

# BOARD OF DIRECTOR'S MEETING

## FEBRUARY 15, 2017

### MEETING MINUTES

#### SEWERAGE AND WATER BOARD OF NEW ORLEANS

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#### ROLL CALL

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The Board of Directors of the Sewerage and Water Board met on Wednesday, February 15, 2017 at 9:00 AM in the Board Room at 625 St. Joseph Street. The Executive Director, Cedric S. Grant, called the roll and showed the following members present: Scott Jacobs, Alan Arnold, Robin Barnes, Kerri Kane, Marion Bracy, Ralph Johnson, Joseph Peychaud, and Jeff Hebert.

The following member(s) were absent: Eric Blue, Dr. Tamika Duplessis.

Also present were: Geneva Coleman, The Hawthorne Agency; Pamela Burleigh, SOL Engineering Services, LLC; Fannie Bennett, Buchart Horn; Douglas Evans, BKI; Lisa Cookmeyer, Trigon Associates; Jeff Adelson, The New Orleans Advocate; Randy Smith, Royal Engineers; Zach Monroe, Sabiston Consultants.

Staff present were: Cedric S. Grant, Executive Director; Robert Miller, Deputy Director, CFO; Bruce Adams, Deputy General Superintendent; Sharon Judkins, Deputy Director, Administration; Valerie Rivers, Deputy Director, Logistics; Kimberly Johnson, Deputy Director, Continuous Improvement; Nolan Lambert, Special Counsel; Harold Marchand, Yolanda Grinstead, Legal Department; Kathleen LaFrance, Jasmin Lawrence, Susan Higginbotham, Board Relations Staff; Hayne Rainey, Communications.

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#### APPROVAL OF PREVIOUS REPORT

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Marion Bracy moved to approve the minutes of the Regular Board Meeting held on January 18, 2017. Jeff Hebert seconded the motion. The motion carried.

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#### HONORS AND AWARDS

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There were no Honors and Awards for the month of February 2017.

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#### REPORT OF THE EXECUTIVE DIRECTOR

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Cedric S. Grant presented a slide presentation that focused on the following topics of discussion:

- Tornado Response and Recovery  
“Tornado Relief Fund 2017” has been established through the S&WB’s Employees Federal Credit Union and donations are encouraged to help more than 20 employees affected by the tornado that hit the New Orleans East area.

- BGR Report – Stormwater Fees
- Washington Mardi Gras
- C40 and 100 Resilient Cities Forums
- Upcoming Conferences

**Key Agenda Topics:**

▪ **Finance & Administration Committee:**

- General Superintendent's Recommendation(s)
- Change Order(s)
- Authorization to Claim Property held by the State of Louisiana as Unclaimed Property
- 2017-2026 Financial Plan for Drainage System Update Alternative
- Contract Amendment with Cogsdale Corporation for Enhanced Support of Customer Service Management System
- Ratification of Contract Amendment with Cogsdale Corporation for Human Resources / Timekeeping / Payroll System
- Executive Session: Sewerage and Water Board of New Orleans v. F. H. Paschen, S.N. Nielsen and Associates, L.L.C., Proceeding Number 14-2333, Div. I, Civil District Court for the Parish of Orleans
- Presentation Items
  - Financial Results through December 2016
- Informational Items
  - Executive Director's Approval of Contracts of \$1M or less
  - DBE Participation on Contracts
  - Customer Service Results through January 2017
  - FEMA Project Worksheet Status

▪ **Pension Committee:**

- Executive Session: Disability Matter(s)
- Informational Items:
  - Pension Fund Update

▪ **Strategy Committee:**

- Presentation Items:
  - Update on Classification, Compensation and Organization Analysis Study
  - Monthly Human Resources Activity Report for the Period Jan 1-31, 2017
  - Executive Director's Approval of \$1M or less
  - Overtime and Standby Time Overview

**STRATEGY COMMITTEE**

Marion Bracy reported there was no action taken by the Strategy/Audit Committee(s) which met as a joint committee. However, Sharon Judkins presented an Update on The Classification, Compensation and Organizational Analysis Study and the Monthly Human Resources Activity Report for January 2017. Cedric S. Grant presented the Executive Director's Contract(s) for \$1,000,000.00 or less. Kimberly Johnson presented the Overtime and Standby Time Overview report.

Marion Bracy moved acceptance of the Strategy Committee's report. Kerri Kane seconded the motion. The motion carried.

## **FINANCE & ADMINISTRATION COMMITTEE**

Scott Jacobs reported on the actions taken by the Finance & Administration Committee. Scott Jacobs noted a correction to the Finance and Administration Report. The meeting took place on Monday, February 13, 2017, not Tuesday.

Kerri Kane moved acceptance of the Finance & Administration Committee's report and the Finance & Administration Committee's recommendations, with the noted correction as amended, therein. Ralph Johnson seconded the motion. The motion carried.

## **Pension Committee**

Joseph Peychaud reported there was one action item taken by the Pension Committee. The Pension Committee took action on Disability Matter(s) in Executive Session. Ralph Johnson moved acceptance of the Pension Committee's report and the Pension Committee's recommendations, therein. Alan Arnold seconded the motion. The motion carried.

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## **ANY OTHER MATTERS**

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Alan Arnold presented an analysis regarding the performance of the S&WB's Pension fund for the last seven years. It was presented as an information item at the Pension Committee meeting and was mentioned in the Board of Director's meeting to have this information for members to receive.

Customer, Lloyd Lazard, addressed the Board regarding his water bill. Marion Bracy stated that staff will work with senior citizens to re-evaluate how the billing system handles monthly payments.

Jeff Hebert and Marion Bracy left the meeting.

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## **CORRESPONDING RESOLUTIONS**

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The following resolutions were adopted in conjunction with approval of the Committee reports as follows:

R-013-2017 – Acceptance of 2017-2026 Capital Program Financing Plan and Projected Revenue Requirements for the Drainage system to be incorporated into the 2017-2026 Financial Plan.

R-015-2017 – Amendment to Existing Contract with Cogsdale Corporation for Enhanced Support of Customer Service Management System.

R-016-2017 – Ratification of Amendment to Existing Contract with Cogsdale Corporation for Additional Implementation Services for Human Resources / Timekeeping/ Payroll System.

R-018-2017 – Authorization to Claim Property Held By the State Of Louisiana as Unclaimed Property.

R-019-20017 – First and Final Renewal of Contract #2106 – Installation of New Water, Sewer and Drain Services Connections at Various Sites throughout Orleans Parish

R-020-2017 – Final Acceptance And Close Out To Contract #2098 – Water Main Point Repair, Water Services Connection, Water Valve And Fire Hydrant Replacement at Various Sites throughout Orleans Parish.

R-021-2017 – Ratification of Change Order No. 6 for Contract #3669 – 404 Hazard Mitigation Grant Program - #6 Sewage Pumping Station.

R-022-2017 – Ratification of Change Order No. 3 for Contract #3663 – 404 Hazard Mitigation Grant Program – Bullard Sewage Pumping Station.

R-023-2017 – Ratification of Change Order No. 3 for Contract #3670 – 404 Hazard Mitigation Grant Program – Lake Forest Sewage Pumping Station.

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### **EXECUTIVE SESSION**

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Joseph Peychaud moved to go into Executive Session. Kerri Kane seconded the motion. The motion carried. Joseph Peychaud moved to leave Executive Session and return to Regular Session. Robin Barnes seconded the motion. The motion carried. Kerri Kane moved to accept staff's recommendations re: Sewerage and Water Board of New Orleans v. F. H. Paschen, S.N. Nielsen and Associates, L.L.C., Proceeding Number 14-2333, Div. I, Civil District Court for the Parish of Orleans. Robin Barnes seconded the motion. The motion carried.

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### **PRESENTATION ITEMS**

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#### **Monthly Human Resources Activity Report for the Period January 1 through January 31, 2017**

Sharon Judkins presented the Monthly Human Resources Activity Report for the month of January 2017 and an overview of the Human Resource Activities.

#### **Executive Director's Approval of Contracts for \$1,000,000.00 or less**

The contracts approved by the Executive Director that were \$1,000,000.00 or less are as follows:

1. Industrial Welding Supplies for the purchase of CNC Plate Cutting System for Facility Maintenance.
2. Southeast Safety & Supply for the purchase of Rubber P.V.C. Hip Boots & Knee Boots.
3. Gulf Coast Oil Supply, LLC for the purchase of Lubricant Petroleum Products.
4. Herbert S. Hiller Fire Extinguisher Services for Sewerage & Water Board of New Orleans.
5. Antenna Gallery Sub-Grant Agreement between Sewerage & Water Board of New Orleans and Antenna Gallery Environmental Protection Agency Educational Local Grant #01F05001; Promote art and literature in the community through events, publications and arts education.
6. Public Lab Sub-Grant Agreement between Sewerage & Water Board of New Orleans and Antenna Gallery Environmental Protection Agency Education Local Grant #01F05001; Bring the power of scientific investigation to local environmental issues and build local knowledge about environmental topics by giving people the tools to do self-directed, hyperlocal environmental monitoring.
7. Roedel Parsons Koch Blache Balhoff & McCollister Legal representation to the Board in an appellate matter before the State Fourth Circuit Court of Appeals.
8. Christovich & Kearney, LLP legal representation of multiple individuals and class action lawsuits against the Board in state and federal courts in the aftermath of Hurricane Katrina.
9. Polydyne, Inc for Furnishing Polyelectrolyte to the Algiers and Carrollton Water Plants.

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### **COMMUNICATION**

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Robin Barnes invited the Board and staff to attend the RES/CON Resiliency Seminar which is scheduled to be held on March 7-9, 2017 at the New Orleans Ernest N. Morial Convention Center. The Board can go to RESCONNOLA.COM for more information.

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### **INFORMATION ITEMS**

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The following items were submitted for informational purposes only:

- FEMA Status Report
- Report of the General Superintendent
- Report of the Special Counsel
- Financial Statements

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### **ADJOURNMENT**

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There being no further business to come before the Board, Ralph Johnson moved to adjourn. Joseph Peychaud seconded. The meeting adjourned at approximately 10:03 AM.



**"RE-BUILDING THE CITY'S WATER SYSTEMS FOR THE 21<sup>ST</sup> CENTURY"**

# **Sewerage & Water Board OF NEW ORLEANS**

MITCHELL J. LANDRIEU, President  
SCOTT JACOBS, President Pro-Tem

625 ST. JOSEPH STREET  
NEW ORLEANS, LA 70165 • 504-529-2837 OR 52W-ATER  
www.swbno.org

March 13, 2017

The Governance Committee met on Monday, March 13, 2017 in the 2nd Floor Board Room, 625 St. Joseph Street, New Orleans, LA. The meeting convened at approximately 11:30 a.m.

## **PRESENT:**

Kerri Kane, Chair  
Scott Jacobs  
Ralph Johnson

## **ABSENT:**

Alan Arnold  
Robin Barnes

## **OTHER COMMITTEE/BOARD MEMBERS PRESENT:**

None

## **ACTION ITEMS:**

### **ITEM 1 Executive Session**

- Wallace C. Drennan, Inc v. Cedric Grant, et al, Civil District Court, 15-4417

Scott Jacobs moved to go into Executive Session to discuss litigation matter(s). Ralph Johnson seconded the motion. The motion carried. Scott Jacobs moved to return to regular session. Ralph Johnson seconded the motion. The motion carried. Scott Jacobs moved to accept the Special Counsel's recommendation(s) to accept the case at hand. Ralph Johnson seconded the motion. The motion carried.

## **PRESENTATION ITEMS:**

Cedric S. Grant presented a review of the Executive Director's 2016 Work Plan Review.

## **INFORMATION ITEMS:**

There were no information items to come before the Governance Committee.

**ADJOURNMENT:**

There being no further business to come before the Governance Committee, the meeting adjourned approximately at 12:11 p.m.

Also in attendance were Cedric S. Grant, Executive Director; Robert Miller, Deputy Director, CFO; Joseph Becker, General Superintendent; Bruce Adams, Deputy General Superintendent; Nolan Lambert, Special Counsel; Harold Marchand, Legal Department; Kathleen LaFrance, Board Relations; Sharon Judkins, Deputy Director of Administration; Valerie Rivers, Deputy Director of Logistics; Kimberly Johnson, Deputy Director of Continuous Improvement; Willie Mingo, Purchasing Department.

Respectfully submitted,

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Kerri Kane  
Chair



**"RE-BUILDING THE CITY'S WATER SYSTEMS FOR THE 21<sup>ST</sup> CENTURY"**

# **Sewerage & Water Board OF NEW ORLEANS**

MITCHELL J. LANDRIEU, *President*  
SCOTT JACOBS, *President Pro-Tem*

625 ST. JOSEPH STREET  
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[www.swbno.org](http://www.swbno.org)

March 13, 2017

The Audit Committee met on Monday, March 13, 2017 in the 2nd Floor Board Room, 625 St. Joseph Street, New Orleans, LA. The meeting convened at approximately 1:00 p.m.

## **PRESENT:**

Dr. Tamika Duplessis, Chair  
Scott Jacobs  
Marion Bracy

## **ABSENT:**

Robin Barnes  
Eric C. Blue

## **OTHER COMMITTEE/BOARD MEMBERS PRESENT:**

Ralph Johnson

## **ACTION ITEMS:**

There were no action item(s) to come before the Audit Committee.

## **PRESENTATION ITEMS:**

Kimberly Johnson presented the Overtime and Standby Time Overview for payroll ending March 5, 2017.

Sharon Judkins presented the Monthly Human Resources Activity Report for the Period February 1 through February 28, 2017.

Cedric S. Grant presented the Executive Director's contract(s) for \$1,000,000 or less.

## **INFORMATION ITEMS:**

There were no information item(s) to come before the Audit Committee.

## **ADJOURNMENT:**

There being no further business to come before the Audit Committee, the verbal presentation ended at approximately 1:21 p.m.

Also in attendance were Cedric S. Grant, Executive Director; Robert Miller, Deputy Director, CFO; Joseph Becker, General Superintendent; Bruce Adams, Deputy General Superintendent; Sharon Judkins, Deputy Director, Administration; Harold Marchand, Legal Department; Greg Lampard, Courtney Wilson- Renthrope, Mark Hambrick, Internal Audit; Willie Mingo, Purchasing Department; Kimberly Johnson, Deputy Director of Continuous Improvement and Kathleen LaFrance, Board Relations.

Respectfully submitted,

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Dr. Tamika Duplessis  
Chair



**"RE-BUILDING THE CITY'S WATER SYSTEMS FOR THE 21<sup>ST</sup> CENTURY"**

# **Sewerage & Water Board OF NEW ORLEANS**

MITCHELL J. LANDRIEU, *President*  
SCOTT JACOBS, *President Pro-Tem*

625 ST. JOSEPH STREET  
NEW ORLEANS, LA 70165 • 504-529-2837 OR 52W-ATER  
[www.swbno.org](http://www.swbno.org)

March 13, 2017

The Finance and Administration Committee met on Monday, March 13, 2017 in the Board Room, 625 St. Joseph Street, New Orleans, LA. The meeting convened at approximately 2:00 P.M.

## **Present:**

Scott Jacobs, Chair  
Joseph Psychaud, Vice-Chair  
Dr. Tamika Duplessis

## **Absent:**

Kerri Kane

## **Other Committee/Board Members Present:**

Ralph Johnson

## **ACTION ITEMS:**

### **Item 1      Emergency Declaration**

Cedric S. Grant gave an update of the Emergency Declaration regarding the turbine(s) at the Carrollton Water Plant. Much of the infrastructure of the Sewerage and Water Board is powered by 25 - cycle power. All of our turbines which produce 25 - cycle power were down at the same time for various reasons. The Emergency Declaration was declared to get an estimated dollar amount as well as repair hours to fix the problems associated with Turbine #3.

Dr. Tamika Duplessis moved to accept and ratify the Emergency Declaration, Joseph Psychaud seconded the motion. The motion carried.

Joseph Psychaud moved to remove the Executive Director Evaluation from the Executive Session's agenda. Dr. Tamika Duplessis seconded the motion. The motion carried.

**Item 2            Executive Session**

➤ **Wallace C. Drennan, Inc. v. Cedric Grant, et al, Civil District Court, 15-4417**

Dr. Tamika Duplessis moved to go into Executive Session to discuss litigation matters. Joseph Peychaud seconded the motion. The motion carried. Dr. Tamika Duplessis moved to return to regular session. Joseph Peychaud seconded the motion. The motion carried.

Dr. Tamika Duplessis moved to accept staff's recommendations re: Wallace C. Drennan, Inc. v. Cedric Grant, et al, Civil District Court, 15-4417. Joseph Peychaud seconded the motion. The motion carried.

**PRESENTATION ITEMS:**

**Item 3            Financial Results through December 2016**

Robert Miller presented the financial results through December 2016.

**Item 4            Financial Results through January 2017**

Robert Miller presented the financial results through January 2017.

**Item 5            Monthly Human Resources Activity Report for the Period February 1 through February 28, 2017**

Dr. Tamika Duplessis moved to remove Item 5, Monthly Human Resources Activity Report for the Period February 1 through February 28, 2017, from the Agenda. Joseph Peychaud seconded the motion. The motion carried.

**INFORMATION ITEMS:**

Information item(s) 6, 7, 8 and 9 were received.

**ADJOURNMENT:**

There being no further business to come before the Finance & Administration Committee, the meeting adjourned at approximately 2:24 P.M.

Also in attendance were Cedric S. Grant, Executive Director; Robert Miller, Deputy Director, CFO; Joseph Becker, General Superintendent; Bruce Adams, Deputy General Superintendent; Valerie Rivers, Deputy Director, Logistics; Nolan Lambert, Special Counsel; Harold Marchand, Legal Department; Willic Mingo, Purchasing Department; Jasmin Lawrence, Kathleen Lafrance, Board Relations; Randy Smith, Royal Engineers and Kimberly Johnson, Deputy Director of Continuous Improvement.

Respectfully submitted,

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Scott Jacobs, Chair



## SEWERAGE AND WATER BOARD OF NEW ORLEANS

March 7, 2017

Strategy Committee  
Sewerage and Water Board of New Orleans  
New Orleans, Louisiana

Subject: Monthly Human Resources Activity Report for the Period February 1 – February 28, 2017

Dear Directors:

Please find below an account of various Board human resources activities for the period February 1- February 28, 2017. This monthly snapshot is presented to keep you abreast of the progress and challenges related to the Board's ability to hire and retain the best qualified candidates to perform the Board's important work.

### **Human Resources Activities**

Beginning Vacant Positions: 326

Ending Vacant Positions: 327

New Hires: 14

Resignations: 8

Retirement: 1

DROP Program Participants: 122

- Beginning Balance: 123
- New Member(s): 3
- Member(s) Removed: 4

Promotions: 8

**Disciplinary Actions: 7**

- Reprimands: 1
- Suspensions: 4
- Terminations: 2



**Sharon Judkins'**  
**Deputy Director-Administration**

**Cc: Attachments**

**Monthly Activity Report**  
**Resignation Analysis**  
**Drop Summary**

## February Monthly Activity Report

DATE	ACTION	JOB TITLE	REASON
<b>New Hires:</b>			
2/13/2017		Attorney I	
2/20/2017		Document Control Lead	
2/13/2017		Environmental Enforcement Technician I	
2/6/2017		Laborer	
2/13/2017		Laborer	
2/20/2017		Laborer	
2/27/2017		Laborer	
2/6/2017		Office Assistant II	
2/6/2017		Office Assistant II	
2/13/2017		Office Assistant II	
2/27/2017		Office Assistant II	
2/27/2017		Office Assistant II	
2/27/2017		Office Assistant II	
2/27/2017		Office Assistant II	
Total	14		
<b>Resignations:</b>			
2/7/2017	Resignation	Laborer	Accepted employment outside of Civil Service
2/7/2017	Resignation	Networks Maintenance Technician I	Accepted employment outside of Civil Service
2/13/2017	Resignation	Networks Maintenance Technician I	Accepted employment outside of Civil Service
2/17/2017	Resignation	Office Assistant II	Accepted employment outside of Civil Service
2/22/2017	Resignation	Pumping Plant Operator	Accepted employment outside of Civil Service
2/1/2017	Resignation	Networks Maintenance Technician I	Avoid disciplinary action
2/1/2017	Resignation	Senior Office Support Specialist	Ill health
2/16/2017	Resignation	Utility Plant Worker	Returned to school
Total	8		
<b>Retirements:</b>			
2/15/2017		Water Purification Operator II	

Total	1		
<b>DROP:</b>			
Started DROP			
2/4/2017		Buyer II	Enter DROP
2/13/2017		Field Service Supervisor	Enter DROP
2/28/2017		Administrative Support Supervisor I	Enter DROP
Total	3		
<b>Ended DROP</b>			
2/1/2017		Senior Office Support Specialist	End DROP
2/3/2017		Water Purification Operator II	End DROP
2/20/2017		Field Service Supervisor	End DROP
2/25/2017		Utility Services Administrator	End DROP
Total	4		
<b>Promotions:</b>			
2/13/2017		Office Assistant II	
1/31/2017		Office Assistant III	
2/1/2017		Office Assistant III	
2/3/2017		Office Assistant IV	
2/3/2017		Office Support Specialist	
1/31/2017		Office Support Specialist	
1/31/2017		Senior Office Support Specialist	
2/1/2017		Senior Office Support Specialist	
Total	8		
<b>Disciplinary Actions:</b>			
2/1/2017	Reprimand	Utilities Maintenance Technician II	
2/27/2017	Suspension	Pumping Station Supervisor	
2/7/2017	Suspension	Networks Maintenance Technician II	
2/6/2017	Suspension	Water Service Inspector I	
2/7/2017	Suspension	Water Service Inspector II	
2/22/2017	Termination	Networks Maintenance Technician I	
2/20/2017	Termination	Water Service Inspector I	
Total	7		

## Resignations for February 2017

Date	Reason	Job Title
2/7/2017	Accepted Employment Outside of Civil Service	Laborer
2/7/2017	Accepted Employment Outside of Civil Service	Networks Maintenance Technician I
2/13/2017	Accepted Employment Outside of Civil Service	Networks Maintenance Technician I
2/17/2017	Accepted Employment Outside of Civil Service	Office Assistant II
2/22/2017	Accepted Employment Outside of Civil Service	Pumping Plant Operator
2/1/2017	Avoid Disciplinary Action	Networks Maintenance Technician I
2/1/2017	Ill Health	Senior Office Support Specialist
2/16/2017	Returned to School	Utility Plant Worker

Reason	# of Resignations	% of Total Resignations
Accept Employment Outside of City Civil Service	5	62.5%
Avoid Disciplinary Action	1	12.5%
Continue Education		
Ill Health	1	12.5%
Left City		
Other Reasons		
Returned to School	1	12.5%
Transfer and/or Promotion to the City		
<b>Total</b>	<b>8</b>	<b>100%</b>

