2.0%
11 Administration and general	433,623	911,060	(477,437)	-52.4%	4,748,338	10,021,655	(5,273,317)	-52.6%
12 Payroll related	830,093	530,694	299,400	56.4%	8,566,022	5,837,629	2,728,394	46.7%
13 Maintenance of general plant	634,323	1,391,627	(757,304)	-54.4%	6,986,438	15,307,896	(8,321,458)	-54.4%
14 Depreciation	1,525,616	1,226,875	298,741	24.3%	16,781,776	13,495,625	3,286,151	24.3%
15 Amortization	-	-	-	0.0%	-	-	-	0.0%
16 Provision for doubtful accounts	833	-	833	0.0%	9,163	-	9,163	0.0%
17 Provision for claims	51,484	69,970	(18,486)	-26.4%	506,168	769,673	(263,505)	-34.2%
18 Total operating expenses	4,299,904	4,870,439	(570,535)	-11.7%	45,119,325	53,574,834	(8,455,509)	-15.8%
19 Operating income (loss)	(6,780,176)	(4,870,439)	(1,909,737)	39.2%	(44,170,289)	(53,574,834)	9,404,545	-17.6%
Non-operating revenues (expense):								
20 Two-mill tax	-	-	-	0.0%	2,339	-	2,339	0.0%
21 Three-mill tax	-	-	-	0.0%	13,982,639	13,357,609	625,030	4.7%
22 Six-mill tax	-	-	-	0.0%	14,132,600	13,500,746	631,854	4.7%
23 Nine-mill tax	-	-	-	0.0%	21,183,906	20,364,000	819,906	4.0%
24 Interest income	4,598	-	4,598	0.0%	70,426	-	70,426	0.0%
25 Other Income	3,074,113	58,475	3,015,638	5157.2%	3,074,113	643,220	2,430,893	377.9%
26 Interest expense	-	(21,263)	21,263	-100.0%	-	(233,888)	233,888	-100.0%
27 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%
28 Total non-operating revenues	3,078,711	37,212	3,041,499	8173.4%	52,446,023	47,631,688	4,814,335	10.1%
29 Income before capital contributions	(3,701,465)	(4,833,227)	1,131,762	-23.4%	8,275,734	(5,943,146)	14,218,880	-239.2%
30 Capital contributions	613,706	-	613,706	0.0%	6,971,787	-	6,971,787	0.0%
31 Change in net position	(3,087,759)	(4,833,227)	1,745,468	-36.1%	15,247,521	(5,943,146)	21,190,667	-356.6%
32 Net position, beginning of year					918,396,632	836,196,990	82,199,642	9.8%
33 Net position, end of year					933,644,153	830,253,844	103,390,309	12.5%

