

BOARD OF DIRECTORS' MEETING

APRIL 18, 2018

MEETING MINUTES

SEWERAGE AND WATER BOARD OF NEW ORLEANS

ROLL CALL

The Board of Directors of the Sewerage and Water Board (SWBNO) met on Wednesday, April 18, 2018 at 9:09 AM in the Board Room at 625 St. Joseph Street. The Interim Special Counsel, Ms. Jade Brown-Russell, called the roll and confirmed the following members were present: Director Andrew Amacker, Director Ralph Johnson, Director Eileen Gleason, Director Joseph Peychaud, Director Stacy Horn Koch, Director Lewis Stirling, III, Director Lynes Sloss, Director Robin Barnes, Director Dr. Tamika Duplessis, and Hon. Mitchell Landrieu.

The following member(s) were absent: None

Also present were: Ms. Geneva Coleman, The Hawthorne Agency; Mr. Randy Smith, Royal Engineers; Ms. Rita Legrand, Lakeview resident; Mr. Amer Tufail, GreenPoint Engineering; Ms. Cynthia M. Krizo, Lotus Mudra Therapeutics; Mr. Robert Turner, P.E., I-Team; Ms. Brenda Thorton, Communirep; Ms. Brooke E. Smith, City of New Orleans; Ms. Katie Dignan, PDU; Mr. Joseph Sensebe.

Staff present were: Ms. Marcie Edwards, Interim Executive Director; Mr. Bruce Adams, Interim General Superintendent; Ms. Marina Kahn, CFO; Ms. Sharon Judkins, Deputy Director, Administration; Ms. Valerie Rivers, Deputy Director, Logistics; Ms. Jade Brown-Russell, Interim Special Counsel; Mr. Ronald Doucette, Deputy Director, Security; Ms. Rosita Thomas, Finance Department; Ms. Jacqueline Winston, Ms. Jasmin Lawrence, Board Relations Staff; Ms. Yoland Grinstead, Mary Beth Arceneaux, James Thompson, Legal Dept.; Mr. Dexter Joseph, Budget Dept.; Ms. Jacqueline Shine, Customer Service; Ms. Robert Jackson, Community & Intergovernmental Relations; Mr. Willie Mingo, Purchasing; Ms. Irma Plummer, EDBP; Mr. Eric Labat, Carrollton Water Plant; Mr. David Cappel, Mr. Steve Bass, Networks.

APPROVAL OF PREVIOUS MINUTES

Director Lynes Sloss moved for approval of the Minutes of March 28, 2018. Director Lewis Stirling, III seconded. The motion carried.

THE MAYOR'S FAREWELL ADDRESS

Mayor Landrieu announced that the April 2018 Board of Directors' Meeting is the last meeting that he would be attending. He thanked the members of the Board for their service. For the benefit of the public, the Mayor stated that the Board changes continuously over time. He also thanked the employees of the Sewerage and Water Board along with our partners.

The Mayor stated that the restructuring of the entire city began at the start of his administration in 2010. Some of the highlights are listed below:

- \$511 million in public assistance from FEMA
- \$2.3 billion for a joint roadwork project

- Increased employment and businesses
- 100-year event, August 5, 2017 flood
- 2 new water towers at the Carrollton Water Plant (CWP)
- Installation of new backwash pump station at the CWP to prevent Boil Water Advisories
- Under 5 federal consent decrees in 2010. We have successfully managed through all 5.
- Bond Rating for the Sewerage & Water Board upgraded
- \$2 billion investment in storm protection near completion
- Pumping stations located at the Orleans, London and 17th Street Outfall Canals operated and maintained by the Southeast Louisiana Flood Protection Authority-East
- Drainage millage supplied one-third of the drainage for the City.
- 90% of the people in New Orleans received a reduction in flood insurance premiums. The average policy is \$500.

*Director Stacy Horn Koch entered the meeting.

REPORT OF THE EXECUTIVE DIRECTOR

Interim Executive Director, Ms. Marcie Edwards, presented a PowerPoint presentation on the following:

- Details of 4/14/2018 Rain Event
- Power Generation
- Drainage Pumping Status
- Improvements since Aug. 5
- Manpower
- Emergency Costs

➤ Operations During Rain Event 4/14/2018

- An average of 3.71 inches fell Saturday. The highest: 5.6 inches in New Orleans East.
- Turbines 1 and 5 combined to produce more than 22.5 MW of 25 Hz power at their peak. EMSs, Turbine 6, frequency changers and emergency generators were on standby and available.
- 3 pumps taken out of service, one compromised; affected Pumping Stations kept up with rain
- DPS #1: Pump D (1,000 cfs) – **out of service**
 - ❖ Repairs underway. Est. completion Friday, 4/27
- DPS #12: Pump D (1,000 cfs) – **compromised**
 - ❖ Repairs underway. Estimated completion Friday, 4/20
- DPS #13: Diesel Pumps 4 and 5 (1,000 cfs each) – **out of service**
 - ❖ Damage being assessed

The Interim Executive Director stated that on Saturday, April 14, 2018, the system responded as it should to the rain event that dumped an average of 4 inches of rain on the city over the course of the day. Redundant power sources and backup pumps were available just in case there were any glitches in the system.

➤ 25 Hz Power Assets Schedule

Unit	Status/Expected Return to Service Date	Expected MW
▪ Turbine #6	Available	3.75 MW
▪ Carrollton Freq. Changer (1&2)	Available	8.5 MW

▪ Station D Freq. Changer (1&2)	Available	12 MW
▪ EMDs #2, 3, 4, 5	Available	10 MW
▪ Turbine #5	Available	20 MW
▪ Turbine #1	Available	5 MW
Total	April 18, 2018	59.25 MW
▪ Turbine #3	Testing Underway	+12 MW
Total	April 30, 2018	71.25 MW
▪ Turbine #4	May 28, 2018	+15 MW
Total	June 1, 2018	86.25 MW

The EMDs offer reliable redundancy in case of emergencies or to accommodate occasional maintenance work on other power sources.

➤ Drainage Pumping Status

- **115 of 120 pumps** are fully operational
 - ❖ **DPS #13 lost 2 pumps during 4/14/2018 rain event**
- **4 pumps out;** 1 compromised; repairs underway

DRAINAGE PUMPS UNDER REPAIR

Location	Pump	Capacity (CFS)	Scheduled Completion
DPS #15	#3	250	5/1/18
DPS #13	#4	1,000	TBD
DPS #13	#5	1,000	TBD
DPS #12	D	1,000	4/20/18
DPS #1	D	1,000	4/27/18
Total		4,250 CFS	

DRAINAGE PUMPS REPAIRED

Since Aug. 20, 2017

Location	Pump	Capacity (CFS)	Returned to Service
DPS #1	#2	225	9/14/17
DPS #1	CD #1	60	9/8/17
DPS #5	CD#2L	40	2/26/18
DPS #5	CD#2R	40	2/26/18
DPS #6	CD #2	90	11/6/17
DPS #6	CD #1	90	4/11/18
DPS #6	C	1,000	9/22/17
DPS #6	D	1,000	8/26/17
DPS #6	F	1,100	9/10/17
DPS #6	I	1,100	12/28/17
DPS #7	C	1,000	3/8/18
DPS #11	B	250	10/25/17
DPS #11	D	570	8/20/17

DPS #11	E	570	8/29/17
DPS #15	#2	250	11/12/17
DPS #20	#1	250	10/6/17
DPS Grant	#2	8	3/1/18
DPS Grant	#4	8	3/8/18
Total		7,651 CFS	

Since August 20, 2017, 18 pumps are back in service; however, S&WB must continue to maintain and repair drainage pumps in order to keep its aging equipment running as needed during rain events.

➤ **Improvements since Aug. 5**

- 18 drainage pumps repaired; Turbines 1 and 5 repaired
- The S&WB now has a full list of its drainage assets, compiled through Veolia's after-action work
- Veolia created a 'visibility platform' to watch pumps and power operate in real time
- CH2M oversaw installation of EMDs, which provide a welcome layer of redundant 25 Hz power

Operations now has the capability of monitoring most of the pumps and power generators digitally and in real time while it's raining. The EMDs were not used on Saturday, April 14, 2018; however, they were powered up and ready to go should there have been a need.

➤ **Manpower – SWB Class/Comp Implementation**

- **Phase 1** approved by Civil Service and City Council
 - ❖ Covers Operations, Facilities Maintenance, Engineering
 - ❖ SWB pay plan was effective 11/20/17 covering **338 employees**
- **Phase 2** approved by Civil Service and City Council
 - ❖ Covers Networks, Environmental, Laboratory and Plumbing
 - ❖ SWB pay plan was effective 1/15/18 covering **220 employees**
- **Meter Services Division** approved by Civil Service and City Council
 - ❖ SWB pay plan was effective 3/20/18 covering **100 employees**
- **Revenue Administration** approved by Civil Service 4/16/18
 - ❖ SWB pay plan would cover **102 employees**
- The remaining S&WB classifications are under review by Civil Service as the City of New Orleans begins its class/comp implementation.

The Interim Director pointed out that Sewerage and Water Board employees are not being compensated at market levels. As a result, S&WB went with a Class/Comp Study and is in the process of trying to reset salaries upward, particularly for the technical classifications. It's crucial that we are able to attract and retain. She further stated that we are no stronger than our workforce and our ability to invest in our infrastructure. Those two things, the Interim Director added, are key to the continued re-establishment/reinvention of the S&WB.

The recruiting firm of Ralph Andersen has been hired and is doing a national recruitment for the Executive Director. This process usually takes 90-120 days.

COMBINED EMERGENCY COSTS

Category	Amount Contract Board Approved	Amount Change Orders Approved	Total Cost
Power	\$34,523,210	\$22,332,417	\$56,855,627
Water Pumps	\$286,028	\$ 0	\$286,028
Drainage Pumps	\$5,658,906	\$1,418,984	\$7,077,890
Manpower	\$10,816,667	\$8,256,811	\$19,073,478
Combined	\$51,284,811	\$32,008,212	\$83,293,023

Approximately \$83.3 million has been committed thus far for all declared emergencies related to stabilizing S&WB's power generation, drainage pumps, water pumps and manpower. Also included in that figure is \$3.9 million for costs related to stabilizing the water distribution system after the Hard Freeze of January 2018.

The Mayor's final comments emphasized the fact that the lack of investment in infrastructure has caused the existing problems. Veolia determined that the system has been underfunded for decades. The system is in better shape, however, than it has been in the history of our city. The Mayor thanked the I-Team for providing leadership in dealing with the challenges that we have faced since the flood of August 5, 2017. For the past eight years, his administration has attempted to reconstruct everything that is fundamental in the City. Mayor Landrieu stated that, in his opinion, millage for drainage should take priority for the City.

Mayor-elect Cantrell was asked to appoint some people to the technical advisory team. A group of people have been asked to examine 4-5 different ways of going forward and to collaborate in order to determine the best way to go forward for power generation so that we can continue to build a stronger and resilient New Orleans that lives with water. He also feels that the system is manpower-heavy and that technology-heavy is the way to go. The Mayor suggested creating water retention ponds that would alleviate problems with water not having somewhere to go. He recommended that the organization have fewer change orders. Mayor Landrieu asked that everyone receive a copy of the Executive Director's presentation.

At the close of her presentation, the Interim Executive Director thanked the Mayor for his leadership and presented him with a proclamation from the Sewerage and Water Board of New Orleans.

Finance & Administration Committee

Director Joseph Peychaud reported on the action taken by the Finance and Administration Committee on April 11, 2018. The Finance and Administration Committee approved all action items. Ms. Marina Kahn presented the Preliminary December 2017 Financial Statements. Several information items were received. There being no further business to discuss, the meeting adjourned at approximately 11:54 AM.

Director Eileen Gleason moved to accept the report of the Finance and Administration Committee. Director Dr. Tamika Duplessis seconded. The motion carried.

Pension Committee

Director Joseph Peychaud reported on the actions taken by the Pension Committee on April 11, 2018. There was only one action item – Resolution Assigning Contract to FFC Advisors of Raymond Jones. There were two presentations given – the Chief Investment Officer's Presentation creating a professional services policy for the Employees' Retirement System and the Callan LLC Asset-Liability Study Kick-Off. The information

items were received.

Director Ralph Johnson moved to accept the report of the Pension Committee. Director Lynes Sloss seconded. The motion carried.

CORRESPONDING RESOLUTIONS

The following resolutions were approved at the April 2018 Finance and Administration Committee Meeting:

R-013-2018 – Ratification of Change Order #2 and Final Acceptance and Closeout for Contract No. 30016, Restoration of Existing Gravity Flow Sanitary Sewers by Excavation and Replacement from Manhole to Manhole, CIPP Lining from Manhole to Manhole, CIPP Lining of Service Laterals and Point Repairs at Various Sites throughout Orleans Parish

R-029-2018 – Contract 5241 – Drainage System Support Anti-Siphon DPS 1 Project

R-041-2018 – Ratification of Change Order No. 1 for Contract 6259 – Installation of Permanent Generators at 5 Drainage Underpass Stations

R-042-2018 – Ratification of Final Acceptance and Close Out of Contract 1381, Purchase and Installation of Compressor, Tank and Dryer in the Sycamore Filter Gallery at the MWPP

R-044-2018 – Contract Amendment No. 2 to the Agreement between the Sewerage and Water Board of New Orleans and Veolia Water North America-South, LLC for Emergency Professional Services Agreement for Facilities Condition Assessment

R-045-2018 – Ratification of Change Order No. 3 for Contract 1395 – Water Hammer Hazard Mitigation – Elevated Storage Tanks

R-046-2018 – Change Order No. 2 for Contract 1368 – Hazard Mitigation Grant Project Oak Street Pumping Station

R-047-2018 – Ratification of Change Order No. 2 and Final Acceptance for Contract 2123 – Water Main Line Replacements and Extensions at Scattered Locations throughout Orleans Parish

R-049-2018 – Ratification of Change Order No. 4 and Final Acceptance for Contract 2111 – Water Main Point Repair, Water Service Connection, Water Valve and Fire Hydrant Replacement at Various Sites throughout Orleans Parish

R-051-2018 – Contract Amendment No. 5 to the Agreement between the Sewerage and Water Board of New Orleans and CH2M Hill Engineers, Inc for Program Management and Design Engineering Services for the Retrofit Power Plant Hazard Mitigation Grant Project

R-052-2018 – Contract Amendment No. 2 to the Agreement between the Sewerage and Water Board of New Orleans and CH2M Hill Engineers, Inc for Emergency Program Management and Staff Augmentation of Operations Maintenance and Recovery

R-053-2018 – Bid Proposals for 2018 Structural and Filter Rehabilitation of the Sycamore Filter Gallery in the

Area of Filters 21-24

R-054-2018 – Contract 2129 – Water Main Point Repair, Water Service Connection, Water Valve and Fire Hydrant Replacement in Various Sites throughout Orleans Parish

R-055-2018 – Contract 30216 – Restoration of Gravity Flow Sanitary Sewer Mains by Point Repair at Various Sites throughout Orleans Parish

Mayor Landrieu stipulated that we are to do a good job early in creating the scope of contracts in an effort to reduce the number of change orders. He also stated that if the Board members are going to exercise oversight, they have a responsibility to go and see the breadth and depth of the work that is actually being done so that they will have an appreciation for how much is happening simultaneously. The amount of work is massive.

Director Stacy Horn Koch moved to approve the corresponding resolutions. Director Eileen Gleason seconded. The motion carried.

Approval of Interim Executive Director Contract Extension

Director Koch moved to approve the Resolution for the Approval of Amendment No. 1 to extend the contract of Interim Executive Director Marcie Edwards to May 22, 2018. Director Dr. Tamika Duplessis seconded. The motion carried.

INFORMATION ITEMS

The following items were submitted for informational purposes only:

- Financial Results through December 2017
- Monthly Human Resources Amended Activity Report for Period March 1 – March 31, 2018
- Report of the General Superintendent
- Report of the Special Counsel
- FEMA Report
- DBE Participation on Contracts

ANY OTHER MATTERS

Lakeview resident, Ms. Rita Legrand, made a public comment. Ms. Legrand thanked Mayor Landrieu for doing a fantastic job. She also requested that going forward the HR information in the Minutes be posted to the S&WB website. Special Counsel Brown-Russell stated that she would speak with the IT Dept. regarding this matter.

Director Lynes Sloss stated that he was given a tour of the Carrollton Water Plant and was very impressed. Director Andrew Amacker advised others to take the tour. Mayor Landrieu appealed to the members of the Board to take a tour of the Carrollton Water Plant.

Mayor Landrieu directed the Legal Department to provide the City Council with any information that the Board receives. He also stated that the Pro-tem usually chairs the Board meetings and not the Mayor.

The Board members expressed their gratitude to Interim Executive Director Marcie Edwards for offering her services in our time of need.

ADJOURNMENT

There being no further business to come before the Board, the meeting adjourned at approximately 10:19 AM.



"RE-BUILDING THE CITY'S WATER SYSTEMS FOR THE 21ST CENTURY"

EMPLOYEES' RETIREMENT SYSTEM OF THE Sewerage & Water Board OF NEW ORLEANS

LaToya Cantrell, President

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May 8, 2018

The Pension Committee met on Tuesday, May 8, 2018 in the Board Room, 625 St. Joseph Street, New Orleans, LA. The meeting convened at 8:30 A.M.

Present:

Mr. Joseph Peychaud, Chairman
Mr. Christopher Bergeron
Ms. Eileen Gleason
Mr. Ralph Johnson
Ms. Chante' Powell
Mr. Lynes Sloss
Mr. Lewis Stirling III
Mr. John Wilson

Also in attendance: Mr. Octave Francis III and Mr. Stephen Daste of FFC Investment Advisors of Raymond James; Ms. Marcie Edwards, interim Executive Director of the Employees' Retirement System (ERS) and Sewerage and Water Board (S&WB); and the following S&WB staff –Ms. Marina Kahn, interim Chief Financial Officer, Ms. Valerie Rivers, Deputy Director of Logistics, Mr. Willie Mingo, Director of Procurement, Ms. Irma Plummer, EDBP Manager; Mr. James Thompson, Office of Special Counsel; Dr. Tim Viezer, Chief Investment Officer; and Ms. Candice Newell, Board Relations Manager.

ACTION ITEMS:

1. None

PRESENTATION ITEMS:

Chairman Joseph Peychaud began the meeting by introducing Ms. Candice Newell, Board Relations Manager to the Committee. Chairman Peychaud then asked Mr. Lynes Sloss to read the Employees' Retirement System mission statement into the record: "to prudently manage an actuarially sound pension fund solely in the interest of participants and beneficiaries in a cost-effective manner." Chairman Peychaud also noted that Mr. Sloss had completed the new trustee training as required by R-140-2017 and was eligible to vote.

Dr. Viezer began his presentation by reintroducing a tool called the "governance matrix," which explicitly assigns decision rights and ensures checks and balances through oversight. The topic was first introduced in June and July 2017. An accountable reporting structure is achieved by efficient downward delegation of decision-making and informative and timely reporting. Efficiency is achieved by delegating smaller impact tasks further down. Governing fiduciaries decide the most impactful topics. Ms. Eileen Gleason asked whether this implied that the Board of Trustees don't worry about small impact duties. Dr. Viezer answered that the

oversight is delegated downward as well but that the Board focuses on the big picture and holds accountable those to whom authority and responsibility have been delegated.

Dr. Viezer summarized briefly his recommendations decision-making authority of a number of entities in the detailed governance matrix. These recommendations were based upon a mix of best practice, preferences communicated to him, and his observations of circumstances of the relevant parties here. The Board of Directors appoints the Executive Director and Special Counsel, who both serve the same roles in both the water utility (S&WB) and retirement system (ERS). He noted that the Mayor was the “first among equals” and was one vote on each Board. The Board of Trustees set broad policies (e.g., investment objectives, funding policy, and benefits), delegated implementation, ensured compliance with policy, and held accountable. As an aside, Dr. Viezer recommended that any change to the employee contribution rate be made by a majority vote of the entire Board of Trustees (because it is a policy) and required the support of two of the three employee Trustees. This would build trust. Ms. Gleason asked how this was handled in the past.

Dr. Viezer continued by suggesting that the Board of Trustees delegate certain decisions to the Pension Committee (e.g., asset allocation and manager selection). Requiring approval by the Board of Trustees was not efficient as it required the Pension Committee to ensure sitting through the same presentations twice and give decision-making to fellow Board members who were not as versed on these topics. The Executive Director would handle emergency procurements and oversee on a day-to-day basis, those who handled pension implementation (e.g., the Chief Investment Officer, Chief Financial Officer, and benefits administration). The Chief Investment Officer would provide advice, an annual work plan, and implement Board and Committee decisions. The Chief Financial Officer would oversee flows into and out of the pension. The General Investment Consultant would provide investment manager due diligence and recommendation as well as prepare reports on performance, risk, and compliance. The Operating Investment Consultant would facilitate rebalancing and cash flow movements at the direction of the CIO (internal movements) and CFO (external movements). The Operating Fiduciaries included investment managers, actuary, and custodian.

Ms. Gleason and Mr. Sloss asked that the role of the General Investment Consultant as an “extension of the Chief Investment Officer” be clarified to indicate a business continuity/back-up role. Mr. Sloss and Mr. Lewis Stirling requested that Dr. Viezer document how the existing situation would translate into a governance matrix to facilitate comparison. Dr. Viezer complimented the suggestion and agreed to do so. Dr. Viezer noted that he would incorporate the governance matrix into a revised draft Investment Policy Statement for the Pension Committee’s and Board of Trustees’ consideration.

Dr. Viezer moved on to the revised discussion draft of a professional services procurement policy for the Employees’ Retirement System and presented a chart comparing the existing S&WB policy with the proposed changes in the discussion draft. Dr. Viezer noted that the genesis of the policy was a question raised by Mr. Chris Bergeron at the November Board of Trustees’ meeting Mr. Chris Bergeron as to whether ERS was required to follow S&WB’s Policy. Dr. Viezer wrote the discussion draft to document both the preferences and past practices of the Pension Committee and had begun to circulate the draft to S&WB staff for comment. Dr. Viezer stated he had always envisioned a role for S&WB Procurement staff and wanted to present a clear articulation of the Pension Committee’s desires to S&WB Procurement so that department could determine an efficient and agreeable way to implement these changes. Chairman Peychaud said he believed that a central issue was whether the proposal would be a separate policy or changes incorporated into the existing S&WB Policy #95. Mr. John Wilson stated that he believed that the effort was in the “right direction” and saw the draft as documenting past processes that were not codified but conformed to pension industry practice.