# DROP SUMMARY REPORT

TITLE	START	END	TIME REMAINING (yrs)	AVG TIME REMAINING (YRS)	TOTAL EMPLOYEES ON DROP
FACILITIES ENGINEERING SPCL	3/14/2012	3/14/2017	0.12	2.73	122
POWER DISPATCHER 3	4/1/2012	4/1/2017	0.16		
SENIOR PRINCIPAL ENGINEER	4/1/2012	4/1/2017	0.16		
SR. OFFICE SUPPORT SPECIALIST	4/9/2012	4/9/2017	0.19		
CHIEF ACCOUNTANT	4/30/2012	4/30/2017	0.24		
WATER SERVICE INSPECTOR 3	4/30/2012	4/30/2017	0.24		
UTIL MAINT MASTER SPECIALIST 2	5/1/2012	5/1/2017	0.25		
PUMPING STATIONS SUPV	5/1/2012	5/1/2017	0.25		
UTILITY SERVICES ADMINISTRATOR	5/1/2012	5/1/2017	0.25		
ATTORNEY 4	5/1/2012	5/1/2017	0.25		
ENGINEERING TECHNICIAN	6/1/2012	6/1/2017	0.33		
AUTOMOTIVE SECTION SUPERVISOR	6/1/2012	6/1/2017	0.33		
PUBLIC WORKS SUPERVISOR 3	6/17/2012	6/17/2017	0.38		
WATER PURIFICATION OPERATOR 4	7/1/2012	7/1/2017	0.41		
FIELD SERVICE SUPERVISOR	9/1/2012	9/1/2017	0.58		
NETWORKS ZONE MANAGER 1	9/8/2012	9/8/2017	0.60		
NET SENIOR MAINTENANCE TECH 2	10/7/2012	10/7/2017	0.68		
WAREHOUSE & SUPPLIES MGR	1/3/2013	1/3/2018	0.92		
NET SENIOR MAINTENANCE TECH 1	1/21/2013	1/21/2018	0.97		
			<b>Employees within 1 year:</b>		
NET SENIOR MAINTENANCE TECH 2	3/1/2013	3/1/2018	1.08	19	
EQUIPMENT OPERATOR 3	3/1/2013	3/1/2018	1.08		
PUMPING STATIONS SUPV ASST	3/1/2013	3/1/2018	1.08		
UTIL MAINT MASTER SPECIALIST 2	4/1/2013	4/1/2018	1.16		
OFFICE SUPPORT SPECIALIST	5/1/2013	5/1/2018	1.25		
UTILITIES MAINT SUPERVISOR	5/1/2013	5/1/2018	1.25		
OFFICE SUPPORT SPECIALIST	5/1/2013	5/1/2018	1.25		
NET SENIOR MAINTENANCE TECH 2	5/31/2013	5/31/2018	1.33		
NET SENIOR MAINTENANCE TECH 1	6/1/2013	6/1/2018	1.33		
DEPUTY SPECIAL COUNSEL	6/1/2013	6/1/2018	1.33		
NET MASTER MAINTENANCE TECH 2	6/1/2013	6/1/2018	1.33		
OFFICE ASSISTANT 3	6/3/2013	6/3/2018	1.34		
PUMPING STATIONS SUPV	7/31/2013	7/31/2018	1.50		
OFFICE ASSISTANT 3	8/1/2013	8/1/2018	1.50		
OFFICE ASSISTANT 3	8/1/2013	8/1/2018	1.50		
NET MASTER MAINTENANCE TECH 2	8/12/2013	8/12/2018	1.53		
PUMPING PLANT OPERATOR	9/1/2013	9/1/2018	1.58		
ENGINEERING SPECIALIST	10/1/2013	10/1/2018	1.67		
NET QUALITY ASSUR & SFTY INSPC	11/1/2013	11/1/2018	1.75		
FLEET SERVICES SUPERVISOR	11/1/2013	11/1/2018	1.75		
MANAGEMNT DEVELOPMNT SPECLST 2	12/1/2013	12/1/2018	1.83		
STEAM PLANT ENGINEER 2	12/2/2013	12/2/2018	1.84		
UTIL MAINT MASTER SUPERVISOR	1/3/2014	1/3/2019	1.92		

# DROP SUMMARY REPORT

LEGAL ADMINISTRATIVE ASSISTANT	1/3/2014	1/3/2019	1.92	
PUBLIC WORKS MAINTENANCE SUPT	1/3/2014	1/3/2019	1.92	
NETWORKS MAINTENANCE TECH 2	1/27/2014	1/27/2019	1.99	
WATER PURIFICATION OPERATOR 2	2/1/2014	2/1/2019	2.00	
PUMPING AND POWER PLANT OPR	2/1/2014	2/1/2019	2.00	
	Employees within 2 years:			28
NET MASTER MAINTENANCE TECH 2	2/13/2014	2/13/2019	2.04	
PUMPING AND POWER PLANT OPR	3/1/2014	3/1/2019	2.08	
PUMPING STATIONS SUPV ASST	3/1/2014	3/1/2019	2.08	
WATER PURIFICATION OPERATOR 3	6/4/2014	6/4/2019	2.34	
SR. OFFICE SUPPORT SPECIALIST	6/6/2014	6/6/2019	2.35	
EQUIPMENT OPERATOR 2	9/1/2014	9/1/2019	2.58	
OFFICE ASSISTANT 3	10/1/2014	10/1/2019	2.67	
NETWORKS MAINTENANCE TECH 1	10/30/2014	10/30/2019	2.75	
NET SENIOR MAINTENANCE TECH 1	10/31/2014	10/31/2019	2.75	
UTILITY SENIOR SERVICES MGR	10/31/2014	10/31/2019	2.75	
MANAGEMNT DEVELOPMNT SPECLST 2	11/1/2014	11/1/2019	2.75	
PUMPING PLANT OPERATOR	11/1/2014	11/1/2019	2.75	
WATER PURIFICATION OPERATOR 1	11/20/2014	11/20/2019	2.80	
MANAGEMNT DEVELOPMNT SPECLST 2	11/30/2014	11/30/2019	2.83	
AUTOMOTIVE MAINT. TECHNICIAN	12/1/2014	12/1/2019	2.83	
ADMIN. SUPPORT SUPERVISOR 3	12/15/2014	12/15/2019	2.87	
NET SENIOR MAINTENANCE TECH 2	12/19/2014	12/19/2019	2.88	
PUMPING STATIONS SUPV ASST	12/31/2014	12/31/2019	2.92	
NET MASTER MAINTENANCE TECH 1	12/31/2014	12/31/2019	2.92	
STEAM PLANT ENGINEER 2	1/24/2015	1/24/2020	2.98	
	Employees within 3 years:			20
PUMPING STATIONS SUPV ASST	2/1/2015	2/1/2020	3.00	
UTILITY SERVICES ADMINISTRATOR	3/1/2015	3/1/2020	3.08	
FIELD SERVICE SUPERVISOR	3/15/2015	3/15/2020	3.12	
OFFICE SUPPORT SPECIALIST	3/28/2015	3/28/2020	3.16	
STEAM PLANT ENGINEER 1	3/31/2015	3/31/2020	3.16	
OFFICE ASSISTANT 4	4/1/2015	4/1/2020	3.17	
PUMPING AND POWER PLANT OPR	8/1/2015	8/1/2020	3.50	
FLEET SERVICES MANAGER	8/7/2015	8/7/2020	3.52	
STEAM PLANT ENGINEER 4	9/22/2015	9/22/2020	3.64	
POWER DISPATCHER 4	9/22/2015	9/22/2020	3.64	
FIELD SERVICE SUPERVISOR	10/1/2015	10/1/2020	3.67	
NET SENIOR MAINTENANCE TECH 1	11/1/2015	11/1/2020	3.75	
FIELD SERVICE SUPERVISOR	11/1/2015	11/1/2020	3.75	
NET SENIOR MAINTENANCE TECH 1	11/1/2015	11/1/2020	3.75	
ADMIN. SUPPORT SUPERVISOR 3	11/26/2015	11/26/2020	3.82	
CHIEF ACCOUNTANT	11/28/2015	11/28/2020	3.83	
PUBLIC WORKS SUPERVISOR 2	12/2/2015	12/2/2020	3.84	
ENGINEER INTERN 2	12/21/2015	12/21/2020	3.89	
NET SENIOR MAINTENANCE TECH 2	12/30/2015	12/30/2020	3.92	
NET MASTER MAINTENANCE TECH 1	12/30/2015	12/30/2020	3.92	
SR. OFFICE SUPPORT SPECIALIST	1/1/2016	1/1/2021	3.92	

# DROP SUMMARY REPORT

AUTOMOTIVE SERVICES SUPERVISOR	1/8/2016	1/8/2021	3.94	
UTIL MAINT MASTER SUPERVISOR	1/8/2016	1/8/2021	3.94	
UTIL MAINT MASTER SUPERVISOR	1/8/2016	1/8/2021	3.94	
UTIL MAINT MASTER SUPERVISOR	1/11/2016	1/11/2021	3.95	
	Employees within 4 years:			25
NET SENIOR MAINTENANCE TECH 1	2/1/2016	2/1/2021	4.01	
NET SENIOR MAINTENANCE TECH 2	2/29/2016	3/1/2021	4.08	
NET MASTER MAINTENANCE TECH 1	4/1/2016	4/1/2021	4.17	
POWER DISPATCHER 3	4/1/2016	4/1/2021	4.17	
OFFICE ASSISTANT 4	4/1/2016	4/1/2021	4.17	
PUBLIC WORKS MAINTENANCE WKR 1	6/1/2016	6/1/2021	4.33	
NET SENIOR MAINTENANCE TECH 2	6/1/2016	6/1/2021	4.33	
PUBLIC WORKS MAINTENANCE WKR 1	6/4/2016	6/4/2021	4.34	
LABORATORY TECHNICIAN 3	7/1/2016	7/1/2021	4.42	
PUMPING STATIONS SUPV	8/1/2016	8/1/2021	4.50	
PUMPING AND POWER PLANT OPR	8/1/2016	8/1/2021	4.50	
UTILITY SERVICES ADMINISTRATOR	9/1/2016	9/1/2021	4.59	
FACILITIES ENGINEERING SPCL	9/7/2016	9/7/2021	4.60	
ADMIN. SUPPORT SUPERVISOR 3	10/8/2016	10/8/2021	4.69	
PUMPING PLANT OPERATOR	11/1/2016	11/1/2021	4.75	
NET SENIOR MAINTENANCE TECH 1	11/1/2016	11/1/2021	4.75	
PUBLIC WORKS MAINTENANCE WKR 2	11/1/2016	11/1/2021	4.75	
ENGINEER INTERN 2	12/1/2016	12/1/2021	4.84	
SR. OFFICE SUPPORT SPECIALIST	12/1/2016	12/1/2021	4.84	
OFFICE SUPPORT SPECIALIST	12/1/2016	12/1/2021	4.84	
NET MASTER MAINTENANCE TECH 1	12/28/2016	12/28/2021	4.91	
PUMPING AND POWER PLANT OPR	12/31/2016	12/31/2021	4.92	
ENGINEERING DIVISION MANAGER	1/1/2017	1/1/2022	4.92	
SR. OFFICE SUPPORT SPECIALIST	1/2/2017	1/2/2022	4.92	
WATER PURIFICATION OPERATOR 4	1/2/2017	1/2/2022	4.92	
PUMPING AND POWER PLANT OPR	1/3/2017	1/3/2022	4.93	
WATER PURIFICATION OPERATOR 2	1/5/2017	1/5/2022	4.93	
POWER DISPATCHER 2	1/8/2017	1/8/2022	4.94	
BUYER 2	2/4/2017	2/4/2022	5.01	
FIELD SERVICE SUPERVISOR	2/13/2017	2/13/2022	5.04	
	Employees within 5 years:			30

## DROP SUMMARY REPORT

### FEBRUARY SUMMARY

TITLE	EFFECTIVE DATE	ACTION
SR. OFFICE SUPPORT SPECIALIST	2/1/2017	DELETION
WATER PURIFICATION OPERATOR 2	2/3/2017	DELETION
BUYER 2	2/4/2017	ADDITION
FIELD SERVICE SUPERVISOR	2/13/2017	ADDITION
FIELD SERVICE SUPERVISOR	2/20/2017	DELETION
UTILITY SERVICES ADMINISTRATOR	2/25/2017	DELETION
ADMIN SUPPORT SUPERVISOR 1	2/28/2017	ADDITION



## SEWERAGE AND WATER BOARD

### Inter-Office Memorandum

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Date: March 3, 2017

From: Willie Mingo, Director of Procurement  
Purchasing Department

Thru: Valerie Rivers, Deputy Director  
Sewerage and Water Board New Orleans - Logistics

To: Sharon Judkins, Deputy Director  
Sewerage and Water Board New Orleans- Administration

Re: **Executive Director's Approval of Contracts of \$1,000,000.00 or less**

1. A.Y. McDonald Mfg. Co.  
4800 Chavenelle Rd. Dubuque, IA 52002
  - Purchase of Meter Boxes and Meter Box Parts
  - Start date: 4/1/17 one (1) year with one (1) year renewal option
  - \$537,830.00 annually (The Board may not expend the total amount bid).
2. A.Y. McDonald Mfg. Co.  
4800 Chavenelle Rd., Dubuque, IA 52002
  - Purchase of Brass Water Service Fittings
  - Start date: 4/1/17 one (1) year with one (1) year renewal option
  - \$533,694.50 annually (The Board may not expend the total amount bid).
3. Louisiana Utilities Supply Co.  
901 S Labarre Rd. Ste 206, Metairie, LA. 70001
  - Purchase of Brass Water Service Fittings
  - Start date: 4/1/17 one (1) year with one (1) year renewal option
  - \$38,875.00 annually

4. Technology International  
1349 South International Pkwy Ste 2411, Lake Mary, FL 32746

- Purchase of a Laboratory Steam Sterilizer
- One (1) time purchase
- \$51,850.00

5. Trucking Innovation, LLC  
5623 N. Villere St, New Orleans, LA 70117

- Purchase of River Sand and Mason Sand
- Start date: 4/1/17 one (1) year with one (1) year renewal
- \$295,540.00 annually

- Upon request, complete contract available for review in Procurement office.

Cc: Kathleen LaFrance

**Sewerage and Water Board of New Orleans  
FEMA Project Update as of 3-01-2017**

<b><u>Hurricane Katrina Public Assistance</u></b>	<b># of PWs</b>	<b>Obligations</b>	<b>FEMA Revenue Received</b>	<b>% Financially Complete</b>	<b>Total # PWs Submitted for Closout</b>	<b>Total \$ Value Submitted for Closout</b>	<b># PWs Officially Closed</b>	<b>% Submitted</b>	<b>% Closed</b>	<b># PWs Submitted but Not Closed</b>	<b>Total \$ Value Submitted but Not Closed</b>
St. Joseph Headquarters	20	\$ 1,290,767.64	\$ 538,461.48	42%	16	\$ 712,098.53	13	80%	65%	3	\$ 335,991.38
Central Y and	42	\$ 28,544,612.65	\$ 21,109,397.27	74%	19	\$ 6,588,048.16	17	45%	40%	2	\$ 56,000.00
Wastewater Treatment Plant	128	\$ 90,721,247.38	\$ 75,242,611.97	83%	123	\$ 49,678,528.10	117	96%	91%	6	\$ 3,087,355.71
Carrollton Water Plant	54	\$ 75,009,614.87	\$ 56,677,562.85	76%	40	\$ 5,910,406.11	34	74%	63%	6	\$ 5,363,001.72
Distribution Network	82	\$ 524,911,847.13	\$ 181,307,411.96	35%	12	\$ 14,353,028.00	11	15%	13%	1	\$ 139,057.99
Pump Stations	167	\$ 82,712,439.73	\$ 66,809,253.98	81%	128	\$ 44,791,108.45	122	77%	73%	6	\$ 6,448,378.43
<b>Total</b>	<b>493</b>	<b>\$ 803,190,529.40</b>	<b>\$ 401,684,399.51</b>	<b>50%</b>	<b>338</b>	<b>\$ 122,033,217.35</b>	<b>314</b>	<b>69%</b>	<b>64%</b>	<b>24</b>	<b>\$ 15,429,765.23</b>
<b><u>Hurricane Gustav Public Assistance</u></b>	<b># of PWs</b>	<b>Obligations</b>	<b>FEMA Revenue Received</b>	<b>% Financially Complete</b>	<b>Total # PWs Submitted for Closout</b>	<b>Total \$ Value Submitted for Closout</b>	<b># PWs Officially Closed</b>	<b>% Submitted</b>	<b>% Closed</b>	<b># PWs Submitted but Not Closed</b>	<b>Total \$ Value Submitted but Not Closed</b>
<b>Total</b>	<b>9</b>	<b>\$ 748,709.57</b>	<b>\$ 668,689.06</b>	<b>89%</b>	<b>9</b>	<b>\$ 673,838.62</b>	<b>7</b>	<b>100%</b>	<b>78%</b>	<b>2</b>	<b>\$ 265,537.84</b>
<b><u>Hurricane Issac Public Assistance</u></b>	<b># of PWs</b>	<b>Obligations</b>	<b>FEMA Revenue Received</b>		<b>Total # PWs Submitted for Closout</b>	<b>Total \$ Value Submitted for Closout</b>	<b># PWs Officially Closed</b>	<b>% Submitted</b>	<b>% Closed</b>	<b># PWs Submitted but Not Closed</b>	<b>Total \$ Value Submitted but Not Closed</b>
<b>Total</b>	<b>8</b>	<b>\$ 2,073,033.34</b>	<b>\$ 922,831.53</b>	<b>45%</b>	<b>1</b>	<b>\$ 4,540.20</b>	<b>1</b>	<b>13%</b>	<b>0%</b>	<b>0</b>	<b>\$ -</b>
<b><u>Hurricane Katrina Hazard Mitigation</u></b>	<b># of PWs</b>	<b>Obligations</b>	<b>FEMA Revenue</b>	<b>% Financially Complete</b>	<b>Total # PWs Submitted for Closout</b>	<b>Total \$ Value Submitted for Closout</b>	<b># PWs Officially Closed</b>	<b>% Submitted</b>	<b>% Closed</b>	<b># PWs Submitted but Not Closed</b>	<b>Total \$ Value Submitted but Not Closed</b>
Repair of Power House	1	\$ 62,328,529.00	\$ 38,381,518.63	62%	0	\$ -	0	0%	0%	0	\$ -
Flood Mitigation of 9 SPS	1	\$ 19,987,772.00	\$ 12,079,399.35	60%	0	\$ -	0	0%	0%	0	\$ -
<b>Total</b>	<b>2</b>	<b>\$ 82,316,251.00</b>	<b>\$ 50,460,917.98</b>	<b>61%</b>	<b>0</b>	<b>\$ -</b>	<b>0</b>	<b>0%</b>	<b>0%</b>	<b>0</b>	<b>\$ -</b>
<b><u>Hurricane Rita Hazard Mitigation</u></b>	<b># of PWs</b>	<b>Obligations</b>	<b>FEMA Revenue Received</b>	<b>% Financially Complete</b>	<b>Total # PWs Submitted for Closout</b>	<b>Total \$ Value Submitted for Closout</b>	<b># PWs Officially Closed</b>	<b>% Submitted</b>	<b>% Closed</b>	<b># PWs Submitted but Not Closed</b>	<b>Total \$ Value Submitted but Not Closed</b>
<b>Total</b>	<b>1</b>	<b>\$ 40,742.00</b>	<b>\$ 43,200.00</b>	<b>106%</b>	<b>1</b>	<b>\$ 43,200.00</b>	<b>1</b>	<b>100%</b>	<b>100%</b>	<b>0</b>	<b>\$ -</b>
<b><u>Hurricane Ike Hazard Mitigation</u></b>	<b># of PWs</b>	<b>Obligations</b>	<b>FEMA Revenue Received</b>	<b>% Financially Complete</b>	<b>Total # PWs Submitted for Closout</b>	<b>Total \$ Value Submitted for Closout</b>	<b># PWs Officially Closed</b>	<b>% Submitted</b>	<b>% Closed</b>	<b># PWs Submitted but Not Closed</b>	<b>Total \$ Value Submitted but Not Closed</b>
<b>Total</b>	<b>1</b>	<b>\$ 988,659.00</b>	<b>\$ -</b>	<b>0%</b>	<b>0</b>	<b>\$ -</b>	<b>0</b>	<b>0%</b>	<b>0%</b>	<b>0</b>	<b>\$ -</b>

**Sewerage and Water Board of New Orleans**  
**FEMA Project Update as of 3-01-2017**

<b>Hurricane Katrina High-Level Projects</b>	<b>PW's No.</b>	<b>Obligations</b>	<b>FEMA Revenue Received</b>	<b>Estimated Version Request</b>	<b>Reason PW is High-Level</b>
St. Joseph Street Office Building	4116	\$ 96,879.98	\$ 4,221.04	\$ 1,619,234.13	This PW is a High-Level project because the a version request is over \$1 million
Management of Interim Housing New Orleans Sewer & Water	14650	\$ 194,466.00	\$ 171,064.91	\$ 1,700,000.00	This PW is a High-Level project because the a version request is over \$1 million
<b>Total</b>	<b>2</b>	<b>\$ 291,345.98</b>	<b>\$ 175,285.95</b>	<b>\$ 3,319,234.13</b>	

**OFFICE OF GENERAL SUPERINTENDENT  
SEWERAGE AND WATER BOARD OF NEW ORLEANS**

**MARCH 15, 2017**

To the Honorable President and members of the Sewerage and Water Board of New Orleans:

The following report for the month of **February** presented herewith:

Contract #1345 – Industrial & Mechanical Contractors, Inc. – Replacement of Filter Backwash Equipment at the Main Water Purification Plant. This work is approximately 96% complete. (CP Item 110).

Contract #1350 – Industrial & Mechanical Contractors, Inc. – Katrina related repairs to Stream Turbine No. 4 & Auxiliaries at the Main Water Purification Plant Power Complex. This work is approximately 98% complete. (CP Item 175).

Contract #1351 – Lou-Con, Inc. – Repairs to A & B Pumps & Auxiliaries at the Main Water Purification Plant. This work is 100% complete. (CP Item 175).

Contract #1352 – Industrial & Mechanical Contractors, Inc. – Katrina related replacement of Pump Package at the Main Water Purification Power Plant Complex. This work is 100% complete. (CP Item 175).

Contract #1378 – Plant-N-Power Services, LLP – Hurricane Katrina related repairs to Boilers/Duct/Elevator at the Main Water Purification Plant Power Complex. This work is approximately 90% complete. (CP Item 175).