SEWERAGE AND WATER BOARD OF NEW ORLEANS
DRAINAGE SYSTEM FUND

STATEMENTS OF NET POSITION
WITH PRIOR YEAR AND BEGINNING OF YEAR COMPARISONS
NOVEMBER 2015

		Assets							
		Prior		Current		Beginning of			
		Year	Variance	%	Year	Variance	%	Year	
Noncurrent assets:									
1	Property, plant and equipment	1,179,285,953	127,951,226	10.8%	1,307,235,179	13,741,487	1.1%	1,293,493,692	1
2	Less: accumulated depreciation	276,204,923	21,893,047	7.9%	298,097,970	16,781,775	6.0%	281,316,195	2
3	Property, plant, and equipment, net	903,079,030	106,058,179	11.7%	1,009,137,209	(3,040,288)	-0.3%	1,012,177,497	3
Restricted cash, cash equivalents, and investments									
4	Cash and cash equivalents restricted for capital projects	4,403,236	323,651	0.0%	4,726,887	279,133	6.3%	4,447,754	4
5	Debt service reserve	2,034,279	539,192	26.5%	2,573,471	2,416,417	1538.6%	157,054	5
6	Health insurance reserve	775,833	(116,667)	-15.0%	659,166	-	0.0%	659,166	6
7	Total restricted cash, cash equivalents, and investments	7,213,348	746,176	10.3%	7,959,524	2,695,550	51.2%	5,263,974	7
Designated cash, cash equivalents, and investments									
8	Cash and cash equivalents designated for capital projects	14,733,735	5,707,540	38.7%	20,441,275	9,807,179	92.2%	10,634,096	8
9	Customer deposits	-	-	0.0%	-	-	0.0%	-	9
10	Other	1,023,939	120,483	11.8%	1,144,422	3,227	0.3%	1,141,195	10
11	Total designated cash and cash equivalents, and investments	15,757,674	5,828,023	37.0%	21,585,697	9,810,406	859.7%	11,775,291	11
Current assets:									
Unrestricted and undesignated									
12	Cash and cash equivalents	6,134,550	7,419,682	120.9%	13,554,232	8,734,714	181.2%	4,819,518	12
Accounts receivable:									
13	Customers (net of allowance for doubtful accounts)	-	-	0.0%	-	-	0.0%	-	13
14	Taxes	7,998,106	(113,852)	-1.4%	7,884,254	(246,693)	-3.0%	8,130,947	14
15	Interest	-	-	0.0%	-	-	0.0%	-	15
16	Grants	7,539,665	(3,110,170)	-41.3%	4,429,495	(2,518,221)	-36.2%	6,947,716	16
17	Miscellaneous	200,864	524,629	261.2%	725,493	(651,933)	-47.3%	1,377,426	17
18	Due from enterprise fund	(332,685)	(1,817,894)	546.4%	(2,150,579)	601,675	-21.9%	(2,752,254)	18
19	Inventory of supplies	899,532	(293,104)	-32.6%	606,428	-	0.0%	606,428	19
20	Prepaid expenses	119,227	(34,430)	-20.5%	94,797	-	0.0%	94,797	20
21	Total unrestricted current assets	22,559,259	2,584,861	11.5%	25,144,120	5,919,542	30.8%	19,224,575	21
Other assets:									
22	Bond issue costs	-	-	0.0%	-	-	0.0%	-	22
23	Deposits	10,400	-	0.0%	10,400	-	0.0%	10,400	23
24	Total other assets	10,400	-	0.0%	10,400	-	0.0%	10,400	24
25	TOTAL ASSETS	948,619,711	115,217,239	12.1%	1,063,836,950	15,385,210	1.5%	1,048,451,740	25
Deferred outflows or resources:									
26	Deferred charge on refunding	-	343,073	0.0%	343,073	-	0.0%	343,073	26
27	TOTAL DEFERRED OUTFLOWS OF RESOURCES	-	343,073	0.0%	343,073	-	0.0%	343,073	27
28	TOTAL ASSETS AND TOTAL DEFERRED OUTFLOWS	948,619,711	115,560,312	12.1%	1,064,180,023	15,385,210	1.5%	1,048,794,813	28