Mr. Peychaud asked Ms. Valerie Rivers – Deputy Director of Logistics – to comment. Ms. Rivers noted that Procurement was the repository for all contracts and that the department needed the authority to ensure that all procurements were conducted according to the rules and regulations of the Board. She said that she understood that different industries (e.g., construction) had different procurement standards and practices. Ms. Rivers asked that the CIO produce a list of “nuances” to be incorporated into one policy.

Mr. James Thompson – attorney in the Office of Special Counsel – noted that there was never a suggestion to create a separate policy that would not use S&WB Procurement. He noted that historically two different processes were used by the Pension Committee and these processes were not codified. Ms. Gleason asked who had the right to modify the procurement policy. Mr. Thompson answered that although the organization had a unique legal structure, the Board of Trustees would have that decision right, but as a practical matter the

decision should be made working with S&WB Procurement, who had the knowledge, experience, and staff. Ms. Gleason asked further if the Board of Trustees passed a procurement policy, would S&WB Procurement answer to the Trustees or the Board of Directors. Dr. Viezer answered that the directions to staff would proceed from the Board through the Executive Director (of both the ERS and S&WB) to S&WB staff. Mr. Sloss asked whether the ERS Board of Trustees would need the permission of the S&WB Board of Directors to use S&WB resources. Dr. Viezer acknowledged that it was a fair question about the cost of in-kind services provided to ERS. Dr. Viezer noted he was looking for a “win-win” scenario for his colleagues in Procurement. Mr. Thompson added that Louisiana state law requires S&WB to maintain a pension fund but it also stipulates that ERS cannot have paid employees. ERS must therefore rely upon S&WB resources. Thus, the S&WB Board of Directors must approve the in-kind resources provided to ERS. Ms. Gleason asked whether it would then be prudent to have both Boards approve any procurement policy. Mr. Thompson answered “yes” and recommended that approach. Mr. Stirling asked whether the Board of Trustees set the procurement policy and that Procurement administers that policy. Mr. Sloss later asked for a summary and clarification of the procurement policy. Mr. Stirling asked whether the discussion draft was mirroring best practice in the pension industry. Dr. Viezer answered in the affirmative and Mr. Thompson noted that he was not aware of any public pension that had adopted a water utility’s procurement process. Ms. Chante Powell suggested that the existing S&WB procurement policy could be amended to include the desired changes. Ms. Marina Kahn – interim CFO and trustee for NOMERS – noted that the City’s retirement system used a hybrid procurement process. Chairman Peychaud asked S&WB staff to continue to work together and to bring another discussion draft that incorporated the Pension Committee’s desired changes into the S&WB Professional Services Procurement Policy #95.

Dr. Viezer noted that little time remained for a detailed discussion of the proposed investment policy. Every point had been discussed in Pension Committee presentations in August, September November, and December of 2017 and in January and March presentation of 2018. He encouraged Committee members to read the philosophy and contact him with questions.

Finally, under “Old Business” Dr. Viezer followed up on 11 items from the April Pension Committee meeting. He noted that Mr. Bergeron’s requested changes to the composition of the recommended Proposal Selection Committee was incorporated in the revised draft. Mr. Thompson stated there was no conflict for Pension Committee members to serve on the Proposal Selection Committee. Dr. Viezer had instructed Callan to incorporate data and scenarios requested by Mr. Johnson (2) and Chairman Peychaud (2) into their analysis. Dr. Viezer had instructed the actuary to run an analysis requested by Ms. Powell (impact of a natural disaster that closed the City on the pension) and another lowering the discount rate to 6.00%. Dr. Viezer noted 3 information items on ESG in response to a request by Ms. Edwards. Finally, Dr. Viezer had distributed the reciprocity agreement between ERS and NOMERS as requested by Chairman Peychaud.

INFORMATION ITEMS:

Information item(s) 4 through 9 were received.

ANY OTHER MATTERS:

The following questions and requests were raised for follow-up:

1. Mr. Sloss and Mr. Lewis Stirling requested that Dr. Viezer document how the existing situation would translate into a governance matrix to facilitate comparison.
2. Ms. Gleason and Mr. Sloss asked that the role of the General Investment Consultant as an “extension of the Chief Investment Officer” be clarified to indicate a business continuity/back-up role.
3. The approval of policies that affect both S&WB and ERS and the provision of in-kind resources should involve both the Board of Directors and Board of Trustees.
4. Chairman Peychaud asked S&WB staff to continue to work together and to bring another discussion draft that incorporated the Pension Committee’s desired changes into the S&WB Professional Services Procurement Policy #95.

ADJOURNMENT:

There being no further business to come before the Pension Committee, the meeting adjourned at approximately 9:34 A.M.

Respectfully submitted,

Joseph Peychaud, Pension Committee Chair



"RE-BUILDING THE CITY'S WATER SYSTEMS FOR THE 21ST CENTURY"

Sewerage & Water Board OF NEW ORLEANS

LATOYA CANTRELL, PRESIDENT

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NEW ORLEANS, LA 70165 • 504-529-2837 OR 52W-ATER
www.swbno.org

May 8, 2018

The Finance and Administration Committee met on Tuesday May 8, 2018 in the S&WB's Second Floor Board Room located at 625 St. Joseph Street, New Orleans, LA. The meeting convened at approximately 10:00 A.M.

Present:

Director Joseph Psychaud
Director Dr. Tamika Duplessis
Director Eileen Gleason
Director Ralph Johnson

Absent:

Director Stacy Horn Koch

Other Board Members Present:

Director Lynes Sloss
Director Robin Barnes

Interim Executive Director Marcie Edwards requested that the Executive Session be moved to the end of the meeting. Director Eileen Gleason moved to have Executive Session placed at the end of the meeting. Director Dr. Tamika Duplessis seconded. The motion carried.

The Interim Director also requested to have an item added to the agenda. Director Ralph Johnson moved to add a presentation on the billing system to the agenda. Director Gleason seconded. The motion carried by a unanimous vote.

Due to the change in the Committee meeting date, Director Stacy Horn Koch could not be in attendance. On behalf of Director Koch, the Interim Director asked that the report from staff on Change Orders and Contract Amendments not be presented until the next Finance and Administration Committee meeting so that Director Koch could be in attendance. The Committee complied with her request.

Director Lynes Sloss and Director Robin Barnes were also in attendance.

ACTION ITEMS:

Item 1

General Superintendent's Recommendations

Bids:

- **R-065-2018 – Contract #2130 – Water Main Point Repairs, Water Service Connection, Water Valve and Fire Hydrant Replacement at Various Sites throughout Orleans Parish**
- **R-064-2018 – Contract #2131 – Installation of New Water, Sewer and Drain Service Connections at Various Sites throughout Orleans Parish**
- **R-058-2018 – Contract 30102 – DPS 17/Station D Structural Repairs**
- **R-057-2018 – Contract #30109 – Installation of Micropiles and Caps; Installation of Reinforcement and Concrete Sidewalk and Slab Repair; and all Ancillary Items of Work Including Cutting, Removals, Patching, Temporary Shoring and Site Restoration Necessary for Proper Installation of the Improvements and Protection of Adjacent Structures**

Director Gleason was concerned about the discrepancy in the low bid of \$1.7 million and the estimated amount of \$825,000 for Contract #30109. Chief of Engineering, Ron Spooner, responded to her question. He said that our engineers underestimated the bid; however, the General Superintendent is recommending approval to move forward with this bid because this is one of the critical repairs that we need to get done.

Director Ralph Johnson moved to accept the General Superintendent's Recommendations in global. Director Dr. Duplessis seconded. The motion carried.

Contract Final Acceptance

- **R-043-2018 – Contract #30002 – Restoration of Existing Gravity Sewer Mains Damaged by Hurricane Katrina by Excavation and Replacement from Manhole to Manhole, CIPP Lining from Manhole to Manhole and Point Repairs at Various Sites throughout Orleans Parish**

Director Ralph Johnson moved for acceptance of R-043-2018 for Contract #30002. Director Dr. Duplessis seconded. The motion carried.

Item 2

Change Order(s) & Contract Amendments

- **Resolution (R-059-2018) Approving Contract Amendment No. 6 to the Agreement between the Sewerage and Water Board of New Orleans and Stanley Consultants INC. for Design and Engineering Services for Water Hammer Hazard Mitigation Program**

Mr. Ron Spooner stated there was additional work given to the consultant by the engineering staff that was considered out-of-scope. This amendment is in the amount of \$578,967.65 to perform additional out-of-scope work associated with the additional electrical design that had to be done at the Claiborne tower. The request was initiated by the engineering staff due to unforeseen conditions that they ran into in the field. They had to do some redesign around those conditions in addition to the additional construction inspection activities at our request.

Director Duplessis wanted to know why a contract amendment was used for an out-of-scope change as opposed to a re-bid or some other mechanism. Mr. Spooner explained that they could not re-bid this quantity due to the original specifications. Once they started digging in the field, they ran into some obstacles. The electrical component had to be redesigned around that obstacle. To have a re-bid would pretty much bring the whole contract to a halt.

Director Gleason asked for clarity on the Previously SWBNO-Approved Change Order in the amount of \$205,018.70. Mr. Spooner said that the construction Change Order had been approved. This amendment, however, is for the design fees associated with that Change Order. Mr. Chris Bergeron also provided information on this matter.

Interim General Superintendent Bruce Adams and staff will give a presentation on Change Orders and the process at the June 2018 Finance and Administration meeting.

Mr. Bergeron stated that Contract Amendment No. 6 with Stanley Consultants, Inc. was dated 1/18/2017 and was signed 4/04/2017. It was supposed to be on the August 2017 Agenda, but other matters took precedence.

Item 3 Resolution (R-066-2018) Approving Amendments to 2018 Operating Budget

In the February 2018 Board meeting, Interim Executive Director Marcie Edwards had some concerns with the budget that had recently been approved. As a mitigation measure, she suggested bringing back to the Board a specific curtailment to bring our expense curve more in line with our revenue curve and other issues. The executive team made the decision to reduce the Operations and Maintenance Budget from approximately \$232 million to \$217 million. The majority of those reductions came from reductions in the personnel budget.

Ms. Marina Kahn, CFO, stated that they reduced approximately 200 positions by submitting an attrition factor because those positions could not be filled immediately. Only vacancies were a part of the reduction. There were no layoffs. Ms. Kahn also stated that they had projected a 10% increase in water and sewer revenue but only achieved 6%, while at the same time, expenditures are up. The team looked at other areas where curtailments could be made. Thus, the sum total of reductions is \$15.4 million.

Director Dr. Tamika Duplessis moved to accept R-066-2018. Director Eileen Gleason seconded. The motion carried.

Item 4 Resolution (R-067-2018) Approving the Louisiana System Survey and Compliance Questionnaire

Ms. Marina Kahn stated that the Louisiana State Legislative Auditor requires that a completed Louisiana Systems Survey and Compliance Questionnaire be approved and signed by the Sewerage and Water Board. All Board members have completed their questionnaires and returned them to S&WB.

Director Eileen Gleason wanted to see the completed document before being asked to approve it. Interim Special Counsel Jade Brown-Russell informed Director Gleason that the Board members would receive the completed questionnaire prior to the Board meeting.

Ms. Kahn stated that the State Legislative Auditors would be in attendance at the Audit Committee meeting. Ms. Brown-Russell recommended that the Committee defer this item to the full Board and then the Audit Committee could amend their agenda to include the Louisiana Systems Survey and Compliance Questionnaire. The Audit Committee meeting followed the Finance and Administration meeting.

Director Ralph Johnson moved to defer R-067-2018 to the full Board for consideration. Director Dr. Tamika Duplessis seconded. The motion carried.

PRESENTATION ITEMS

➤ **Interim Executive Director's Presentation on Billing**

- **Agenda**
- **Timeline of Major Events**
- **Billing Irregularities**
- **Complaint Process**
- **Status of Billing Investigations**
- **How We've Improved**
- **How We're Moving Forward**
- **Public Tracking Dashboard for Billing Disputes**
- **Engaging Outside Help**
- **Long-Term Recommendations**

The S&WB Billing Taskforce, in collaboration with reps from Revenue Administration, Information Systems, and Finance Departments, are working toward solutions to the major challenges: the new billing system, a large number of billing errors, and leaks related to the Hard Freeze leading to the high bills for customers. A chart showing the complaint process will be made available to everyone. Billing issues are impacting a little over 7%. We'd like to drop that to 3%-5%.

We've hired 20 temporary reps to work on the backlog of high bills. We've also hired 20 new meter readers, bringing the total to 41. Last year we had less than 25. The more meter readers there are, the more actual readings are being taken. Ultimately, we want to get to staffing level where we're physically reading every meter every month. Salaries for meter readers and some Customer Service positions have increased. That is significant because it was the high turnover that was contributing to issues associated with staffing in Meter Reading and Customer Service.

Moving forward, we've set a goal to cut open investigations in half in the next six weeks. Cogsdale will make adjustments to the billing software and provide more training. An online public dashboard is ready to roll out so that communication with our customers improves. Two new teams have been created in Customer Service to handle routine billing investigations and billing irregularities that need extra review. There are plans to hire 10 more inspectors and 11 more billing clerks and to make improvements to the S&WB website. Late fees have been suspended.

Our outside help includes Blue Drop, a nonprofit consulting arm of DC Water that will teach customer management and Water Company of America that will locate customers who may not be receiving bills for all services provided to them by the S&WB. We will also acquire complaint management software from Verint Systems Inc. which, hopefully, will be integrated by the end of 2018. Blue Drop is brought to us by the local business council. There is no charge for their services. The Water Company of America is paid on a contingency basis, getting a small percentage of whatever they find.

Long-Term recommendations include the following: developing policies and procedures to operate new billing system; establishing policy to update customers on status of their bill investigations; revamping meter installation process to reduce installation errors; streamlining meter reading routes to improve efficiency.

Overall, the Interim Executive Director said that the billing situation is not as troublesome as once thought and that progress is being made. Our communication tools are improving. We are using Internet-based tools as well as getting assistance from the media to get the word out. The Interim Director even suggested going out to community groups and any interested parties that want to talk about the impact. She also stated that we could look at including information as bill stuffers for customers who don't have access to the Internet. Director Gleason suggested using "The Open Door" series of websites to get the word out. We could do an FAQ and publicize that. Director Robin Barnes suggested having a day when the S&WB is at the library where the public can ask questions and get honest answers. The day could be advertised. Director Barnes complemented the Interim Director on putting together a very well thought out presentation. We will be tracking the outreach.

Director Gleason asked about the number of administrative hearings that were held. Ms. Brown-Russell stated that the hearings are held on an ongoing basis; however, the information would be provided.

Director Johnson wanted to know how the estimated bill works and to get clarification on the flat rate formula. The Interim Director stated that the change was made to use a flat rate in the last two weeks. It is designed to help manage the lack of predictability of the bill. If a bill shows more than 125% of the customer's historical usage, the software will automatically default to the flat rate so that the bill doesn't go up and down. The Interim Director also recommended that we continue with the no shut-off on disputed bills policy until the backlog of bills is reduced. The billing system will be routinely reported on.

➤ January 2018 Statement of Revenues and Expenses

Ms. Marina Kahn gave the report on revenues and expenses. She stated that there is a 6% increase in revenue, but we actually predicted a 10% increase. Hopefully, as billing improves, revenue will increase. However, consumption has changed, so that will impact our collection. The big problem is that our expenses are up 19% for January and February of this year due to issues such as the Hard Freeze, overtime and emergency expenses. That is the trend which is something we need to keep track of in order to predict what's going to happen at the end of the year.

On the non-operating revenue, there was a delay in receiving our millage collections. However, we did receive them in March and we're now on schedule. When the March report goes out in June, we'll have a better idea of where we stand regarding our revenues and expenses.

Director Gleason wanted some clarification on the significant increases for Operations and Special Counsel. Mr. Ron Spooner stated that per the General Superintendent, they are currently bringing the system back to a stable platform. Ms. Brown-Russell stated that the Special Counsel's Office has been hiring to bring staff back up to the level where it was previously, hiring attorneys as well as paralegals.

Mr. Joseph Sensebe stated that they're making sure that they're not only capturing cost incurred to date but are also forecasting what the cost will be at the end of construction so that those dollars can either be budgeted for or adjustments in the scope can be made so that we can stay within the budget.

➤ Legislative Update

Interim Special Counsel Jade Brown-Russell gave the legislative update. There are currently four bills in the legislature, none of which have been approved by the legislature.

- SB 227 – A piece of legislation that proposes to make amendments to the structure of the Board. We're working very closely with our lobbyist, Rodney Braxton of Southern Strategies, located in Baton Rouge, to ensure that any changes to the bill are in the best interest of the Board.

Director Peychaud asked that the Committee be informed via email regarding the status of the bill.

Director Lynes Sloss inquired as to the Board's position. Ms. Brown-Russell stated that the Board's position has been that we don't dictate the composition of the Board; nevertheless, we are looking for any negative impacts from the proposed changes to the bill. Mayor Cantrell's team has been integral in the process.

- HB 867 – This bill is not moving forward because it was withdrawn.
- SB 94 – This bill is not specific to the S&WB but would have an impact on S&WB and other municipal and state agencies that have contractors. The bill proposes that a public entity is liable for interest charge at 0.5%, not to exceed 15%. The bill has been recommended to the Appropriations Committee.
- House Resolution 92 – This is a request for a legislative auditor to do an audit on our contracts. It is currently stalled. However, we have been working closely with the Legislative Auditors. They are working on an advisory opinion for us that would be very helpful to us in shaping some of our policies and procedures.

PUBLIC COMMENT

Mr. Matthew Ward with The McDonnell Group, LLC addressed the Committee regarding the status of the funding for R-058-2018 (Contract #30102). Mr. Ron Spooner stated that funding is in place for The McDonnell Group, LLC to construct the project. Mr. Ward was satisfied with Mr. Spooner's response.

EXECUTIVE SESSION

- **Daniel Watts, et al. v. Darryl Lewis and Sewerage and Water Board of New Orleans, et al., Civil District Court Orleans Parish, Case No.: 2015-06770, Division N**

Director Eileen Gleason moved to go into Executive Session. Director Dr. Tamika Duplessis seconded. The motion carried.

Director Gleason moved to leave Executive Session and return to Regular Session. Director Dr. Duplessis seconded. The motion carried.

Interim Special Counsel Jade Brown-Russell stated that there was no action taken in Executive Session.

Director Dr. Duplessis moved to accept counsel's recommendation. Director Gleason seconded. The motion carried.

ANY OTHER MATTERS

Director Peychaud expressed some concern that the Monthly HR Activities Report was no longer a verbal report but was included in the packet for information only. Interim Director Edwards had a recommendation. She offered that since the HR presentation is in the packet, the Committee should look over last year's hiring and the totals; then, have a discussion about the constraints and why we are so slow to hire. A lot of the issues stem from our own internal problematic changes. However, it would be a good idea for staff to talk about and surface those barriers so that the Board can do better.

Director Barnes suggested having a quarterly presentation similar to the billing presentation presented earlier in the meeting that somewhat lays out the issues and what strategies we're addressing, since it's such a high priority/critical issue.

Director Dr. Duplessis requested that the Committee be alerted if there are any major changes or major updates in the HR report, regardless of when the HR presentation is given.

Director Peychaud asked if the hiring issues could be addressed at some point.

The Interim Executive Director also gave a Civil Service update. After having gone through the Phase 1-3 adjustments for salaries, there was still a group at the end that, because they were common to the City classes, the City wanted to perform its own Class/Comp Study. The study has been completed, and Civil Service has made adjustments that favorably impact the Sewerage and Water Board. Our employees should start seeing those adjustments on their May 18, 2018 paychecks.

INFORMATION ITEMS:

Information items were received.

ADJOURNMENT:

There being no further business to come before the Finance & Administration Committee, the meeting ended at approximately 11:25 A.M. .

Respectfully submitted,

Joseph Peychaud, Chair



"RE-BUILDING THE CITY'S WATER SYSTEMS FOR THE 21ST CENTURY"

Sewerage & Water Board OF NEW ORLEANS

625 ST. JOSEPH STREET
NEW ORLEANS, LA 70165 • 504-529-2837 OR 52-WATER
www.swbno.org

May 8, 2018

The Audit Committee met on Tuesday, May 8, 2018 in the Board Room, 625 St. Joseph Street, New Orleans, LA. The meeting convened at 11:30 A.M.

PRESENT:

Director Dr. Tamika Duplessis, Chairman

Director Robin Barnes

Director Lynes Sloss

Director Lewis Stirling

ABSENT:

None

OTHER BOARD MEMBERS PRESENT:

Director Eileen Gleason

ALSO IN ATTENDANCE:

Marina Kahn, CFO

James Thompson, Office of Special Counsel

Raymond Gable, Internal Audit

Brandy Smith, Audit Director of Postlethwaite & Netterville

Philip Gunn, Managing Director of Postlethwaite & Netterville

Director Dr. Tamika Duplessis began the meeting by stating that there are no action items or executive session on the agenda, so the committee would be moving to the presentation item, which is the Office of Special Counsel Presentation. Robin Barnes then brought up that an item was supposed to be added to the agenda, which was the Legislative Auditor's request for a certification from the board. James informed the committee that to add an item to the agenda would require a unanimous vote. Marina then stated that there was some kind of disconnect on what was to be done with the questionnaire. She found out that she was supposed to fill it out as the current CFO and needs more time to finish doing so. She said she can submit it to the next meeting. James recommended deferring it to the next

meeting. Robin then asked if any deadlines would be missed if the item was deferred. Marina found out that the requested item has a deadline of June 30, 2018. Since the committee will be meeting monthly and there will be another meeting before June 30, 2018, the item will be deferred to next month's agenda.