Contract #1382 – Lou-Con, Inc. – Replacement of Media Filters 1A, 1B, 5A & 5B at the Claiborne Filter Gallery at the Main Water Purification Plant. This work is 100% complete. (CP Item 110).

Contract #1387 – New Orleans Metalworks, Inc. – Painting & Repairs of Four (4) Million Gallon Water Storage Tanks at the Main Water Purification Plant. This work is approximately 75% complete. (CP Item 110).

Contract #2105 – Boh Brothers Construction Co., LLC – Replacement of Water Lines damaged by Hurricane Katrina within various roadways in different neighborhoods throughout the City of New Orleans. This work is approximately 89% complete. (CP Item 175).

Contract #2111 – Wallace C. Drennan, Inc. – Water Main Point Repair, Water Service Connection, Water Valve & Fire Hydrant Replacement at Various Sites throughout Orleans Parish. This work is approximately 90% complete. (CP Item 175).

Contract #2123 – Wallace C. Drennan, Inc. – Waterline Replacement & Extensions. This work is approximately 72% complete. (CP Item 214).

Contract #3663 – Lou-Con, Inc. – Hurricane Katrina 404 Hazard Mitigation Grant Program Replacement of Bullard Sewage Pumping Station. This work is 100% complete. (CP Item 340).

Contract #3665 – TKT MJ, Inc. – Hurricane Katrina 404 Hazard Mitigation Grant Program Replacement of DOTD Sewage Lift Station. This work is approximately 99% complete. (CP Item 340).

Contract #3666 – Lou-Con, Inc. – Hurricane Katrina 404 Hazard Mitigation Grant Program Replacement of Lake Forest Sewage Pumping Station. This work is 100% complete. (CP Item 340).

Contract #3667 – TKT MJ, Inc. – Hurricane Katrina 404 Hazard Mitigation Grant Program Replacement of Plum Orchard Sewage Lift Station. This work is 100% complete. (CP Item 340).

Contract #3669 – Industrial & Mechanical Contractors, Inc. – Hurricane Katrina 404 Hazard Mitigation Grant Program Replacement of Lawrence Sewage Pumping Station #6. This work is approximately 100% complete. (CP Item 340).

Contract #3670 – Lou-Con, Inc. – Hurricane Katrina 404 Hazard Mitigation Grant Program Replacement of Lawrence Sewage Pumping Station. This work is 100% complete. (CP Item 340).

Contract #3675 – F.H. Paschen, S.N. Nielsen & Associates LLC - Katrina related replacement of the Administration Building at the East Bank Waste Water Treatment Plant. This work is 100% complete. (CP Item 375).

Contract #3737 – Wallace C. Drennan, Inc. – Carrollton Area Sewer Rehabilitation Project Mistletoe St., 18-Inch Sewer Line Replacement SSERP. This work is approximately 99% complete. (CP Item 317).

Contract #3792 – IMC, Inc. – Central Wetlands Unit Expansion at the EBWWTP. This work is 100% complete. (CP Item 368).

Contract #3795 – IMC, Inc. – Modifications to Return Activated Sludge PS & Pipeline at the EBSTP. This work is approximately 97% complete. (CP Item 348).

Contract #3796 – Industrial & Mechanical Contractors, Inc. – Replacement of Pumps at the Boulevard “X” Sewage Pumping Station. This work is approximately 80% complete. (CP Item 311).

Contract #3986 – BLD Services, LLC – Ninth Ward Area Sewer Rehabilitation-Sewer Rehabilitation No. 5. This work is approximately 99% complete. (CP Item 317).

Contract #5203 – F.H. Paschen, S.N. Nielsen & Associates LLC - DPS No. 14 Trash Screen & Cleaner Replacement. This work is 100% complete. (CP Item 511).

Contract #6248 – Walter J. Barnes Electric Co., Inc. – Installation of Two 60-Hertz Feeders from the Carrollton Water Plant to Drainage Pumping Station No. 1. This work is 100% complete. (CP Item 676).

Contract #6254 – Walter J. Barnes Electric Co., Inc. – Hurricane Katrina related repairs to Central Yard Garage #2/Electrical & Main Power Room. This work is 59% complete. (CP Item 676).

Contract #8132 – Industrial & Mechanical Contractors, Inc. - Hurricane Katrina related repairs to Central Yard Garage #1/Generator & Power Room. This work is approximately 99% complete. (CP Item 175).

Contract #8139 – W.L. Wymann Construction Co., Inc. – Re-bid to replace the Central Yard Annex Building damaged during Hurricane Katrina. This work is 100% complete. (CP Item 175).

Contract #8142 – Fleming Construction Co., LLC – Re-paving Open Cuts in Streets, Driveways, Sidewalks resulting from the repair to the Sewerage & Water Board of New Orleans Underground Utilities. This work is 115% complete. (CP Item 175).

Contract #8143 – Hamp's Construction – Hurricane Katrina related repairs to Central Yard Garage #2/Building & Roof. This work is approximately 81% complete. (CP Item 175).

Contract #8144 – Wallace C. Drennan, Inc. – Repaving of Open Cuts in Streets, Driveways, Sidewalks resulting from the repair to the Sewerage & Water Board of New Orleans Underground Utilities. This work is approximately 89% complete. (CP Item 880).

Contract #30002 – BLD Services, Inc. – Restoration of existing Gravity Sewer Mains damaged by Hurricane Katrina by excavation & replacement from Manhole to Manhole, CIPP Lining from Manhole to Manhole & Point Repairs at various sites throughout Orleans Parish. This work is approximately 98% complete. (CP Item 375).

Contract #30003 – BLD Services, Inc. – Restoration of existing Gravity Sewer Mains damaged by Hurricane Katrina by excavation & replacement from Manhole to Manhole, CIPP Lining from Manhole to Manhole & Point Repairs at various sites throughout Orleans Parish. This work is approximately 99% complete. (CP Item 375).

Contract #30004 – Fleming Construction Co., LLC – Restoration of existing Gravity Sewer Mains damaged by Hurricane Katrina by excavation & replacement from Manhole to Manhole, CIPP Lining from Manhole to Manhole & Point Repairs at various sites throughout Orleans Parish. This work is 100% complete. (CP Item 375).

Contract #30006 – Boh Brothers Construction Co., LLC – Restoration of existing Gravity Sewer Mains damaged by Hurricane Katrina by excavation & replacement from Manhole to Manhole, CIPP Lining from Manhole to Manhole & Point Repairs at various sites throughout Orleans Parish. This work is approximately 97% complete. (CP Item 375).

Contract #30009 – Wallace C. Drennan, Inc. – Restoration of existing Gravity Sewer Mains damaged by Hurricane Katrina by excavation & replacement from Manhole to Manhole, CIPP Lining from Manhole to Manhole & Point Repairs at various sites throughout Orleans Parish. This work is 100% complete. (CP Item 375).

Contract #30014 – CES – Cleaning & CCTV Inspection. This work is approximately 76% complete. (CP Item 375).

Contract #30015 – Wallace C. Drennan, Inc. – Restoration of existing Gravity Sewer Mains by Point Repair & CIPP Lining of Sewer Mains. This work is approximately 90% complete. (CP Item 375).

Contract #30016 – Wallace C. Drennan, Inc. - Manhole to Manhole & Sewer Point Repair. This work is approximately 92% complete. (CP Item 375).

Contract #30103– Fleming Construction Co., Inc. – Installation of EDC at various Sewer Pumping Stations. This work is approximately 2% complete. (CP Item 326).

Contract #30203 – Wallace C. Drennan, Inc. – Sewer Point Repairs. This work is approximately 2% complete. (O & M Item).

Contract #30204 – Wallace C. Drennan, Inc. – Manhole to Manhole & Sewer Point Repair. This work is approximately 3% complete. (CP Item 318).

Contract #30205 – Wallace C. Drennan, Inc. – New Orleans East Basin, Plum Orchard Sewer Rehabilitation. This work is approximately 17% complete. (CP Item 317/375).

Contract #30206 – Fleming Construction Co., Inc. – New Orleans East Basin, W. Lake Forest & Read Blvd. West Sewer Rehabilitation. This work is approximately 30% complete. (CP Item 317/375).

### **CURRENT EMERGENCY BID CONTRACTS**

#### **2014 Off-Site Rewind/Refurbish Motor for the 2250HP, 6600V, 3-PHASE, 25HZ, Synchronous Drive Motor for Panola Pumping Station Pump No. 1**

Bids were received on Friday, July 11, 2014.

Contractor:	Bollinger Armature Services, LLC
Amount:	\$338,000.00
% Complete	99%

Notice to Proceed was issued on July 16, 2014.

#### **Emergency Replacement of Underground Electrical Power Duct Bank, from Cohn St. to Carrollton Water Purification Plant**

Three (3) quotes were received on Friday, March 18, 2016.

Contractor:	Walter J. Barnes Electric Company, Inc.
Amount:	\$276,500.00
% Complete	95%

Notice to Proceed was issued on April 13, 2016.

## PLUMBING DEPARTMENT

Submitted herewith, you will find the monthly report for work performed by the Plumbing Department;

There were two hundred eighty- two (282) Plumbing, and twenty-nine (29) Backflow permits issued during the month of **February**. This department complied with seven hundred nine (709) requests for Plumbing Inspections, which consists of inspections conducted with Licensed Plumbing Contractors, Property Owners, Tenants, Department of Health and Hospitals Sanitarians, and Environmental Inspectors.

For your information, the following numbers are of the permits issued and inspections conducted.

	<u>Dec</u>	<u>Jan</u>	<u>2017 Feb</u>	<u>2016 Feb</u>	<u>YTD 2017</u>
Plumbing Permits Issued	265	253	282	209	
Backflow Permits Issued	<u>179</u>	<u>053</u>	<u>029</u>	<u>158</u>	
	<b>444</b>	<b>306</b>	<b>311</b>	<b>367</b>	<b>617</b>
<b>Inspections Conducted</b>					
Water	408	430	389	355	
Special	151	061	085	086	
Final	<u>204</u>	<u>352</u>	<u>235</u>	<u>168</u>	
<b>Totals</b>	<b>763</b>	<b>843</b>	<b>709</b>	<b>609</b>	<b>1552</b>

## RAINFALL FOR FEBRUARY 2017

The rainfall for the month of February was **1.70"**, compared to the 124-year average of **4.54"** for the month of **February**. The cumulative rainfall through the second month of the year was **6.91"** compared to the 124-year average of **9.08"**.

**AVERAGE DAILY PUMPAGE FOR THE MONTH OF FEBRUARY**

New Orleans Side  
Algiers Side

131.068 Million Gallons Per Day  
8.89 Million Gallons Per Day

Respectfully submitted,

**JOSEPH R. BECKER**  
**GENERAL SUPERINTENDENT**

JRB/zfg  
GENSUP%3-15-17

**SEWERAGE AND WATER BOARD OF NEW ORLEANS  
OFFICE OF SPECIAL COUNSEL**

**March 15, 2017**

**To the Honorable President and Members of the  
Sewerage and Water Board of New Orleans:**

The following represents Legal Department activities during the month of **February 2017**.

**CIVIL SUITS FILED AGAINST BOARD:**

**New:**

**PEPPER & ASSOCIATES, INC. V. SEWERAGE AND WATER BOARD OF NEW ORLEANS, No. 17-01465, Civil District Court.** In this case, plaintiff filed Petition for Breach of Contract, Suit on Open Account, Suit to Enforce Contract, Suit for Declaratory Relief Pursuant to Contract, Suit for Attorney's Fees, and for Incidental Relief in connection with professional services agreement to provide engineering services in connection with "Drainage Pumping Station No. 19; Florida Avenue-Inner Harbor Navigation Canal".

**Terminated:**

**SHIRLEY BURTON V. SEWERAGE AND WATER BOARD OF NEW ORLEANS AND THE CITY OF NEW ORLEANS, No. 98-07914, Civil District Court.** In this case, plaintiff sued seeking judgment for injuries allegedly sustained when she fell into an open water meter hole while walking on the sidewalk in the 8400 block of Palmetto Street, on or about May 22, 1997. Parties entered into a Consent Judgment dated February 19, 2003 in the amount of \$2,238. Plaintiff subsequently agreed to a total settlement for principal plus interest payable through July 1, 2015, less a discount of 20% of the total, in the amount of **\$2,987.94**, representing a savings to the Board of \$746.98. The file will be closed on that basis.

Respectfully submitted,



**NOLAN P. LAMBERT  
SPECIAL COUNSEL**

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# Sewerage and Water Board of New Orleans

## Summary of Preliminary Financial Results Revised

### Through December 31, 2016

#### Prior Year Variances

	Water	Sewer	Drainage
Revenues	1,794,756	5,936,694	(3,419,265)
Operating Expenses	3,938,869	5,652,306	3,148,998
Non-Operating Revenues and Expenses	5,209,209	5,219,445	5,454,092
Income before Capital Contributions	3,065,096	5,503,833	(1,114,171)

#### Budget Variances

	Water	Sewer	Drainage
Revenues	(4,612,271)	(1,785,201)	610,946
Operating Expenses	(389,309)	(2,787,052)	(9,852,986)
Non-Operating Revenues and Expenses	913,259	540,723	1,551,796
Income before Capital Contributions	(3,309,703)	1,542,574	12,015,728

#### Days of Cash

	Water	Sewer	Drainage
	197.3	383.9	233.7

#### Projected Debt Service Coverage Times

	Water	Sewer	Drainage
	1.58	2.18	Not Applicable



## SEWERAGE AND WATER BOARD OF NEW ORLEANS

March 13, 2017

Finance and Administration Committee  
Sewerage and Water Board of New Orleans  
New Orleans, Louisiana

### **Re: Revised Unaudited Financial Results through December 2016**

Attached are the revised unaudited *Statement of Net Position and the Statement of Revenues, Expenses, and Changes in Net Position* with budget and prior year comparisons for the water, sewer, drainage, and total systems through December 2016. These revised statements reflect corrections for alignment of revenues for recognition in the proper billing period and reflect additional expenses for invoices processed in the first quarter of 2017 for goods and services received in the last quarter of 2016.

#### **Operating Revenues:**

**Water System Fund** (pages 7 and 8, line 5) for December of \$6,932,080 is \$464,346 or 6.3% less than budgeted and \$890,639 or 11.4% less than December 2015. December YTD operating revenues of \$84,144,841 is \$4,612,271 or 5.2% less than budgeted and \$1,794,756 or 2.2% more than December YTD 2015.

**Sewer System Fund** (pages 13 and 14, line 5) for December of \$8,775,507 is \$36,821 or 0.4% less than budgeted and \$1,243,054 or 12.4% less than December 2015. December YTD operating revenues of \$103,962,736 is \$1,785,201 or 1.7% less than budgeted and \$5,936,694 or 6.1% more than December YTD 2015.

**Drainage System Fund** (pages 19 and 20, line 5) for December of \$2,027 is \$2,027 or 100.0% more than budgeted and \$5,035 or 71.3% less than for December 2015. December YTD operating revenue of \$610,946 is \$610,946 or 100.0% more than budgeted and \$3,419,265 or 84.8% less than for December YTD 2015.

**Total System Funds** (pages 1 and 2, line 5) for December of \$15,709,613 are \$499,141 or 3.1% less than budgeted and \$2,138,729 or 12.0% less than December 2015. December YTD operating revenues of \$188,718,523 is \$5,786,526 or 3.0% less than budgeted and \$4,312,185 or 2.3% more than December YTD 2015.

#### **Operating Expenses:**

**Water System Fund** (pages 7 and 8, line 18) for December of \$10,471,327 is \$2,278,786 or 27.8% more than budgeted and \$2,830,208 or 21.3% less than December 2015. December YTD operating expenses of \$97,921,183 is \$389,309 or 0.4% less than budgeted and \$3,938,869 or 4.2% more than December YTD 2015.

**Sewer System Fund** (pages 13 and 14, line 18) for December of \$8,836,459 is \$2,301,378 or 35.2% more than budgeted and \$2,880,696 or 24.6% less than December



## SEWERAGE AND WATER BOARD OF NEW ORLEANS

2015. December YTD operating expenses of \$75,633,923 are \$2,787,052 or 3.6% less than budgeted and \$5,652,306 or 8.1% more than December YTD 2015.

**Drainage System Fund** (pages 19 and 20, line 18) for December of \$5,005,342 is \$2,453 or approximately equal to budgeted and \$227,007 or 4.3% less than December 2015. December YTD operating expenses of \$50,181,679 is \$9,852,986 or 16.4% less than budgeted and \$3,148,998 or 6.7% more than December YTD 2015.

**Total System Funds** (pages 1 and 2, line 18) for December of \$24,313,128 are \$4,582,617 or 23.2% more than budgeted and \$5,937,911 or 19.6% less than December 2015. December YTD operating expenses of \$223,736,786 are \$13,029,347 or 5.5% less than budgeted and \$12,740,173 or 6.0% more than December YTD 2015.

### Net Non-Operating Revenues:

**Water System Fund** (pages 7 and 8, line 28) for December of \$1,331,800 is \$1,298,425 or 3890.4% more than budgeted and \$5,810,324 or 129.7% more than December 2015. December YTD net non-operating revenues of \$1,313,760 are \$913,259 or 228.0% more than budgeted and \$5,209,209 or 133.7% more than December YTD 2015. MTD and YTD variances to prior year are due to interest expense not yet posted for 2016.

**Sewer System Fund** (pages 13 and 14, line 28) for December of \$1,918,439 is \$1,879,934 or 4882.3% more than budgeted and \$6,472,993 or 142.1% more than December 2015. December YTD net non-operating revenues of \$1,002,784 are \$540,723 or 117.0% more than budgeted and \$5,219,445 or 123.8% more than December YTD 2015. MTD and YTD variances to prior year are due to interest expense not yet posted for 2016.

**Drainage System Fund** (pages 19 and 20, line 28) for December of \$375,240 is \$1,325,270 or 77.9% less than budgeted and \$884,160 or 180.0% more than December 2015. December YTD net non-operating revenues of \$54,357,084 are \$1,551,796 or 2.9% more than budgeted and \$5,454,092 or 11.2% more than December YTD 2015.

**Total System Funds** (pages 1 and 2, line 28) for December of \$3,625,479 is \$1,853,089 or 104.6% more than budgeted and \$13,127,477 or 138.2% more than December 2015. December YTD net non-operating revenues of \$56,673,629 are \$3,005,778 or 5.6% more than budgeted and \$15,882,747 or 38.9% more than December YTD 2015.

### Income Before Contributions in Aid of Construction:

**Water System Fund** (pages 7 and 8, line 29) for December of -\$2,207,447 is \$1,444,707 or 189.4% less than budgeted and \$7,749,893 or 77.8% more than December 2015. December YTD income before capital contributions of -\$12,462,582 is \$3,309,703 or 36.2% less than budgeted and \$3,065,096 or 19.7% more than December YTD 2015.

**Sewer System Fund** (pages 13 and 14, line 29) for December of \$1,857,487 is \$485,265 or 19.8% less than budgeted and \$8,110,634 or 129.7% more than December 2015. December YTD income before capital contributions of \$29,331,597 is \$1,542,574 or



## SEWERAGE AND WATER BOARD OF NEW ORLEANS

5.6% more than budgeted and \$5,219,445 or 123.8% more than December YTD 2015.

**Drainage System Fund** (pages 19 and 20, line 29) for December of -\$4,628,075 is \$1,325,696 or 40.1% less than budgeted and \$1,066,132 or 18.7% more than December 2015. December YTD income before capital contributions of \$4,786,351 is \$12,015,727 or 166.2% more than budgeted and \$1,114,171 or 18.9% less than December YTD 2015.

**Total System Funds** (pages 1 and 2, line 29) for December of -\$4,978,036 is \$3,228,668 or 184.6% less than budgeted and \$16,926,660 or 77.3% more than December 2015. December YTD income before capital contributions of \$21,655,366 is \$10,248,599 or 89.8% more than budgeted and \$7,454,759 or 52.5% more than December YTD 2015.

The days-of-cash at December 31, 2016 were 197.3 for the water system, 233.7 for the sewer system, and 383.9 for the drainage system. These results are above the policy target of 180 days and the bond covenant minimum of 90 days.

The debt service coverage for the year ending December 31, 2016, based upon preliminary unaudited financial results through December 31, 2016, is 1.58 times for the water system and 2.18 times for the sewer system. The results are above the policy target of 1.50 times and the bond covenant minimum of 1.25.

**Certification.** In connection with the accompanying monthly financial report of the Sewerage and Water Board of New Orleans for the period ended December 31, 2016, I hereby certify that, to my knowledge, the information contained in the report fairly presents, in all material respects, the financial condition and results of the Board.