SEWERAGE AND WATER BOARD OF NEW ORLEANS

DRAINAGE SYSTEM FUND
STATEMENTS OF NET POSITION
WITH PRIOR YEAR COMPARISONS
NOVEMBER 2015

	A	B	C	D	E	F	G
	Prior Year	Variance	%	Current Year	Variance	%	Beginning of Year
NET ASSETS AND LIABILITIES							
Net position							
1 Net investments in capital assets	898,055,002	96,216,145	10.7%	994,271,147	(3,036,308)	-0.3%	997,307,455
2 Restricted for Debt Service	1,890,048	683,693	36.2%	2,573,741	2,416,687	1538.8%	157,054
3 Unrestricted	(140,691,297)	(22,509,438)	55.3%	(63,200,735)	15,867,140	-20.1%	(79,067,875)
4 Total net position	859,253,753	74,390,400	8.7%	933,644,153	15,247,519	1.7%	918,396,634
Long-term liabilities							
5 Claims payable	637,869	226,849	35.6%	864,718	-	0.0%	864,718
6 Net pension obligation	6,519,673	1,160,331	17.8%	7,680,004	1,661,282	27.6%	6,018,722
7 Other postretirement benefits liability	18,404,620	1,752,028	9.5%	20,156,648	1,657,717	9.0%	18,498,931
8 Bonds payable (net of current maturities)	14,715,000	(1,459,958)	-9.9%	13,255,042	-	0.0%	13,255,042
9 Special Community Disaster Loan Payable	-	-	0.0%	-	-	0.0%	-
10 Southeast Louisiana Project liability	29,391,071	37,264,905	126.8%	66,655,976	-	0.0%	66,655,976
11 Debt Service Assistance Fund Loan payable	3,686,726	(236,490)	-6.4%	3,450,236	-	0.0%	3,450,236
12 Total long-term liabilities	73,354,959	38,707,665	52.8%	112,062,624	3,318,999	3.1%	108,743,625
Current liabilities (payable from current assets)							
13 Accounts payable and other liabilities	(71,350)	2,589,384	-3629.1%	2,518,034	(3,536,601)	-58.4%	6,054,635
14 Due to City of New Orleans	-	-	0.0%	-	-	0.0%	-
15 Disaster Reimbursement Revolving Loan	7,032,910	(49,685)	-0.7%	6,983,225	(223)	0.0%	6,983,448
16 Retainers and estimates payable	452,295	392,603	86.8%	844,898	393,207	87.1%	451,691
17 Due to other fund	77,348	4,208	5.4%	81,556	(1,271)	-1.5%	82,827
18 Accrued salaries, vacation and sick pay	2,644,700	(178,506)	-6.7%	2,466,194	(34,115)	-2.1%	2,520,309
19 Claims payable	4,013,589	(415,325)	-10.3%	3,598,264	-	0.0%	3,598,264
20 Debt Service Assistance Fund Loan payable	226,003	10,487	4.6%	236,490	(0)	0.0%	236,490
21 Advances from federal government	-	-	0.0%	-	-	0.0%	-
22 Other liabilities	1,274	16,447	1301.0%	17,721	17,695	68057.7%	-
23 Total current liabilities (payable from current assets)	14,376,769	2,569,613	15.3%	16,746,382	(5,181,308)	-16.0%	19,927,690
Current liabilities (payable from restricted assets)							
24 Accrued interest	144,230	(32,366)	-22.4%	111,864	-	0.0%	111,864
25 Bonds payable	1,490,000	125,000	8.4%	1,615,000	-	0.0%	1,615,000
26 Retainers and estimates payable	-	-	0.0%	-	-	0.0%	-
27 Customer deposits	-	-	0.0%	-	-	0.0%	-
28 Total current liabilities (payable from restricted assets)	1,634,230	92,634	5.7%	1,726,864	-	0.0%	1,726,864
29 Total current liabilities	16,010,999	2,462,247	15.4%	18,473,246	(3,181,208)	-14.7%	21,654,554
30 Total liabilities	89,365,958	41,169,912	46.1%	130,535,870	137,691	0.1%	130,398,179
Deferred inflows or resources:							
31 Unavailable revenue --refunding of bonds	-	-	0.0%	-	-	0.0%	-
32 TOTAL DEFERRED INFLOWS OF RESOURCES	-	-	0.0%	-	-	0.0%	-
33 Total Net Position, Liabilities and Deferred Inflows of Resources	948,619,711	115,560,312	12.2%	1,064,180,022	15,385,210	1.5%	1,048,794,813