ACTION ITEMS:

None

PRESENTATION ITEMS:

James Thompson introduced the Office of Special Counsel Presentation. In his presentation, he provided an overview of the role of the audit committee, its structure, functions, responsibilities, etc. James stated that these roles are taken from the bylaws of the board. He then informed the committee that the first meeting's priorities are speaking to external auditors on the financial integrity of the CAFR, discussing the need for a RFP for an external audit of internal controls and audit/legal resources, introducing the Audit Committee Charter, and prioritizing the audit plan. Additionally, he also informed the committee just to make them aware that a few external audits are being conducted on Sewerage & Water Board. These audits are being conducted by the Legislative Auditor and the New Orleans Office of Inspector General.

James then introduced Brandy Smith and Philip Gunn of Postlethwaite & Netterville to discuss the progress on the CAFR. Brandy Smith informed the committee that the audit is an annual audit that has to be submitted to the Legislative Auditor by June 30, 2018. There are a few pressing items needed from Sewerage & Water Board to complete the CAFR in the coming weeks and finish on time. Additionally, the Sewerage & Water Board's financial statements are included in the city's financial report. So, the timeliness of Sewerage & Water Board's audit can impact the timeliness of the city's audit. Director Lynes Sloss asked if the board could be given a list of the items that are still needed to complete the audit. Brandy Smith said she could do so. Director Tamika Duplessis asked what the challenges were that the auditors are facing in trying to retrieve the needed items for the audit. Brandy Smith stated that the first big item they are waiting on is the AR Report and billing support. The auditors have requested a complete list of all of the AR issues with the new billing system, but haven't received it yet. Also, the auditors have requested a list of all of the customer receivables by the year end of 2017 and have not received that list. Plus, they have requested a listing of Accounts Payable, but have not been able to get a detailed listing. So, the auditors do not know when they are getting the AR and AP information, which are big areas that need to be tested. In addition, AP information has to be finalized before the auditors can work on property reconciliation and the grant schedule. The auditors originally requested to have all of this information by May 15, 2018 in order to process the paperwork by the June 30, 2018 deadline. Philip Gunn then informed the committee that they are normally much further along the process with Sewerage & Water Board at this time in previous years than they currently are this year.

Director Lynes Sloss then asked what would happen if the CAFR is not submitted on time. Brandy said that if it's not done on time, then the board would have a late reporting finding by the Legislative Auditor. The city would also have to determine if they would want to then submit their report without our financial paperwork. Director Lewis Stirling asked if the board would receive a fine. Philip Gunn said he can't answer that question, but the implication is that the late reporting finding would affect the bond rating given by other agencies. He cannot answer what the bond rating agencies would actually do in result to the late reporting finding. Brandy then stated that the late reporting finding could prevent funding from the state. In addition, the board would be listed on the Legislative Auditor's website as noncompliant.

Director Eileen Gleason asked if Sewerage & Water Board would be able to submit the information by May 15, 2018. Marina said a team from Cogsdale is working with staffing on the billing issues. She said there are issues with getting the information needed and containing it. And when an organization is working with a new billing system, problems arise with getting the information into a CAFR. Director Tamika Duplessis asked if Marina thinks there will be an issue with meeting the May 15, 2018 deadline. Marina said it is touch and go. Brandy Smith said that even if they do not receive the information from the board by May 15, 2018, they may still be able to complete the audit on time. But, completing the audit on time gets more difficult as the financial reports are turned in later than requested. Marina said they are trying to get all information to Brandy that has nothing do with the billing system as soon as they can to help expedite the audit.

Director Lynes Sloss asked if the auditors would be opining on the efficacy of the new billing system. Philip Gunn said they would not be. Director Sloss then asked if a management letter/report is given as a result of the audit. Brandy said one would given if any issues of material weaknesses are found. He also asked that if the information was given by the May 15, 2018 deadline, then could the board review the results of the audit in June 2018. Brandy said they may not have the notes ready from the audit in time for June 2018, but they could probably have the financial statements ready for the board's review.

Director Lewis Stirling expressed his dismay and shock that Sewerage & Water Board is not prepared to deliver the information to the auditors in a timely manner despite knowing it is something done annually. Director Eileen Gleason said she feels this is an issue that needs to be followed closely and get periodic updates on the progress. The committee requested that the auditors maybe give weekly updates to the Finance Committee. Director Stirling also stated he is bothered that problems the board was informed of from last year's audit still seem to be occurring.

James then introduced the next topic of the meeting, which is the need for an RFP for an external auditor to review our internal controls, resourcing, etc. The RFP would be introduced at the next committee meeting. James stated that after speaking to Raymond Gable it seems that Internal Audit has been only able to achieve 40% of their goals. The RFP would help determine what additional resources may be needed to increase efficiency. Director Eileen Gleason asked if Internal Audit has the staffing needed to complete their goals. Chante Powell replied that in her opinion she believes the office is about 80% for staffing needs. James said the RFP would help determine if Internal Audit is staffed fully and correctly.

Next, James introduced the Audit Committee Charter and the topic of prioritizing the audit plan to the committee. He discussed briefly the charter and related documents which was given in each director's packet as an information item for them to read and review. He asked the committee to review the charter when they have time so they may be ready to approve and sign it in at the next meeting.

And finally, he presented his recommendation on topics to discuss over the next twelve months and goals for the committee to achieve on a three year plan. He stated that he would like the committee to review the proposed goals in case they have any input on what they would like to see the committee achieving in the future. He also introduced the concept of the risk management matrix to show how the committee can prioritize risks in an organization.

Robin Barnes expressed concerns of not knowing for sure what information audit committees should be reviewing for a water utility. She felt that the information given in the packets was kind of general in regards to audit committees. She asked if we had gotten information from outside sources on what other water utility audit committees generally review. James said that he had not gotten information from other water utilities, but it will be considered as the Audit Committee is developed. He also told

them that the bylaws do have organizational specific roles for the Audit Committee. Additionally, Director Eileen Gleason and James pointed out that the bylaws do provide for the Audit Committee to review contracts. At the moment, the Finance Committee reviews contracts.

INFORMATION ITEMS:

Information item(s) 4 through 6 were received, which were:

4. Draft Audit Committee Charter
5. Audit Committee Responsibilities Questionnaire
6. Multiyear Audit Plan

The following questions and requests were raised for follow-up:

1. Mr. Sloss requested from Postlethwaite & Netterville a list of items that firm was waiting to receive from S&WB staff.
2. Mr. Sloss asked whether the Audit Committee could review the audit by the second week of June if the external auditors received their required information by May 15th
3. Ms. Gleason and Mr. Sloss requested weekly updates on the status of the external audit review of the CAFR.
4. Dr. Duplessis requested that Postlethwaite & Netterville send their last year report on internal controls for distribution to the Audit Committee.
5. Ms. Barnes requested that staff identify whether there were water utility standards for an Audit Committee.
6. Staff will assist the Finance and Administration Committee and Audit Committee in timing the transfer of contract reviews and the form of those reviews.

ADJOURNMENT:

There being no further business to come before the Audit Committee, the meeting adjourned at approximately 12:10 P.M.

Respectfully submitted,

Dr. Tamika Duplessis, Audit Committee Chair

CONTRACT 2130 - WATER MAIN POINT REPAIRS, WATER SERVICE CONNECTION, WATER VALVE AND FIRE HYDRANT REPLACEMENT AT VARIOUS SITES THROUGHOUT ORLEANS PARISH

BE IT RESOLVED by the Sewerage and Water Board of New Orleans that five (5) bids were received on April 6, 2018 after advertising according to the Public Bid Law for performing work under Contract 2130. The low bid is hereby accepted and contract awarded therefore to Wallace C. Drennan, Inc. for the total amount of \$3,887,040.00.

I, Bruce H. Adams, Interim General Superintendent, Sewerage and Water Board of New Orleans, do hereby certify that the above and foregoing is a true and correct copy of a resolution adopted at the Regular Meeting of the said Board, duly called and held, according to law, on May 16, 2018.

BRUCE H. ADAMS
INTERIM GENERAL SUPERINTENDENT
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**CONTRACT 2131 - INSTALLATION OF NEW WATER, SEWER AND DRAIN
SERVICE CONNECTIONS AT VARIOUS SITES THROUGHOUT ORLEANS PARISH**

BE IT RESOLVED by the Sewerage and Water Board of New Orleans that four (4) bids were received on April 6, 2018 after advertising according to the Public Bid Law, for performing work under Contract 2131. The bid was hereby accepted and contract awarded therefore to **Fleming Construction Company, LLC** for the total amount of **\$2,962,550.00**.

I, Bruce H. Adams, Interim General Superintendent, Sewerage and Water Board of New Orleans, do hereby certify that the above and foregoing is a true and correct copy of a resolution adopted at the Regular Meeting of the said Board, duly called and held, according to law, on May 16, 2018.

BRUCE H. ADAMS
INTERIM GENERAL SUPERINTENDENT
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**BID PROPOSALS FOR 2018 CONTRACT 30102 DPS 17/STATION D
STRUCTURAL REPAIRS**

BE IT RESOLVED by the Sewerage and Water Board of New Orleans that two (2) bids were received on April 13, 2018 for Contract 30102 DPS 17/Station D Structural Repairs. The low bid was hereby accepted and contract awarded therefore to **The McDonnell Group, LLC** for the total amount of **\$8,766,710.00**.

I, Bruce Adams, Interim General Superintendent, Sewerage and Water Board of New Orleans, do hereby certify that the above and foregoing is a true and correct copy of a resolution adopted at the Regular Meeting of the said Board, duly called and held, according to law, on May 16, 2018.

**BRUCE ADAMS, INERIM GENERAL SUPERINTENDENT
SEWERAGE AND WATER BOARD OF NEW ORLEANS**

**BID PROPOSALS FOR 2018 CONTRACT 30109 SEWAGE PUMPING STATION A
STRUCTURAL REHABILITATION**

BE IT RESOLVED by the Sewerage and Water Board of New Orleans that four (4) bids were received on April 6, 2018 for Contract 30109 Sewage Pumping Station "A" Structural Rehabilitation. The low bid was hereby accepted and contract awarded therefore to Cycle Construction Company, LLC for the total amount of \$1,669,984.00.

I, Bruce Adams, Interim General Superintendent, Sewerage and Water Board of New Orleans, do hereby certify that the above and foregoing is a true and correct copy of a resolution adopted at the Regular Meeting of the said Board, duly called and held, according to law, on May 16, 2018.

BRUCE ADAMS, INTERIM GENERAL SUPERINTENDENT
SEWERAGE AND WATER BOARD OF NEW ORLEANS

FINAL ACCEPTANCE AND CLOSE OUT OF CONTRACT 30002 - RESTORATION OF EXISTING GRAVITY SEWER MAINS DAMAGED BY HURRICANE KATRINA BY EXCAVATION AND REPLACEMENT FROM MANHOLE TO MANHOLE, CIPP LINING FROM MANHOLE TO MANHOLE AND POINT REPAIRS AT VARIOUS SITES THROUGHOUT ORLEANS PARISH

WHEREAS, Contract 30002 is ready for Final Acceptance by Sewerage and Water Board of New Orleans; and

WHEREAS, the Interim General Superintendent, in his report, has recommended that this Contract receive final acceptance and be closed out.

NOW, THEREFORE, BE IT RESOLVED, by the Sewerage and Water Board of New Orleans that Recognition of Final Acceptance are hereby approved.

I, Bruce Adams, Interim General Superintendent, Sewerage and Water Board of New Orleans, do hereby certify that the above and foregoing is a true and correct copy of a resolution adopted at the Regular Meeting of the said Board, duly called and held, according to law, on May 16, 2018.

BRUCE H. ADAMS
INTERIM GENERAL SUPERINTENDENT
SEWERAGE AND WATER BOARD OF NEW ORLEANS

CONTRACT AMENDMENT NO. 6 TO THE AGREEMENT BETWEEN THE SEWERAGE AND WATER BOARD OF NEW ORLEANS AND STANLEY CONSULTANTS INC. FOR DESIGN AND ENGINEERING SERVICES FOR WATER HAMMER HAZARD MITIGATION PROGRAM

WHEREAS, by action of the Sewerage and Water Board of New Orleans (Board), through the adoption of Resolution R-174-2011, Stanley Consultants, Inc. was awarded the agreement for design and engineering services for the Water Hammer Hazard Mitigation Project at the Carrollton Water Treatment Plant for \$2,499,362.06 ; and

WHEREAS, by action of the Sewerage and Water Board of New Orleans (Board), through the adoption of Resolution R-75-2014, the existing agreement with Consultant was increased by \$1,025,821.60; and

WHEREAS, by action of the Sewerage and Water Board of New Orleans (Board), through the adoption of Resolution R-264-2014, the existing agreement with Consultant was increased by \$37,940.50; and

WHEREAS, by action of the Sewerage and Water Board of New Orleans (Board), through the adoption of Resolution R-056-2015, the existing agreement with Consultant was increased by \$465,034.89; and

WHEREAS, by action of the Sewerage and Water Board of New Orleans (Board), through the adoption of Resolution R-094-2016, the existing agreement with Consultant was increased by \$591,283.20; and

WHEREAS, by action of the Sewerage and Water Board of New Orleans (Board), through the adoption of Resolution R-149-2016, the existing agreement with Consultant was increased by \$1,584,842.00; and

WHEREAS, the level of effort for the existing contract has increased due to additional design and inspection services required.

NOW THEREFORE, BE IT RESOLVED, that the President and/or President Pro Tem shall be authorized to execute the amendment to this agreement with Stanley Consultants, Inc. for the Water Hammer Hazard Mitigation Project increasing the fee authorized to be paid to Stanley Consultants, Inc. by \$578,967.65 to a total fee of \$6,783,251.90.

I, Bruce Adams, Interim General Superintendent,
Sewerage and Water Board of New Orleans, do hereby
certify that the above and foregoing is a true
and correct copy of a Resolution adopted at the Regular
Monthly Meeting of said Board, duly called and held,
according to law, on May 16, 2018.

BRUCE ADAMS
INTERIM GENERAL SUPERINTENDENT
SEWERAGE AND WATER BOARD OF NEW ORLEANS

AMENDMENT TO 2018 OPERATING BUDGET

WHEREAS, the Sewerage and Water Board of New Orleans adopted the 2018 Operating Budget on December 20, 2017; and

WHEREAS, the budgeted amounts were \$232,775,334 for Operating and Maintenance Expenses, \$59,867,000 for the Depreciation and Allowances Expenses (non-cash), and \$10,000,000 for Pension Liability Adjustment Expenses (non-cash) for a total Operating Expense Budget of \$302,642,334, and

WHEREAS, projected revenues required revisions to the 2018 Operating Budget to reflect lower than anticipated water and sewer collections; and

WHEREAS, as a result, the following changes are recommended to amend the 2018 Operating Budget:

<u>Divisions</u>	<u>2018 Budget Adjustments</u>
• Executive Director	-\$128,267
• Special Counsel	-\$290,061
• Security	-\$1,096,271
• Continuous Improvement	-\$130,461
• Communications	-\$166,255
• Administration	-\$1,124,672
• General Supt./Operations	-\$3,157,195
• Finance	-\$5,023,706
• Deputy General Supt./Construction	-\$2,389,273
• Logistics	<u>-\$1,924,691</u>
TOTAL Operating Expense Deductions	\$15,430,852

NOW, THEREFORE, BE IT RESOLVED, that the Sewerage and Water Board of New Orleans does hereby adopt the Amended 2018 Total Operating Budget of \$302,642,334 including Operations and Maintenance Expenses to the amount of \$287,211,482.

I, Bruce Adams, Interim General Superintendent
Sewerage and Water Board of New Orleans, do hereby certify that the
above and foregoing is a true and correct copy of the resolution
adopted at the regular Monthly Meeting of said Board,
duly called and held, according to law on May 16, 2018.

Bruce Adams
INTERIM GENERAL SUPERINTENDENT
SEWERAGE AND WATER BOARD OF NEW ORLEANS

LOUISIANA SYSTEMS SURVEY AND COMPLIANCE QUESTIONNAIRE

WHEREAS, the Louisiana State Legislative Auditor has oversight authority over Louisiana governmental units and quasi-public corporations; and

WHEREAS, the Louisiana State Legislative Auditor requires a completed systems survey and compliance questionnaire as part of the financial and compliance audits of Louisiana governmental units and quasi-public corporations; and

WHEREAS, upon completion, the Louisiana State Legislative Auditor requires the questionnaire to be presented to and adopted by the governing body of the organization by means of a formal resolution in an open meeting.

NOW, THEREFORE, BE IT RESOLVED that the Sewerage and Water Board of New Orleans does adopt this resolution, attesting to the completion of the Systems Survey and Compliance Questionnaire.

I, Bruce Adams, Interim General Superintendent
Sewerage and Water Board of New Orleans, do
hereby certify that the above and foregoing
is a true and correct copy of a resolution
adopted at the Regular Monthly Meeting of said
Board, duly called and held, according to law
on May 16, 2018

BRUCE ADAMS
INTERIM GENERAL SUPERINTENDENT
SEWERAGE AND WATER BOARD OF NEW ORLEANS

LOUISIANA COMPLIANCE QUESTIONNAIRE
(For Audit Engagements of Governments)

Dear Chief Executive Officer:

Attached is the Louisiana Compliance Questionnaire that is to be completed by you or your staff. This questionnaire is a required part of a financial audit of Louisiana state and local government agencies. Upon completion, the questionnaire must be presented to and adopted by the governing body, if any, of your organization by means of a formal resolution in an open meeting. Independently elected officials should sign the document, in lieu of such a resolution.

The completed questionnaire and a copy of the adoption instrument, if appropriate, must be given to the auditor at the beginning of the audit. The auditor will, during the course of his/her regular audit, test the accuracy of the responses in the questionnaire. It is not necessary to return the questionnaire to my office.

Certain portions of the questionnaire may not be applicable to your organization. In such cases, it is appropriate to mark the representation "not applicable." However, you must respond to each applicable representation. A 'yes' answer indicates that you have complied with the applicable law or regulation. A 'no' answer to any representation indicates a possible violation of law or regulation and, as such, should be fully explained. These matters will be reviewed by the auditor during the course of his/her examination. Please feel free to attach a further explanation of any representation.

Your cooperation in this matter will be greatly appreciated.

Sincerely,

Daryl G. Purpera, CPA, CFE
Louisiana Legislative Auditor

Enclosure

**LOUISIANA COMPLIANCE QUESTIONNAIRE
(For Audit Engagements of Government Agencies)**

May 16, 2018

Postlethwaite & Netterville
One Galleria Blvd. – Suite 2100
Metairie, LA 70001

In connection with your audit of our financial statements as of December 31, 2017 and for January 1, 2018 through April 30, 2018 (period of audit) for the purpose of expressing an opinion as to the fair presentation of our financial statements in accordance with accounting principles generally accepted in the United States of America, to assess our internal control structure as a part of your audit, and to review our compliance with applicable laws and regulations, we confirm, to the best of our knowledge and belief, the following representations. These representations are based on the information available to us as of April 18, 2018.

PART I. AGENCY PROFILE

1. Name and address of the organization.
**Sewerage & Water Board of New Orleans
625 . Joseph St. – Room 331
New Orleans, LA 70165**

2. List the population of the municipality or parish based upon the last official United States Census or most recent official census (municipalities and police juries only). Include the source of the information.

Not Applicable

3. List names, addresses, and telephone numbers of entity officials. Include elected/appointed members of the governing board, chief executive and fiscal officer, and legal counsel.

See Attached List A

4. Period of time covered by this questionnaire.

January 1, 2017 through April 30, 2018

5. The entity has been organized under the following provisions of the Louisiana Revised Statute(s) (R.S.) and, if applicable, local resolutions/ordinances.

Act. 6 of the Louisiana Legislature of 1899

6. Briefly describe the public services provided.

Construct, control, maintain and operate the Public Water System, the Sewerage System and Drainage System for the City of New Orleans

7. Expiration date of current elected/appointed officials' terms.

See Attached List B

LEGAL COMPLIANCE

PART II. PUBLIC BID LAW

8. The provisions of the public bid law, R.S. Title 38:2211-2296, and, where applicable, the regulations of the Division of Administration, State Purchasing Office have been complied with.

A) All public works purchases exceeding \$154,450 have been publicly bid.

B) All material and supply purchases exceeding \$30,000 have been publicly bid.

Yes [☒] No [☐]

PART III. CODE OF ETHICS LAW FOR PUBLIC OFFICIALS AND PUBLIC EMPLOYEES

9. It is true that no employees or officials have accepted anything of value, whether in the form of a service, loan, or promise, from anyone that would constitute a violation of R.S. 42:1101-1124.

Yes [☒] No [☐]

10. It is true that no member of the immediate family of any member of the governing authority, or the chief executive of the governmental entity, has been employed by the governmental entity after April 1, 1980, under circumstances that would constitute a violation of R.S. 42:1119.

Yes [☒] No [☐]

PART IV. LAWS AFFECTING BUDGETING

11. We have complied with the budgeting requirements of the Local Government Budget Act (R.S. 39:1301-15) R.S. 39:33, or R.S. 39:1331-1342, as applicable:

A. Local Budget Act

1. We have adopted a budget for the general fund and all special revenue funds (R.S. 39:1305).
2. The chief executive officer, or equivalent, has prepared a proposed budget that included a budget message, a proposed budget for the general fund and each special revenue fund, and a budget adoption instrument that specified the chief executive's authority to make budgetary amendments without approval of the governing authority. Furthermore, the proposed expenditures did not exceed estimated funds to be available during the period (R.S. 39:1305).
3. The proposed budget was submitted to the governing authority and made available for public inspection at least 15 days prior to the beginning of the budget year (R.S. 39:1306).
4. To the extent that proposed expenditures were greater than \$500,000, we have made the budget available for public inspection and have advertised its availability in our official journal. The advertisement included the date, time, and place of the public hearing on the budget. Notice has also been published certifying that all actions required by the Local Government Budget Act have been completed (R.S. 39:1307).
5. If required, the proposed budget was made available for public inspection at the location required by R.S. 39:1308.
6. All action necessary to adopt and finalize the budget was completed prior to the date required by state

law. The adopted budget contained the same information as that required for the proposed budget (R.S. 39:1309).