Robert K. Miller  
Deputy Director / Chief Financial Officer

**SEWERAGE AND WATER BOARD OF NEW ORLEANS**  
**ALL SYSTEM FUNDS**  
**STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION**  
**WITH PRIOR YEAR COMPARISONS**  
**December 2016**

	A	B	C	D	E	F	G	H
	MTD	MTD	MTD	MTD	YTD	YTD	YTD	
	Actual	Prior Year	Variance	%	Actual	Prior Year	Variance	%
<b>Operating revenues:</b>								
1 Sales of water and delinquent fees	6,897,817	7,432,187	(534,370)	-7.2%	81,338,932	78,628,508	2,710,424	3.4%
2 Sewerage service charges and del fees	8,710,192	9,852,682	(1,142,490)	-11.6%	103,168,193	97,160,502	6,007,691	6.2%
3 Plumbing inspection and license fees	50,340	53,950	(3,610)	-6.7%	638,501	610,768	27,733	4.5%
4 Other revenues	51,264	509,523	(458,258)	-89.9%	3,572,897	8,006,560	(4,433,663)	-55.4%
5 Total operating revenues	15,709,613	17,848,342	(2,138,729)	-12.0%	188,718,523	184,406,338	4,312,185	2.3%
<b>Operating Expenses:</b>								
6 Executive Director	129,024	166,187	(37,163)	-22.4%	1,350,806	1,343,578	7,228	0.5%
7 Special Counsel	161,734	127,213	34,521	27.1%	1,591,362	1,741,812	(150,450)	-8.6%
8 Security	1,909,462	1,512,676	396,786	26.2%	9,909,217	6,715,141	3,194,076	47.6%
9 Operations	10,088,363	15,749,264	(5,660,901)	-35.9%	103,803,316	103,533,719	269,598	0.3%
10 Engineering	609,352	504,563	104,788	20.8%	5,894,580	5,380,816	513,764	9.5%
11 Logistics	906,013	1,288,537	(382,524)	-29.7%	11,117,362	13,124,063	(2,006,701)	-15.3%
12 Communications	154,203	111,727	42,477	38.0%	920,989	893,858	27,132	3.0%
13 Administration	2,257,570	1,191,864	1,065,706	89.4%	14,938,215	8,443,318	6,494,897	76.9%
14 Chief Financial Officer	2,036,914	1,777,229	259,685	14.6%	19,426,911	18,109,794	1,317,117	7.3%
15 Continuous Improvement	94,748	-	94,748	0.0%	175,882	-	175,882	0.0%
16 Allocation for Overhead	(1,125,000)	(1,118,508)	(6,492)	0.6%	(12,605,718)	(10,650,802)	(1,954,916)	18.4%
17 Non-Cash Operating Expenses	7,090,744	8,940,287	(1,849,542)	-20.7%	67,213,863	62,361,316	4,852,547	7.8%
18 Total operating expenses	24,313,128	30,251,038	(5,937,911)	-19.6%	223,736,786	210,996,613	12,740,173	6.0%
19 Operating income (loss)	(8,603,515)	(12,402,697)	3,799,182	-30.6%	(35,018,263)	(26,590,275)	(8,427,988)	31.7%
<b>Non-operating revenues (expense):</b>								
20 Two-mill tax	39	2,620	(2,581)	-98.5%	7,526	4,959	2,567	51.8%
21 Three-mill tax	72,926	113,818	(40,892)	-35.9%	15,373,454	14,096,458	1,276,996	9.1%
22 Six-mill tax	73,750	114,950	(41,200)	-35.8%	15,338,326	14,247,550	1,090,776	9.1%
23 Nine-mill tax	110,537	172,192	(61,655)	-35.8%	23,291,247	21,356,099	1,935,148	9.1%
24 Interest income	3,072,061	1,668,502	1,403,559	84.1%	1,874,286	2,363,179	(488,893)	-20.7%
25 Other Income	296,165	293,109	3,055	1.0%	564,050	581,395	(17,345)	-3.0%
26 Interest expense	-	(11,867,190)	11,867,190	-100.0%	-	(11,867,190)	11,867,190	-100.0%
27 Operating and maintenance grants	-	-	-	0.0%	24,738	8,431	16,307	193.4%
28 Total non-operating revenues	3,625,479	(9,501,998)	13,127,477	-138.2%	56,673,629	40,790,881	15,882,747	38.9%
29 Income before capital contributions	(4,978,036)	(21,904,695)	16,926,660	-77.3%	21,655,366	14,200,606	7,454,759	52.5%
30 Capital contributions	13,182,480	9,463,806	3,718,674	39.3%	43,823,970	44,825,352	(1,001,382)	-2.2%
31 Change in net position	8,204,444	(12,440,889)	20,645,333	-165.9%	65,479,336	59,025,958	6,453,378	10.9%
32 Net position, beginning of year					2,082,688,315	1,968,799,361	113,888,954	5.8%
33 Net position, end of year					2,148,167,651	2,027,825,319	120,342,332	5.9%

**SEWERAGE AND WATER BOARD OF NEW ORLEANS**  
**ALL SYSTEM FUNDS**  
**STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION**  
**WITH BUDGET COMPARISONS**

December 2016

	A	B	C	D	E	F	G	H
	MTD Actual	MTD Budget	MTD Variance	%	YTD Actual	YTD Budget	YTD Variance	%
<b>Operating revenues:</b>								
1 Sales of water and delinquent fees	6,897,817	7,108,525	(210,709)	-3.0%	81,338,932	85,302,302	(3,963,370)	-4.6%
2 Sewerage service charges and del fees	8,710,192	8,735,378	(25,186)	-0.3%	103,168,193	104,824,535	(1,656,342)	-1.6%
3 Plumbing inspection and license fees	50,340	52,151	(1,811)	-3.5%	638,501	625,810	12,691	2.0%
4 Other revenues	51,264	312,700	(261,436)	-83.6%	3,572,897	3,752,402	(179,505)	-4.8%
5 Total operating revenues	15,709,613	16,208,754	(499,141)	-3.1%	188,718,523	194,505,049	(5,786,526)	-3.0%
<b>Operating Expenses:</b>								
6 Executive Director	129,024	116,758	12,266	10.5%	1,350,806	1,401,093	(50,287)	-3.6%
7 Special Counsel	161,734	183,298	(21,564)	-11.8%	1,591,362	2,199,572	(608,210)	-27.7%
8 Security	1,909,462	911,577	997,885	109.5%	9,909,217	10,938,922	(1,029,705)	-9.4%
9 Operations	10,088,363	9,340,503	747,860	8.0%	103,803,316	112,086,038	(8,282,721)	-7.4%
10 Engineering	609,352	626,632	(17,280)	-2.8%	5,894,580	7,519,579	(1,624,999)	-21.6%
11 Logistics	906,013	1,191,098	(285,085)	-23.9%	11,117,362	14,293,174	(3,175,811)	-22.2%
12 Communications	154,203	124,355	29,849	24.0%	920,989	1,492,255	(571,266)	-38.3%
13 Administration	2,257,570	806,882	1,450,688	179.8%	14,938,215	9,682,589	5,255,626	54.3%
14 Chief Financial Officer	2,036,914	2,035,115	1,799	0.1%	19,426,911	24,421,380	(4,994,468)	-20.5%
15 Continuous Improvement	94,748	15,717	79,031	502.8%	175,882	188,607	(12,725)	-6.7%
16 Allocation for Overhead	(1,125,000)	(897,501)	(227,499)	25.3%	(12,605,718)	(10,770,010)	(1,835,708)	17.0%
17 Non-Cash Operating Expenses	7,090,744	5,276,078	1,814,667	34.4%	67,213,863	63,312,933	3,900,930	6.2%
18 Total operating expenses	24,313,128	19,730,511	4,582,617	23.2%	223,736,786	236,766,133	(13,029,347)	-5.5%
19 Operating income (loss)	(8,603,515)	(3,521,757)	(5,081,758)	144.3%	(35,018,263)	(42,261,084)	7,242,821	-17.1%
<b>Non-operating revenues (expense):</b>								
20 Two-mill tax	39	68	(29)	-42.5%	7,526	2,181	5,345	245.1%
21 Three-mill tax	72,926	457,839	(384,913)	-84.1%	15,373,454	14,682,980	690,473	4.7%
22 Six-mill tax	73,750	462,752	(389,001)	-84.1%	15,538,326	14,840,524	697,803	4.7%
23 Nine-mill tax	110,537	693,636	(583,099)	-84.1%	23,291,247	22,245,031	1,046,217	4.7%
24 Interest income	3,072,061	5,650	3,066,411	54271.2%	1,874,286	67,802	1,806,484	2664.4%
25 Other Income	296,165	152,444	143,720	94.3%	564,050	1,829,333	(1,265,283)	-69.2%
26 Interest expense	-	-	-	0.0%	-	-	-	0.0%
27 Operating and maintenance grants	-	-	-	0.0%	24,738	-	24,738	0.0%
28 Total non-operating revenues	3,625,479	1,772,389	1,853,089	104.6%	56,673,629	53,667,851	3,005,778	5.6%
29 Income before capital contributions	(4,978,036)	(1,749,368)	(3,228,668)	184.6%	21,655,366	11,406,767	10,248,599	89.8%
30 Capital contributions	13,182,480	-	13,182,480	0.0%	43,823,970	-	43,823,970	0.0%
31 Change in net position	8,204,444	(1,749,368)	9,953,812	-569.0%	65,479,336	11,406,767	54,072,569	474.0%
32 Net position, beginning of year	-	-	-	-	2,082,688,315	1,968,799,361	113,888,954	5.8%
33 Net position, end of year	-	-	-	-	2,148,167,651	1,961,569,985	186,597,666	9.5%

**SEWERAGE AND WATER BOARD OF NEW ORLEANS**  
**WATER SYSTEM FUND**  
**STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION**  
**WITH PRIOR YEAR COMPARISONS**  
**December 2016**

	A	B	C	D	E	F	G	H
	MTD Actual	MTD Prior Year	MTD Variance	%	YTD Actual	YTD Prior Year	YTD Variance	%
<b>Operating revenues:</b>								
1 Sales of water and delinquent fees	6,897,817	7,432,187	(534,370)	-7.2%	81,338,932	78,628,508	2,710,424	3.4%
2 Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%
3 Plumbing inspection and license fees	4,577	26,975	(22,398)	-83.0%	324,538	305,384	19,154	6.3%
4 Other revenues <sup>1</sup>	29,686	363,557	(333,871)	-91.8%	2,481,371	3,416,193	(934,821)	-27.4%
5 Total operating revenues	6,932,080	7,822,719	(890,639)	-11.4%	84,144,841	82,350,085	1,794,756	2.2%
<b>Operating Expenses:</b>								
6 Executive Director	46,556	58,209	(11,652)	-20.0%	484,079	474,201	9,878	2.1%
7 Special Counsel	39,730	38,579	1,150	3.0%	477,977	572,668	(94,691)	-16.5%
8 Security	726,132	556,198	169,933	30.6%	3,713,800	2,549,537	1,164,263	45.7%
9 Operations	4,844,774	7,827,872	(2,983,098)	-38.1%	51,389,895	52,653,216	(1,263,321)	-2.4%
10 Engineering	177,723	168,213	9,510	5.7%	1,995,668	1,789,139	206,529	11.5%
11 Logistics	295,415	434,341	(138,926)	-32.0%	3,688,334	4,441,535	(753,201)	-17.0%
12 Communications	51,401	37,242	14,159	38.0%	306,996	318,786	(11,790)	-3.7%
13 Administration	1,013,902	554,268	459,634	82.9%	6,877,814	3,938,043	2,939,771	74.7%
14 Chief Financial Officer	875,332	782,424	92,908	11.9%	8,435,812	7,867,506	568,306	7.2%
15 Continuous Improvement	31,583	-	31,583	0.0%	58,627	-	58,627	0.0%
16 Allocation for Overhead	(375,000)	(416,250)	41,250	-9.9%	(4,529,541)	(3,958,394)	(571,147)	14.4%
17 Non-Cash Operating Expenses	2,743,780	3,260,438	(516,658)	-15.8%	25,021,719	23,336,078	1,685,642	7.2%
18 Total operating expenses	10,471,327	13,301,535	(2,830,208)	-21.3%	97,921,183	93,982,314	3,938,869	4.2%
19 Operating income (loss)	(3,539,247)	(5,478,816)	1,939,569	-35.4%	(13,776,343)	(11,632,230)	(2,144,113)	18.4%
<b>Non-operating revenues (expense):</b>								
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%
24 Interest income	1,200,007	520,400	679,607	130.6%	1,038,020	966,756	71,263	7.4%
25 Other Income	131,793	130,434	1,360	1.0%	251,002	258,721	(7,718)	-3.0%
26 Interest expense	-	(5,129,357)	5,129,357	-100.0%	-	(5,129,357)	5,129,357	-100.0%
27 Operating and maintenance grants	-	-	-	0.0%	24,738	8,431	16,307	193.4%
28 Total non-operating revenues	1,331,800	(4,478,523)	5,810,324	-129.7%	1,313,760	(3,895,449)	5,209,209	-133.7%
29 Income before capital contributions	(2,207,447)	(9,957,340)	7,749,893	-77.8%	(12,462,582)	(15,527,679)	3,065,096	-19.7%
30 Capital contributions	6,919,744	4,169,014	2,750,730	66.0%	21,243,494	15,317,443	5,926,051	38.7%
31 Change in net position	4,712,298	(5,788,325)	10,500,623	-181.4%	8,780,912	(210,235)	8,991,147	-4276.7%
32 Net position, beginning of year					318,792,375	317,835,814	956,561	0.3%
33 Net position, end of year					327,573,287	317,625,579	9,947,708	3.1%

**SEWERAGE AND WATER BOARD OF NEW ORLEANS**  
**WATER SYSTEM FUND**  
**STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION**  
**WITH BUDGET COMPARISONS**  
**December 2016**

	A	B	C	D	E	F	G	H
	MTD Actual	MTD Budget	MTD Variance	%	YTD Actual	YTD Budget	YTD Variance	%
<b>Operating revenues:</b>								
1 Sales of water and delinquent fees	6,897,817	7,108,525	(210,709)	-3.0%	81,338,932	85,302,302	(3,963,370)	-4.6%
2 Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%
3 Plumbing inspection and license fees	4,577	24,977	(20,400)	-81.7%	324,538	299,729	24,809	8.3%
4 Other revenues	29,686	262,923	(233,237)	-88.7%	2,481,371	3,155,081	(673,710)	-21.4%
5 Total operating revenues	6,932,080	7,396,426	(464,346)	-6.3%	84,144,841	88,757,112	(4,612,271)	-5.2%
<b>Operating Expenses:</b>								
6 Executive Director	46,556	42,213	4,343	10.3%	484,079	506,561	(22,481)	-4.4%
7 Special Counsel	39,730	55,196	(15,467)	-28.0%	477,977	662,357	(184,380)	-27.8%
8 Security	726,132	337,559	388,572	115.1%	3,713,800	4,050,713	(336,913)	-8.3%
9 Operations	4,844,774	4,233,452	611,322	14.4%	51,389,895	50,801,421	588,474	1.2%
10 Engineering	177,723	214,613	(36,890)	-17.2%	1,995,668	2,575,353	(579,684)	-22.5%
11 Logistics	295,415	391,053	(95,638)	-24.5%	3,688,334	4,692,631	(1,004,297)	-21.4%
12 Communications	51,401	44,785	6,616	14.8%	306,996	537,418	(230,422)	-42.9%
13 Administration	1,013,902	373,540	640,362	171.4%	6,877,814	4,482,475	2,395,340	53.4%
14 Chief Financial Officer	875,332	857,336	17,997	2.1%	8,435,812	10,288,027	(1,852,215)	-18.0%
15 Continuous Improvement	31,583	5,239	26,344	502.8%	58,627	62,869	(4,242)	-6.7%
16 Allocation for Overhead	(375,000)	(289,250)	(85,750)	29.6%	(4,529,541)	(3,471,000)	(1,058,541)	30.5%
17 Non-Cash Operating Expenses	2,743,780	1,926,806	816,974	42.4%	25,021,719	23,121,667	1,900,053	8.2%
18 Total operating expenses	10,471,327	8,192,541	2,278,786	27.8%	97,921,183	98,310,493	(389,309)	-0.4%
19 Operating income (loss)	(3,539,247)	(796,115)	(2,743,132)	344.6%	(13,776,343)	(9,553,381)	(4,222,962)	44.2%
<b>Non-operating revenues (expense):</b>								
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%
24 Interest income	1,200,007	-	1,200,007	0.0%	1,038,020	-	1,038,020	0.0%
25 Other Income	131,793	33,375	98,418	294.9%	251,002	400,501	(149,499)	-37.3%
26 Interest expense	-	-	-	0.0%	-	-	-	0.0%
27 Operating and maintenance grants	-	-	-	0.0%	24,738	-	24,738	0.0%
28 Total non-operating revenues	1,331,800	33,375	1,298,425	3890.4%	1,313,760	400,501	913,259	228.0%
29 Income before capital contributions	(2,207,447)	(762,740)	(1,444,707)	189.4%	(12,462,582)	(9,152,879)	(3,309,703)	36.2%
30 Capital contributions	6,919,744	-	6,919,744	0.0%	21,243,494	-	21,243,494	0.0%
31 Change in net position	4,712,298	(762,740)	5,475,037	-717.8%	8,780,912	-	8,780,912	-
32 Net position, beginning of year	-	-	-	-	318,792,375	317,835,814	956,561	0.3%
33 Net position, end of year	-	-	-	-	327,573,287	317,835,814	9,737,473	3.1%

**SEWERAGE AND WATER BOARD OF NEW ORLEANS**  
**SEWER SYSTEM FUND**  
**STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION**  
**WITH PRIOR YEAR COMPARISONS**  
**December 2016**

	A	B	C	D	E	F	G	H
	MTD	MTD	MTD	%	YTD	Prior Year	YTD	%
	Actual	Prior Year	Variance		Actual		Variance	
<b>Operating revenues:</b>								
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%
2 Sewerage service charges and del fees	8,710,192	9,852,682	(1,142,490)	-11.6%	103,168,193	97,160,502	6,007,691	6.2%
3 Plumbing inspection and license fees	45,763	26,975	18,788	69.6%	313,963	305,384	8,579	2.8%
4 Other revenues	19,551	138,904	(119,353)	-85.9%	480,580	560,156	(79,576)	-14.2%
5 Total operating revenues	8,775,507	10,018,561	(1,243,054)	-12.4%	103,962,736	98,026,042	5,936,694	6.1%
<b>Operating Expenses:</b>								
6 Executive Director	42,709	55,159	(12,449)	-22.6%	447,420	445,640	1,780	0.4%
7 Special Counsel	39,729	45,579	(5,850)	-12.8%	477,876	512,704	(34,828)	-6.8%
8 Security	615,262	491,915	123,347	25.1%	3,225,285	2,194,457	1,030,828	47.0%
9 Operations	3,744,348	5,881,829	(2,137,481)	-36.3%	35,610,998	33,571,575	2,039,423	6.1%
10 Engineering	248,663	187,671	60,992	32.5%	2,166,096	1,905,923	260,174	13.7%
11 Logistics	291,931	431,878	(139,947)	-32.4%	3,639,506	4,391,014	(751,508)	-17.1%
12 Communications	51,401	37,242	14,159	38.0%	306,997	318,786	(11,789)	-3.7%
13 Administration	701,695	355,232	346,463	97.5%	4,491,930	2,513,046	1,978,884	78.7%
14 Chief Financial Officer	839,787	739,643	100,144	13.5%	8,093,908	7,487,275	606,633	8.1%
15 Continuous Improvement	31,583	-	31,583	0.0%	58,627	-	58,627	0.0%
16 Allocation for Overhead	(375,000)	(397,945)	22,945	-5.8%	(4,428,970)	(3,806,815)	(622,156)	16.3%
17 Non-Cash Operating Expenses	2,604,351	3,888,952	(1,284,601)	-33.0%	21,544,250	20,448,012	1,096,238	5.4%
18 Total operating expenses	8,836,459	11,717,155	(2,880,696)	-24.6%	75,633,923	69,981,618	5,652,306	8.1%
19 Operating income (loss)	(60,952)	(1,698,594)	1,637,641	-96.4%	28,328,813	28,044,424	284,389	1.0%
<b>Non-operating revenues (expense):</b>								
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%
24 Interest income	1,754,068	1,163,965	590,103	50.7%	689,736	1,341,859	(652,123)	-48.6%
25 Other Income	164,371	162,676	1,696	1.0%	313,048	322,674	(9,626)	-3.0%
26 Interest expense	-	(5,881,195)	5,881,195	-100.0%	-	(5,881,195)	5,881,195	-100.0%
27 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%
28 Total non-operating revenues	1,918,439	(4,554,554)	6,472,993	-142.1%	1,002,784	(4,216,661)	5,219,445	-123.8%
29 Income before capital contributions	1,857,487	(6,253,148)	8,110,634	-129.7%	29,331,597	23,827,763	5,503,834	23.1%
30 Capital contributions	2,871,892	3,457,045	(585,153)	-16.9%	10,607,632	20,698,375	(10,090,743)	-48.8%
31 Change in net position	4,729,379	(2,796,103)	7,525,482	-269.1%	39,939,229	44,526,138	(4,586,909)	-10.3%
32 Net position, beginning of year	-	-	-	-	763,119,641	732,566,915	30,552,726	4.2%
33 Net position, end of year	-	-	-	-	803,058,870	777,093,053	25,965,817	3.3%

**SEWERAGE AND WATER BOARD OF NEW ORLEANS**  
**SEWER SYSTEM FUND**  
**STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION**  
**WITH BUDGET COMPARISONS**  
**December 2016**

	A	B	C	D	E	F	G	H
	MTD Actual	MTD Budget	MTD Variance	%	YTD Actual	YTD Budget	YTD Variance	%
<b>Operating revenues:</b>								
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%
2 Sewerage service charges and del fees	8,710,192	8,735,378	(25,186)	-0.3%	103,168,193	104,824,535	(1,656,342)	-1.6%
3 Plumbing inspection and license fees	45,763	27,173	18,590	68.4%	313,963	326,081	(12,118)	-3.7%
4 Other revenues	19,551	49,777	(30,225)	-60.7%	480,580	597,321	(116,741)	-19.5%
5 Total operating revenues	8,775,507	8,812,328	(36,821)	-0.4%	103,962,736	105,747,937	(1,785,201)	-1.7%
<b>Operating Expenses:</b>								
6 Executive Director	42,709	38,642	4,067	10.5%	447,420	463,703	(16,283)	-3.5%
7 Special Counsel	39,729	55,196	(15,467)	-28.0%	477,876	662,357	(184,481)	-27.9%
8 Security	615,262	297,884	317,378	106.5%	3,225,285	3,574,606	(349,321)	-9.8%
9 Operations	3,744,348	3,088,275	656,073	21.2%	35,610,998	37,059,301	(1,448,303)	-3.9%
10 Engineering	248,663	233,071	15,592	6.7%	2,166,096	2,796,847	(630,750)	-22.6%
11 Logistics	291,931	387,019	(95,087)	-24.6%	3,639,506	4,644,222	(1,004,716)	-21.6%
12 Communications	51,401	44,785	6,616	14.8%	306,997	537,418	(230,422)	-42.9%
13 Administration	701,695	240,992	460,702	191.2%	4,491,930	2,891,909	1,600,021	55.3%
14 Chief Financial Officer	839,787	830,364	9,423	1.1%	8,093,908	9,964,367	(1,870,459)	-18.8%
15 Continuous Improvement	31,583	5,239	26,344	502.8%	58,627	62,869	(4,242)	-6.7%
16 Allocation for Overhead	(375,000)	(325,751)	(49,249)	15.1%	(4,428,970)	(3,909,010)	(519,960)	13.3%
17 Non-Cash Operating Expenses	2,604,351	1,639,365	964,986	58.9%	21,544,250	19,672,386	1,871,864	9.5%
18 Total operating expenses	8,836,459	6,535,081	2,301,378	35.2%	75,633,923	78,420,976	(2,787,052)	-3.6%
19 Operating income (loss)	(60,952)	2,277,247	(2,338,199)	-102.7%	28,328,813	27,326,961	1,001,851	3.7%
<b>Non-operating revenues (expense):</b>								
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%
24 Interest income	1,754,068	-	1,754,068	0.0%	689,736	-	689,736	0.0%
25 Other Income	164,371	38,505	125,866	326.9%	313,048	462,061	(149,013)	-32.2%
26 Interest expense	-	-	-	0.0%	-	-	-	0.0%
27 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%
28 Total non-operating revenues	1,918,439	38,505	1,879,934	4882.3%	1,002,784	462,061	540,723	117.0%
29 Income before capital contributions	1,857,487	2,315,752	(458,265)	-19.8%	29,331,597	27,789,023	1,542,574	5.6%
30 Capital contributions	2,871,892	-	2,871,892	0.0%	10,607,632	-	10,607,632	0.0%
31 Change in net position	4,729,379	2,315,752	2,413,627	104.2%	39,939,229	-	-	-
32 Net position, beginning of year	-	-	-	-	763,119,641	732,566,915	30,552,726	4.2%
33 Net position, end of year	-	-	-	-	803,058,870	732,566,915	70,491,955	9.6%