SEWERAGE AND WATER BOARD OF NEW ORLEANS
DRAINAGE SYSTEM FUND
STATEMENTS OF CASH FLOWS
November 2015

	A	B	C	D
	YTD	YTD	YTD	%
	Current Year	Prior Year	Variance	
Cash flows from operating activities				
1 Cash received from customers	-	-	-	0.0%
2 Cash payments to suppliers for goods and services	(18,134,998)	(20,038,161)	1,903,163	-9.5%
3 Cash payments to employees for services	(11,482,085)	(477,014)	(11,005,071)	2307.1%
4 Other revenue	1,591,806	2,768,058	(1,176,252)	-42.5%
5 Net cash used in operating activities	(28,025,277)	(17,747,117)	(10,278,160)	57.9%
Cash flows from noncapital financing activities				
6 Proceeds from property taxes	52,622,290	47,359,753	5,262,537	11.1%
7 Proceeds from federal operating and maintenance grants	-	-	-	0.0%
8 Cash received paid to an other government	-	-	-	0.0%
9 Net cash provided by noncapital financing activities	52,622,290	47,359,753	5,262,537	11.1%
Cash flows from capital and related financing activities				
10 Acquisition and construction of capital assets	(12,916,551)	1,037,914	(13,954,465)	-1344.5%
11 Principal payments on bonds payable	-	-	-	0.0%
12 Proceeds from bonds payable	-	-	-	0.0%
13 Payments for bond issuance costs	-	-	-	0.0%
14 Payment to refunded bond escrow agent	-	-	-	0.0%
15 Interest paid on bonds payable	-	(973,538)	973,538	-100.0%
16 Proceeds from construction fund, net	(226)	(244,805)	244,579	-99.9%
17 Capital contributed by developers and federal grants	9,490,008	(23,646,172)	33,136,180	-140.1%
18 Net cash used in capital and related financing activities	(3,426,769)	(23,826,601)	20,399,832	-85.6%
Cash flows from investing activities				
19 Payments for purchase of investments	-	-	-	0.0%
20 Proceeds from maturities of investments	70,426	55,023	15,403	28.0%
21 Investment income	70,426	55,023	15,403	28.0%
22 Net cash provided by investing activities	70,426	55,023	15,403	28.0%
23 Net increase (decrease) in cash	21,240,670	5,841,058	15,399,612	263.6%
24 Cash at the beginning of the year	21,858,783	23,264,514	(1,405,731)	-6.0%
25 Cash at the end of the period	43,099,453	29,105,572	13,993,881	48.1%
Reconciliation of cash and restricted cash				
26 Current assets - cash	13,554,232	6,134,550	7,419,682	120.9%
27 Current assets - designated	21,585,697	15,757,674	5,828,023	37.0%
28 Restricted assets - cash	7,959,524	7,213,348	746,176	10.3%
29 Total cash	43,099,453	29,105,572	13,993,881	48.1%

SEWERAGE AND WATER BOARD OF NEW ORLEANS
DRAINAGE SYSTEM FUND
STATEMENTS OF CASH FLOWS
November 2015

	A YTD Current Year	B YTD Prior Year	C YTD Variance	D %
1	(44,170,289)	(38,357,007)	(5,813,282)	15.2%
2				
3	16,781,776	11,180,972	5,600,804	50.1%
4	506,168	497,279	8,889	1.8%
5	-	-	-	0.0%
6	-	-	-	0.0%
7	-	-	-	0.0%
8	50,259	11,245,025	(11,194,766)	-99.6%
9	-	-	-	0.0%
10	(3,968,331)	(5,167,212)	1,198,881	-23.2%
11	(55,386)	(15,154)	(40,232)	265.5%
12	1,657,717	2,049,126	(391,409)	-19.1%
13	1,661,282	1,315,859	345,423	26.3%
14	(488,473)	(496,005)	7,532	-1.5%
15	(28,025,277)	(17,747,117)	(10,278,160)	57.9%

Reconciliation of operating loss to net cash used in operating activities is as follows:

Operating loss

Adjustments to reconcile net operating loss to net cash used in operating activities:

Depreciation

Provision for claims

Provision for (revision) doubtful accounts

Amortization

Change in operating assets and liabilities:

(Increase) decrease in customer and other receivables

Increase in inventory

Increase (decrease) in prepaid expenses and other receivables

Decrease in net pension asset

Increase (decrease) in accounts payable

Increase (decrease) in accrued salaries, due to pension and accrued vacation and sick pay

Increase in net other postretirement benefits liability

Increase (decrease) in net pension obligation

Decrease in other liabilities

Net cash used in operating activities

Sewerage and Water Board of New Orleans Tracking Tool for Commitments to City Council January 2016

Status Key

On Target

Not Started

Delayed

Needs Attention

Topic

Commitment

Target Date

Status

Next Steps

Strategic Plan Reference

I. Governance Practices

A. Reduce the length of Board member terms and limiting the number of terms.

October 2013

Completed June 17, 2013. Senate Bill No. 47 reduced the term lengths from 9 to 4 years and limiting members to serving two consecutive terms.

Strategy IV Tactics I.1 and I.2

B. Establish requisite qualifications for Board members.

October 2013

Completed June 17, 2013. Senate Bill No. 47 requires experience in architecture, environmental quality, finance, accounting, business administration, engineering, law, public health, urban planning, facilities management, public administration, science, construction, business management, consumer or community advocacy, or other pertinent disciplines, with two of the appointments as consumer advocates with community advocacy or consumer protection experience or experience in a related field.