7. After adoption, a certified copy of the budget has been retained by the chief executive officer or equivalent officer (R.S. 39:1309).

8. To the extent that proposed expenditures were greater than \$500,000, the chief executive officer or equivalent notified the governing authority in writing during the year when actual receipts plus projected revenue collections for the year failed to meet budgeted revenues by five percent or more, or when actual expenditures plus projected expenditures to year end exceeded budgeted expenditures by five percent or more (R.S. 39:1311).

9. The governing authority has amended its budget when notified, as provided by R.S. 39:1311. (Note, general and special revenue fund budgets should be amended, regardless of the amount of expenditures in the fund, when actual receipts plus projected revenue collections for the year fail to meet budgeted revenues by five percent or more; or when actual expenditures plus projected expenditures to year end exceed budgeted expenditures by five percent or more. State law exempts from the amendment requirements special revenue funds with anticipated expenditures of \$500,000 or less, and exempts special revenue funds whose revenues are expenditure-driven - primarily federal funds-from the requirement to amend revenues.)

Not Applicable Yes [] No []

B. State Budget Requirements

1. The state agency has complied with the budgetary requirements of R.S. 39:33.

Not Applicable Yes [] No []

C. Licensing Boards

1. The licensing board has complied with the budgetary requirements of R.S. 39:1331-1342.

Not Applicable Yes [] No []

PART V. ACCOUNTING, AUDITING, AND FINANCIAL REPORTING LAWS

12. We have maintained our accounting records in such a manner as to provide evidence of legal compliance and the preparation of annual financial statements to comply with R.S. 24:513 and 515, and/or 33:463.

Yes [x] No []

13. All non-exempt governmental records are available as a public record and have been retained for at least three years, as required by R.S. 44:1, 44:7, 44:31, and 44:36.

Yes [x] No []

14. We have filed our annual financial statements in accordance with R.S. 24:514, and 33:463 where applicable.

Yes [x] No []

15. We have had our financial statements audited in a timely manner in accordance with R.S. 24:513.

Yes [x] No []

16. We did not enter into any contracts that utilized state funds as defined in R.S. 39:72.1 A. (2); and that were subject to the public bid law (R.S. 38:2211, et seq.), while the agency was not in compliance with R.S. 24:513 (the audit law).

Not Applicable Yes [] No []

17. We have complied with R.S. 24:513 A. (3) regarding disclosure of compensation, reimbursements, benefits and other payments to the agency head, political subdivision head, or chief executive officer.

Yes [x] No []

PART VI. MEETINGS

18. We have complied with the provisions of the Open Meetings Law, provided in R. S. 42:11 through 42:28.

Yes [☒] No [☐]

PART VII. ASSET MANAGEMENT LAWS

19. We have maintained records of our fixed assets and movable property records, as required by R.S. 24:515 and/or 39:321-332, as applicable.

Yes [☒] No [☐]

PART VIII. FISCAL AGENCY AND CASH MANAGEMENT LAWS

20. We have complied with the fiscal agency and cash management requirements of R.S. 39:1211-45 and 49:301-327, as applicable.

Not Applicable Yes [☐] No [☐]

PART IX. DEBT RESTRICTION LAWS

21. It is true we have not incurred any long-term indebtedness without the approval of the State Bond Commission, as provided by Article VII, Section 8 of the 1974 Louisiana Constitution, Article VI, Section 33 of the 1974 Louisiana Constitution, and R.S. 39:1410.60-1410.65.

Yes [☒] No [☐]

22. We have complied with the debt limitation requirements of state law (R.S. 39:562).

Not Applicable Yes [☐] No [☐]

23. We have complied with the reporting requirements relating to the Fiscal Review Committee of the State Bond Commission (R.S. 39:1410.62).

Yes [☒] No [☐]

PART X. REVENUE AND EXPENDITURE RESTRICTION LAWS

24. We have restricted the collections and expenditures of revenues to those amounts authorized by Louisiana statutes, tax propositions, and budget ordinances.

Not Applicable Yes [☐] No [☐]

25. It is true we have not advanced wages or salaries to employees or paid bonuses in violation of Article VII, Section 14 of the 1974 Louisiana Constitution, R.S. 14:138, and AG opinion 79-729.

Yes [☒] No [☐]

26. It is true that no property or things of value have been loaned, pledged, or granted to anyone in violation of Article VII, Section 14 of the 1974 Louisiana Constitution.

Yes [☒] No [☐]

PART XI. ISSUERS OF MUNICIPAL SECURITIES

27. It is true that we have complied with the requirements of R.S. 39:1438.C.

Not Applicable Yes [☐] No [☐]

PART XI. QUESTIONS FOR SPECIFIC GOVERNMENTAL UNITS

Parish Governments

28. We have adopted a system of road administration that provides as follows:

- A. Approval of the governing authority of all expenditures, R.S. 48:755(A).
- B. Development of a capital improvement program on a selective basis, R.S. 48:755.
- C. Centralized purchasing of equipment and supplies, R.S. 48:755.
- D. Centralized accounting, R.S. 48:755.
- E. A construction program based on engineering plans and inspections, R.S. 48:755.
- F. Selective maintenance program, R.S. 48:755.
- G. Annual certification of compliance to the auditor, R.S. 48:758.

Not Applicable Yes [☐] No [☐]

School Boards

29. We have complied with the general statutory, constitutional, and regulatory provisions of the Louisiana Department of Education, R.S. 17:51-400.

Not Applicable Yes [] No []

30. We have complied with the regulatory circulars issued by the Louisiana Department of Education that govern the Minimum Foundation Program.

Not Applicable Yes [] No []

31. We have, to the best of our knowledge, accurately compiled the performance measurement data contained in the following schedules and recognize that your agreed-upon procedures will be applied to such schedules and performance measurement data:

Parish school boards are required to report, as part of their annual financial statements, measures of performance. These performance indicators are found in the supplemental schedules:

- Schedule 1, General Fund Instructional and Support Expenditures and Certain Local Revenue Sources
- Schedule 2, Education Levels of Public School Staff
- Schedule 3, Number and Type of Public Schools
- Schedule 4, Experience of Public Principals, Assistant Principals, and Full-time Classroom Teachers
- Schedule 5, Public School Staff Data: Average Salaries
- Schedule 6, Class Size Characteristics
- Schedule 7, Louisiana Educational Assessment Program (LEAP)
- Schedule 8, Graduation Exit Examination (GEE) (Note: this schedule is no longer applicable.)
- Schedule 9, iLEAP Tests

Not Applicable Yes [] No []

Tax Collectors

32. We have complied with the general statutory requirements of R.S. 47.

Not Applicable Yes [] No []

Sheriffs

33. We have complied with the state supplemental pay regulations of R.S. 40:1667.7.

Not Applicable Yes [] No []

34. We have complied with R.S. 13:5535 relating to the feeding and keeping of prisoners.

Not Applicable Yes [] No []

District Attorneys

35. We have complied with the regulations of the DCFS that relate to the Title IV-D Program.

Not Applicable Yes [] No []

Assessors

36. We have complied with the regulatory requirements found in R.S. Title 47.

Not Applicable Yes [] No []

37. We have complied with the regulations of the Louisiana Tax Commission relating to the reassessment of property.

Not Applicable Yes [] No []

Clerks of Court

38. We have complied with R.S. 13:751-917 and applicable sections of R.S. 11:1501-1562.

Not Applicable Yes [] No []

Libraries

39. We have complied with the regulations of the Louisiana State Library.

Not Applicable Yes [] No []

Municipalities

40. Minutes are taken at all meetings of the governing authority (R.S. 42:20).

Not Applicable Yes [] No []

41. Minutes, ordinances, resolutions, budgets, and other official proceedings of the municipalities are published in the official journal (R.S. 43:141-146 and A.G. 86-528).

Not Applicable Yes [] No []

42. All official action taken by the municipality is conducted at public meetings (R.S. 42:11 to 42:28).

Not Applicable Yes [] No []

Airports

43. We have submitted our applications for funding airport construction or development to the Department of Transportation and Development as required by R.S. 2:802.

Not Applicable Yes [] No []

44. We have adopted a system of administration that provides for approval by the department for any expenditures of funds appropriated from the Transportation Trust Fund, and no funds have been expended without department approval (R.S. 2:810).

Not Applicable Yes [] No []

45. All project funds have been expended on the project and for no other purpose (R.S. 2:810).

Not Applicable Yes [] No []

46. We have certified to the auditor, on an annual basis, that we have expended project funds in accordance with the standards established by law (R.S. 2:811).

Not Applicable Yes [] No []

Ports

47. We have submitted our applications for funding port construction or development to the Department of Transportation and Development as required by R.S. 34:3452.

Not Applicable Yes [] No []

48. We have adopted a system of administration that provides for approval by the department for any expenditures of funds made out of state and local matching funds, and no funds have been expended without department approval (R.S. 34:3460).

Not Applicable Yes [] No []

49. All project funds have been expended on the project and for no other purpose (R.S. 34:3460).

Not Applicable Yes [] No []

50. We have established a system of administration that provides for the development of a capital improvement program on a selective basis, centralized purchasing of equipment and supplies, centralized accounting, and the selective maintenance and construction of port facilities based upon engineering plans and inspections (R.S. 34:3460).

Not Applicable Yes [] No []

51. We have certified to the auditor, on an annual basis, that we have expended project funds in accordance with the standards established by law (R.S. 34:3461).

Not Applicable Yes [] No []

Sewerage Districts

52. We have complied with the statutory requirements of R.S. 33:3881-4159.10.

Yes [x] No []

Waterworks Districts

53. We have complied with the statutory requirements of R.S. 33:3811-3837.

Yes [x] No []

Utility Districts

54. We have complied with the statutory requirements of R.S. 33:4161-4546.21.

Yes [x] No []

Drainage and Irrigation Districts

55. We have complied with the statutory requirements of R.S. 38:1601-1707 (Drainage Districts); R.S. 38:1751-1921 (Gravity Drainage Districts); R.S. 38:1991-2048 (Levee and Drainage Districts); or R.S. 38:2101-2123 (Irrigation Districts), as appropriate.

Yes [☒] No [☐]

Fire Protection Districts

56. We have complied with the statutory requirements of R.S. 40:1491-1509.

Not Applicable Yes [☐] No [☐]

Other Special Districts

57. We have complied with those specific statutory requirements of state law applicable to our district.

Not Applicable Yes [☐] No [☐]


The previous responses have been made to the best of our belief and knowledge. We have disclosed to you all known noncompliance of the foregoing laws and regulations, as well as any contradictions to the foregoing representations. We have made available to you documentation relating to the foregoing laws and regulations.

We have provided you with any communications from regulatory agencies or other sources concerning any possible noncompliance with the foregoing laws and regulations, including any communications received between the end of the period under examination and the issuance of this report. We acknowledge our responsibility to disclose to you and the Legislative Auditor any known noncompliance that may occur subsequent to the issuance of your report.

Secretary _____ Date

Treasurer _____ Date

~~President~~ _____ Date



Chairman

5-14-18

**DECLARATION OF COUNCIL DISTRICT "D" MEMEBR VACANCY ON THE SEWERAGE AND
WATER BOARD OF NEW ORLEANS BOARD OF DIRECTORS**

WHEREAS, on February 28, 2018, the Council District "D" position, previously held by Marion Bracy, was vacated; and

WHEREAS, pursuant to law, a selection committee shall be convened to nominate candidates for the vacant positions; and

WHEREAS, candidates must submit applications within 30 days after declaration by the Board of said vacancies; and

WHEREAS, current members shall remain in office until Board members are appointed, as provided in Louisiana Revised Statute 33:4071.

NOW THEREFORE, EFFECTIVE MAY 16, 2018, BE IT RESOLVED that one position being held presently by Mr. Marion Bracy, Council District "D" Member, on the Board of Directors for the Sewerage and Water Board of New Orleans is hereby declared vacant effective May 16, 2018 and further that staff is directed to advertise a Notice of Vacancy for same and process said applications, according to law, so that the Selection Committee may be convened to select nominees for said positions.

I, Bruce Adams, Interim General Superintendent
Sewerage and Water Board of New Orleans, do hereby
Certify that the above and foregoing is true and correct copy of a
Resolution adopted at the Regular
Monthly Meeting of said Board, duly called and held,
according to law, on May 16, 2018.

BRUCE ADAMS, INTERIM GENERAL SUPERINTENDENT
SEWERAGE AND WATER BOARD OF NEW ORLEANS



SEWERAGE AND WATER BOARD OF NEW ORLEANS

May 8, 2018

Finance and Administration Committee
Sewerage and Water Board of New Orleans
New Orleans, Louisiana

Re: Financial Results through February 2018

Attached are the *Statement of Revenues, Expenses, and Changes in Net Position* with budget and prior year comparisons for the water, sewer, drainage and total systems through February 2018. The Financial Results through February 2018 is also attached. The *Statement of Net Assets* and the *Statement of Cash Flows* will be provided after the completion of the 2017 audit.

Operating Revenues:

Water System Fund (pages 7 and 8, line 5) for February of \$7,872,877 is \$386,964 or 4.7% less than budgeted and \$179,445 or 2.2% less than February 2017. February YTD operating revenues of \$15,625,879 is \$893,804 or 5.4% less than budgeted and \$699,328 or 4.7% more than February YTD 2017.

Sewer System Fund (pages 13 and 14, line 5) for February of \$9,833,267 is \$806,114 or 7.6% less than budgeted and \$818,320 or 9.1% more than February 2017. February YTD operating revenues of \$19,050,330 is \$2,228,432 or 10.5% less than budgeted and \$1,655,559 or 9.5% more than February YTD 2017.

Drainage System Fund (pages 19 and 20, line 5) for February of \$3,520 is \$3,520 or 0.0% budgeted and \$164,415 or 97.9% less than for February 2017. February YTD operating revenues of -\$44,944 is \$44,944 or 0.0% budgeted and \$214,827 or 126.5% less than February YTD 2017.

Total System Funds (pages 1 and 2, line 5) for February of \$17,709,664 are \$1,189,558 or 6.3% less than budgeted and \$474,460 or 2.8% more than February 2017. February YTD operating revenues of \$34,631,265 are \$3,167,180 or 8.4% less than budgeted and \$2,140,060 or 6.6% more than February YTD 2017.

Operating Expenses:

Water System Fund (pages 7 and 8, line 18) for February of \$5,899,678 is \$2,997,082 or 33.7% less than budgeted and \$1,412,299 or 19.3% less than February 2017. February YTD operating expenses of \$12,267,554 is \$5,525,966 or 31.1% less than budgeted and \$2,682,789 or 17.9% less than February YTD 2017.

Sewer System Fund (pages 13 and 14, line 18) for February of \$9,229,203 is \$733,462 or 7.4% less than budgeted and \$2,541,142 or 38.0% more than February 2017. February YTD operating expenses of \$18,279,597 is \$1,645,732 or 8.3% less than budgeted and \$5,871,430 or 47.3% more than February YTD 2017.

Drainage System Fund (pages 19 and 20, line 18) for February of \$4,624,301 is \$1,736,469 or 27.3% less than budgeted and \$769,646 or 20.0% more than February 2017. February YTD operating expenses of \$11,725,365 is \$996,175 or 7.8% less than budgeted and \$3,817,326 or 48.3% more than February YTD 2017.

Total System Funds (pages 1 and 2, line 18) for February of \$19,753,182 are \$5,467,013 or 21.7% less than budgeted and \$1,898,490 or 10.6% more than February 2017. February YTD operating expenses of \$42,272,517 is \$8,167,872 or 16.2% less than budgeted and \$7,005,967 or 19.9% more



SEWERAGE AND WATER BOARD OF NEW ORLEANS

than February YTD 2017.

Net Non-Operating Revenues:

Water System Fund (pages 7 and 8, line 28) for February of \$41,535 is \$227,891 or 84.6% less than budgeted and \$35,301 or 566.3% more than February 2017. February YTD net non-operating revenues of \$88,572 are \$450,281 or 83.6% less than budgeted and \$14,950 or 20.3% more than February YTD 2017.

Sewer System Fund (pages 13 and 14, line 28) for February of \$19,866 is \$233,015 or 92.1% less than budgeted and \$13,475 or 210.8% more than February 2017. February YTD net non-operating revenues of \$41,853 are \$463,908 or 91.7% less than budgeted and \$2,540 or 6.5% more than February YTD 2017.

Drainage System Fund (pages 19 and 20, line 28) for February of \$3,559,264 is \$1,060,898 or 23.0% less than budgeted and \$13,669,848 or 79.3% less February 2017. February YTD net non-operating revenues of \$3,564,703 are \$5,675,621 or 61.4% less than budgeted and \$14,842,794 or 80.6% less than February YTD 2017.

Total System Funds (pages 1 and 2, line 28) for February of \$3,620,665 is \$1,521,804 or 29.6% more than budgeted and \$13,621,072 or 79.0% less than February 2017. February YTD net non-operating revenues of \$3,695,129 are \$6,589,809 or 64.1% less than budgeted and \$14,825,303 or 80.0% less than February YTD 2017.

Income Before Contributions in Aid of Construction:

Water System Fund (pages 7 and 8, line 29) for February of \$2,014,734 is \$2,382,226 or 648.2% more than budgeted and \$1,268,155 or 169.9% more than February 2017. February YTD income before capital contributions of \$3,446,898 is \$4,181,881 or 569.0% more than budgeted and \$3,397,068 or 6817.3% more than February YTD 2017.

Sewer System Fund (pages 13 and 14, line 29) for February of \$623,930 is \$305,667 or 32.9% less than budgeted and \$1,709,348 or 73.3% less than February 2017. February YTD income before capital contributions of \$812,586 is \$1,046,608 or 56.3% less than budgeted and \$4,213,331 or 83.8% less than February YTD 2017.

Drainage System Fund (pages 19 and 20, line 29) for February of -\$1,061,517 is \$679,091 or 39.0% more than budgeted and \$14,603,909 or 107.8% less than February 2017. February YTD income before capital contributions of -\$8,205,606 is \$4,724,390 or 135.7% less than budgeted and \$18,874,947 or 176.9% less than February YTD 2017.

Total System Funds (pages 1 and 2, line 29) for February of \$1,577,148 is \$2,755,651 or 233.8% more than budgeted and \$15,045,101 or 90.5% less than February 2017. February YTD income before capital contributions of -\$3,946,123 is \$1,589,116 or 67.4% less than budgeted and \$19,691,210 or 125.1% less than February YTD 2017.



SEWERAGE AND WATER BOARD OF NEW ORLEANS

The balances of funds from the Series 2014 bond proceeds available for capital construction as of February 28, 2018 are:

	Water	Sewer	Total
Original Balance	\$819,340.06	-	\$819,340.06
Less Disbursements	-	-	0.00
Plus Reimbursements	-	-	0.00
Plus Income	623.15	-	623.15
Ending Balance	\$819,963.21	-	\$819,963.21

The balances of funds from the Series 2015 bond proceeds available for capital construction as of February 28, 2018 are:

	Water	Sewer	Total
Original Balance	\$44,716,907.77	\$448,689.44	\$45,165,597.21
Less Disbursements	(6,500,000.00)		(6,500,000.00)
Plus Reimbursements	-	-	0.00
Plus Income	30,972.55	260.61	31,233.16
Ending Balance	\$38,247,880.32	\$448,950.05	\$38,696,830.37

Total	\$39,067,843.53	\$448,950.05	\$39,516,793.58
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The days-of-cash at February 28, 2018 were 125 for the water system, 170 for the sewer system, 69 for the drainage system and 131 for the total systems. The total system results is below the minimum policy target of 180 days for the water, sewerage and drainage systems combined. Individually, the water, sewer, and drainage system did not meet it's policy target of 180 days.

The projected coverage based upon financial results through February 28, 2018, remains at the budgeted levels of 2.75 times for the water system and 1.35 times for the sewer system. The water results are above the policy target of 1.50 times and the bond covenant minimum of 1.25. The sewer results are below the policy target of 1.50 times and above the bond covenant minimum of 1.25.

Certification. In connection with the accompanying monthly financial report of the Sewerage and Water Board of New Orleans for the period ended February 28, 2018, I hereby certify that, to my knowledge, the information contained in the report fairly presents, in all material respects, the financial condition and results of the Board.