**SEWERAGE AND WATER BOARD OF NEW ORLEANS**  
**DRAINAGE SYSTEM FUND**  
**STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION**  
**WITH PRIOR YEAR COMPARISONS**  
**December 2016**

	A	B	C	D	E	F	G	H
	MTD	MTD	MTD	%	YTD	YTD	YTD	%
	Actual	Prior Year	Variance		Actual	Prior Year	Variance	
<b>Operating revenues:</b>								
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%
2 Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%
3 Plumbing inspection and license fees	-	-	-	0.0%	-	-	-	0.0%
4 Other revenues	2,027	7,062	(5,035)	-71.3%	610,946	4,030,211	(3,419,265)	-84.8%
5 Total operating revenues	2,027	7,062	(5,035)	-71.3%	610,946	4,030,211	(3,419,265)	-84.8%
<b>Operating Expenses:</b>								
6 Executive Director	39,759	52,820	(13,061)	-24.7%	419,307	423,737	(4,430)	-1.0%
7 Special Counsel	82,275	43,055	39,220	91.1%	635,509	656,440	(20,932)	-3.2%
8 Security	568,068	464,563	103,506	22.3%	2,970,132	1,971,147	998,985	50.7%
9 Operations	1,499,241	2,039,563	(540,322)	-26.5%	16,802,423	17,308,927	(506,504)	-2.9%
10 Engineering	182,966	148,680	34,287	23.1%	1,732,815	1,685,754	47,061	2.8%
11 Logistics	318,667	422,317	(103,650)	-24.5%	3,789,522	4,291,514	(501,992)	-11.7%
12 Communications	51,401	37,242	14,159	38.0%	306,996	256,286	50,710	19.8%
13 Administration	541,973	282,364	259,609	91.9%	3,568,470	1,992,229	1,576,242	79.1%
14 Chief Financial Officer	321,795	255,162	66,633	26.1%	2,897,191	2,755,013	142,178	5.2%
15 Continuous Improvement	31,583	-	31,583	0.0%	58,627	-	58,627	0.0%
16 Allocation for Overhead	(375,000)	(304,313)	(70,687)	23.2%	(3,647,206)	(2,885,593)	(761,613)	26.4%
17 Non-Cash Operating Expenses	1,742,613	1,790,897	(48,283)	-2.7%	20,647,894	18,577,226	2,070,667	11.1%
18 Total operating expenses	5,005,342	5,232,349	(227,007)	-4.3%	50,181,679	47,032,680	3,148,998	6.7%
19 Operating income (loss)	(5,003,315)	(5,225,287)	221,972	-4.2%	(49,570,733)	(43,002,469)	(6,568,264)	15.3%
<b>Non-operating revenues (expense):</b>								
20 Two-mill tax	39	2,620	(2,581)	-98.5%	7,526	4,959	2,567	51.8%
21 Three-mill tax	72,926	113,818	(40,892)	-35.9%	15,373,454	14,096,458	1,276,996	9.1%
22 Six-mill tax	73,750	114,950	(41,200)	-35.8%	15,538,326	14,247,550	1,290,776	9.1%
23 Nine-mill tax	110,537	172,192	(61,655)	-35.8%	23,291,247	21,356,099	1,935,148	9.1%
24 Interest income	117,986	(15,863)	133,849	-843.8%	146,530	54,564	91,966	168.5%
25 Other Income	-	-	-	0.0%	-	-	-	0.0%
26 Interest expense	-	(856,638)	856,638	-100.0%	-	(856,638)	856,638	-100.0%
27 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%
28 Total non-operating revenues	375,240	(468,921)	844,160	-180.0%	54,357,084	48,902,992	5,454,092	11.2%
29 Income before capital contributions	(4,628,075)	(5,694,208)	1,066,132	-18.7%	4,786,351	5,900,522	(1,114,171)	-18.9%
30 Capital contributions	3,390,843	1,837,747	1,553,097	84.5%	11,972,845	8,809,534	3,163,311	35.9%
31 Change in net position	(1,237,232)	(3,856,461)	2,619,229	-67.9%	16,759,195	14,710,056	2,049,139	13.9%
32 Net position, beginning of year					1,000,776,299	918,396,632	82,379,667	9.0%
33 Net position, end of year					1,017,535,494	933,106,688	84,428,806	9.0%

**SEWERAGE AND WATER BOARD OF NEW ORLEANS**  
**DRAINAGE SYSTEM FUND**  
**STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION**  
**WITH BUDGET COMPARISONS**  
**December 2016**

	A	B	C	D	E	F	G	H
	MTD Actual	MTD Budget	MTD Variance	%	YTD Actual	YTD Budget	YTD Variance	%
<b>Operating revenues:</b>								
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%
2 Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%
3 Plumbing inspection and license fees	-	-	-	0.0%	-	-	-	0.0%
4 Other revenues	2,027	-	2,027	0.0%	610,946	-	610,946	0.0%
5 Total operating revenues	2,027	-	2,027	0.0%	610,946	-	610,946	0.0%
<b>Operating Expenses:</b>								
6 Executive Director	39,759	35,902	3,856	10.7%	419,307	430,829	(11,523)	-2.7%
7 Special Counsel	82,275	72,905	9,370	12.9%	635,509	874,857	(239,349)	-27.4%
8 Security	568,068	276,134	291,935	105.7%	2,970,132	3,313,603	(343,471)	-10.4%
9 Operations	1,499,241	2,018,776	(519,535)	-25.7%	16,802,423	24,225,316	(7,422,893)	-30.6%
10 Engineering	182,966	178,948	4,018	2.2%	1,732,815	2,147,380	(414,565)	-19.3%
11 Logistics	318,667	413,027	(94,360)	-22.8%	3,789,522	4,956,320	(1,166,799)	-23.5%
12 Communications	51,401	34,785	16,616	47.8%	306,996	417,418	(110,422)	-26.5%
13 Administration	541,973	192,350	349,623	181.8%	3,568,470	2,308,205	1,260,265	54.6%
14 Chief Financial Officer	321,795	347,415	(25,620)	-7.4%	2,897,191	4,168,985	(1,271,794)	-30.5%
15 Continuous Improvement	31,583	5,239	26,344	502.8%	58,627	62,869	(4,242)	-6.7%
16 Allocation for Overhead	(375,000)	(282,500)	(92,500)	32.7%	(3,647,206)	(3,390,000)	(257,206)	7.6%
17 Non-Cash Operating Expenses	1,742,613	1,709,907	32,707	1.9%	20,647,894	20,518,881	129,013	0.6%
18 Total operating expenses	5,005,342	5,002,889	2,453	0.0%	50,181,679	60,034,664	(9,852,986)	-16.4%
19 Operating income (loss)	(5,003,315)	(5,002,889)	(426)	0.0%	(49,570,733)	(60,034,664)	10,463,931	-17.4%
<b>Non-operating revenues (expense):</b>								
20 Two-mill tax	39	68	(29)	-42.5%	7,526	2,181	5,345	245.1%
21 Three-mill tax	72,926	457,839	(384,913)	-84.1%	15,373,454	14,682,980	690,473	4.7%
22 Six-mill tax	73,750	462,752	(389,001)	-84.1%	15,538,326	14,840,524	697,803	4.7%
23 Nine-mill tax	110,537	693,636	(583,099)	-84.1%	23,291,247	22,245,031	1,046,217	4.7%
24 Interest income	117,986	5,650	112,336	1988.2%	146,530	67,802	78,728	116.1%
25 Other Income	-	80,564	(80,564)	-100.0%	-	966,770	(966,770)	-100.0%
26 Interest expense	-	-	-	0.0%	-	-	-	0.0%
27 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%
28 Total non-operating revenues	375,240	1,700,509	(1,325,270)	-77.9%	54,357,084	52,805,288	1,551,796	2.9%
29 Income before capital contributions	(4,628,075)	(3,302,380)	(1,325,696)	40.1%	4,786,351	(7,229,376)	12,015,727	-166.2%
30 Capital contributions	3,390,843	-	3,390,843	0.0%	11,972,845	-	11,972,845	0.0%
31 Change in net position	(1,237,232)	(3,302,380)	2,065,147	-62.5%	16,759,195	(7,229,376)	23,988,572	-331.8%
32 Net position, beginning of year					1,000,776,299	918,396,632	82,379,667	9.0%
33 Net position, end of year					1,017,535,494	911,167,256	106,368,239	11.7%



## SEWERAGE AND WATER BOARD OF NEW ORLEANS

March 13, 2017

Finance and Administration Committee  
Sewerage and Water Board of New Orleans  
New Orleans, Louisiana

### **Re: Financial Results through January 2017**

Attached are the *Statement of Revenues, Expenses, and Changes in Net Position* with budget and prior year comparisons for the water, sewer, drainage and total systems through January 2017. The Variance Indicators for Financial Results through January 2017 is also attached. The *Statement of Net Assets* and the *Statement of Cash Flows* will be provided after the completion of the 2016 audit.

#### **Operating Revenues:**

**Water System Fund** (pages 7 and 8, line 5) for January of \$6,203,085 is \$2,056,757 or 24.9% less than budgeted and \$115,490 or 1.9% more than January 2016.

**Sewer System Fund** (pages 13 and 14, line 5) for January of \$8,646,878 is \$912,847 or 9.5% less than budgeted and \$1,799,832 or 26.3% more than January 2016.

**Drainage System Fund** (pages 19 and 20, line 5) for January of \$1,806 is \$1,806 or 100.0% more than budgeted and \$2,753 or 60.4% less than for January 2016.

**Total System Funds** (pages 1 and 2, line 5) for January of \$14,851,769 are \$2,967,798 or 16.7% less than budgeted and \$1,912,569 or 14.8% more than January 2016.

#### **Operating Expenses:**

**Water System Fund** (pages 7 and 8, line 18) for January of \$6,069,619 is \$3,888,107 or 39.0% less than budgeted and \$3,043,473 or 33.4% less than January 2016.

**Sewer System Fund** (pages 13 and 14, line 18) for January of \$5,244,247 is \$2,535,368 or 32.6% less than budgeted and \$2,133,195 or 28.9% less than January 2016.

**Drainage System Fund** (pages 19 and 20, line 18) for January of \$3,924,778 is \$1,100,234 or 21.9% less than budgeted and \$1,510,237 or 27.8% less than January 2016.

**Total System Funds** (pages 1 and 2, line 18) for January of \$15,238,644 are \$7,523,710 or 33.1% less than budgeted and \$6,686,905 or 30.5% less than January 2016.

#### **Net Non-Operating Revenues:**

**Water System Fund** (pages 7 and 8, line 28) for January of \$0 is \$61,725 or 100.0% less than budgeted and \$79,757 or 100.0% less than January 2016.

**Sewer System Fund** (pages 13 and 14, line 28) for January of \$0 is \$92,175 or 100.0% less than budgeted and \$56,362 or 100.0% less than January 2016.

**Drainage System Fund** (pages 19 and 20, line 28) for January of \$1,170,351 is \$3,438,927 or 74.6% less than budgeted and \$877,407 or 299.5% more January 2016.

**Total System Funds** (pages 1 and 2, line 28) for January of \$1,170,351 is \$3,592,827 or 75.4% less than budgeted and \$741,288 or 172.8% more than January 2016.



## SEWERAGE AND WATER BOARD OF NEW ORLEANS

### Income Before Contributions in Aid of Construction:

**Water System Fund** (pages 7 and 8, line 29) for January of \$133,466 is \$1,769,626 or 108.2% more than budgeted and \$3,079,206 or 104.5% more than January 2016.

**Sewer System Fund** (pages 13 and 14, line 29) for January of \$3,402,632 is \$1,530,347 or 81.7% more than budgeted and \$3,876,665 or 817.8% more than January 2016.

**Drainage System Fund** (pages 19 and 20, line 29) for January of -\$2,752,621 is \$2,336,887 or 562.1% less than budgeted and \$2,384,891 or 46.4% more than January 2016.

**Total System Funds** (pages 1 and 2, line 29) for January of \$783,477 is \$963,085 or 536.2% more than budgeted and \$9,340,762 or 109.2% more than January 2016.

The balances of funds from the Series 2014 bond proceeds available for capital construction as of January 31, 2017 are:

	Water	Sewer	Total
<b>Original Balance</b>	\$1,017,254.11	-	\$1,017,254.11
<b>Less Disbursements</b>	-	-	-
<b>Plus Reimbursements</b>	689,777.94	-	689,777.94
<b>Plus Income</b>	1,246.67	-	1,246.67
<b>Ending Balance</b>	\$1,708,278.72	-	\$1,708,278.72

The balances of funds from the Series 2015 bond proceeds available for capital construction as of December 31, 2016 are:

	Water	Sewer	Total
<b>Original Balance</b>	\$95,252,279.97	53,790,042.95	\$149,042,322.92
<b>Less Disbursements</b>	(4,500,000.00)	(5,000,000.00)	(9,500,000.00)
<b>Plus Reimbursements</b>	30,200.50	108,316.84	138,517.34
<b>Plus Income</b>	59,363.33	26,304.46	85,667.79
<b>Ending Balance</b>	\$90,841,843.80	\$48,924,664.25	\$139,766,508.05

The days-of-cash at January 31, 2017 were 196.2 for the water system, 287.5 for the sewer system, and 209.5 for the drainage system. These results are well ahead of their minimum policy target of 180 days.

**Certification.** In connection with the accompanying monthly financial report of the Sewerage and Water Board of New Orleans for the period ended January 31, 2017, I hereby certify that, to my knowledge, the information contained in the report fairly presents, in all material respects, the financial condition and results of the Board.

Robert K. Miller  
Deputy Director / Chief Financial Officer

**Sewerage and Water Board of New Orleans**  
**Water System Unrestricted Cash and Cash Equivalents**  
**in Days of O&M Expenses at Month End**

### EUM Attribute: Financial Viability

**Description:** Establish and maintain an effective balance between long-term debt, assets values, operations and maintenance expenditures, and operating revenues.

**Constituency:**  
**Suppliers and**  
**Bondholders**

**Objective: Provide adequate cash to pay invoices on a timely basis**

**Goal: Cash balance of at least 180 days of O&M expenses.**

**Currently Meeting Goal: No**

**Process Operating Within Control Limits:**  
**Yes**

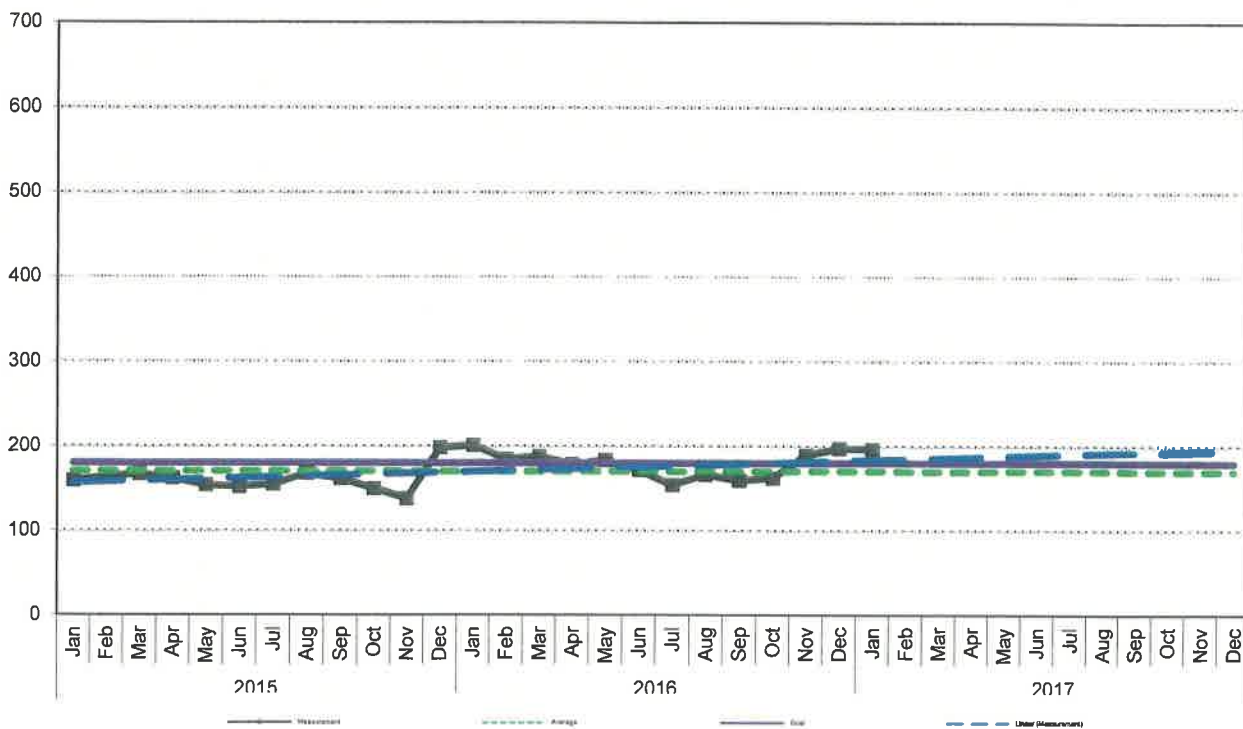
**Trend: Unfavorable**

## Analysis

Monthly cash balances have remained stable except for repayment of previous inter-system loans. Note: Reclassification of certain current assets from restricted to unrestricted in October 2013 resulted in higher unrestricted balances.

### Plans for Improvement

Utilize revenues generated from operations to increase cash balances according to 2011-2020 Financial Plan.



### Data Table

[illegible]

[illegible]

**Sewerage and Water Board of New Orleans**  
**Drainage System Unrestricted Cash and Cash Equivalents**  
**in Days of O&M Expenses at Month End**

## EUM Attribute: Financial Viability

**Description:** Establish and maintain an effective balance between long-term debt, assets values, operations and maintenance expenditures, and operating revenues.

## Constituency: Suppliers and Bondholders

**Objective: Provide adequate cash to pay invoices on a timely basis**

**Goal: Cash balance of at least 180 days of O&M expenses.**

**Currently Meeting Goal: Yes**

**Process Operating Within Control Limits:**  
**Yes**

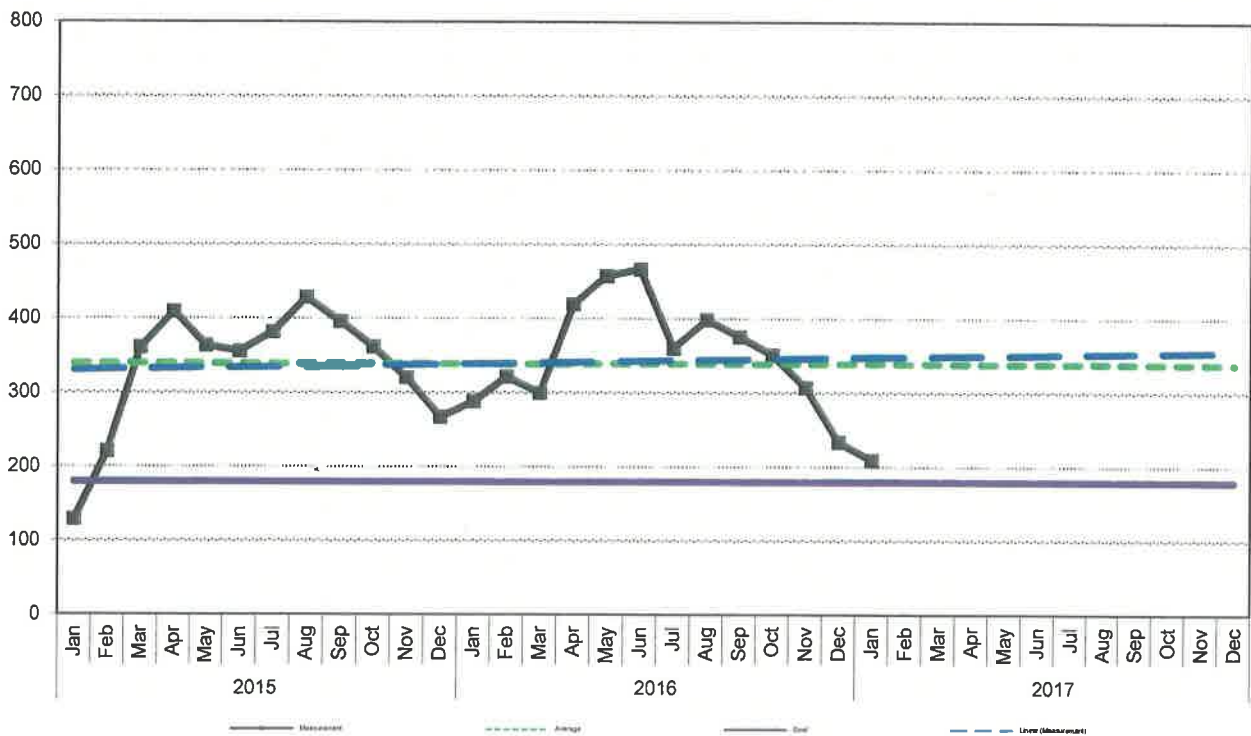
**Trend: Unfavorable**

## Analysis

Monthly cash balances typically increase during the first quarter from property tax collections and then decrease for the remainder of the year. The long-term goal will not be met until a new revenue stream for the drainage system is created and implemented.

## Plans for Improvement

Utilize revenues generated from operations to increase cash balances according to 2011-2020 Financial Plan.