Strategy IV Tactic I.3

C. Reduce the number of Board members.

October 2013

Completed June 17, 2013. Senate Bill No. 47 reduced the size of the Board from 13 to 11 members.

Strategy IV Tactic I.4

D. Review function and responsibilities of Board committees.

Not determined.

Completed August 19, 2015. Board of Directors revised Bylaws based upon recommended best practices contained in New Orleans Office of Inspector General Guide for Boards, Commissions, and Public Benefit Corporations.

Strategy IV Tactic I.5

E. Appoint Board members from recommendations submitted by university presidents.

October 2013 original
May 2014 revised

Completed May 22, 2014. New board members appointed.

Strategy IV Tactic I.6

F. Establish dedicated independent oversight of Sewerage and Water Board determined by the City Council.

Not determined.

Completed May 30, 2013. Staff presents to Public Works Committee of City Council as scheduled on identified questions and concerns.

Strategy IV Tactic M

Sewerage and Water Board of New Orleans Tracking Tool for Commitments to City Council January 2016

Topic	Commitment	Target Date	Status Key			Status	Next Steps	Strategic Plan Reference
			On Target	Not Started	Delayed			
II. Customer Service Improvements	A. Acquire and implement Advanced Metering Infrastructure. Replace existing mechanical meters with new electronic meters and an automated meter reading system that will provide more accurate readings, enhanced leak detection on customer lines, and improved account monitoring. The new meters will be installed for the residential and small commercial customer base.	December 2016				On target. Request for Information issued to potential vendors. Information submitted by ten vendors reviewed by staff and interviews conducted. Requests for proposals issued by other utilities being reviewed. A revised standard for purchasing new meters has been completed. Pilot demonstration of leak detection and automated shutoff capabilities underway. Project will be fully initiated following implementation of new billing system.	Continue replacement of existing manual-read meters with electronic-read meters. Determine if outside expert assistance will be needed in project management. Develop a preliminary implementation plan and issue a request for proposals for change-out of residential and small commercial meters and installation of automated meter reading capabilities. Confirm targeted completion date following implementation of new customer account management system.	Strategy III Tactic B
	B. Open Additional Customer Service Center to provide convenient access to full service capabilities for customers without travelling to the downtown location.	December 2013 original On hold pending review of Customer Service Strategy revised				Delayed.	Identify and evaluate public facilities in New Orleans East for reuse as Customer Service Center.	Strategy III Tactic H
	C. Replace existing billing application with new software that includes online customer account management capabilities.	January 2015 original July 2016 revised				On target. Customer Account Management System from Cogsdale Corporation implementation underway.	Project implementation steps for setup, testing, and training underway.	Strategy III Tactic C
	D. Replace existing work order application with new software that includes online work order tracking and appointment scheduling capabilities.	December 2017				Not started.	Next steps to be determined as part of the development of an Information Technology Strategic Plan.	Strategy III Tactic D and E Strategy IV Tactic D
	E. Improve efficiency and reliability of Customer Service processes. Reduce the volume of calls by increasing perceived accuracy of bills. Ensure meter reading and billing edits are worked diligently. Improve the customer experience when questioning a bill and resolve more issues during the first call. Provide more effective appeals process.	Ongoing				Previous Customer Service Improvement Plan completed October 31, 2014. New Customer Service Improvement Plan adopted June 17, 2015. Status updates reported to Finance / Administration Committee monthly.	None.	Strategy III Tactics A, F, and G
III. Service Assurance Program	A. Provide additional funding for bill payment assistance through the Water Help program.	January 2013				Completed January 31, 2013. Funding for bill payment assistance through the Water Help program increased from \$60,000 to \$240,000. Process with Total Community Action was streamlined.	None.	Strategy III Tactic I.1

Sewerage and Water Board of New Orleans Tracking Tool for Commitments to City Council January 2016