Rosita Thomas
Finance Administrator

SEWERAGE AND WATER BOARD OF NEW ORLEANS
ALL SYSTEM FUNDS
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH PRIOR YEAR COMPARISONS
February 2018

	A	B	C	D	E	F	G	H
	MTD Actual	MTD Prior Year	MTD Variance	%	YTD Actual	YTD Prior Year	YTD Variance	%
Operating revenues:								
1 Sales of water and delinquent fees	7,699,803	7,913,628	(213,825)	-2.7%	15,297,977	14,650,184	647,793	4.4%
2 Sewerage service charges and delinquent fees	9,766,274	8,890,470	875,804	9.9%	18,915,643	17,232,273	1,683,370	9.8%
3 Plumbing inspection and license fees	44,620	39,590	5,030	12.7%	101,724	106,380	(4,656)	-4.4%
4 Other revenues	198,967	391,516	(192,549)	-49.2%	315,921	502,368	(186,447)	-37.1%
5 Total operating revenues	17,709,664	17,235,204	474,460	2.8%	34,631,265	32,491,205	2,140,060	6.6%
Operating Expenses:								
6 Executive Director	131,045	130,043	1,002	0.8%	211,139	217,082	(5,942)	-2.7%
7 Special Counsel	100,675	110,169	(9,494)	-8.6%	235,779	201,577	34,203	17.0%
8 Security	783,781	833,885	(50,103)	-6.0%	1,559,334	1,757,394	(198,060)	-11.3%
9 Operations	10,369,647	8,558,880	1,810,767	21.2%	22,968,550	16,602,972	6,365,578	38.3%
10 Engineering	555,420	432,738	122,682	28.4%	1,084,260	873,473	210,787	24.1%
11 Logistics	945,207	767,588	177,619	23.1%	2,014,931	1,647,809	367,121	22.3%
12 Communications	24,440	28,593	(4,153)	-14.5%	48,338	47,339	999	2.1%
13 Administration	(67,094)	1,143,721	(1,210,815)	-105.9%	274,435	1,904,776	(1,630,342)	-85.6%
14 Chief Financial Officer	1,489,089	1,267,205	221,884	17.5%	3,032,311	2,925,795	106,516	3.6%
15 Continuous Improvement	4,223	12,674	(8,450)	-66.7%	6,221	22,770	(16,549)	-72.7%
16 Overhead and Budget Adjustments	-	(949,810)	949,810	-100.0%	-	(1,972,452)	1,972,452	-100.0%
17 Subtotal	14,336,433	12,335,685	2,000,749	16.2%	31,435,298	24,228,535	7,206,763	29.7%
18 Non-Cash Operating Expenses	5,416,748	5,519,007	(102,259)	-1.9%	10,837,218	11,038,015	(200,796)	-1.8%
19 Total operating expenses	19,753,182	17,854,692	1,898,490	10.6%	42,272,517	35,266,549	7,005,967	19.9%
20 Operating income (loss)	(2,043,518)	(619,488)	(1,424,029)	229.9%	(7,641,251)	(2,775,344)	(4,865,907)	175.3%
Non-operating revenues (expense):								
21 Two-mill tax	-	20	(20)	-100.0%	-	44	(44)	-100.0%
22 Three-mill tax	976,431	591	975,840	165116.8%	976,431	49,457	926,974	1874.3%
23 Six-mill tax	1,031,154	6,893,694	(5,862,540)	-85.0%	1,031,154	7,342,480	(6,311,326)	-86.0%
24 Nine-mill tax	1,545,651	10,333,454	(8,787,803)	-85.0%	1,545,651	11,006,154	(9,460,503)	-86.0%
25 Interest income	67,429	13,801	53,628	388.6%	141,876	122,014	19,862	16.3%
26 Other Income	-	-	-	0.0%	-	-	-	0.0%
27 Interest expense	-	-	-	0.0%	-	-	-	0.0%
28 Operating and maintenance grants	-	177	(177)	-100.0%	17	283	(266)	-93.9%
29 Total non-operating revenues	3,620,665	17,241,737	(13,621,072)	-79.0%	3,695,129	18,520,432	(14,825,303)	-80.0%
30 Income before capital contributions	1,577,148	16,622,249	(15,045,101)	-90.5%	(3,946,123)	15,745,088	(19,691,210)	-125.1%
31 Capital contributions	1,024,600	1,760,014	(735,414)	-41.8%	1,276,331	333,489	942,842	282.7%
32 Change in net position	2,601,748	18,382,263	(15,780,515)	-85.8%	(2,669,791)	16,078,577	(18,748,368)	-116.6%
33 Net position, beginning of year	-	-	-	-	2,278,288,011	2,228,421,948	49,866,063	2.2%
34 Net position, end of year	-	-	-	-	2,275,618,220	2,244,500,525	31,117,695	1.4%

SEWERAGE AND WATER BOARD OF NEW ORLEANS
ALL SYSTEM FUNDS
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH BUDGET COMPARISONS
February 2018

	A	B	C	D	E	F	G	H
	MTD Actual	MTD Budget	MTD Variance	%	YTD Actual	YTD Budget	YTD Variance	%
Operating revenues:								
1 Sales of water and delinquent fees	7,699,803	7,872,192	(172,389)	-2.2%	15,297,977	15,744,383	(446,406)	-2.8%
2 Sewerage service charges and delinquent fees	9,766,274	10,777,991	(1,011,717)	-9.4%	18,915,643	21,555,981	(2,640,338)	-12.2%
3 Plumbing inspection and license fees	44,620	(190,585)	235,205	-123.4%	101,724	(381,170)	482,894	-126.7%
4 Other revenues	198,967	439,625	(240,658)	-54.7%	315,921	879,250	(563,329)	-64.1%
5 Total operating revenues	17,709,664	18,899,233	(1,189,568)	-6.3%	34,631,265	37,798,445	(3,167,180)	-8.4%
Operating Expenses:								
6 Executive Director	131,045	129,249	1,795	1.4%	211,139	258,499	(47,359)	-18.3%
7 Special Counsel	100,675	281,892	(181,216)	-64.3%	235,779	563,784	(328,004)	-58.2%
8 Security	783,781	1,279,411	(495,630)	-38.7%	1,559,334	2,558,822	(999,488)	-39.1%
9 Operations	10,369,647	11,356,317	(986,671)	-8.7%	22,968,550	22,712,635	255,915	1.1%
10 Engineering	555,420	1,188,698	(633,278)	-53.3%	1,084,260	2,377,395	(1,293,135)	-54.4%
11 Logistics	945,207	1,699,616	(754,409)	-44.4%	2,014,931	3,399,233	(1,384,302)	-40.7%
12 Communications	24,440	136,581	(112,141)	-82.1%	48,338	273,162	(224,824)	-82.3%
13 Administration	(67,094)	1,412,373	(1,479,466)	-104.8%	274,435	2,824,745	(2,550,311)	-90.3%
14 Chief Financial Officer	1,489,089	2,969,635	(1,480,546)	-49.9%	3,032,311	5,939,270	(2,906,959)	-48.9%
15 Continuous Improvement	4,223	22,632	(18,409)	-81.3%	6,221	45,264	(39,043)	-86.3%
16 Overhead and Budget Adjustments	-	(1,078,459)	1,078,459	-100.0%	-	(2,156,919)	2,156,919	-100.0%
17 Subtotal	14,336,433	19,397,945	(5,061,511)	-26.1%	31,435,298	38,795,889	(7,360,591)	-19.0%
18 Non-Cash Operating Expenses	5,416,748	5,822,250	(405,501)	-7.0%	10,837,218	11,644,500	(807,281)	-6.9%
19 Total operating expenses	19,753,182	25,220,195	(5,467,013)	-21.7%	42,272,517	50,440,389	(8,167,872)	-16.2%
20 Operating income (loss)	(2,043,518)	(6,320,972)	4,277,454	-67.7%	(7,641,251)	(12,641,944)	5,000,692	-39.6%
Non-operating revenues (expense):								
21 Two-mill tax	976,431	1,235,828	(259,397)	-21.0%	976,431	2,471,656	(1,495,225)	-60.5%
22 Three-mill tax	1,031,154	1,305,101	(273,947)	-21.0%	1,031,154	2,610,202	(1,579,048)	-60.5%
23 Six-mill tax	1,545,651	1,956,266	(410,615)	-21.0%	1,545,651	3,912,532	(2,366,881)	-60.5%
24 Nine-mill tax	67,429	115,415	(47,986)	-41.6%	141,876	230,830	(88,954)	-38.5%
25 Interest income	-	255,476	(255,476)	-100.0%	-	510,951	(510,951)	-100.0%
26 Other Income	-	-	-	0.0%	-	-	-	0.0%
27 Interest expense	-	274,383	(274,383)	-100.0%	17	548,767	(548,750)	-100.0%
28 Operating and maintenance grants	-	5,142,469	(1,521,804)	-29.6%	3,695,129	10,284,938	(6,589,809)	-64.1%
29 Total non-operating revenues	3,620,665	(1,178,503)	2,755,651	-233.8%	(3,946,123)	(2,357,006)	(1,589,116)	67.4%
30 Income before capital contributions	1,577,148	(1,178,503)	2,755,651	-233.8%	(3,946,123)	(2,357,006)	(1,589,116)	67.4%
31 Capital contributions	1,024,600	-	1,024,600	0.0%	1,276,331	-	1,276,331	0.0%
32 Change in net position	2,601,748	(1,178,503)	3,780,251	-320.8%	(2,669,791)	(2,357,006)	(312,785)	13.3%
33 Net position, beginning of year	-	-	-	-	2,278,288,011	2,228,421,948	49,866,063	2.2%
34 Net position, end of year	-	-	-	-	2,275,618,220	2,224,940,732	50,677,488	2.3%

SEWERAGE AND WATER BOARD OF NEW ORLEANS
WATER SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH PRIOR YEAR COMPARISONS
February 2018

	A	B	C	D	E	F	G	H
	MTD Actual	MTD Prior Year	MTD Variance	%	YTD Actual	YTD Prior Year	YTD Variance	%
Operating revenues:								
1 Sales of water and delinquent fees	7,699,803	7,913,628	(213,825)	-2.7%	15,297,977	14,650,184	647,793	4.4%
2 Sewerage service charges and delinquent fees	-	-	-	0.0%	-	-	-	0.0%
3 Plumbing inspection and license fees	44,620	19,795	24,825	125.4%	101,724	53,190	48,534	91.2%
4 Other revenues	128,454	118,899	9,555	8.0%	226,178	223,177	3,001	1.3%
5 Total operating revenues	7,872,877	8,052,322	(179,445)	-2.2%	15,625,879	14,926,551	699,328	4.7%
Operating Expenses:								
6 Executive Director	42,695	46,304	(3,609)	-7.8%	69,347	77,899	(8,552)	-11.0%
7 Special Counsel	29,566	36,379	(6,813)	-18.7%	69,094	67,620	1,474	2.2%
8 Security	313,513	303,049	10,464	3.5%	623,734	642,333	(18,599)	-2.9%
9 Operations	3,128,007	3,705,451	(577,444)	-15.6%	6,569,389	7,783,385	(1,213,996)	-15.6%
10 Engineering	148,585	148,042	544	0.4%	290,670	306,836	(16,166)	-5.3%
11 Logistics	374,041	244,063	129,977	53.3%	798,311	532,295	266,016	50.0%
12 Communications	9,776	9,531	245	2.6%	19,335	15,780	3,556	22.5%
13 Administration	(27,201)	528,542	(555,743)	-105.1%	109,060	878,967	(769,907)	-87.6%
14 Chief Financial Officer	637,707	587,509	50,198	8.5%	1,231,886	1,265,257	(33,371)	-2.6%
15 Continuous Improvement	1,408	4,225	(2,817)	-66.7%	2,074	7,590	(5,516)	-72.7%
16 Overhead and Budget Adjustments	-	(344,268)	344,268	-100.0%	-	(713,922)	713,922	-100.0%
17 Non-Cash Operating Expenses	1,241,583	2,043,152	(801,569)	-39.2%	2,484,654	4,086,303	(1,601,649)	-39.2%
18 Total operating expenses	5,899,678	7,311,977	(1,412,299)	-19.3%	12,267,554	14,950,343	(2,682,789)	-17.9%
19 Operating income (loss)	1,973,199	740,345	1,232,854	166.5%	3,358,325	(23,792)	3,382,117	-14215.4%
Non-operating revenues (expense):								
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%
24 Interest income	41,535	6,080	35,455	583.1%	88,555	73,362	15,193	20.7%
25 Other Income	-	-	-	0.0%	-	-	-	0.0%
26 Interest expense	-	-	-	0.0%	-	-	-	0.0%
27 Operating and maintenance grants	-	154	(154)	-100.0%	17	260	(243)	-93.4%
28 Total non-operating revenues	41,535	6,234	35,301	566.3%	88,572	73,622	14,950	20.3%
29 Income before capital contributions	2,014,734	746,579	1,268,155	169.9%	3,446,898	49,830	3,397,068	6817.3%
30 Capital contributions	980,924	115,901	865,023	746.3%	1,202,314	208,115	994,199	477.7%
31 Change in net position	2,995,658	862,480	2,133,178	247.3%	4,649,212	257,945	4,391,267	1702.4%
32 Net position, beginning of year	-	-	-	-	364,338,485	337,110,237	27,228,248	8.1%
33 Net position, end of year	-	-	-	-	368,987,697	337,368,182	31,619,515	9.4%

SEWERAGE AND WATER BOARD OF NEW ORLEANS
WATER SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH BUDGET COMPARISONS
February 2018

	A	B	C	D	E	F	G	H
	MTD Actual	MTD Budget	MTD Variance	%	YTD Actual	YTD Budget	YTD Variance	%
Operating revenues:								
1 Sales of water and delinquent fees	7,699,803	7,872,192	(172,389)	-2.2%	15,297,977	15,744,383	(446,406)	-2.8%
2 Sewerage service charges and delinquent fees	-	-	-	0.0%	-	-	-	0.0%
3 Plumbing inspection and license fees	44,620	24,975	19,645	78.7%	101,724	49,950	51,774	103.7%
4 Other revenues	128,454	362,675	(234,221)	-64.6%	276,178	725,350	(449,172)	-68.8%
5 Total operating revenues	7,872,877	8,259,842	(386,964)	-4.7%	15,625,879	16,519,683	(893,804)	-5.4%
Operating Expenses:								
6 Executive Director	42,695	43,897	(1,202)	-2.7%	69,347	87,794	(18,447)	-21.0%
7 Special Counsel	29,566	87,410	(57,844)	-66.2%	69,094	174,819	(105,726)	-60.5%
8 Security	313,513	511,764	(198,252)	-38.7%	623,734	1,023,529	(399,795)	-39.1%
9 Operations	3,128,007	4,324,093	(1,196,086)	-27.7%	6,569,389	8,648,186	(2,078,796)	-24.0%
10 Engineering	148,585	328,339	(179,754)	-54.7%	290,670	656,678	(366,008)	-55.7%
11 Logistics	374,041	640,996	(266,956)	-41.6%	798,311	1,281,993	(483,682)	-37.7%
12 Communications	9,776	54,632	(44,856)	-82.1%	19,335	109,265	(89,930)	-82.3%
13 Administration	(27,201)	564,949	(592,150)	-104.8%	109,060	1,129,898	(1,020,838)	-90.3%
14 Chief Financial Officer	637,707	1,119,777	(482,070)	-43.1%	1,231,886	2,239,554	(1,007,669)	-45.0%
15 Continuous Improvement	1,408	7,544	(6,136)	-81.3%	2,074	15,088	(13,014)	-86.3%
16 Overhead and Budget Adjustments	-	(394,170)	394,170	-100.0%	-	(788,340)	788,340	-100.0%
17 Non-Cash Operating Expenses	1,241,583	1,607,528	(365,945)	-22.8%	2,484,654	3,215,056	(730,402)	-22.7%
18 Total operating expenses	5,899,678	8,896,760	(2,997,082)	-33.7%	12,267,554	17,793,520	(5,525,966)	-31.1%
19 Operating income (loss)	1,973,199	(636,918)	2,610,117	-409.8%	3,358,325	(1,273,837)	4,632,162	-363.6%
Non-operating revenues (expense):								
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%
24 Interest income	41,535	63,684	(22,149)	-34.8%	88,555	127,369	(38,814)	-30.5%
25 Other Income	-	68,550	(68,550)	-100.0%	-	137,101	(137,101)	-100.0%
26 Interest expense	-	-	-	0.0%	-	-	-	0.0%
27 Operating and maintenance grants	-	137,192	(137,192)	-100.0%	17	274,383	(274,366)	-100.0%
28 Total non-operating revenues	41,535	269,427	(227,891)	-84.6%	88,572	538,853	(450,281)	-83.6%
29 Income before capital contributions	2,014,734	(367,492)	2,382,226	-648.2%	3,446,898	(734,984)	4,181,881	-569.0%
30 Capital contributions	980,924	-	980,924	0.0%	1,202,314	1,202,314	-	0.0%
31 Change in net position	2,995,658	(367,492)	3,363,150	-915.2%	4,649,212	-	4,649,212	-
32 Net position, beginning of year	-	-	-	-	364,338,485	337,110,237	27,228,248	8.1%
33 Net position, end of year	-	-	-	-	368,987,697	337,110,237	31,877,460	9.5%

SEWERAGE AND WATER BOARD OF NEW ORLEANS
SEWER SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH PRIOR YEAR COMPARISONS
February 2018

	A	B	C	D	E	F	G	H
	MTD	MTD	MTD	%	YTD	YTD	YTD	%
	Actual	Prior Year	Variance		Actual	Prior Year	Variance	
Operating revenues:								
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%
2 Sewerage service charges and delinquent fees	9,766,274	8,890,470	875,804	9.9%	18,915,643	17,232,273	1,683,370	9.8%
3 Plumbing inspection and license fees	-	19,795	(19,795)	-100.0%	-	53,190	(53,190)	-100.0%
4 Other revenues	66,993	104,682	(37,689)	-36.0%	134,687	109,308	25,379	23.2%
5 Total operating revenues	9,833,267	9,014,947	818,320	9.1%	19,050,330	17,394,771	1,655,559	9.5%
Operating Expenses:								
6 Executive Director	62,141	43,099	19,042	44.2%	99,564	71,894	27,670	38.5%
7 Special Counsel	47,709	36,379	11,330	31.1%	112,129	64,632	47,497	73.5%
8 Security	313,512	274,935	38,577	14.0%	623,733	575,467	48,266	8.4%
9 Operations	5,341,176	3,620,957	1,720,220	47.5%	10,282,183	6,272,556	4,009,627	63.9%
10 Engineering	266,755	155,859	110,896	71.2%	520,814	312,299	208,515	66.8%
11 Logistics	378,083	238,460	139,623	58.6%	805,972	523,298	282,674	54.0%
12 Communications	9,776	9,531	245	2.6%	19,335	15,780	3,556	22.5%
13 Administration	(27,201)	341,814	(369,015)	-108.0%	109,060	569,589	(460,529)	-80.9%
14 Chief Financial Officer	716,810	556,948	159,862	28.7%	1,465,174	1,211,336	253,838	21.0%
15 Continuous Improvement	1,408	4,225	(2,817)	-66.7%	2,074	7,590	(5,516)	-72.7%
16 Overhead and Budget Adjustments	-	(333,705)	333,705	-100.0%	-	(695,392)	695,392	-100.0%
17 Non-Cash Operating Expenses	2,119,035	1,739,559	379,476	21.8%	4,239,558	3,479,118	760,440	21.9%
18 Total operating expenses	9,229,203	6,688,060	2,541,142	38.0%	18,279,597	12,408,167	5,871,430	47.3%
19 Operating income (loss)	604,065	2,326,887	(1,722,822)	-74.0%	770,733	4,986,603	(4,215,870)	-84.5%
Non-operating revenues (expense):								
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%
24 Interest income	19,866	6,368	13,498	212.0%	41,853	39,290	2,563	6.5%
25 Other Income	-	-	-	0.0%	-	-	-	0.0%
26 Interest expense	-	-	-	0.0%	-	-	-	0.0%
27 Operating and maintenance grants	-	23	(23)	-100.0%	-	23	(23)	-100.0%
28 Total non-operating revenues	19,866	6,391	13,475	210.8%	41,853	39,313	2,540	6.5%
29 Income before capital contributions	623,930	2,333,278	(1,709,348)	-73.3%	812,586	5,025,916	(4,213,331)	-83.8%
30 Capital contributions	36,199	1,642,430	(1,606,231)	-97.8%	66,540	116,203	(49,663)	-42.7%
31 Change in net position	660,129	3,975,708	(3,315,579)	-83.4%	879,126	5,142,119	(4,262,993)	-82.9%
32 Net position, beginning of year	-	-	-	-	823,295,590	792,100,836	31,194,754	3.9%
33 Net position, end of year	-	-	-	-	824,174,716	797,212,955	26,931,761	3.4%

SEWERAGE AND WATER BOARD OF NEW ORLEANS
SEWER SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH BUDGET COMPARISONS
February 2018

	A	B	C	D	E	F	G	H
	MTD	MTD	MTD	%	YTD	YTD	YTD	%
	Actual	Budget	Variance		Actual	Budget	Variance	
Operating revenues:								
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%
2 Sewerage service charges and delinquent fees	9,766,274	10,777,991	(1,011,717)	-9.4%	18,915,643	21,555,981	(2,640,338)	-12.2%
3 Plumbing inspection and license fees	-	(215,560)	215,560	-100.0%	-	(431,120)	431,120	-100.0%
4 Other revenues	66,993	76,950	(9,957)	-12.9%	134,687	153,900	(19,213)	-12.5%
5 Total operating revenues	9,833,267	10,639,381	(806,114)	-7.6%	19,050,330	21,278,762	(2,228,432)	-10.5%
Operating Expenses:								
6 Executive Director	62,141	59,502	2,638	4.4%	99,564	119,005	(19,440)	-16.3%
7 Special Counsel	47,709	110,187	(62,478)	-56.7%	112,129	220,374	(108,245)	-49.1%
8 Security	313,512	511,764	(198,252)	-38.7%	623,733	1,023,529	(399,796)	-39.1%
9 Operations	5,341,176	4,217,381	1,123,795	26.6%	10,282,183	8,434,762	1,847,421	21.9%
10 Engineering	266,755	580,764	(314,009)	-54.1%	520,814	1,161,528	(640,714)	-55.2%
11 Logistics	378,083	646,513	(268,431)	-41.5%	805,972	1,293,027	(487,054)	-37.7%
12 Communications	9,776	54,632	(44,856)	-82.1%	19,335	109,265	(89,930)	-82.3%
13 Administration	(27,201)	564,949	(592,151)	-104.8%	109,060	1,129,898	(1,020,838)	-90.3%
14 Chief Financial Officer	716,810	1,445,595	(728,785)	-50.4%	1,465,174	2,891,189	(1,426,015)	-49.3%
15 Continuous Improvement	1,408	7,544	(6,136)	-81.3%	2,074	15,088	(13,014)	-86.3%
16 Overhead and Budget Adjustments	-	(377,279)	377,279	-100.0%	-	(754,558)	754,558	-100.0%
17 Non-Cash Operating Expenses	2,119,035	2,141,111	(22,076)	-1.0%	4,239,558	4,282,222	(42,664)	-1.0%
18 Total operating expenses	9,229,203	9,962,665	(733,462)	-7.4%	18,279,597	19,925,329	(1,645,732)	-8.3%
19 Operating income (loss)	604,065	676,716	(72,652)	-10.7%	770,733	1,353,433	(582,700)	-43.1%
Non-operating revenues (expense):								
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%
24 Interest income	19,866	38,868	(19,003)	-48.9%	41,853	77,737	(35,884)	-46.2%
25 Other Income	-	76,820	(76,820)	-100.0%	-	153,641	(153,641)	-100.0%
26 Interest expense	-	-	-	0.0%	-	-	-	0.0%
27 Operating and maintenance grants	-	137,192	(137,192)	-100.0%	-	274,383	(274,383)	-100.0%
28 Total non-operating revenues	19,866	252,880	(233,015)	-92.1%	41,853	505,761	(463,908)	-91.7%
29 Income before capital contributions	623,930	929,597	(305,667)	-32.9%	812,586	1,859,194	(1,046,608)	-56.3%
30 Capital contributions	36,199	-	36,199	0.0%	66,540	-	66,540	0.0%
31 Change in net position	660,129	929,597	(269,468)	-29.0%	879,126	-	879,126	-
32 Net position, beginning of year	-	-	-	-	823,295,590	792,100,836	31,194,754	3.9%
33 Net position, end of year	-	-	-	-	824,174,716	792,100,836	32,073,880	4.0%