### Data Table

[illegible]

**SEWERAGE AND WATER BOARD OF NEW ORLEANS**  
**ALL SYSTEM FUNDS**  
**STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION**  
**WITH PRIOR YEAR COMPARISONS**  
**January 2017**

	A	B	C	D	E	F	G	H
	MTD	MTD	MTD	MTD	YTD	YTD	YTD	
	Actual	Prior Year	Variance	%	Actual	Prior Year	Variance	%
<b>Operating revenues:</b>								
1 Sales of water and delinquent fees	6,108,780	5,813,376	295,405	5.1%	6,108,780	5,813,376	295,405	5.1%
2 Sewerage service charges and del fees	8,643,147	6,802,537	1,840,610	27.1%	8,643,147	6,802,537	1,840,610	27.1%
3 Plumbing inspection and license fees	68,020	38,100	29,920	78.5%	68,020	38,100	29,920	78.5%
4 Other revenues	31,822	285,187	(253,365)	-88.8%	31,822	285,187	(253,365)	-88.8%
5 Total operating revenues	14,851,769	12,939,200	1,912,569	14.8%	14,851,769	12,939,200	1,912,569	14.8%
<b>Operating Expenses:</b>								
6 Executive Director	87,038	190,936	(103,898)	-54.4%	87,038	190,936	(103,898)	-54.4%
7 Special Counsel	82,076	98,048	(15,972)	-16.3%	82,076	98,048	(15,972)	-16.3%
8 Security	873,874	274,907	598,967	217.9%	873,874	274,907	598,967	217.9%
9 Operations	4,930,255	7,541,950	(2,611,695)	-34.6%	4,930,255	7,541,950	(2,611,695)	-34.6%
10 Engineering	440,002	375,607	64,395	17.1%	440,002	375,607	64,395	17.1%
11 Logistics	745,396	1,081,444	(336,048)	-31.1%	745,396	1,081,444	(336,048)	-31.1%
12 Communications	17,866	-	17,866	0.0%	17,866	-	17,866	0.0%
13 Administration	306,662	1,722,654	(1,415,992)	-82.2%	306,662	1,722,654	(1,415,992)	-82.2%
14 Chief Financial Officer	1,358,886	1,150,682	208,204	18.1%	1,358,886	1,150,682	208,204	18.1%
15 Continuous Improvement	10,097	-	10,097	0.0%	10,097	-	10,097	0.0%
16 Allocation for Overhead	(867,485)	(892,859)	25,374	-2.8%	(867,485)	(892,859)	25,374	-2.8%
17 Non-Cash Operating Expenses	5,519,007	10,382,181	(4,863,173)	-46.8%	5,519,007	10,382,181	(4,863,173)	-46.8%
18 Total operating expenses	13,503,673	21,925,549	(8,421,876)	-38.4%	13,503,673	21,925,549	(8,421,876)	-38.4%
19 Operating income (loss)	1,348,096	(8,986,349)	10,334,445	-115.0%	1,348,096	(8,986,349)	10,334,445	-115.0%
<b>Non-operating revenues (expense):</b>								
20 Two-mill tax	-	5,692	(5,692)	-100.0%	-	5,692	(5,692)	-100.0%
21 Three-mill tax	48,866	80,202	(31,336)	-39.1%	48,866	80,202	(31,336)	-39.1%
22 Six-mill tax	448,786	81,129	367,656	453.2%	448,786	81,129	367,656	453.2%
23 Nine-mill tax	672,700	121,584	551,116	453.3%	672,700	121,584	551,116	453.3%
24 Interest income	-	140,456	(140,456)	-100.0%	-	140,456	(140,456)	-100.0%
25 Other Income	-	-	-	0.0%	-	-	-	0.0%
26 Interest expense	-	-	-	0.0%	-	-	-	0.0%
27 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%
28 Total non-operating revenues	1,170,351	429,064	741,288	172.8%	1,170,351	429,064	741,288	172.8%
29 Income before capital contributions	2,518,448	(8,557,285)	11,075,733	-129.4%	2,518,448	(8,557,285)	11,075,733	-129.4%
30 Capital contributions	99,702	229,621	(129,919)	-56.6%	99,702	229,621	(129,919)	-56.6%
31 Change in net position	2,618,150	(8,327,664)	10,945,813	-131.4%	2,618,150	(8,327,664)	10,945,813	-131.4%
32 Net position, beginning of year	2,082,688,315	1,968,799,361	113,888,954	5.8%	2,082,688,315	1,968,799,361	113,888,954	5.8%
33 Net position, end of year	2,085,306,465	1,960,471,697	124,834,767	6.4%	2,085,306,465	1,960,471,697	124,834,767	6.4%

SEWERAGE AND WATER BOARD OF NEW ORLEANS  
ALL SYSTEM FUNDS  
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION  
WITH BUDGET COMPARISONS  
January 2017

	A	B	C	D	E	F	G	H
	MTD	MTD	MTD	%	YTD	YTD	YTD	%
	Actual	Budget	Variance		Actual	Budget	Variance	
Operating revenues:								
1 Sales of water and delinquent fees	6,108,780	7,872,192	(1,763,411)	-22.4%	6,108,780	7,872,192	(1,763,411)	-22.4%
2 Sewerage service charges and del fees	8,643,147	9,482,775	(839,628)	-8.9%	8,643,147	9,482,775	(839,628)	-8.9%
3 Plumbing inspection and license fees	68,020	52,150	15,870	30.4%	68,020	52,150	15,870	30.4%
4 Other revenues	31,822	412,450	(380,628)	-92.3%	31,822	412,450	(380,628)	-92.3%
5 Total operating revenues	14,851,769	17,819,567	(2,967,798)	-16.7%	14,851,769	17,819,567	(2,967,798)	-16.7%
Operating Expenses:								
6 Executive Director	87,038	107,970	(20,932)	-19.4%	87,038	107,970	(20,932)	-19.4%
7 Special Counsel	82,076	197,952	(115,875)	-58.5%	82,076	197,952	(115,875)	-58.5%
8 Security	873,874	906,381	(32,507)	-3.6%	873,874	906,381	(32,507)	-3.6%
9 Operations	4,930,255	8,308,491	(3,378,236)	-40.7%	4,930,255	8,308,491	(3,378,236)	-40.7%
10 Engineering	440,002	857,048	(417,046)	-48.7%	440,002	857,048	(417,046)	-48.7%
11 Logistics	745,396	1,182,834	(437,438)	-37.0%	745,396	1,182,834	(437,438)	-37.0%
12 Communications	17,866	85,908	(68,042)	-79.2%	17,866	85,908	(68,042)	-79.2%
13 Administration	306,662	3,601,441	(3,294,779)	-91.5%	306,662	3,601,441	(3,294,779)	-91.5%
14 Chief Financial Officer	1,358,886	1,917,265	(558,379)	-29.1%	1,358,886	1,917,265	(558,379)	-29.1%
15 Continuous Improvement	10,097	35,892	(25,795)	-71.9%	10,097	35,892	(25,795)	-71.9%
16 Allocation for Overhead	(867,485)	(1,112,810)	245,325	-22.0%	(867,485)	(1,112,810)	245,325	-22.0%
17 Non-Cash Operating Expenses	5,519,007	6,673,983	(1,154,975)	-17.3%	5,519,007	6,673,983	(1,154,975)	-17.3%
18 Total operating expenses	13,503,673	22,762,353	(9,258,680)	-40.7%	13,503,673	22,762,353	(9,258,680)	-40.7%
19 Operating income (loss)	1,348,096	(4,942,787)	6,290,883	-127.3%	1,348,096	(4,942,787)	6,290,883	-127.3%
Non-operating revenues (expense):								
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%
21 Three-mill tax	48,866	1,235,828	(1,186,962)	-96.0%	48,866	1,235,828	(1,186,962)	-96.0%
22 Six-mill tax	448,786	1,305,101	(856,315)	-65.6%	448,786	1,305,101	(856,315)	-65.6%
23 Nine-mill tax	672,700	1,956,266	(1,283,566)	-65.6%	672,700	1,956,266	(1,283,566)	-65.6%
24 Interest income	-	112,517	(112,517)	-100.0%	-	112,517	(112,517)	-100.0%
25 Other Income	-	153,467	(153,467)	-100.0%	-	153,467	(153,467)	-100.0%
26 Interest expense	-	-	-	0.0%	-	-	-	0.0%
27 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%
28 Total non-operating revenues	1,170,351	4,763,178	(3,592,827)	-75.4%	1,170,351	4,763,178	(3,592,827)	-75.4%
29 Income before capital contributions	2,518,448	(179,608)	2,698,056	-1502.2%	2,518,448	(179,608)	2,698,056	-1502.2%
30 Capital contributions	99,702	365,845	(266,142)	-72.7%	99,702	365,845	(266,142)	-72.7%
31 Change in net position	2,618,150	186,236	2,431,914	1305.8%	2,618,150	186,236	2,431,914	1305.8%
32 Net position, beginning of year	2,082,888,315	1,968,799,361	113,888,954	5.8%	2,082,888,315	1,968,799,361	113,888,954	5.8%
33 Net position, end of year	2,085,306,465	1,968,383,627	116,922,838	5.9%	2,085,306,465	1,968,383,627	116,922,838	5.9%

**SEWERAGE AND WATER BOARD OF NEW ORLEANS**  
**WATER SYSTEM FUND**  
**STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION**  
**WITH PRIOR YEAR COMPARISONS**  
**January 2017**

	A	B	C	D	E	F	G	H
	MTD	MTD	MTD	%	YTD	YTD	YTD	%
	Actual	Prior Year	Variance		Actual	Prior Year	Variance	
<b>Operating revenues:</b>								
1 Sales of water and delinquent fees	6,108,780	5,813,376	295,405	5.1%	6,108,780	5,813,376	295,405	5.1%
2 Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%
3 Plumbing inspection and license fees	68,020	19,050	48,970	257.1%	68,020	19,050	48,970	257.1%
4 Other revenues <sup>1</sup>	26,285	255,169	(228,885)	-89.7%	26,285	255,169	(228,885)	-89.7%
5 Total operating revenues	6,203,085	6,087,595	115,490	1.9%	6,203,085	6,087,595	115,490	1.9%
<b>Operating Expenses:</b>								
6 Executive Director	31,596	65,688	(34,092)	-51.9%	31,596	65,688	(34,092)	-51.9%
7 Special Counsel	29,658	33,665	(4,007)	-11.9%	29,658	33,665	(4,007)	-11.9%
8 Security	316,379	117,402	198,977	169.5%	316,379	117,402	198,977	169.5%
9 Operations	2,206,672	3,548,027	(1,341,355)	-37.8%	2,206,672	3,548,027	(1,341,355)	-37.8%
10 Engineering	158,768	126,073	32,694	25.9%	158,768	126,073	32,694	25.9%
11 Logistics	237,799	375,706	(137,907)	-36.7%	237,799	375,706	(137,907)	-36.7%
12 Communications	5,955	-	5,955	0.0%	5,955	-	5,955	0.0%
13 Administration	137,994	802,775	(664,781)	-82.8%	137,994	802,775	(664,781)	-82.8%
14 Chief Financial Officer	578,194	496,026	82,168	16.6%	578,194	496,026	82,168	16.6%
15 Continuous Improvement	3,366	-	3,366	0.0%	3,366	-	3,366	0.0%
16 Allocation for Overhead	(320,087)	(327,538)	7,451	-2.3%	(320,087)	(327,538)	7,451	-2.3%
17 Non-Cash Operating Expenses	2,043,152	3,875,268	(1,832,116)	-47.3%	2,043,152	3,875,268	(1,832,116)	-47.3%
18 Total operating expenses	5,429,445	9,113,092	(3,683,647)	-40.4%	5,429,445	9,113,092	(3,683,647)	-40.4%
19 Operating income (loss)	773,640	(3,025,497)	3,799,137	-125.6%	773,640	(3,025,497)	3,799,137	-125.6%
<b>Non-operating revenues (expense):</b>								
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%
24 Interest income	-	79,757	(79,757)	-100.0%	-	79,757	(79,757)	-100.0%
25 Other Income	-	-	-	0.0%	-	-	-	0.0%
26 Interest expense	-	-	-	0.0%	-	-	-	0.0%
27 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%
28 Total non-operating revenues	-	79,757	(79,757)	-100.0%	-	79,757	(79,757)	-100.0%
29 Income before capital contributions	773,640	(2,945,740)	3,719,379	-126.3%	773,640	(2,945,740)	3,719,379	-126.3%
30 Capital contributions	92,214	215,463	(123,249)	-57.2%	92,214	215,463	(123,249)	-57.2%
31 Change in net position	865,854	(2,730,276)	3,596,130	-131.7%	865,854	(2,730,276)	3,596,130	-131.7%
32 Net position, beginning of year					318,792,375	317,835,814	956,561	0.3%
33 Net position, end of year					319,658,229	315,105,538	4,552,691	1.4%

SEWERAGE AND WATER BOARD OF NEW ORLEANS  
WATER SYSTEM FUND  
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION  
WITH BUDGET COMPARISONS  
January 2017

	A	B	C	D	E	F	G	H
	MTD Actual	MTD Budget	MTD Variance	%	YTD Actual	YTD Budget	YTD Variance	%
<b>Operating revenues:</b>								
1 Sales of water and delinquent fees	6,108,780	7,872,192	(1,763,411)	-22.4%	6,108,780	7,872,192	(1,763,411)	-22.4%
2 Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%
3 Plumbing inspection and license fees	68,020	24,975	43,045	172.4%	68,020	24,975	43,045	172.4%
4 Other revenues	26,285	362,675	(336,390)	-92.8%	26,285	362,675	(336,390)	-92.8%
5 Total operating revenues	6,203,085	8,259,842	(2,056,757)	-24.9%	6,203,085	8,259,842	(2,056,757)	-24.9%
<b>Operating Expenses:</b>								
6 Executive Director	31,596	39,795	(8,200)	-20.6%	31,596	39,795	(8,200)	-20.6%
7 Special Counsel	29,658	62,563	(32,905)	-52.6%	29,658	62,563	(32,905)	-52.6%
8 Security	316,379	334,742	(18,363)	-5.5%	316,379	334,742	(18,363)	-5.5%
9 Operations	2,206,672	3,996,904	(1,790,232)	-44.8%	2,206,672	3,996,904	(1,790,232)	-44.8%
10 Engineering	158,768	270,980	(112,213)	-41.4%	158,768	270,980	(112,213)	-41.4%
11 Logistics	237,799	398,061	(160,262)	-40.3%	237,799	398,061	(160,262)	-40.3%
12 Communications	5,955	28,633	(22,678)	-79.2%	5,955	28,633	(22,678)	-79.2%
13 Administration	137,994	1,611,592	(1,473,598)	-91.4%	137,994	1,611,592	(1,473,598)	-91.4%
14 Chief Financial Officer	578,194	820,990	(242,796)	-29.6%	578,194	820,990	(242,796)	-29.6%
15 Continuous Improvement	3,366	11,963	(8,597)	-71.9%	3,366	11,963	(8,597)	-71.9%
16 Allocation for Overhead	(320,087)	(412,653)	92,566	-22.4%	(320,087)	(412,653)	92,566	-22.4%
17 Non-Cash Operating Expenses	2,043,152	2,794,156	(751,004)	-26.9%	2,043,152	2,794,156	(751,004)	-26.9%
18 Total operating expenses	5,429,445	9,957,726	(4,528,281)	-45.5%	5,429,445	9,957,726	(4,528,281)	-45.5%
19 Operating income (loss)	773,640	(1,697,884)	2,471,524	-145.6%	773,640	(1,697,884)	2,471,524	-145.6%
<b>Non-operating revenues (expense):</b>								
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%
24 Interest income	-	28,350	(28,350)	-100.0%	-	28,350	(28,350)	-100.0%
25 Other Income	-	33,375	(33,375)	-100.0%	-	33,375	(33,375)	-100.0%
26 Interest expense	-	-	-	0.0%	-	-	-	0.0%
27 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%
28 Total non-operating revenues	-	61,725	(61,725)	-100.0%	-	61,725	(61,725)	-100.0%
29 Income before capital contributions	773,640	(1,636,159)	2,409,799	-147.3%	773,640	(1,636,159)	2,409,799	-147.3%
30 Capital contributions	92,214	182,922	(90,708)	-49.6%	92,214	182,922	(90,708)	-49.6%
31 Change in net position	865,854	(1,453,237)	2,319,091	-159.6%	865,854			
32 Net position, beginning of year					318,792,375	317,835,814	956,561	0.3%
33 Net position, end of year					319,658,229	317,835,814	1,822,415	0.6%

**SEWERAGE AND WATER BOARD OF NEW ORLEANS  
SEWER SYSTEM FUND**

**STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION  
WITH PRIOR YEAR COMPARISONS  
January 2017**

	A	B	C	D	E	F	G	H
	MTD Actual	MTD Prior Year	MTD Variance	%	YTD Actual	YTD Prior Year	YTD Variance	%
<b>Operating revenues:</b>								
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%
2 Sewerage service charges and del fees	8,643,147	6,802,537	1,840,610	27.1%	8,643,147	6,802,537	1,840,610	27.1%
3 Plumbing inspection and license fees	-	19,050	(19,050)	-100.0%	-	19,050	(19,050)	-100.0%
4 Other revenues	3,732	25,460	(21,728)	-85.3%	3,732	25,460	(21,728)	-85.3%
5 Total operating revenues	8,646,878	6,847,047	1,799,832	26.3%	8,646,878	6,847,047	1,799,832	26.3%
<b>Operating Expenses:</b>								
6 Executive Director	28,795	63,473	(34,678)	-54.6%	28,795	63,473	(34,678)	-54.6%
7 Special Counsel	26,670	33,565	(6,895)	-20.5%	26,670	33,565	(6,895)	-20.5%
8 Security	285,403	88,970	196,433	220.8%	285,403	88,970	196,433	220.8%
9 Operations	1,810,301	2,770,250	(959,950)	-34.7%	1,810,301	2,770,250	(959,950)	-34.7%
10 Engineering	155,969	138,863	17,106	12.3%	155,969	138,863	17,106	12.3%
11 Logistics	234,402	371,744	(137,342)	-36.9%	234,402	371,744	(137,342)	-36.9%
12 Communications	5,955	-	5,955	0.0%	5,955	-	5,955	0.0%
13 Administration	92,638	512,968	(420,330)	-81.9%	92,638	512,968	(420,330)	-81.9%
14 Chief Financial Officer	554,834	475,743	79,091	16.6%	554,834	475,743	79,091	16.6%
15 Continuous Improvement	3,366	-	3,366	0.0%	3,366	-	3,366	0.0%
16 Allocation for Overhead	(306,355)	(322,596)	16,242	-5.0%	(306,355)	(322,596)	16,242	-5.0%
17 Non-Cash Operating Expenses	1,739,559	3,244,460	(1,504,901)	-46.4%	1,739,559	3,244,460	(1,504,901)	-46.4%
18 Total operating expenses	4,631,538	7,377,442	(2,745,904)	-37.2%	4,631,538	7,377,442	(2,745,904)	-37.2%
19 Operating income (loss)	4,015,341	(530,395)	4,545,736	-857.0%	4,015,341	(530,395)	4,545,736	-857.0%
<b>Non-operating revenues (expense):</b>								
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%
24 Interest income	-	56,362	(56,362)	-100.0%	-	56,362	(56,362)	-100.0%
25 Other Income	-	-	-	0.0%	-	-	-	0.0%
26 Interest expense	-	-	-	0.0%	-	-	-	0.0%
27 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%
28 Total non-operating revenues	-	56,362	(56,362)	-100.0%	-	56,362	(56,362)	-100.0%
29 Income before capital contributions	4,015,341	(474,033)	4,489,374	-947.1%	4,015,341	(474,033)	4,489,374	-947.1%
30 Capital contributions	-	-	-	0.0%	-	-	-	0.0%
31 Change in net position	4,015,341	(474,033)	4,489,374	-947.1%	4,015,341	(474,033)	4,489,374	-947.1%
32 Net position, beginning of year					763,119,641	732,566,915	30,552,726	4.2%
33 Net position, end of year					767,134,982	732,092,882	35,042,100	4.8%

SEWERAGE AND WATER BOARD OF NEW ORLEANS  
SEWER SYSTEM FUND  
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION  
WITH BUDGET COMPARISONS  
January 2017

	A	B	C	D	E	F	G	H
	MTD Actual	MTD Budget	MTD Variance	%	YTD Actual	YTD Budget	YTD Variance	%
Operating revenues:								
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%
2 Sewerage service charges and del fees	8,643,147	9,482,775	(839,628)	-8.9%	8,643,147	9,482,775	(839,628)	-8.9%
3 Plumbing inspection and license fees	-	27,175	(27,175)	-100.0%	-	27,175	(27,175)	-100.0%
4 Other revenues	3,732	49,775	(46,043)	-92.5%	3,732	49,775	(46,043)	-92.5%
5 Total operating revenues	8,646,878	9,559,725	(912,847)	-9.5%	8,646,878	9,559,725	(912,847)	-9.5%
Operating Expenses:								
6 Executive Director	28,795	35,674	(6,879)	-19.3%	28,795	35,674	(6,879)	-19.3%
7 Special Counsel	26,670	50,659	(23,989)	-47.4%	26,670	50,659	(23,989)	-47.4%
8 Security	285,403	296,311	(10,908)	-3.7%	285,403	296,311	(10,908)	-3.7%
9 Operations	1,810,301	2,781,157	(970,857)	-34.9%	1,810,301	2,781,157	(970,857)	-34.9%
10 Engineering	155,969	331,276	(175,307)	-52.9%	155,969	331,276	(175,307)	-52.9%
11 Logistics	234,402	387,211	(152,809)	-39.5%	234,402	387,211	(152,809)	-39.5%
12 Communications	5,955	28,642	(22,686)	-79.2%	5,955	28,642	(22,686)	-79.2%
13 Administration	92,638	1,149,201	(1,056,564)	-91.9%	92,638	1,149,201	(1,056,564)	-91.9%
14 Chief Financial Officer	554,834	789,310	(234,475)	-29.7%	554,834	789,310	(234,475)	-29.7%
15 Continuous Improvement	3,366	11,966	(8,601)	-71.9%	3,366	11,966	(8,601)	-71.9%
16 Allocation for Overhead	(306,355)	(397,453)	91,098	-22.9%	(306,355)	(397,453)	91,098	-22.9%
17 Non-Cash Operating Expenses	1,739,559	2,315,660	(576,101)	-24.9%	1,739,559	2,315,660	(576,101)	-24.9%
18 Total operating expenses	4,631,538	7,779,615	(3,148,077)	-40.5%	4,631,538	7,779,615	(3,148,077)	-40.5%
19 Operating income (loss)	4,015,341	1,780,110	2,235,231	125.6%	4,015,341	1,780,110	2,235,231	125.6%
Non-operating revenues (expense):								
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%
24 Interest income	-	53,667	(53,667)	-100.0%	-	53,667	(53,667)	-100.0%
25 Other Income	-	38,508	(38,508)	-100.0%	-	38,508	(38,508)	-100.0%
26 Interest expense	-	-	-	0.0%	-	-	-	0.0%
27 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%
28 Total non-operating revenues	-	92,175	(92,175)	-100.0%	-	92,175	(92,175)	-100.0%
29 Income before capital contributions	4,015,341	1,872,285	2,143,056	114.5%	4,015,341	1,872,285	2,143,056	114.5%
30 Capital contributions	-	182,922	(182,922)	-100.0%	-	182,922	(182,922)	-100.0%
31 Change in net position	4,015,341	2,055,207	1,960,134	95.4%	4,015,341			
32 Net position, beginning of year					763,119,641	732,566,915	30,552,726	4.2%
33 Net position, end of year					767,134,982	732,566,915	34,568,067	4.7%