Status Key		On Target	Not Started	Delayed	Needs Attention
Topic	Commitment	Target Date	Status	Next Steps	Strategic Plan Reference
	B. Expand Water Help program to provide assistance with plumbing repairs.	June 2013 original <i>March 2014 revised</i>	Original initiative completed March 31, 2014. Program provides up to \$250 for plumbing repairs on the customer's portion of the service line. Working with Total Community Action to streamline the program.	Develop agreed-upon streamlined methods.	Strategy III Tactic I.2
	C. Pursue legislative change to allow adjustments for water lost through customer leaks.	March 2013 original <i>January 2016 revised</i>	Underway. R.S. 33:4071(F) enacted in July 2015 authorized Sewerage and Water Board to adopt rules and procedures to adjust water bills.	Develop rules and procedures to adjust bills according to legislation.	Strategy III Tactic I.3
	D. Evaluate waiver of service charges based on means testing for qualifying low-income elderly and disabled customers.	June 2013	Completed July 17, 2013. Staff recommended that the Board not adopt a waiver of these service charges based on means testing. Recommendations accepted by Board of Directors.	None.	Strategy III Tactic I.4
	E. Evaluate reduction in late payment fee, disconnect fee, returned check fee, and deposits.	March 2013 original <i>June 2013 revised</i>	Completed July 17, 2013. Because of the significant revenue loss associated with a reduction in late payment fees and disconnect fees, staff recommended that consideration of changes to these fees be deferred until after the first full year of revenues have been received from the new rates in order to ensure that revenues from the new rates are sufficient to allow for this offsetting reduction in fees while still accomplishing other financial objectives. Revenues from the new rates have not been sufficient to allow reduction in fees.	None.	Strategy III Tactic I.4
IV. Operational Reforms	A. Improve operations through performance measures, improved framework, and follow-up reviews to reduce future rate increases.	December 2017	On target. Training program developed and underway for frontline employees. Performance measures being reviewed and developed.	Process documentation, analysis, and improvement objectives combined with cost reduction are included in several senior management goals. Document and report improvement results.	Strategy II Tactic D Strategy IV Tactics B and H Strategy IV Tactic M
	B. Reduce free water and sewer service provided to municipal accounts by fifty percent from a baseline of 2010 usage.	December 2017	On target. Quantity of free service reduced from 2010 to 2014 by 25.6%. School system billing piloted for consumption beginning July 2013. No changes to related laws were initiated for 2015 Louisiana legislative session.	Continue work with property administrators at municipal facilities to identify opportunities for reduced consumption. Coordinate with revenue-producing agencies to pursue legislative relief from burdensome requirements for free service.	Strategy II Tactic F

Sewerage and Water Board of New Orleans Tracking Tool for Commitments to City Council January 2016

Topic	Status Key	On Target	Not Started	Delayed	Needs Attention	Strategic Plan Reference
	Commitment	Target Date	Status	Next Steps		
C. Improve coordination between Sewerage and Water Board and Department of Public Works.	Not determined.	On target. A joint team of Sewerage and Water Board engineers and Department of Public Works engineers work together in coordination of planning and construction for the FEMA Recovery Roads program.	Completed December 31, 2013. Plans to improve collections have recently focused on ensuring close compliance with schedules for non-payment turn-offs. The amount written off as uncollectable has reduced from 10.23% in 2010 to 1.15% in 2014.	None.	Strategy IV Tactic G	
D. Improve ratepayer collections.	Not determined.	On target. A partnership between Delgado Community College, the Sewerage and Water Board of New Orleans and the JOB1 Business and Career Solutions Center has launched a worker training program aimed at increasing the pool of certified water and wastewater treatment personnel to meet the anticipated demand for workers to operate the systems. Delgado has applied to become a certification testing site.	In conjunction with the City's JOB1 program and Sewerage and Water Board, Delgado Community College will develop training to increase the pool of certified personnel to meet the needs of the capital improvement program. Knowledge management and succession planning objectives have been added to several senior management goals.	Strategy V Tactic G		
E. Develop a long-term staff succession and training program.	Not determined.	Completed September 8, 2015. Water Audits have been performed for 2008 through 2014.	None.	Strategy IV Tactic K		
F. Perform annual water audit to measure progress and critical needs.	Ongoing.	Completed August 4, 2015. Recommendations incorporated into 2015-2024 Capital Improvement Plan.	None.	Strategy I Tactic F.1		
G. Enhance long range planning by developing a Facilities Plan for 2015-2035.	December 2014	On target. New revenue stream established for handling wastewater from mobile containers, such as portable toilets and shipping containers.	Analyze opportunities for providing wholesale water service over long distances.	Strategy II Tactic I		
H. Develop new sources of funding other than water and sewer rate increases.	Ongoing.	On target. Sewerage and Water Board repaid \$4,763,858.77 to Department of Public Works at year-end 2013. Amount was lower due to lower-than-forecast obligation by drainage system.	Pay remaining obligation owed to the Department of Public Works in annual installments.	Strategy II Tactic E		
Repay funds owed to Department of Public Works.	December 2016					