SEWERAGE AND WATER BOARD OF NEW ORLEANS
DRAINAGE SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH PRIOR YEAR COMPARISONS
February 2018

	A	B	C	D	E	F	G	H
	MTD	MTD	MTD	%	YTD	YTD	YTD	%
	Actual	Prior Year	Variance		Actual	Prior Year	Variance	
Operating revenues:								
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%
2 Sewerage service charges and delinquent fees	-	-	-	0.0%	-	-	-	0.0%
3 Plumbing inspection and license fees	-	-	-	0.0%	-	-	-	0.0%
4 Other revenues	3,520	167,935	(164,415)	-97.9%	(44,944)	169,883	(214,827)	-126.5%
5 Total operating revenues	3,520	167,935	(164,415)	-97.9%	(44,944)	169,883	(214,827)	-126.5%
Operating Expenses:								
6 Executive Director	26,209	40,641	(14,432)	-35.5%	42,228	67,288	(25,060)	-37.2%
7 Special Counsel	23,400	37,411	(14,011)	-37.5%	54,557	69,324	(14,768)	-21.3%
8 Security	156,756	255,900	(99,144)	-38.7%	311,867	539,594	(227,727)	-42.2%
9 Operations	1,900,464	1,232,472	667,991	54.2%	6,116,978	2,547,031	3,569,947	140.2%
10 Engineering	140,080	128,837	11,242	8.7%	272,776	254,338	18,438	7.2%
11 Logistics	193,084	285,064	(91,981)	-32.3%	410,648	592,217	(181,569)	-30.7%
12 Communications	4,888	9,531	(4,643)	-48.7%	9,668	15,780	(6,112)	-38.7%
13 Administration	(12,691)	273,365	(286,056)	-104.6%	56,314	456,221	(399,906)	-87.7%
14 Chief Financial Officer	134,573	122,748	11,824	9.6%	335,251	449,201	(113,951)	-25.4%
15 Continuous Improvement	1,408	4,225	(2,817)	-66.7%	2,074	7,590	(5,516)	-72.7%
16 Overhead and Budget Adjustments	-	(271,837)	271,837	-100.0%	-	(563,138)	563,138	-100.0%
17 Non-Cash Operating Expenses	2,056,131	1,736,297	319,834	18.4%	4,113,006	3,472,593	640,413	18.4%
18 Total operating expenses	4,624,301	3,854,655	769,646	20.0%	11,725,365	7,908,039	3,817,326	48.3%
19 Operating income (loss)	(4,620,781)	(3,686,720)	(934,061)	25.3%	(11,770,310)	(7,738,156)	(4,032,154)	52.1%
Non-operating revenues (expense):								
20 Two-mill tax	-	20	(20)	-100.0%	-	44	(44)	-100.0%
21 Three-mill tax	976,431	591	975,840	165116.8%	976,431	49,457	926,974	1874.3%
22 Six-mill tax	1,031,154	6,893,694	(5,862,540)	-85.0%	1,031,154	7,342,480	(6,311,326)	-86.0%
23 Nine-mill tax	1,545,651	10,333,454	(8,787,803)	-85.0%	1,545,651	11,006,154	(9,460,503)	-86.0%
24 Interest income	6,029	1,353	4,676	345.6%	11,468	9,362	2,106	22.5%
25 Other Income	-	-	-	0.0%	-	-	-	0.0%
26 Interest expense	-	-	-	0.0%	-	-	-	0.0%
27 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%
28 Total non-operating revenues	3,559,264	17,229,112	(13,669,848)	-79.3%	3,564,703	18,407,497	(14,842,794)	-80.6%
29 Income before capital contributions	(1,061,517)	13,542,392	(14,603,909)	-107.8%	(8,205,606)	10,669,341	(18,874,947)	-176.9%
30 Capital contributions	7,477	1,683	5,794	344.3%	7,477	9,171	(1,694)	-18.5%
31 Change in net position	(1,054,040)	13,544,075	(14,598,115)	-107.8%	(8,198,129)	10,678,512	(18,876,641)	-176.8%
32 Net position, beginning of year					1,090,653,936	1,099,210,875	(8,556,939)	-0.8%
33 Net position, end of year					1,082,455,807	1,109,889,387	(27,433,580)	-2.5%

SEWERAGE AND WATER BOARD OF NEW ORLEANS
DRAINAGE SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH BUDGET COMPARISONS
February 2018

	A	B	C	D	E	F	G	H
	MTD Actual	MTD Budget	MTD Variance	%	YTD Actual	YTD Budget	YTD Variance	%
Operating revenues:								
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%
2 Sewerage service charges and delinquent fees	-	-	-	0.0%	-	-	-	0.0%
3 Plumbing inspection and license fees	-	-	-	0.0%	-	-	-	0.0%
4 Other revenues	3,520	-	3,520	0.0%	(44,944)	-	(44,944)	0.0%
5 Total operating revenues	3,520	-	3,520	0.0%	(44,944)	-	(44,944)	0.0%
Operating Expenses:								
6 Executive Director	26,209	25,850	359	1.4%	42,228	51,700	(9,472)	-18.3%
7 Special Counsel	23,400	84,295	(60,895)	-72.2%	54,557	168,590	(114,034)	-67.6%
8 Security	156,756	255,882	(99,126)	-38.7%	311,867	511,764	(199,897)	-39.1%
9 Operations	1,900,464	2,814,844	(914,380)	-32.5%	6,116,978	5,629,687	487,290	8.7%
10 Engineering	140,080	279,595	(139,515)	-49.9%	272,776	559,189	(286,413)	-51.2%
11 Logistics	193,084	412,107	(219,023)	-53.1%	410,648	824,214	(413,566)	-50.2%
12 Communications	4,888	27,316	(22,428)	-82.1%	9,668	54,632	(44,965)	-82.3%
13 Administration	(12,691)	282,475	(295,165)	-104.5%	56,314	564,949	(508,635)	-90.0%
14 Chief Financial Officer	134,573	404,263	(269,690)	-66.7%	335,251	808,526	(473,275)	-58.5%
15 Continuous Improvement	1,408	7,544	(6,136)	-81.3%	2,074	15,088	(13,014)	-86.3%
16 Overhead and Budget Adjustments	-	(307,010)	307,010	-100.0%	-	(614,021)	614,021	-100.0%
17 Non-Cash Operating Expenses	2,056,131	2,073,611	(17,480)	-0.8%	4,113,006	4,147,222	(34,216)	-0.8%
18 Total operating expenses	4,624,301	6,360,770	(1,736,469)	-27.3%	11,725,365	12,721,540	(996,175)	-7.8%
19 Operating income (loss)	(4,620,781)	(6,360,770)	1,739,989	-27.4%	(11,770,310)	(12,721,540)	951,231	-7.3%
Non-operating revenues (expense):								
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%
21 Three-mill tax	976,431	1,235,828	(259,397)	-21.0%	976,431	2,471,656	(1,495,225)	-60.5%
22 Six-mill tax	1,031,154	1,305,101	(273,947)	-21.0%	1,031,154	2,610,202	(1,579,048)	-60.5%
23 Nine-mill tax	1,545,651	1,956,266	(410,615)	-21.0%	1,545,651	3,912,532	(2,366,881)	-60.5%
24 Interest income	6,029	12,862	(6,834)	-53.1%	11,468	25,725	(14,257)	-55.4%
25 Other Income	-	110,105	(110,105)	-100.0%	-	220,210	(220,210)	-100.0%
26 Interest expense	-	-	-	0.0%	-	-	-	0.0%
27 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%
28 Total non-operating revenues	3,559,264	4,620,162	(1,060,898)	-23.0%	3,564,703	9,240,324	(5,675,621)	-61.4%
29 Income before capital contributions	(1,061,517)	(1,740,608)	679,091	-39.0%	(8,205,606)	(3,481,216)	(4,724,390)	135.7%
30 Capital contributions	7,477	-	7,477	0.0%	7,477	-	7,477	0.0%
31 Change in net position	(1,054,040)	(1,740,608)	686,568	-39.4%	(8,198,129)	(3,481,216)	(4,716,913)	135.5%
32 Net position, beginning of year	-	-	-	-	1,090,653,936	1,099,210,875	(8,556,939)	-0.8%
33 Net position, end of year	-	-	-	-	1,082,455,807	1,095,729,659	(13,273,852)	-1.2%

Sewerage and Water Board of New Orleans

Total System Unrestricted Cash and Cash Equivalents in Days of O&M Expenses at Month End February 2018

EUM Attribute:
Financial Viability

Description: Establish and maintain an effective balance between long-term debt, assets values, operations and maintenance expenditures, and operating revenues.

Constituency:
Suppliers and
Bondholders

Objective: Provide adequate cash to pay invoices on a timely basis

Goal: Cash balance of at least 180 days of O&M expenses.

Currently Meeting Goal: No

Process Operating Within Control Limits:
Yes

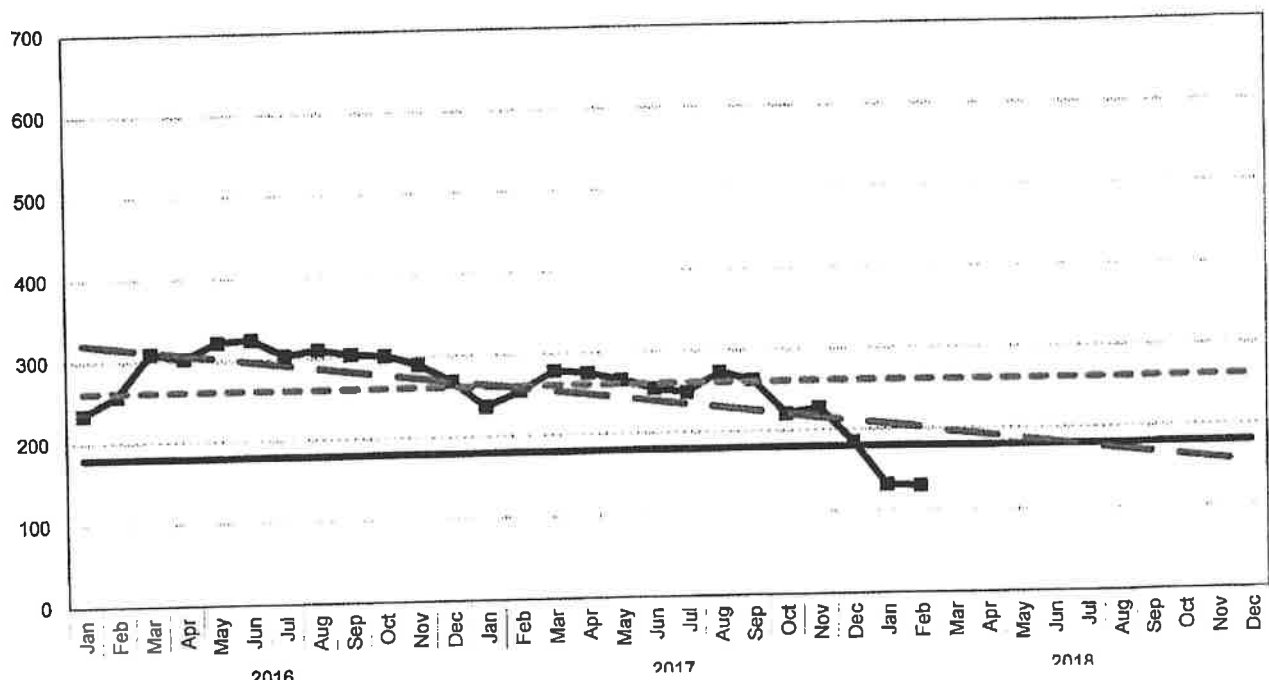
Trend: Unfavorable

Analysis

Monthly cash balances have remained stable except for repayment of previous inter-system loans. Note: Reclassification of certain current assets from restricted to unrestricted in October 2013 resulted in higher unrestricted balances.

Plans for Improvement

Utilize revenues generated from operations to increase cash balances according to 2011-2020 Financial Plan.



Data Table

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
2016	234.7	257.4	309.3	302.7	321.7	324.2	304.3	310.6	304.1	302.0	289.7	269.0
2017	236.4	255.0	279.2	275.9	266.7	254.8	249.5	273.7	263.4	221.0	227.2	185.1
2018	132.6	130.6										

Sewerage and Water Board of New Orleans

Water System Unrestricted Cash and Cash Equivalents in Days of O&M Expenses at Month End February 2018

EUM Attribute:
Financial Viability

Description: Establish and maintain an effective balance between long-term debt, assets values, operations and maintenance expenditures, and operating revenues.

Constituency:
Suppliers and
Bondholders

Objective: Provide adequate cash to pay invoices on a timely basis

Goal: Cash balance of at least 180 days of O&M expenses.

Currently Meeting Goal: No

Process Operating Within Control Limits:
Yes

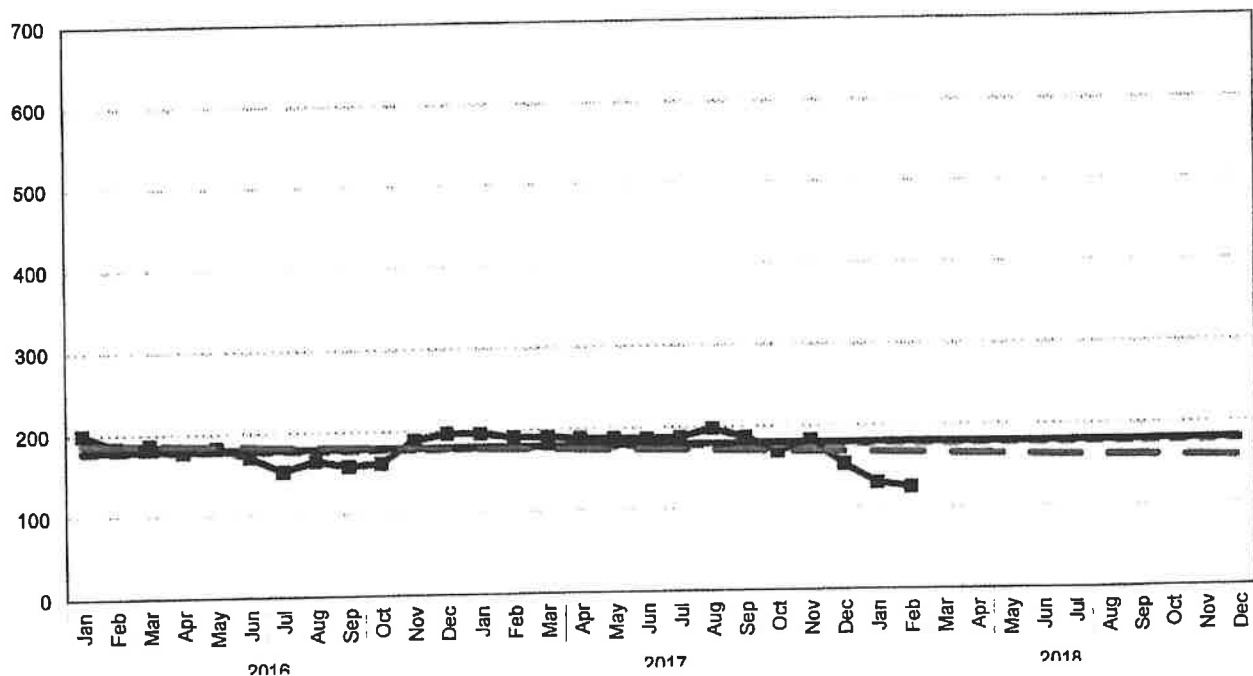
Trend: Unfavorable

Analysis

Monthly cash balances have remained stable except for repayment of previous inter-system loans. Note: Reclassification of certain current assets from restricted to unrestricted in October 2013 resulted in higher unrestricted balances.

Plans for Improvement

Utilize revenues generated from operations to increase cash balances according to 2011-2020 Financial Plan.



Data Table

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
2016	200.6	184.5	187.7	178.0	183.0	171.5	153.5	165.9	158.9	162.2	189.3	197.3
2017	196.2	191.3	190.9	188.7	188.1	187.2	187.8	198.2	187.2	168.8	182.7	153.0
2018	130.1	124.7										

Sewerage and Water Board of New Orleans

Sewer System Unrestricted Cash and Cash Equivalents in Days of O&M Expenses at Month End February 2018

EUM Attribute:
Financial Viability

Description: Establish and maintain an effective balance between long-term debt, assets values, operations and maintenance expenditures, and operating revenues.

Constituency:
**Suppliers and
Bondholders**

Objective: Provide adequate cash to pay invoices on a timely basis

Goal: Cash balance of at least 180 days of O&M expenses.

Currently Meeting Goal: No

Process Operating Within Control Limits:
Yes

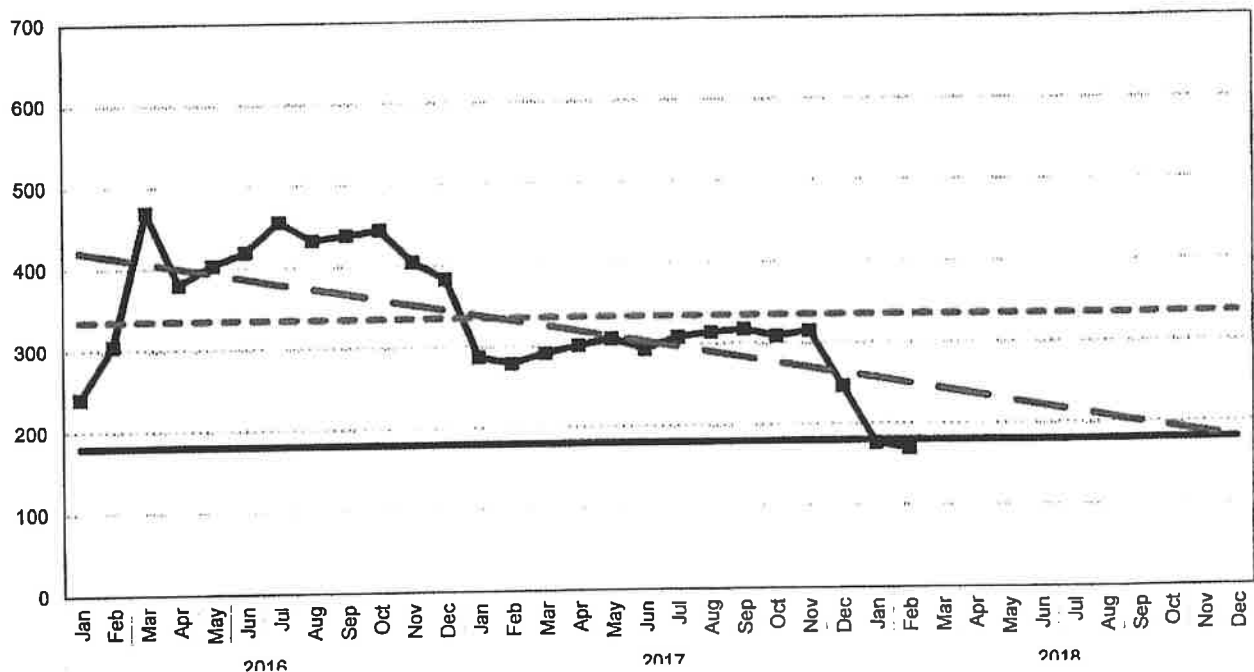
Trend: Unfavorable

Analysis

Monthly cash balances have remained stable except for repayment of previous inter-system loans. Note: Reclassification of certain current assets from restricted to unrestricted in October 2013 resulted in higher unrestricted balances.

Plans for Improvement

Utilize revenues generated from operations to increase cash balances according to 2011-2020 Financial Plan.



Data Table

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
2016	241.4	305.3	469.9	380.4	403.7	419.5	456.4	433.1	438.7	444.9	405.1	383.9
2017	287.5	279.1	291.3	300.4	308.7	294.4	309.3	314.6	317.9	309.3	315.3	246.7
2018	176.8	169.9										

Sewerage and Water Board of New Orleans

Drainage System Unrestricted Cash and Cash Equivalents in Days of O&M Expenses at Month End February 2018

EUM Attribute:
Financial Viability

Description: Establish and maintain an effective balance between long-term debt, assets values, operations and maintenance expenditures, and operating revenues.

Constituency:
Suppliers and Bondholders

Objective: Provide adequate cash to pay invoices on a timely basis

Goal: Cash balance of at least 180 days of O&M expenses.