SEWERAGE AND WATER BOARD OF NEW ORLEANS  
DRAINAGE SYSTEM FUND  
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION  
WITH PRIOR YEAR COMPARISONS  
January 2017

	A	B	C	D	E	F	G	H
	MTD	MTD	MTD		YTD	YTD	YTD	
	Actual	Prior Year	Variance	%	Actual	Prior Year	Variance	%
Operating revenues:								
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%
2 Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%
3 Plumbing inspection and license fees	-	-	-	0.0%	-	-	-	0.0%
4 Other revenues	1,806	4,558	(2,753)	-60.4%	1,806	4,558	(2,752)	-60.4%
5 Total operating revenues	1,806	4,558	(2,753)	-60.4%	1,806	4,558	(2,752)	-60.4%
Operating Expenses:								
6 Executive Director	26,648	61,775	(35,128)	-56.9%	26,648	61,775	(35,128)	-56.9%
7 Special Counsel	25,748	30,818	(5,070)	-16.5%	25,748	30,818	(5,070)	-16.5%
8 Security	272,092	68,535	203,557	297.0%	272,092	68,535	203,557	297.0%
9 Operations	913,282	1,223,673	(310,391)	-25.4%	913,282	1,223,673	(310,391)	-25.4%
10 Engineering	125,265	110,670	14,595	13.2%	125,265	110,670	14,595	13.2%
11 Logistics	273,195	333,994	(60,799)	-18.2%	273,195	333,994	(60,799)	-18.2%
12 Communications	5,955	-	5,955	0.0%	5,955	-	5,955	0.0%
13 Administration	76,030	406,911	(330,881)	-81.3%	76,030	406,911	(330,881)	-81.3%
14 Chief Financial Officer	225,858	178,912	46,945	26.2%	225,858	178,912	46,945	26.2%
15 Continuous Improvement	3,366	-	3,366	0.0%	3,366	-	3,366	0.0%
16 Allocation for Overhead	(241,044)	(242,725)	1,681	-0.7%	(241,044)	(242,725)	1,681	-0.7%
17 Non-Cash Operating Expenses	1,736,297	3,262,453	(1,526,156)	-46.8%	1,736,297	3,262,453	(1,526,156)	-46.8%
18 Total operating expenses	3,442,690	5,435,015	(1,992,325)	-36.7%	3,442,690	5,435,015	(1,992,325)	-36.7%
19 Operating income (loss)	(3,440,884)	(5,430,456)	1,989,572	-36.6%	(3,440,884)	(5,430,457)	1,989,573	-36.6%
Non-operating revenues (expense):								
20 Two-mill tax	-	5,692	(5,692)	-100.0%	-	5,692	(5,692)	-100.0%
21 Three-mill tax	48,866	80,202	(31,336)	-39.1%	48,866	80,202	(31,336)	-39.1%
22 Six-mill tax	448,786	81,129	367,656	453.2%	448,786	81,129	367,656	453.2%
23 Nine-mill tax	672,700	121,584	551,116	453.3%	672,700	121,584	551,116	453.3%
24 Interest income	-	4,337	(4,337)	-100.0%	-	4,337	(4,337)	-100.0%
25 Other Income	-	-	-	0.0%	-	-	-	0.0%
26 Interest expense	-	-	-	0.0%	-	-	-	0.0%
27 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%
28 Total non-operating revenues	1,170,351	292,944	877,407	299.5%	1,170,351	292,944	877,407	299.5%
29 Income before capital contributions	(2,270,533)	(5,137,512)	2,866,980	-55.8%	(2,270,533)	(5,137,513)	2,866,980	-55.8%
30 Capital contributions	7,488	14,158	(6,670)	-47.1%	7,488	14,158	(6,670)	-47.1%
31 Change in net position	(2,263,045)	(5,123,354)	2,860,309	-55.8%	(2,263,045)	(5,123,355)	2,860,310	-55.8%
32 Net position, beginning of year	-	-	-	-	1,000,776,299	918,396,632	82,379,667	9.0%
33 Net position, end of year	-	-	-	-	998,513,254	913,273,277	85,239,977	9.3%

**SEWERAGE AND WATER BOARD OF NEW ORLEANS**  
**DRAINAGE SYSTEM FUND**  
**STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION**  
**WITH BUDGET COMPARISONS**  
**January 2017**

	A	B	C	D	E	F	G	H
	MTD	MTD	MTD	%	YTD	YTD	YTD	%
	Actual	Budget	Variance		Actual	Budget	Variance	
<b>Operating revenues:</b>								
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%
2 Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%
3 Plumbing inspection and license fees	-	-	-	0.0%	-	-	-	0.0%
4 Other revenues	1,806	-	1,806	0.0%	1,806	-	1,806	0.0%
5 Total operating revenues	1,806	-	1,806	0.0%	1,806	-	1,806	0.0%
<b>Operating Expenses:</b>								
6 Executive Director	26,648	32,501	(5,853)	-18.0%	26,648	32,501	(5,853)	-18.0%
7 Special Counsel	25,748	84,730	(58,982)	-69.6%	25,748	84,730	(58,982)	-69.6%
8 Security	272,092	275,328	(3,236)	-1.2%	272,092	275,328	(3,236)	-1.2%
9 Operations	913,282	1,530,430	(617,147)	-40.3%	913,282	1,530,430	(617,147)	-40.3%
10 Engineering	125,265	254,792	(129,527)	-50.8%	125,265	254,792	(129,527)	-50.8%
11 Logistics	273,195	397,561	(124,366)	-31.3%	273,195	397,561	(124,366)	-31.3%
12 Communications	5,955	28,633	(22,678)	-79.2%	5,955	28,633	(22,678)	-79.2%
13 Administration	76,030	840,647	(764,617)	-91.0%	76,030	840,647	(764,617)	-91.0%
14 Chief Financial Officer	225,858	306,966	(81,109)	-26.4%	225,858	306,966	(81,109)	-26.4%
15 Continuous Improvement	3,366	11,963	(8,597)	-71.9%	3,366	11,963	(8,597)	-71.9%
16 Allocation for Overhead	(241,044)	(302,705)	61,661	-20.4%	(241,044)	(302,705)	61,661	-20.4%
17 Non-Cash Operating Expenses	1,736,297	1,564,167	172,130	11.0%	1,736,297	1,564,167	172,130	11.0%
18 Total operating expenses	3,442,690	5,025,012	(1,582,322)	-31.5%	3,442,690	5,025,012	(1,582,322)	-31.5%
19 Operating income (loss)	(3,440,884)	(5,025,012)	1,584,128	-31.5%	(3,440,884)	(5,025,012)	1,584,128	-31.5%
<b>Non-operating revenues (expense):</b>								
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%
21 Three-mill tax	48,866	1,235,828	(1,186,962)	-96.0%	48,866	1,235,828	(1,186,962)	-96.0%
22 Six-mill tax	448,786	1,305,101	(856,315)	-65.6%	448,786	1,305,101	(856,315)	-65.6%
23 Nine-mill tax	672,700	1,956,266	(1,283,566)	-65.6%	672,700	1,956,266	(1,283,566)	-65.6%
24 Interest income	-	30,500	(30,500)	-100.0%	-	30,500	(30,500)	-100.0%
25 Other Income	-	81,583	(81,583)	-100.0%	-	81,583	(81,583)	-100.0%
26 Interest expense	-	-	-	0.0%	-	-	-	0.0%
27 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%
28 Total non-operating revenues	1,170,351	4,609,278	(3,438,927)	-74.6%	1,170,351	4,609,278	(3,438,927)	-74.6%
29 Income before capital contributions	(2,270,533)	(415,734)	(1,854,799)	446.2%	(2,270,533)	(415,734)	(1,854,799)	446.2%
30 Capital contributions	7,488	-	7,488	0.0%	7,488	-	7,488	0.0%
31 Change in net position	(2,263,045)	(415,734)	(1,847,311)	444.3%	(2,263,045)	(415,734)	(1,847,311)	444.3%
32 Net position, beginning of year					1,000,776,299	918,396,632	82,379,667	9.0%
33 Net position, end of year					998,513,254	917,980,898	80,532,356	8.8%

# Sewerage and Water Board of New Orleans

## Tracking Tool for Commitments to City Council

### March 2017

Topic	Status Key			Status	Next Steps	Strategic Plan Reference
	On Target	Not Started	Delayed			
Commitment	Target Date					
I. Governance Practices	A. Reduce the length of Board member terms and limiting the number of terms.	October 2013		Completed June 17, 2013. Senate Bill No. 47 reduced the term lengths from 9 to 4 years and limiting members to serving two consecutive terms.	None.	Strategy IV Tactics I.1 and I.2
	B. Establish requisite qualifications for Board members.	October 2013		Completed June 17, 2013. Senate Bill No. 47 requires experience in architecture, environmental quality, finance, accounting, business administration, engineering, law, public health, urban planning, facilities management, public administration, science, construction, business management, consumer or community advocacy, or other pertinent disciplines, with two of the appointments as consumer advocates with community advocacy or consumer protection experience or experience in a related field.	None.	Strategy IV Tactic I.3
	C. Reduce the number of Board members.	October 2013		Completed June 17, 2013. Senate Bill No. 47 reduced the size of the Board from 13 to 11 members.	None.	Strategy IV Tactic I.4
	D. Review function and responsibilities of Board committees.	Not determined.		Completed August 19, 2015. Board of Directors revised Bylaws based upon recommended best practices contained in New Orleans Office of Inspector General Guide for Boards, Commissions, and Public Benefit Corporations.	None	Strategy IV Tactic I.5
	E. Appoint Board members from recommendations submitted by university presidents.	October 2013 original May 2014 revised		Completed May 22, 2014. New board members appointed.	None.	Strategy IV Tactic I.6
	F. Establish dedicated independent oversight of Sewerage and Water Board determined by the City Council.	Not determined.		Completed May 30, 2013. Staff presents to Public Works Committee of City Council as scheduled on identified questions and concerns.	None.	Strategy IV Tactic M

# Sewerage and Water Board of New Orleans Tracking Tool for Commitments to City Council March 2017

Topic	Status Key			Strategic Plan Reference	
	On Target	Not Started	Delayed		
II. Customer Service Improvements	Commitment	Target Date	Status	Next Steps	
	A. Acquire and implement Advanced Metering Infrastructure. Replace existing mechanical meters with new electronic meters and an automated meter reading system that will provide more accurate readings, enhanced leak detection on customer lines, and improved account monitoring. The new meters will be installed for the residential and small commercial customer base.	December 2016 <i>December 2018 revised</i>	On target. Pilot demonstration of leak detection and automated shutoff capabilities underway. Readiness Assessment will be performed to determine next steps. Project will be fully initiated following completion of readiness assessment.	Continue replacement of existing manual-read meters with electronic-read meters. Develop a preliminary implementation plan and issue a request for proposals for change-out of residential and small commercial meters and installation of automated meter reading capabilities. Confirm targeted completion date following readiness assessment.	Strategy III Tactic B
	B. Open Additional Customer Service Center to provide convenient access to full service capabilities for customers without travelling to the downtown location.	December 2013 original <i>On hold</i>	Delayed pending establishment of Billing Review Contact Center staff that will rotate during the month to locations throughout the community. Funding for Billing Review Contact Center staff included in 2017 Budget.	Hire staff and identify locations and schedules.	Strategy III Tactic H
	C. Replace existing billing application with new software that includes online customer account management capabilities.	January 2015 original <i>October 2016 revised</i>	On target. Customer Service Management System from Cogswale Corporation implementation went live on October 24, 2016.	None.	Strategy III Tactic C
	D. Replace existing work order application with new software that includes online work order tracking and appointment scheduling capabilities.	December 2017	Completed March 6, 2017 for service orders related to customer accounts. Delayed for work orders related to buried infrastructure.	Next steps to be determined as part of the development of an Information Technology Strategic Plan. Confirm targeted completion date following ITSP development.	Strategy III Tactic D and E Strategy IV Tactic D
III. Service Assurance Program	E. Improve efficiency and reliability of Customer Service processes. Reduce the volume of calls by increasing perceived accuracy of bills. Ensure meter reading and billing edits are worked diligently. Improve the customer experience when questioning a bill and resolve more issues during the first call. Provide more effective appeals process.	Ongoing	Previous Customer Service Improvement Plan completed October 31, 2014. New Customer Service Improvement Plan adopted June 17, 2015. Customer service metrics reported monthly to Finance / Administration Committee and Quality of Life Stat meetings.	None.	Strategy III Tactics A, F, and G
	A. Provide additional funding for bill payment assistance through the Water Help program.	January 2013	Completed January 31, 2013. Funding for bill payment assistance through the Water Help program increased from \$60,000 to \$240,000. Process with Total Community Action was streamlined.	None.	Strategy III Tactic I 1





**Sewerage and Water Board of New Orleans**  
**Tracking Tool for Commitments to City Council**  
**March 2017**

Topic	Status Key			Strategic Plan Reference		
	Commitment	Target Date	Status	Next Steps		
IV. Operational Reforms	B. Expand Water Help program to provide assistance with plumbing repairs.	June 2013 original <i>On hold</i>	Original initiative completed March 31, 2014. Program provides up to \$250 for plumbing repairs on the customer's portion of the service line. However, this program was not successful in providing effective support to low-income elderly and handicapped customers for their plumbing repairs.	Evaluate program to focus support onto replacement of lead service lines.	Strategy III Tactic I.2	
	C. Pursue legislative change to allow adjustments for water lost through customer leaks.	March 2013 original <i>March 2016 revised</i>	Completed March 16, 2016. R.S. 33:4071(F) authorized Sewerage and Water Board to adopt rules and procedures to adjust water bills. Adjustment policy developed and adopted.	None.	Strategy III Tactic I.3	
	D. Evaluate waiver of service charges based on means testing for qualifying low-income elderly and disabled customers.	June 2013	Completed July 17, 2013. Staff recommended that the Board not adopt a waiver of these service charges based on means testing. Recommendations accepted by Board of Directors.	None.	Strategy III Tactic I.4	
	E. Evaluate reduction in late payment fee, disconnect fee, returned check fee, and deposits.	March 2013 original <i>June 2013 revised</i>	Completed July 17, 2013. Because of the significant revenue loss associated with a reduction in late payment fees and disconnect fees, staff recommended that consideration of changes to these fees be deferred until after the first full year of revenues have been received from the new rates in order to ensure that the new rates in order to ensure that revenues from the new rates are sufficient to allow for this offsetting reduction in fees while still accomplishing other financial objectives. Revenues from the new rates have not been sufficient to allow reduction in fees.	None.	Strategy III Tactic I.4	
	A. Improve operations through performance measures, improved framework, and follow-up reviews to reduce future rate increases.	December 2017	On target. Training program developed and underway for frontline employees. Performance measures reviewed and developed. Significant savings from improved procurement of goods and services.	Process documentation, analysis, and improvement objectives combined with cost reduction are included in several senior management goals. Document and report improvement results.	Strategy II Tactic D Strategy IV Tactics B and H Strategy IV Tactic M	

# Sewerage and Water Board of New Orleans

## Tracking Tool for Commitments to City Council

### March 2017

Topic	Status Key			Strategic Plan Reference		
	 On Target	 Not Started	 Delayed	 Needs Attention		
Commitment	Target Date	Status	Next Steps			
B. Reduce free water and sewer service provided to municipal accounts by fifty percent from a baseline of 2010 usage.	December 2017	On target. Quantity of free service reduced from 2010 to 2015 by 22.0%. School system billing piloted for consumption beginning July 2013. No changes to related laws were initiated for 2015 Louisiana legislative session.	Continue work with property administrators at municipal facilities to identify opportunities for reduced consumption. Coordinate with revenue-producing agencies to pursue legislative relief from burdensome requirements for free service.			Strategy II Tactic F
C. Improve coordination between Sewerage and Water Board and Department of Public Works.	Not determined.	On target. A joint team of Sewerage and Water Board engineers and Department of Public Works engineers work together in coordination of planning and construction for the FEMA Recovery Roads program.	Determine feasibility of performing street drainage maintenance work on a fee-for-service basis, subject to identification of funding requirements, establishment of a funding stream, and gaining necessary legislative authorizations.			Strategy I Tactics A.1, B.1, and C.4
D. Improve ratepayer collections.	Not determined.	Completed December 31, 2013. Plans to improve collections have recently focused on ensuring close compliance with schedules for non-payment turn-offs. The amount written off as uncollectable has reduced from 10.23% in 2010 to 1.47% in 2015.	None.			Strategy IV Tactic G
E. Develop a long-term staff succession and training program.	Not determined.	On target. A partnership between Delgado Community College, the Sewerage and Water Board of New Orleans and the JOB1 Business and Career Solutions Center has launched a worker training program aimed at increasing the pool of certified water and wastewater treatment personnel to meet the anticipated demand for workers to operate the systems. Delgado has applied to become a certification testing site.	In conjunction with the City's JOB1 program and Sewerage and Water Board, Delgado Community College will develop training to increase the pool of certified personnel to meet the needs of the capital improvement program. Knowledge management and succession planning objectives have been added to several senior management goals.			Strategy V Tactic G
F. Perform annual water audit to measure progress and critical needs.	Ongoing.	Completed September 8, 2015. Water Audits have been performed for 2008 through 2014.	None.			Strategy IV Tactic K
G. Enhance long range planning by developing a Facilities Plan for 2015-2035.	December 2014	Completed August 4, 2015. Recommendations incorporated into 2016-2026 Capital Improvement Plan.	None.			Strategy I Tactic F.1

# Sewerage and Water Board of New Orleans Tracking Tool for Commitments to City Council March 2017

Topic	Status Key	On Target	Not Started	Delayed	Needs Attention	Strategic Plan Reference
	Commitment	Target Date	Status	Next Steps		
H. Develop new sources of funding other than water and sewer rate increases.		Ongoing.	On target. New revenue stream established for handling wastewater from mobile containers, such as portable toilets and shipping containers.	Analyze opportunities for providing wholesale water service over long distances.		Strategy II Tactic I
	Repay funds owed to Department of Public Works.	December 2016	Completed December 31, 2016.	None.		Strategy II Tactic E
V. Economic Opportunities	A. Create economic opportunities consistent with City of New Orleans programs for participation by economically disadvantaged and local business enterprises.	Not determined.	On target. For contracts with DBE participation 2016: Goods and Services \$138,840 or 28%, Construction \$13,116,847 or 19%, and Professional Services \$1,225,000 or 35%.	Sewerage and Water Board will continue to create economic opportunities for participation by economically disadvantaged and local business enterprises through Construction Review Committee and Staff Contract Review Committee recommendations and DBE vendor support and training.		Strategy IV Tactics F and L
	A. Water System Improvements Replacement and rehabilitation of water purification plant facilities. Replacement and rehabilitation of water pumping facilities. Replacement of water system transmission and distribution mains. \$277,000,000	December 2020	On target. 2017 Capital Budget fully funded. Progress on capital projects reported to Board of Directors.	Continue execution of capital improvement program.		Strategy I Tactic A.1 through A.5
	B. Replacement and rehabilitation of sewer system collection pipes required by Federal Consent Decree. \$314,000,000	December 2020	On target. 2017 Capital Budget fully funded. Progress on capital projects reported to Board of Directors.	Continue execution of capital improvement program.		Strategy I Tactic B.1 through B.3
VII. WaterStat Reporting and City Council Oversight	A. Establish performance measures and targets as well as reporting methodology.	March 2013	Completed March 31, 2013. Measurements framework adopted, initial measurements identified, and measurements training delivered to senior management. Collection of performance data in progress. Additional graphs created.	None.		Strategy IV Tactics A and B

**Sewerage and Water Board of New Orleans**  
**Tracking Tool for Commitments to City Council**  
**March 2017**

**Topic**

**Status Key**

 On Target

 Not Started

 Delayed

 Needs Attention

**Commitment**

**Target Date**

**Status**

**Next Steps**

**Strategic Plan Reference**

B. Implement a systematic approach to process documentation, analysis, and improvement.

June 2013

Completed April 1, 2014. Training program developed and contract for training delivery awarded. Departmental training plans developed in March 2014 and business skills training began in April 2014. Improvement initiatives identified by training participants.

None.

Strategy II Tactic D  
Strategy IV Tactic H

C. Perform follow-up reviews to document results and efficiencies achieved.

January 2014 original  
December 2014 revised

Completed September 18, 2015. Louisiana R.S. 33:4091 Reports of Board issued to City Council.

None.

Strategy IV Tactic B

D. Provide maps showing maintenance work completed, capital projects completed, and planned capital improvements.

January 2013 and Ongoing

Completed January 1, 2013 for printed maps. Online Tool to Track Road Construction Across New Orleans released on March 10, 2015.

None.

Strategy IV Tactic M

E. Document FEMA receipts and uses of funds.

January 2013 and Ongoing

Completed January 1, 2013. Summary of FEMA receipts and uses of funds is provided to Board committees each month.

None.

Strategy IV Tactic M

F. Initiate annual meetings with citizens of each council district to regularly report on organizational performance results.

May 2014 original  
December 2014 revised

Completed December 2014. Sewerage and Water Board staff regularly attend meetings in each council district upon request.

None.

Strategy IV Tactic M

G. Provided written updates to the Clerk of the City Council.

Quarterly and As Requested.

Completed May 30, 2013.

None.

Strategy IV Tactic M

Statistics	
Completed	22
On Target	10
Not Started	0
Delayed	3
Needs Attention	0
<b>Total</b>	<b>35</b>

# SEWERAGE AND WATER BOARD OF NEW ORLEANS



2016 Work Plan Results

# Sewerage and Water Board of New Orleans

## 2016 WORK PLAN RESULTS

Below is a summary of the accomplishments of the Executive Management of the Sewerage and Water Board of New Orleans as defined by the 2016 Work Plan.

### EXECUTIVE DIRECTOR

Initiatives/ Milestone	Start Date	Status/Completion Date	Comments
1 Obtain delegated hiring authority from Civil Service Commission for S&WB specific positions	1/1/2016	Completed 12/31/2016	None.
2 Obtain passage of drainage property tax renewal	1/1/2016	Completed 12/31/2016	None.
3 Increase Executive Director contracting authority to up to \$1,000,000	1/1/2016	Completed 12/31/2016	None.
4 Reorganize staff to add Intergovernmental Manager and Investment Manager	1/1/2016	Completed 12/31/2016	None.
5 Implement Joint Infrastructure Recovery Program	1/1/2016	Completed 12/31/2016	None.