Sewerage and Water Board of New Orleans Tracking Tool for Commitments to City Council January 2016

Status Key					Needs Attention	
On Target					Delayed	
Not Started					Needs Attention	
Topic	Commitment	Target Date	Status	Next Steps	Strategic Plan Reference	
V. Economic Opportunities	A. Create economic opportunities consistent with City of New Orleans programs for participation by economically disadvantaged and local business enterprises.	Not determined.	On target. For contracts with DBE participation 2014: Goods and Services \$256,644 or 22.87%, Construction \$14,367,582 or 30.39%, and Professional Services \$5,237,764 or 20.35%.	Sewerage and Water Board will continue to create economic opportunities for participation by economically disadvantaged and local business enterprises through Construction Review Committee and Staff Contract Review Committee recommendations and DBE vendor support and training.	Strategy IV Tactics F and L	
	A. Water System Improvements Replacement and rehabilitation of water purification plant facilities. Replacement and rehabilitation of water pumping facilities. Replacement of water system transmission and distribution mains. \$277,000,000	December 2020	On target. 2014 Spending \$46,602,825. 2015 Capital Budget nearly fully funded. Progress on capital projects reported to Board of Directors.	Continue execution of capital improvement program.	Strategy Tactic A.1 through A.5	
VI. Capital Improvement Program	B. Replacement and rehabilitation of sewer system collection pipes required by Federal Consent Decree. \$314,000,000	December 2020	On target. 2014 Spending \$58,730,088. 2015 Capital Budget nearly fully funded. Progress on capital projects reported to Board of Directors.	Continue execution of capital improvement program.	Strategy Tactic B.1 through B.3	
	A. Establish performance measures and targets as well as reporting methodology.	March 2013	Completed March 31, 2013. Measurements framework adopted, initial measurements identified, and measurements training delivered to senior management. Collection of performance data in progress. Additional graphs created.	None.	Strategy IV Tactics A and B	
VII. WaterStat Reporting and City Council Oversight	B. Implement a systematic approach to process documentation, analysis, and improvement.	June 2013	Completed April 1, 2014. Training program developed and contract for training delivery awarded. Departmental training plans developed in March 2014 and business skills training began in April 2014. Improvement initiatives identified by training participants.	None.	Strategy II Tactic D Strategy IV Tactic H	
	C. Perform follow-up reviews to document results and efficiencies achieved.	January 2014 original December 2014 revised	Completed September 18, 2015. Louisiana R.S. 33:4091 Reports of Board issued to City Council.	Document and report improvement results.	Strategy IV Tactic B	
	D. Provide maps showing maintenance work completed, capital projects completed, and planned capital improvements.	January 2013 and Ongoing	Completed January 1, 2013 for printed maps. Online Tool to Track Road Construction Across New Orleans released on March 10, 2015.	None.	Strategy IV Tactic M	

Sewerage and Water Board of New Orleans Tracking Tool for Commitments to City Council January 2016

Status Key		On Target	Not Started	Delayed	Needs Attention	Strategic Plan Reference
Topic		Commitment	Target Date	Status	Next Steps	
	E.	Document FEMA receipts and uses of funds.	January 2013 and Ongoing	Completed January 1, 2013. Summary of FEMA receipts and uses of funds is provided to Board committees each month.	None.	Strategy IV Tactic M
	F.	Initiate annual meetings with citizens of each council district to regularly report on organizational performance results.	May 2014 original December 2014 revised	Completed December 2014. Sewerage and Water Board staff regularly attend meetings in each council district upon request.	None.	Strategy IV Tactic M
	G.	Provided written updates to the Clerk of the City Council.	Quarterly and As Requested.	Completed May 30, 2013.	None.	Strategy IV Tactic M

Statistics	
Completed	19
On Target	13
Not Started	1
Delayed	2
Needs Attention	0
Total	35