Currently Meeting Goal: No

Process Operating Within Control Limits:
Yes

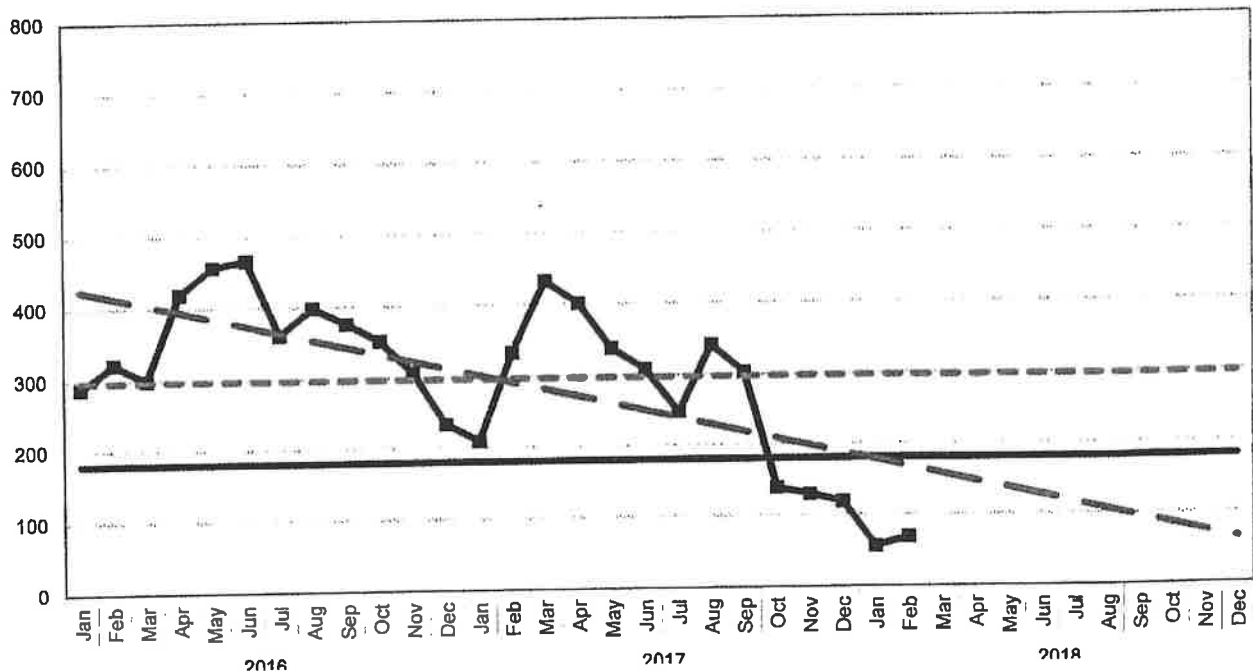
Trend: Unfavorable

Analysis

Monthly cash balances typically increase during the first quarter from property tax collections and then decrease for the remainder of the year. The long-term goal will not be met until a new revenue stream for the drainage system is created and implemented.

Plans for Improvement

Utilize revenues generated from operations to increase cash balances according to 2011-2020 Financial Plan.



Data Table

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
2016	287.9	321.6	299.4	419.2	457.5	466.6	360.3	398.3	375.3	351.2	306.8	233.7
2017	209.5	333.3	432.7	400.8	337.1	307.5	247.6	340.3	302.4	139.2	130.2	119.1
2018	56.1	69.0										

Sewerage and Water Board of New Orleans
Comparative Variance Indicators for Financial Results
Through February 2018

Statement of Revenues, Expenses, and Changes in Net Position with Prior Year Comparisons				
	Total	Water	Sewer	Drainage
Revenues				
Operating Expenses				
Non-Operating Revenues and Expenses				
Income before Capital Contributions				
Statement of Revenues, Expenses, and Changes in Net Position with Budget Comparisons				
	Total	Water	Sewer	Drainage
Revenues				
Operating Expenses				
Non-Operating Revenues and Expenses				
Income before Capital Contributions				
Statement of Net Position with Prior Year Comparisons				
	Total	Water	Sewer	Drainage
Plant, Property, and Equipment				
Restricted Current Assets				
Unrestricted Designated Current Assets				
Unrestricted Undesignated Current Assets				
Net Position				
Long-Term Liabilities				
Current Liabilities from Unrestricted Assets				
Current Liabilities from Restricted Assets				
Statement of Cash Flows with Prior Year Comparisons				
	Total	Water	Sewer	Drainage
Operating Activities				
Non-Capital Financing Activities				
Capital and Related Financing Activities				
Investing Activities				
Net Increase in Cash				

Green = Favorable Variance
Yellow = Unfavorable Variance / No Action Recommended
Red = Unfavorable Variance / Action Recommended

SEWERAGE AND WATER BOARD OF NEW ORLEANS
ALL SYSTEM FUNDS
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH PRIOR YEAR COMPARISONS
January 2018

	A	B	C	D	E	F	G	H
	MTD Actual	MTD Prior Year	MTD Variance	%	YTD Actual	YTD Prior Year	YTD Variance	%
Operating revenues:								
1 Sales of water and delinquent fees	7,598,174	6,736,556	861,618	12.8%	7,598,174	6,736,556	861,618	12.8%
2 Sewerage service charges and delinquent fees	9,149,369	8,341,803	807,566	9.7%	9,149,369	8,341,803	807,566	9.7%
3 Plumbing inspection and license fees	57,104	66,790	(9,686)	-14.5%	57,104	66,790	(9,686)	-14.5%
4 Other revenues	116,953	110,850	6,103	5.5%	116,953	110,850	6,103	5.5%
5 Total operating revenues	16,921,600	15,255,999	1,665,601	10.9%	16,921,600	15,255,999	1,665,601	10.9%
Operating Expenses:								
6 Executive Director	80,095	87,038	(6,944)	-8.0%	80,095	87,038	(6,944)	-8.0%
7 Special Counsel	135,104	91,408	43,697	47.8%	135,104	91,408	43,697	47.8%
8 Security	775,553	923,509	(147,956)	-16.0%	775,553	923,509	(147,956)	-16.0%
9 Operations	12,598,903	8,044,092	4,554,811	56.6%	12,598,903	8,044,092	4,554,811	56.6%
10 Engineering	528,840	440,734	88,105	20.0%	528,840	440,734	88,105	20.0%
11 Logistics	1,069,724	880,222	189,502	21.5%	1,069,724	880,222	189,502	21.5%
12 Communications	23,898	18,746	5,152	27.5%	23,898	18,746	5,152	27.5%
13 Administration	341,528	761,057	(419,529)	-55.1%	341,528	761,044	(419,515)	-55.1%
14 Chief Financial Officer	1,543,222	1,658,590	(115,368)	-7.0%	1,543,222	1,658,590	(115,368)	-7.0%
15 Continuous Improvement	1,998	10,097	(8,099)	-80.2%	1,998	10,097	(8,099)	-80.2%
16 Overhead and Budget Adjustments	-	(1,022,642)	1,022,642	-100.0%	-	(1,022,642)	1,022,642	-100.0%
17 Subtotal	17,098,865	11,892,852	5,206,013	43.8%	17,098,865	11,892,838	5,206,027	43.8%
18 Non-Cash Operating Expenses	5,420,470	5,519,007	(98,537)	-1.8%	5,420,470	5,519,007	(98,537)	-1.8%
19 Total operating expenses	22,519,335	17,411,859	5,107,475	29.3%	22,519,335	17,411,845	5,107,489	29.3%
20 Operating income (loss)	(5,597,735)	(2,155,860)	(3,441,874)	159.7%	(5,597,735)	(2,155,846)	(3,441,888)	159.7%
Non-operating revenues (expense):								
21 Two-mill tax	-	23	(23)	-100.0%	-	23	(23)	-100.0%
22 Three-mill tax	-	48,866	(48,866)	-100.0%	-	48,866	(48,866)	-100.0%
23 Six-mill tax	-	448,785	(448,785)	-100.0%	-	448,785	(448,785)	-100.0%
24 Nine-mill tax	-	672,699	(672,699)	-100.0%	-	672,699	(672,699)	-100.0%
25 Interest income	74,446	108,211	(33,765)	-31.2%	74,446	108,211	(33,765)	-31.2%
26 Other Income	-	-	-	0.0%	-	-	-	0.0%
27 Interest expense	-	-	-	0.0%	-	-	-	0.0%
28 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%
29 Total non-operating revenues	17	106	(89)	-83.8%	17	106	(89)	-83.8%
30 Income before capital contributions	74,464	1,278,690	(1,204,226)	-94.2%	74,464	1,278,690	(1,204,226)	-94.2%
31 Capital contributions	(5,523,271)	(877,170)	(4,646,101)	529.7%	(5,523,271)	(877,156)	(4,646,114)	529.7%
32 Change in net position	251,732	(1,426,524)	1,678,256	-117.6%	251,732	(1,426,524)	1,678,256	-117.6%
33 Net position, beginning of year	(5,271,539)	(2,303,694)	(2,967,845)	128.8%	(5,271,539)	(2,303,680)	(2,967,859)	128.8%
34 Net position, end of year								
	2,228,421,948	2,128,421,948			2,228,421,948	2,128,421,948		
	2,273,016,472	2,226,118,268			2,273,016,472	2,226,118,268		

SEWERAGE AND WATER BOARD OF NEW ORLEANS
ALL SYSTEM FUNDS
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH BUDGET COMPARISONS
January 2018

	A	B	C	D	E	F	G	H
	MTD Actual	MTD Budget	MTD Variance	%	YTD Actual	YTD Budget	YTD Variance	%
Operating revenues:								
1 Sales of water and delinquent fees	7,598,174	7,872,192	(274,018)	-3.5%	7,598,174	7,872,192	(274,018)	-3.5%
2 Sewerage service charges and delinquent fees	9,149,369	10,777,991	(1,628,622)	-15.1%	9,149,369	10,777,991	(1,628,622)	-15.1%
3 Plumbing inspection and license fees	57,104	(190,585)	247,689	-130.0%	57,104	(190,585)	247,689	-130.0%
4 Other revenues	116,953	439,625	(322,672)	-73.4%	116,953	439,625	(322,672)	-73.4%
5 Total operating revenues	16,921,600	18,899,223	(1,977,623)	-10.5%	16,921,600	18,899,223	(1,977,623)	-10.5%
Operating Expenses:								
6 Executive Director	80,095	129,249	(49,155)	-38.0%	80,095	129,249	(49,155)	-38.0%
7 Special Counsel	135,104	281,892	(146,788)	-52.1%	135,104	281,892	(146,788)	-52.1%
8 Security	775,553	1,279,411	(503,858)	-39.4%	775,553	1,279,411	(503,858)	-39.4%
9 Operations	12,598,903	11,356,317	1,242,586	10.9%	12,598,903	11,356,317	1,242,586	10.9%
10 Engineering	528,840	1,188,698	(659,858)	-55.5%	528,840	1,188,698	(659,858)	-55.5%
11 Logistics	1,069,724	1,699,616	(629,893)	-37.1%	1,069,724	1,699,616	(629,893)	-37.1%
12 Communications	23,898	136,581	(112,683)	-82.5%	23,898	136,581	(112,683)	-82.5%
13 Administration	341,528	1,412,373	(1,070,844)	-75.8%	341,528	1,412,373	(1,070,844)	-75.8%
14 Chief Financial Officer	1,543,222	2,969,635	(1,426,413)	-48.0%	1,543,222	2,969,635	(1,426,413)	-48.0%
15 Continuous Improvement	1,998	22,632	(20,634)	-91.2%	1,998	22,632	(20,634)	-91.2%
16 Overhead and Budget Adjustments	-	(1,078,459)	1,078,459	-100.0%	-	(1,078,459)	1,078,459	-100.0%
17 Subtotal	17,098,865	19,397,945	(2,299,080)	-11.9%	17,098,865	19,397,945	(2,299,080)	-11.9%
18 Non-Cash Operating Expenses	5,420,470	5,822,250	(401,780)	-6.9%	5,420,470	5,822,250	(401,780)	-6.9%
19 Total operating expenses	22,519,335	25,220,195	(2,700,860)	-10.7%	22,519,335	25,220,195	(2,700,860)	-10.7%
20 Operating income (loss)	(5,597,735)	(6,320,972)	723,237	-11.4%	(5,597,735)	(6,320,972)	723,237	-11.4%
Non-operating revenues (expense):								
21 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%
22 Three-mill tax	-	1,235,828	(1,235,828)	-100.0%	-	1,235,828	(1,235,828)	-100.0%
23 Six-mill tax	-	1,305,101	(1,305,101)	-100.0%	-	1,305,101	(1,305,101)	-100.0%
24 Nine-mill tax	-	1,956,266	(1,956,266)	-100.0%	-	1,956,266	(1,956,266)	-100.0%
25 Interest income	74,446	115,415	(40,969)	-35.5%	74,446	115,415	(40,969)	-35.5%
26 Other Income	-	255,476	(255,476)	-100.0%	-	255,476	(255,476)	-100.0%
27 Interest expense	-	-	-	0.0%	-	-	-	0.0%
28 Operating and maintenance grants	17	274,383	(274,366)	-100.0%	17	274,383	(274,366)	-100.0%
29 Total non-operating revenues	74,464	5,142,469	(5,068,005)	-98.6%	74,464	5,142,469	(5,068,005)	-98.6%
30 Income before capital contributions	(5,523,271)	(1,178,503)	(4,344,768)	368.7%	(5,523,271)	(1,178,503)	(4,344,768)	368.7%
31 Capital contributions	251,732	-	251,732	0.0%	251,732	-	251,732	0.0%
32 Change in net position	(5,271,539)	(1,178,503)	(4,093,036)	347.3%	(5,271,539)	(1,178,503)	(4,093,036)	347.3%
33 Net position, beginning of year					2,278,288,011	2,228,421,948	49,866,063	2.2%
34 Net position, end of year					2,273,016,472	2,226,681,340	46,335,132	2.1%

SEWERAGE AND WATER BOARD OF NEW ORLEANS
WATER SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH PRIOR YEAR COMPARISONS
January 2018

	A	B	C	D	E	F	G	H
	MTD Actual	MTD Prior Year	MTD Variance	%	YTD Actual	YTD Prior Year	YTD Variance	%
Operating revenues:								
1 Sales of water and delinquent fees	7,598,174	6,736,556	861,618	12.8%	7,598,174	6,736,556	861,618	12.8%
2 Sewerage service charges and delinquent fees	-	-	-	0.0%	-	-	-	0.0%
3 Plumbing inspection and license fees	57,104	33,395	23,709	71.0%	57,104	33,395	23,709	71.0%
4 Other revenues	97,724	104,278	(6,554)	-6.3%	97,724	104,278	(6,554)	-6.3%
5 Total operating revenues	7,753,002	6,874,229	878,773	12.8%	7,753,002	6,874,229	878,773	12.8%
Operating Expenses:								
6 Executive Director	26,652	31,596	(4,943)	-15.6%	26,652	31,596	(4,943)	-15.6%
7 Special Counsel	39,528	31,241	8,287	26.5%	39,528	31,241	8,287	26.5%
8 Security	310,221	339,284	(29,063)	-8.6%	310,221	339,284	(29,063)	-8.6%
9 Operations	3,441,383	4,077,935	(636,552)	-15.6%	3,441,383	4,077,935	(636,552)	-15.6%
10 Engineering	142,085	158,794	(16,709)	-10.5%	142,085	158,794	(16,709)	-10.5%
11 Logistics	424,270	288,231	136,039	47.2%	424,270	288,231	136,039	47.2%
12 Communications	9,559	6,249	3,310	53.0%	9,559	6,249	3,310	53.0%
13 Administration	136,262	350,427	(214,166)	-61.1%	136,262	350,423	(214,161)	-61.1%
14 Chief Financial Officer	594,179	677,748	(83,569)	-12.3%	594,179	677,748	(83,569)	-12.3%
15 Continuous Improvement	666	3,366	(2,700)	-80.2%	666	3,366	(2,700)	-80.2%
16 Overhead and Budget Adjustments	-	(369,654)	369,654	-100.0%	-	(369,654)	369,654	-100.0%
17 Non-Cash Operating Expenses	1,243,071	2,043,152	(800,080)	-39.2%	1,243,071	2,043,152	(800,080)	-39.2%
18 Total operating expenses	6,367,876	7,638,368	(1,270,492)	-16.6%	6,367,876	7,638,364	(1,270,488)	-16.6%
19 Operating income (loss)	1,385,126	(764,139)	2,149,265	-281.3%	1,385,126	(764,135)	2,149,261	-281.3%
Non-operating revenues (expense):								
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%
24 Interest income	47,020	67,281	(20,261)	-30.1%	47,020	67,281	(20,261)	-30.1%
25 Other Income	-	-	-	0.0%	-	-	-	0.0%
26 Interest expense	-	-	-	0.0%	-	-	-	0.0%
27 Operating and maintenance grants	17	106	(89)	-83.8%	17	106	(89)	-83.8%
28 Total non-operating revenues	47,037	67,387	(20,350)	-30.2%	47,037	67,387	(20,350)	-30.2%
29 Income before capital contributions	1,432,163	(696,752)	2,128,915	-305.5%	1,432,163	(696,748)	2,128,911	-305.5%
30 Capital contributions	221,390	92,214	129,176	140.1%	221,390	92,214	129,176	140.1%
31 Change in net position	1,653,554	(604,538)	2,258,092	-373.5%	1,653,554	(604,534)	2,258,087	-373.5%
32 Net position, beginning of year	364,338,485	337,110,237	27,228,248	8.1%	364,338,485	337,110,237	27,228,248	8.1%
33 Net position, end of year	365,992,039	336,505,703	29,486,335	8.8%	365,992,039	336,505,703	29,486,335	8.8%

SEWERAGE AND WATER BOARD OF NEW ORLEANS
WATER SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH BUDGET COMPARISONS
January 2018

	A	B	C	D	E	F	G	H
	MTD Actual	MTD Budget	MTD Variance	%	YTD Actual	YTD Budget	YTD Variance	%
Operating revenues:								
1 Sales of water and delinquent fees	7,598,174	7,872,192	(274,018)	-3.5%	7,598,174	7,872,192	(274,018)	-3.5%
2 Sewerage service charges and delinquent fees	-	-	-	0.0%	-	-	-	0.0%
3 Plumbing inspection and license fees	57,104	24,975	32,129	128.6%	57,104	24,975	32,129	128.6%
4 Other revenues	97,724	362,675	(264,951)	-73.1%	97,724	362,675	(264,951)	-73.1%
5 Total operating revenues	7,753,002	8,259,842	(506,840)	-6.1%	7,753,002	8,259,842	(506,840)	-6.1%
Operating Expenses:								
6 Executive Director	26,652	43,897	(17,245)	-39.3%	26,652	43,897	(17,245)	-39.3%
7 Special Counsel	39,528	87,410	(47,882)	-54.8%	39,528	87,410	(47,882)	-54.8%
8 Security	310,221	511,764	(201,543)	-39.4%	310,221	511,764	(201,543)	-39.4%
9 Operations	3,441,383	4,324,093	(882,710)	-20.4%	3,441,383	4,324,093	(882,710)	-20.4%
10 Engineering	142,085	328,339	(186,254)	-56.7%	142,085	328,339	(186,254)	-56.7%
11 Logistics	424,270	640,996	(216,726)	-33.8%	424,270	640,996	(216,726)	-33.8%
12 Communications	9,559	54,632	(45,073)	-82.5%	9,559	54,632	(45,073)	-82.5%
13 Administration	136,262	564,949	(428,688)	-75.9%	136,262	564,949	(428,688)	-75.9%
14 Chief Financial Officer	594,179	1,119,777	(525,598)	-46.9%	594,179	1,119,777	(525,598)	-46.9%
15 Continuous Improvement	666	7,544	(6,878)	-91.2%	666	7,544	(6,878)	-91.2%
16 Overhead and Budget Adjustments	-	(394,170)	394,170	-100.0%	-	(394,170)	394,170	-100.0%
17 Non-Cash Operating Expenses	1,243,071	1,607,528	(364,457)	-22.7%	1,243,071	1,607,528	(364,457)	-22.7%
18 Total operating expenses	6,367,876	8,896,760	(2,528,884)	-28.4%	6,367,876	8,896,760	(2,528,884)	-28.4%
19 Operating income (loss)	1,385,126	(636,918)	2,022,044	-317.5%	1,385,126	(636,918)	2,022,044	-317.5%
Non-operating revenues (expense):								
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%
24 Interest income	47,020	63,684	(16,664)	-26.2%	47,020	63,684	(16,664)	-26.2%
25 Other Income	-	68,550	(68,550)	-100.0%	-	68,550	(68,550)	-100.0%
26 Interest expense	-	-	-	0.0%	-	-	-	0.0%
27 Operating and maintenance grants	17	137,192	(137,175)	-100.0%	17	137,192	(137,175)	-100.0%
28 Total non-operating revenues	47,037	269,427	(222,389)	-82.5%	47,037	269,427	(222,389)	-82.5%
29 Income before capital contributions	1,432,163	(367,492)	1,799,655	-489.7%	1,432,163	(367,492)	1,799,655	-489.7%
30 Capital contributions	221,390	-	221,390	0.0%	221,390	-	221,390	0.0%
31 Change in net position	1,653,554	(367,492)	2,021,045	-550.0%	1,653,554	-	1,653,554	-
32 Net position, beginning of year	-	-	-	-	364,338,485	337,110,237	27,228,248	8.1%
33 Net position, end of year	-	-	-	-	365,992,039	337,110,237	28,881,802	8.6%

SEWERAGE AND WATER BOARD OF NEW ORLEANS
SEWER SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH PRIOR YEAR COMPARISONS