## COMMUNICATION

Initiatives/ Milestone	Start Date	Status/Completion Date	Comments
<b>1</b> Create and Implement external and internal communications strategy	1/1/2016	Completed	Added maps to releases. Changed language to make them more positive regarding work being done as service to the community. Send out internal notices for "job well done" and emergency alerts (recent tornado) to S&WB employees.
<b>2</b> Develop and implement a branding strategy and education program	1/1/2016	Completed	Using "McDonald's Marketing Strategy" teaching kids to treat the environment right so they will, in turn, teach their parents.
<b>3</b> Create Government Access Programming	1/1/2016	In progress	Produced a list of FAQs about S&WB. Produced first of 10 videos on public misperception about Networks crews.
<b>4</b> Direct the activities of Public Information, Media Relations, Inter-Governmental Relations, Community Relations, and Education Outreach	1/1/2016	Completed.	Drainage Millage Plan - attended numerous neighborhood meetings - UNO produced video for Social Media - Developed handouts with FAQs and distributed them strategically.

**CONTINUOUS IMPROVEMENT**

<b>Initiatives/ Milestone</b>	<b>Start Date</b>	<b>Status/Completion Date</b>	<b>Comments</b>
<b>1 Enhance SWBNO's business performance</b>	<b>1/1/2016</b>	<b>Completed 12/31/2016</b>	<b>Overtime Analytics.</b>
<b>2 Enhance employee capabilities</b>	<b>2/1/2016</b>	<b>Completed 12/31/2016</b>	<b>Review of Networks Organization.</b>
<b>3 The Sewerage and Water Board will adhere to standardized processes and procedures</b>	<b>1/1/2016</b>	<b>Completed 12/31/2016</b>	<b>Polices – Development and Consolidation</b>

**DEPUTY GENERAL SUPERINTENDENT**

Initiatives/ Milestone	Start Date	Status/Completion Date	Comments
<b>1</b> Create Sustainable Organization	1/1/2016	In progress	<ul style="list-style-type: none"> <li>• Advance design development of CWP Entergy Substation</li> <li>• Power Plant Program Advancement</li> <li>• Water Hammer Program</li> </ul>
<b>2</b> Capital Program Management and Implementation	1/1/2016	In progress	<ul style="list-style-type: none"> <li>• CWP West Side Sedimentation Basin Demolition (Advance project to CWP Entergy Substation)</li> <li>• CWP Head House Re-Purposing – Critical Services Facility Center</li> <li>• Sycamore Filter Gallery New Backwash Pumps</li> <li>• Sycamore Filter Gallery Structural Rehabilitation; SELA Program Drainage Improvements</li> </ul>
<b>3</b> FEMA Restoration/Grant Program Execution/DPW Settlement Program Management	1/1/2016	Ongoing	<ul style="list-style-type: none"> <li>• Identified and began establishment of an integrated Project Delivery Unit and Project Management Team within SWB jointly with CNO DPW CIP Delivery Team – Completed in 2016.</li> <li>• Hiring Unclassified Positions Within PDU and PMT</li> <li>• Initial activity focused upon coordinated implementation of SWB WRL, SSERP, and ESSA programs within street projects</li> </ul>

Initiatives/ Milestone	Start Date	Status/Completion Date	Comments
			<ul style="list-style-type: none"> <li>Expand delivery and management team responsibility to include all SWB Capital Program</li> </ul>
4 Expand Resilient Design/Green Infrastructure Initiative	1/1/2016	Ongoing	<ul style="list-style-type: none"> <li>Identified Storm Water Manage Team Structure and began screening of candidate projects for consideration within a City-Wide Storm Water Retention Program</li> <li>Ripple Effect – Water Literacy Project</li> <li>Central City Bio-retention Cell</li> <li>Hollygrove Greenline</li> <li>SWB HQ Green Roof</li> <li>Aurora Rain Gardens</li> <li>Storm Water Tool Kit</li> <li>Adaptation Support Tool</li> <li>Climate Smart Cities Tool</li> <li>Soil Sampling/Analysis</li> <li>GI Program funding balance reserved for more significant initiative(s)</li> </ul>
5 Create more efficient work/enhanced work space at CWP	1/1/2016	Ongoing	<ul style="list-style-type: none"> <li>Surveyed and identified candidate CWP workspace for CNO/SWB FEMA Grant Management/Implementation Team – Completed Q4 2016</li> <li>Relocated certain activities within CWP and ordered modular office furniture to allow PDU and PMT activities to be housed at CWP.</li> </ul>

Initiatives/ Milestone	Start Date	Status/Completion Date	Comments
			<ul style="list-style-type: none"> <li>• Moving electrical storage to new facility within Maintenance Campus to create space for CNO DPW Delivery Unit</li> <li>• Delivered RFQ for Interior Planning and Design to Facilitate Buildouts at CWP – 2016.</li> </ul>
<b>6</b> Develop GIS management system and file storage database compatible with SWBNO file management systems	1/1/2016	Ongoing	<ul style="list-style-type: none"> <li>• Identified GIS organization staffing plan and currently working with CFO and PDU Manager toward identifying a lead GIS manager hire to lead GIS Team development</li> <li>• File Imaging and Storage RFQ has been drafted and submitted to SCRC and Purchasing for comment</li> </ul>
<b>7</b> Develop Energy Reduction Strategy/Identify Carbon Footprint	1/1/2016	Ongoing	<ul style="list-style-type: none"> <li>• 2014 base year Carbon Footprint parallel to CNO CF activities – Completed in 2016.</li> <li>• Clean Fleet Initiative started in EA and expanded to capture interest of SS/Logistics in 2016</li> <li>• Community and Government GHG Reports – Completed in Q4 2016.</li> <li>• Climate Action Plan development– Joint SWB/CNO activity</li> </ul>

	Initiatives/ Milestone	Start Date	Status/Completion Date	Comments
8	Tropical emergency response preparation	1/1/2016	In Progress	This focuses upon the Re-Purposing of the CWP Head House into a Critical Services Facility Center.
9	GP2W Issues	1/1/2016	Ongoing	<ul style="list-style-type: none"> <li>• Increased staff access to training and seminar opportunities</li> <li>• Expanded consultant presentations toward PDH accruals as well as continued traditional opportunities for PDH accruals</li> <li>• Identified Janitorial deficiencies at CWP and coordinated with SS/Logistics to replace janitorial contractor</li> <li>• Head House Re-Purposing amenities</li> <li>• New modular furniture and work spaces at numerous locations throughout CWP. Additional space to be made available soon.</li> </ul>

## FINANCIAL ADMINISTRATION

	Initiatives/ Milestone	Start Date	Status/Completion Date	Comments
1	Implement Customer Service System	1/1/2016	Completed 10/24/2016	None.
2	Implement Human Resources / Payroll System.	1/1/2016	Delayed	Go-Live deferred until May 2017.
3	Update Drainage Financial Plan.	1/1/2016	Completed 12/21/2016	None.
4	Extend Drainage Millage.	1/1/2016	Completed 12/10/2016	None.
5	Update Ten-Year Financial Plan.	1/1/2016	Completed 12/21/2016	None.
6	Improve Budget Monitoring and Financial Forecasting Capabilities.	1/1/2016	Completed 12/21/2016	None.
7	Develop Asset Management Framework.	1/1/2016	Delayed	Deferred to 2017.
8	Initiate Implementation of Stormwater Billing.	1/1/2016	Completed 10/24/2016	None.
9	Develop Information Technology Strategic Plan.	1/1/2016	Delayed	Deferred to 2017.
10	Finalize Finance Organization Structure.	1/1/2016	Delayed	Pending results of Classification / Compensation Study.
11	Finalize Information Systems Organization Structure.	1/1/2016	Delayed	Pending results of Classification / Compensation Study.
12	Finalize Customer Service Organization Structure.	1/1/2016	Delayed	Pending results of Classification / Compensation Study.

Initiatives/ Milestone	Start Date	Status/Completion Date	Comments
<b>13</b> Finalize Project Delivery Organization Structure.	1/1/2016	Completed 10/31/2016	None.
<b>14</b> Re-bid Information Systems Staffing Contract.	1/1/2016	Delayed	Deferred to 2017.
<b>15</b> Update Enterprise Strategic Plan 2016-2025	1/1/2016	Delayed	Deferred to 2017.
<b>16</b> Develop Automated Metering Implementation Plan.	1/1/2016	Delayed	Deferred to 2017.
<b>17</b> Update Knowledge Management / Succession Plan Documents.	1/1/2016	12/31/2016	Transferred to Deputy Director / Administration.
<b>18</b> Develop Internal Control Checklists.	1/1/2016	Delayed	Deferred to 2017.
<b>19</b> Produce Annual Report and CAFR.	1/1/2016	Completed 6/30/2016	None.
<b>20</b> Update Report on Operations.	1/1/2016	Completed 10/19/2016	None.
<b>21</b> Reformat Financial Statements to Report Expenses by Departments.	1/1/2016	Completed 3/31/2016	None.
<b>22</b> Reconcile Fixed Assets to General Ledger.	1/1/2016	Completed 6/30/2016	None.
<b>23</b> Develop Five Year Audit Plan.	1/1/2016	12/31/2016	Transferred to Executive Director.
<b>24</b> Develop Enterprise Risk Management Methodology.	1/1/2016	Delayed	Deferred to 2017.
<b>25</b> Collect School System Bills.	1/1/2016	Delayed	Deferred to 2017.
<b>26</b> Produce Monthly Financial Statements.	1/1/2016	Completed	None.

Initiatives/ Milestone		Start Date	Status/Completion Date	Comments
			12/31/2016	
<b>27</b>	Review monthly budget performance with Deputy Directors and Department Managers.	1/1/2016	Completed 12/31/2016	None.
<b>28</b>	Execute Customer Service Improvement Plan.	1/1/2016	Delayed	Deferred to 2017.
<b>29</b>	Develop Business Development Plan.	1/1/2016	Completed 12/31/2016	Deferred to 2017.
<b>30</b>	Monitor turnoffs for Delinquent Sanitation Fees.	1/1/2016	Completed 10/24/2016	None.
<b>31</b>	Refine Plumber Help Program.	1/1/2016	Delayed	Deferred to future year.
<b>32</b>	Develop Leak Adjustment Policy.	1/1/2016	Completed 3/16/2016	None.

**GENERAL SUPERINTENDENT**

Initiatives/ Milestone	Start Date	Status/Completion Date	Comments
<b>1</b> Power plant resiliency	1/1/2016	Ongoing	<ul style="list-style-type: none"> <li>• We received a report from our consultant about developing our plan for construction of new 60 cycle dedicated substation.</li> <li>• \$33 million water towers project is in construction.</li> <li>• \$20 million for Turbine 4 repairs is nearing completion.</li> <li>• Projects for the repair of Turbine 3 and 5 are finalizing.</li> <li>• Repairs of boilers are nearing completion.</li> <li>• New Orleans east water tower repairs have been bid and will be beginning construction soon.</li> </ul>
<b>2</b> SELA	1/1/2016	Ongoing	<ul style="list-style-type: none"> <li>• Nearly half a billion in Uptown drainage expansion construction projects are nearing completion.</li> <li>• Two projects on Napoleon and two on Claiborne are nearing completion.</li> <li>• Two projects on Jefferson will be complete this year and a project on Louisiana will be complete in 2019.</li> <li>• Drainage expansion in</li> </ul>

Initiatives/ Milestone	Start Date	Status/Completion Date	Comments
			<p>the Florida Ave corridor is proceeding.</p> <ul style="list-style-type: none"> <li>SELA has dramatically reduced flooding for Orleans and Jefferson residents.</li> <li>We will soon begin our 30 year payback.</li> </ul>
3 Consent decree	1/1/2016	Ongoing	<ul style="list-style-type: none"> <li>Preventive maintenance work by SWB staff continues to meet all requirements of decree.</li> <li>Corrective maintenance work is ahead of schedule and will be completed before the 2025 deadline.</li> </ul>
4 Interagency coordination	1/1/2016	Ongoing	<ul style="list-style-type: none"> <li>SWB staff and DWP are working closely to coordinate the millions of sewer repairs with the City's JIR program to ensure maximum investment and minimize inconvenience.</li> <li>Staff has also worked closely in a leadership role with New Orleans Area Utility Coordination Committee.</li> </ul>
5 OT Reduction	1/1/2016	Ongoing	<p>We have dramatically reduced overtime. In 2016, overtime was reduced by over 50,000 hours from previous and we are on pace to reduce by another 50,000 hours in 2017.</p>

	Initiatives/ Milestone	Start Date	Status/Completion Date	Comments
6	Preventive maintenance tasks	1/1/2016	Completed	In 2016, we completed over 5,100 preventive maintenance tasks on schedule in order to comply with the negotiated consent decree. In addition, we inspected 9,930 sewer manholes, cleaned nearly 1.3 million feet of sewer pipe, inspected 943,000 feet of sewer main, inspected 1,915 water valves and inspected 6,303 hydrants.
7	Property Insurance Association of Louisiana (PIAL)	1/1/2016	In progress	<ul style="list-style-type: none"> <li>PIAL will soon be evaluating our water systems ability to support firefighting efforts. This PIAL effort will be used to determine homeowners insurance ratings for everyone in New Orleans.</li> <li>Staff is inspecting our facilities and learning the new PIAL testing methods in coordination with New Orleans Fire Department.</li> </ul>
8	Capital Budget	1/1/2016	In Progress	Working to spend the money in our sewer, water and drainage capital programs to increase systems reliability.
9	MACT 129 compliance	1/1/2016	In progress	EPA revised air compliance requirements and New Orleans was scheduled for compliance by March 21, 2017. Staff worked through the 2016 calendar

Initiatives/ Milestone	Start Date	Status/Completion Date	Comments
			year to assure that we would be able to meet the deadline.
<b>10 FEMA-Sewer</b>	1/1/2016	Ongoing	<ul style="list-style-type: none"> <li>• Work continued on FEMA funded repairs to several sewer pumping stations.</li> <li>• Work was completed at Bullard, Lake Forest, 6 and Lawrence in 2016.</li> <li>• Construction will begin at SPS 8 in 2017, which is the last sewer pumping station within the FEMA funded sewer program.</li> </ul>
<b>11 FEMA-Water</b>	1/1/2016	Ongoing	<ul style="list-style-type: none"> <li>• In 2016, work continued on the FEMA funded repairs to facilities located at our Central Yard facility and at the Carrollton Water Plant.</li> <li>• At Central Yard, work continues with the major repairs at Garage 1 and at Garage 2, as well as to the electrical work that will support those facilities.</li> <li>• At the Carrollton Water plant, major work continues on Turbine 4, Water pumps, and Boilers.</li> </ul>
<b>12 FEMA-Power</b>	1/1/2016	Ongoing	<ul style="list-style-type: none"> <li>• In 2016, SWB staff worked on \$150 million HMGP for power plant</li> <li>• Ongoing construction work includes repairs to Turbine 4, Replacement</li> </ul>

Initiatives/ Milestone	Start Date	Status/Completion Date	Comments
			<p>of 25 cycle feeders, installation of new 60 HZ feeders, construction of 20 MW load bank, emergency fuel storage, and structural repairs to the power plant buildings.</p> <ul style="list-style-type: none"> <li>• 2017 will see start of construction on Oak Street Intake Station and refurbishment and instrumentation for Boiler Room. Future contracts will also include refurbishment of Turbine 3 and Turbine 5.</li> </ul>
<b>13</b> Delgado Training Program	1/1/2016	Ongoing	<p>Partnering with Delgado for workforce development has led to two classes of graduates in 2016. Future efforts with Delgado will include development of skilled labor and staff training and certification programs.</p>
<b>14</b> Deferred Maintenance-Plants and Stations	1/1/2016	Delayed	<ul style="list-style-type: none"> <li>• Spending available SWB funds on facilities that have not been properly maintained in prior years for budget reasons.</li> <li>• Addressing the deferred maintenance has supported the FEMA funded programs that have active construction.</li> </ul>

Initiatives/ Milestone	Start Date	Status/Completion Date	Comments
<b>15</b> Pipe Repair Backlog	1/1/2016	Delayed	The efforts to reduce the backlog and minimize inconvenience to customers by reducing wait time for repairs were a primary goal in 2016. However, reducing the overtime hours became a priority in the fall of 2016 and the backlog has grown significantly instead of being reduced.
<b>16</b> FEMA-Recovery roads	1/1/2016	Ongoing	<ul style="list-style-type: none"> <li>Coordinating with SWB designs with City of New Orleans designs to ensure \$2.4 billion program is on schedule and on budget while minimizing inconvenience for residents.</li> <li>SWB is at 80% or greater on nearly all SWB portions of projects and is well ahead of schedule.</li> </ul>
<b>17</b> Water Quality Master Plan	1/1/2016	Ongoing	With development of the Master Plan for the East and West bank water plants in 2015, construction began in 2016 and is proceeding. This program will take several years to complete and must be carefully coordinated with active construction projects in FEMA-Water and FEMA-Power.

## HUMAN RESOURCES/ADMINISTRATION

Initiatives/ Milestone	Start Date	Status/Completion Date	Comments
1 Org. Analysis/Class/Comp Study	1/25/2016	12/1/2016	Due June 2017
2 Re-engineering Hiring Process	1/25/2016	6/1/2016	<p>This is an ongoing initiative.</p> <p>Complete:</p> <ul style="list-style-type: none"> <li>• Reviewed/Documented "As Is Process" on 6/30/16</li> <li>• Created/Updated Standard Operating Procedures</li> <li>• Updated several HR processes to improve efficiency</li> <li>• S&amp;WB employees received NEOGOV training</li> <li>• Hired resources</li> <li>• Mapped hiring processes</li> </ul>
3 Standardize Board Communications/Gov ernance Process	1/1/2016	4/30/2016	<p>Complete:</p> <ul style="list-style-type: none"> <li>• Developed mission statement on 2/18/16</li> <li>• Reconfigured office space</li> <li>• Provided Weekly Updates to Board members as of 2/5/16</li> </ul>
4 Develop/Execute A 2016 Training Plan/Schedule	2/1/2016	12/30/2016	<p>Complete:</p> <ul style="list-style-type: none"> <li>• Conducted Needs Assessment during February and March 2016</li> <li>• Distributed Training Calendar to workforce on 4/7/16</li> </ul>

5	Re-engineer EEO/Disciplinary Process	1/25/2016	12/30/2016	<p>This is an ongoing initiative.</p> <p>Complete:</p> <ul style="list-style-type: none"> <li>• Hired Utilities Services Manager for EEO</li> <li>• Conducted annual Customer Service, Sexual Harassment, Safety and Ethics Trainings</li> <li>• Developed Overtime, On Call and Attendance Policies</li> <li>• Created/Updated Standard Operating Procedures</li> </ul>
6	Resolution of Civil Issues	1/25/2016	Ongoing	<p>Granted Delegated Authority 7/1/16</p>

**LEGAL DEPARTMENT**

Initiatives/ Milestone	Start Date	Status/Completion Date	Comments
1 Enable supervisory staff to dedicate less time to employee discipline	1/1/2016	Completed	<ul style="list-style-type: none"> <li>Met with Department heads regarding disciplinary procedures, hiring, an payroll issues and policy issues</li> <li>Met monthly with Civil Service personnel regarding hiring practices, delegation of authority and the new payroll system audit</li> </ul>
2 Cost effectively reduce the settlement judgement list	1/1/2016	Delayed	Due to the uncertainties of the drainage millage renewal, this matter was place on hold. We will renew our efforts in 2017 regarding same, in light of the successful millage renewal.
3 Increase the community awareness of the SELA claims process in an effort to settle claims, thereby reducing litigation	1/1/2016	Completed	<ul style="list-style-type: none"> <li>Coordinated with our community liaison to increase our community outreach</li> <li>Scheduled monthly community outreach meetings with residents, neighborhood groups, and businesses</li> <li>Coordinated with the USACE and City regarding complaints</li> <li>Coordinated with the City Council members of the affected areas regarding out reach</li> <li>Made presentations before the City Council committees</li> <li>Expanded Boots on the Ground outreach to address other areas</li> </ul>

				<ul style="list-style-type: none"> <li>• Worked with Forensic Engineering consultants and the USACE to decrease the processing time regarding Administrative Claims process</li> </ul>
4	Efficient response to public records requests	1/1/2016	Ongoing	<ul style="list-style-type: none"> <li>• Upon receipt of every public records request, contacted the requestor within one (1) day to acknowledge said request</li> <li>• Satisfactorily responded to 95% of the public records requests within three (3) days</li> </ul>
5	Establish a formal Board-wide records retention policy	1/1/2016	Ongoing	<ul style="list-style-type: none"> <li>• Coordinated with Deputy of Administration and Continuing Improvement to designate a Records Administrator on April 3, 2017 and thereafter. Still in process.</li> </ul>

## LOGISTICS

	Initiatives/ Milestone	Start Date	Status/Completion Date	Comments
1	Develop preventive maintenance schedules for facilities and fleet	2/1/2016	On-going	The preventive maintenance schedules for large and small equipment have been established and are currently in effect. Records of all services performed are maintained by EMIS and copies are kept in each piece of equipment space permitting. There are preventive and scheduled maintenance activities for each facility that is performed by either S&WB staff or an outside vendor. Records of all activities are maintained at the location as well as by Support Services.
2	Re-engineer Procurement procedures	2/1/2016	8/1/2016	Procurement is currently working with the Joint Infrastructure Project Delivery Unit to incorporate the Federal procurement guidelines in the re-engineering of the overall S&WB Procurement policies and procedures.
3	Develop supply chain management strategy & implement SLDBE capacity building	3/1/2016	6/1/2016	The S&WB Economically Disadvantaged Business Program is part of the Collaborative (HANO, City of New Orleans, Aviation Board, Harrah's and the Levee Board). The mission of the Collaborative is to assist SLDBE firms through technical assistance by offering courses on Finance, Estimating, Bid response preparation and other courses deemed necessary for capacity building. In addition, the SLDBE firms are regularly made aware of the goods and services that are and will be procured. The S&WB's Procurement Department is currently developing a Procurement Forecast Calendar that will be published on our website. EDBP will also be sending this Forecast calendar to all

				certified SLDBE firms on a regular basis.
4	Develop mandatory safety training in conjunction with Human Resources	2/1/2016	On-going	The operators training division of Support Services works with Human Resources to offer safety training courses for all equipment operators on a continual basis.
5	Develop and implement compliance & monitoring strategies on all contracts	3/1/2016	On-going	EDBP is establishing policies and procedures on how and when to conduct desk and field audits on all contracts issued by the S&WB that have DBE participation goals on them.
6	Develop comprehensive Asset Management Program	3/1/2016	7/1/2016	The Equipment Management Information System (EMIS) division of Support Services is updating its system of management of the rolling stock of the Board. The overall Asset Management Program is a function of the Finance Department.
7	Enhance grass cutting, canal maintenance and graffiti removal	2/1/2016	On-going	We are continuously hiring laborers for the Ground Maintenance division. We currently have one contract for cutting and cleaning 8 canals on the Westbank. We will be putting an RFP out for these services soon. We are a part of the CEA with NOLA for services from the Black Men of Labor, we are developing a work plan for them to assist with canal cutting and cleaning. We will also be developing plans to maintain the various Green Infrastructure projects.