January 2018

	A	B	C	D	E	F	G	H
	MTD	MTD	MTD	%	YTD	YTD	YTD	%
	Actual	Prior Year	Variance		Actual	Prior Year	Variance	
Operating revenues:								
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%
2 Sewerage service charges and delinquent fees	9,149,369	8,341,803	807,566	9.7%	9,149,369	8,341,803	807,566	9.7%
3 Plumbing inspection and license fees	-	33,395	(33,395)	-100.0%	-	33,395	(33,395)	-100.0%
4 Other revenues	67,694	4,625	63,069	1363.7%	67,694	4,625	63,069	1363.7%
5 Total operating revenues	9,217,063	8,379,823	837,240	10.0%	9,217,063	8,379,823	837,240	10.0%
Operating Expenses:								
6 Executive Director	37,423	28,795	8,628	30.0%	37,423	28,795	8,628	30.0%
7 Special Counsel	64,420	28,253	36,167	128.0%	64,420	28,253	36,167	128.0%
8 Security	310,221	300,532	9,689	3.2%	310,221	300,532	9,689	3.2%
9 Operations	4,941,007	2,651,599	2,289,408	86.3%	4,941,007	2,651,599	2,289,408	86.3%
10 Engineering	254,059	156,440	97,619	62.4%	254,059	156,440	97,619	62.4%
11 Logistics	427,889	284,838	143,051	50.2%	427,889	284,838	143,051	50.2%
12 Communications	9,559	6,249	3,310	53.0%	9,559	6,249	3,310	53.0%
13 Administration	136,261	227,775	(91,513)	-40.2%	136,261	227,775	(91,513)	-40.2%
14 Chief Financial Officer	748,365	654,388	93,976	14.4%	748,365	654,388	93,976	14.4%
15 Continuous Improvement	666	3,366	(2,700)	-80.2%	666	3,366	(2,700)	-80.2%
16 Overhead and Budget Adjustments	-	(361,687)	361,687	-100.0%	-	(361,687)	361,687	-100.0%
17 Non-Cash Operating Expenses	2,120,523	1,739,559	380,964	21.9%	2,120,523	1,739,559	380,964	21.9%
18 Total operating expenses	9,050,395	5,720,107	3,330,287	58.2%	9,050,395	5,720,103	3,330,292	58.2%
19 Operating income (loss)	166,668	2,659,716	(2,493,047)	-93.7%	166,668	2,659,720	(2,493,052)	-93.7%
Non-operating revenues (expense):								
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%
24 Interest income	21,987	32,921	(10,934)	-33.2%	21,987	32,921	(10,934)	-33.2%
25 Other Income	-	-	-	0.0%	-	-	-	0.0%
26 Interest expense	-	-	-	0.0%	-	-	-	0.0%
27 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%
28 Total non-operating revenues	21,987	32,921	(10,934)	-33.2%	21,987	32,921	(10,934)	-33.2%
29 Income before capital contributions	188,656	2,692,637	(2,503,981)	-93.0%	188,656	2,692,641	(2,503,985)	-93.0%
30 Capital contributions	30,341	(1,526,226)	1,556,567	-102.0%	30,341	(1,526,226)	1,556,567	-102.0%
31 Change in net position	218,997	1,166,411	(947,414)	-81.2%	218,997	1,166,415	(947,418)	-81.2%
32 Net position, beginning of year					823,295,590	792,100,836	31,194,754	3.9%
33 Net position, end of year					823,514,587	793,267,251	30,247,336	3.8%

SEWERAGE AND WATER BOARD OF NEW ORLEANS
SEWER SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH BUDGET COMPARISONS
January 2018

	A	B	C	D	E	F	G	H
	MTD Actual	MTD Budget	MTD Variance	%	YTD Actual	YTD Budget	YTD Variance	%
Operating revenues:								
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%
2 Sewerage service charges and delinquent fees	9,149,369	10,777,991	(1,628,622)	-15.1%	9,149,369	10,777,991	(1,628,622)	-15.1%
3 Plumbing inspection and license fees	-	(215,560)	215,560	-100.0%	-	(215,560)	215,560	-100.0%
4 Other revenues	67,694	76,950	(9,256)	-12.0%	67,694	76,950	(9,256)	-12.0%
5 Total operating revenues	9,217,063	10,639,381	(1,422,318)	-13.4%	9,217,063	10,639,381	(1,422,318)	-13.4%
Operating Expenses:								
6 Executive Director	37,423	59,502	(22,079)	-37.1%	37,423	59,502	(22,079)	-37.1%
7 Special Counsel	64,420	110,187	(45,767)	-41.5%	64,420	110,187	(45,767)	-41.5%
8 Security	310,221	511,764	(201,543)	-39.4%	310,221	511,764	(201,543)	-39.4%
9 Operations	4,941,007	4,217,381	723,626	17.2%	4,941,007	4,217,381	723,626	17.2%
10 Engineering	254,059	580,764	(326,705)	-56.3%	254,059	580,764	(326,705)	-56.3%
11 Logistics	427,889	646,513	(218,624)	-33.8%	427,889	646,513	(218,624)	-33.8%
12 Communications	9,559	54,632	(45,073)	-82.5%	9,559	54,632	(45,073)	-82.5%
13 Administration	136,261	564,949	(428,688)	-75.9%	136,261	564,949	(428,688)	-75.9%
14 Chief Financial Officer	748,365	1,445,595	(697,230)	-48.2%	748,365	1,445,595	(697,230)	-48.2%
15 Continuous Improvement	666	7,544	(6,878)	-91.2%	666	7,544	(6,878)	-91.2%
16 Overhead and Budget Adjustments	-	(377,279)	377,279	-100.0%	-	(377,279)	377,279	-100.0%
17 Non-Cash Operating Expenses	2,120,523	2,141,111	(20,588)	-1.0%	2,120,523	2,141,111	(20,588)	-1.0%
18 Total operating expenses	9,050,395	9,962,665	(912,270)	-9.2%	9,050,395	9,962,665	(912,270)	-9.2%
19 Operating income (loss)	166,668	676,716	(510,048)	-75.4%	166,668	676,717	(510,048)	-75.4%
Non-operating revenues (expense):								
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%
24 Interest income	21,987	38,868	(16,881)	-43.4%	21,987	38,868	(16,881)	-43.4%
25 Other Income	-	76,820	(76,820)	-100.0%	-	76,820	(76,820)	-100.0%
26 Interest expense	-	-	-	0.0%	-	-	-	0.0%
27 Operating and maintenance grants	-	137,192	(137,192)	-100.0%	-	137,192	(137,192)	-100.0%
28 Total non-operating revenues	21,987	252,880	(230,893)	-91.3%	21,987	252,880	(230,893)	-91.3%
29 Income before capital contributions	188,656	929,597	(740,941)	-79.7%	188,656	929,597	(740,941)	-79.7%
30 Capital contributions	30,341	-	30,341	0.0%	30,341	-	30,341	0.0%
31 Change in net position	218,997	929,597	(710,600)	-76.4%	218,997	-	218,997	-
32 Net position, beginning of year	-	-	-	-	823,295,590	792,100,836	31,194,754	3.9%
33 Net position, end of year	-	-	-	-	823,514,587	792,100,836	31,413,751	4.0%

SEWERAGE AND WATER BOARD OF NEW ORLEANS
DRAINAGE SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH PRIOR YEAR COMPARISONS
January 2018

	A	B	C	D	E	F	G	H
	MTD	MTD	MTD	%	YTD	YTD	YTD	%
	Actual	Prior Year	Variance		Actual	Prior Year	Variance	
Operating revenues:								
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%
2 Sewerage service charges and delinquent fees	-	-	-	0.0%	-	-	-	0.0%
3 Plumbing inspection and license fees	-	-	-	0.0%	-	-	-	0.0%
4 Other revenues	(48,464)	1,947	(50,411)	-2589.2%	(48,464)	1,947	(50,411)	-2589.2%
5 Total operating revenues	(48,464)	1,947	(50,411)	-2589.2%	(48,464)	1,947	(50,411)	-2589.2%
Operating Expenses:								
6 Executive Director	16,019	26,648	(10,629)	-39.9%	16,019	26,648	(10,629)	-39.9%
7 Special Counsel	31,156	31,913	(757)	-2.4%	31,156	31,913	(757)	-2.4%
8 Security	155,111	283,693	(128,583)	-45.3%	155,111	283,693	(128,583)	-45.3%
9 Operations	4,216,514	1,314,558	2,901,956	220.8%	4,216,514	1,314,558	2,901,956	220.8%
10 Engineering	132,696	125,501	7,195	5.7%	132,696	125,501	7,195	5.7%
11 Logistics	217,564	307,152	(89,588)	-29.2%	217,564	307,152	(89,588)	-29.2%
12 Communications	4,780	6,249	(1,469)	-23.5%	4,780	6,249	(1,469)	-23.5%
13 Administration	69,005	182,855	(113,850)	-62.3%	69,005	182,855	(113,850)	-62.3%
14 Chief Financial Officer	200,678	326,453	(125,775)	-38.5%	200,678	326,453	(125,775)	-38.5%
15 Continuous Improvement	666	3,366	(2,700)	-80.2%	666	3,366	(2,700)	-80.2%
16 Overhead and Budget Adjustments	-	(291,301)	291,301	-100.0%	-	(291,301)	291,301	-100.0%
17 Non-Cash Operating Expenses	2,056,875	1,736,297	320,579	18.5%	2,056,875	1,736,297	320,579	18.5%
18 Total operating expenses	7,101,064	4,053,384	3,047,680	75.2%	7,101,064	4,053,379	3,047,686	75.2%
19 Operating income (loss)	(7,149,529)	(4,051,437)	(3,098,092)	76.5%	(7,149,529)	(4,051,432)	(3,098,097)	76.5%
Non-operating revenues (expense):								
20 Two-mill tax	-	23	(23)	-100.0%	-	23	(23)	-100.0%
21 Three-mill tax	-	48,866	(48,866)	-100.0%	-	48,866	(48,866)	-100.0%
22 Six-mill tax	-	448,785	(448,785)	-100.0%	-	448,785	(448,785)	-100.0%
23 Nine-mill tax	-	672,699	(672,699)	-100.0%	-	672,699	(672,699)	-100.0%
24 Interest income	5,439	8,009	(2,570)	-32.1%	5,439	8,009	(2,570)	-32.1%
25 Other Income	-	-	-	0.0%	-	-	-	0.0%
26 Interest expense	-	-	-	0.0%	-	-	-	0.0%
27 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%
28 Total non-operating revenues	5,439	1,178,382	(1,172,943)	-99.5%	5,439	1,178,382	(1,172,943)	-99.5%
29 Income before capital contributions	(7,144,090)	(2,873,055)	(4,271,035)	148.7%	(7,144,090)	(2,873,050)	(4,271,040)	148.7%
30 Capital contributions	-	7,488	(7,488)	-100.0%	-	7,488	(7,488)	-100.0%
31 Change in net position	(7,144,090)	(2,865,567)	(4,278,523)	149.3%	(7,144,090)	(2,865,562)	(4,278,528)	149.3%
32 Net position, beginning of year					1,290,653,936	1,099,210,875	(1,856,939)	-40.8%
33 Net position, end of year					1,283,509,346	1,096,345,313	(12,835,467)	-1.2%

SEWERAGE AND WATER BOARD OF NEW ORLEANS
DRAINAGE SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH BUDGET COMPARISONS
January 2018

	A	B	C	D	E	F	G	H
	MTD Actual	MTD Budget	MTD Variance	%	YTD Actual	YTD Budget	YTD Variance	%
Operating revenues:								
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%
2 Sewerage service charges and delinquent fees	-	-	-	0.0%	-	-	-	0.0%
3 Plumbing inspection and license fees	-	-	-	0.0%	-	-	-	0.0%
4 Other revenues	(48,464)	-	(48,464)	0.0%	(48,464)	-	(48,464)	0.0%
5 Total operating revenues	(48,464)	-	(48,464)	0.0%	(48,464)	-	(48,464)	0.0%
Operating Expenses:								
6 Executive Director	16,019	25,850	(9,831)	-38.0%	16,019	25,850	(9,831)	-38.0%
7 Special Counsel	31,156	84,295	(53,139)	-63.0%	31,156	84,295	(53,139)	-63.0%
8 Security	155,111	255,882	(100,771)	-39.4%	155,111	255,882	(100,771)	-39.4%
9 Operations	4,216,514	2,814,844	1,401,670	49.8%	4,216,514	2,814,844	1,401,670	49.8%
10 Engineering	132,696	279,595	(146,898)	-52.5%	132,696	279,595	(146,898)	-52.5%
11 Logistics	217,564	412,107	(194,543)	-47.2%	217,564	412,107	(194,543)	-47.2%
12 Communications	4,780	27,316	(22,537)	-82.5%	4,780	27,316	(22,537)	-82.5%
13 Administration	69,005	282,475	(213,469)	-75.6%	69,005	282,475	(213,469)	-75.6%
14 Chief Financial Officer	200,678	404,263	(203,585)	-50.4%	200,678	404,263	(203,585)	-50.4%
15 Continuous Improvement	666	7,544	(6,878)	-91.2%	666	7,544	(6,878)	-91.2%
16 Overhead and Budget Adjustments	-	(307,010)	307,010	-100.0%	-	(307,010)	307,010	-100.0%
17 Non-Cash Operating Expenses	2,056,875	2,073,611	(16,736)	-0.8%	2,056,875	2,073,611	(16,736)	-0.8%
18 Total operating expenses	7,101,064	6,360,770	740,294	11.6%	7,101,064	6,360,770	740,294	11.6%
19 Operating income (loss)	(7,149,529)	(6,360,770)	(788,759)	12.4%	(7,149,529)	(6,360,770)	(788,759)	12.4%
Non-operating revenues (expense):								
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%
21 Three-mill tax	-	1,235,828	(1,235,828)	-100.0%	-	1,235,828	(1,235,828)	-100.0%
22 Six-mill tax	-	1,305,101	(1,305,101)	-100.0%	-	1,305,101	(1,305,101)	-100.0%
23 Nine-mill tax	-	1,956,266	(1,956,266)	-100.0%	-	1,956,266	(1,956,266)	-100.0%
24 Interest income	5,439	12,862	(7,423)	-57.7%	5,439	12,862	(7,423)	-57.7%
25 Other income	-	110,105	(110,105)	-100.0%	-	110,105	(110,105)	-100.0%
26 Interest expense	-	-	-	0.0%	-	-	-	0.0%
27 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%
28 Total non-operating revenues	5,439	4,620,162	(4,614,723)	-99.9%	5,439	4,620,162	(4,614,723)	-99.9%
29 Income before capital contributions	(7,144,090)	(1,740,608)	(5,403,482)	310.4%	(7,144,090)	(1,740,608)	(5,403,482)	310.4%
30 Capital contributions	-	-	-	0.0%	-	-	-	0.0%
31 Change in net position	(7,144,090)	(1,740,608)	(5,403,482)	310.4%	(7,144,090)	(1,740,608)	(5,403,482)	310.4%
32 Net position, beginning of year	-	-	-	-	1,090,653,936	1,099,210,875	(8,556,939)	-0.8%
33 Net position, end of year	-	-	-	-	1,033,509,846	1,097,470,267	(13,960,421)	-1.3%

Sewerage and Water Board of New Orleans

Total System Unrestricted Cash and Cash Equivalents in Days of O&M Expenses at Month End January 2018

EUM Attribute:
Financial Viability

Description: Establish and maintain an effective balance between long-term debt, assets values, operations and maintenance expenditures, and operating revenues.

Constituency:
Suppliers and
Bondholders

Objective: Provide adequate cash to pay invoices on a timely basis

Goal: Cash balance of at least 180 days of O&M expenses.

Currently Meeting Goal: No

Process Operating Within Control Limits:
Yes

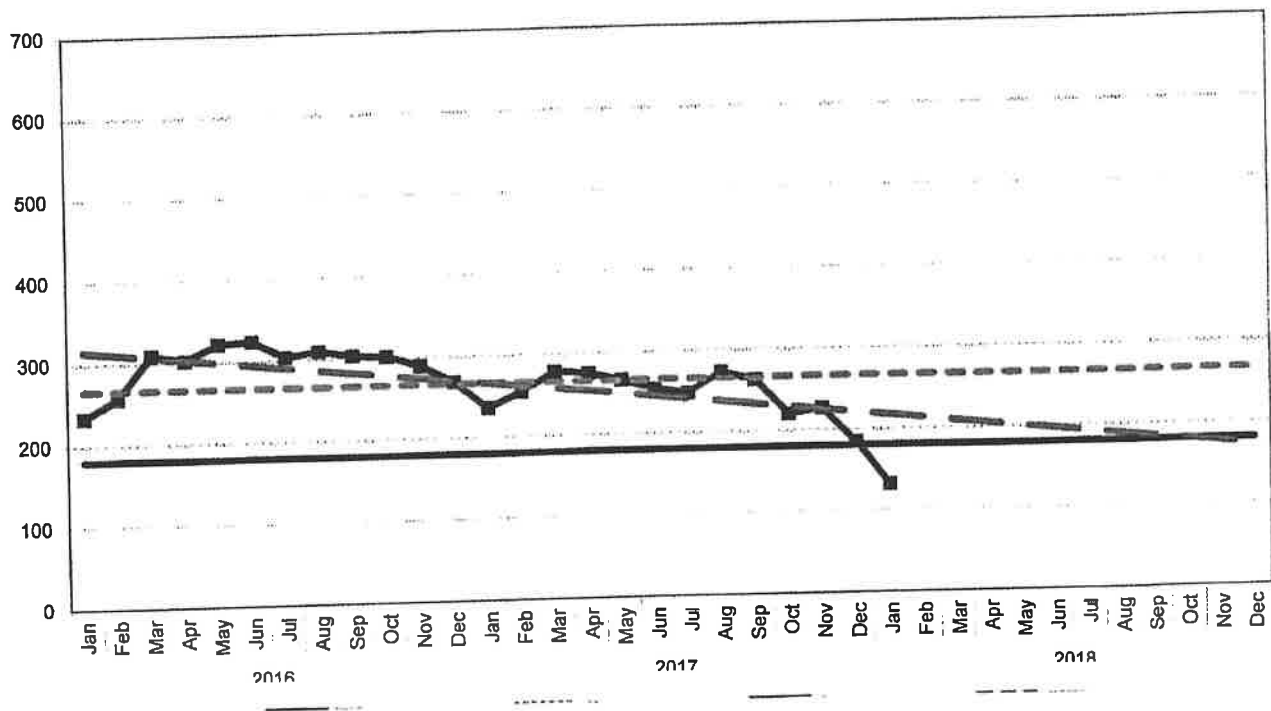
Trend: Unfavorable

Analysis

Monthly cash balances have remained stable except for repayment of previous inter-system loans. Note: Reclassification of certain current assets from restricted to unrestricted in October 2013 resulted in higher unrestricted balances.

Plans for Improvement

Utilize revenues generated from operations to increase cash balances according to 2011-2020 Financial Plan.



Sewerage and Water Board of New Orleans

Water System Unrestricted Cash and Cash Equivalents in Days of O&M Expenses at Month End January 2018

EUM Attribute:
Financial Viability

Description: Establish and maintain an effective balance between long-term debt, assets values, operations and maintenance expenditures, and operating revenues.

Constituency:
**Suppliers and
Bondholders**

Objective: Provide adequate cash to pay invoices on a timely basis

Goal: Cash balance of at least 180 days of O&M expenses.

**Currently Meeting
Goal:** No

**Process Operating
Within Control Limits:**
Yes

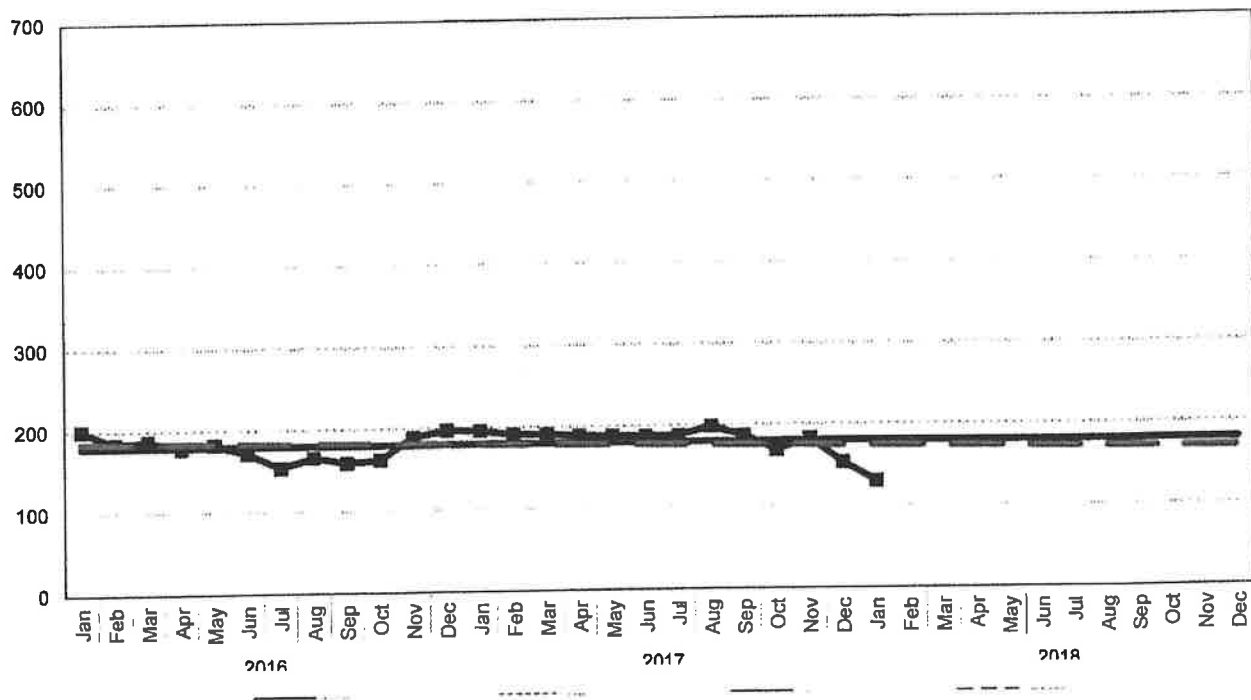
Trend: Unfavorable

Analysis

Monthly cash balances have remained stable except for repayment of previous inter-system loans. Note: Reclassification of certain current assets from restricted to unrestricted in October 2013 resulted in higher unrestricted balances.

Plans for Improvement

Utilize revenues generated from operations to increase cash balances according to 2011-2020 Financial Plan.



Data Table

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
2016	200.6	184.5	187.7	178.0	183.0	171.5	153.5	165.9	158.9	162.2	189.3	197.3
2017	196.2	191.3	190.9	188.7	188.1	187.2	187.8	198.2	187.2	168.8	182.7	153.0
2018	130.1											

Sewerage and Water Board of New Orleans

Sewer System Unrestricted Cash and Cash Equivalents in Days of O&M Expenses at Month End January 2018

EUM Attribute:
Financial Viability

Description: Establish and maintain an effective balance between long-term debt, assets values, operations and maintenance expenditures, and operating revenues.

Constituency:
Suppliers and Bondholders

Objective: Provide adequate cash to pay invoices on a timely basis

Goal: Cash balance of at least 180 days of O&M expenses.

Currently Meeting Goal: No

Process Operating Within Control Limits:
Yes

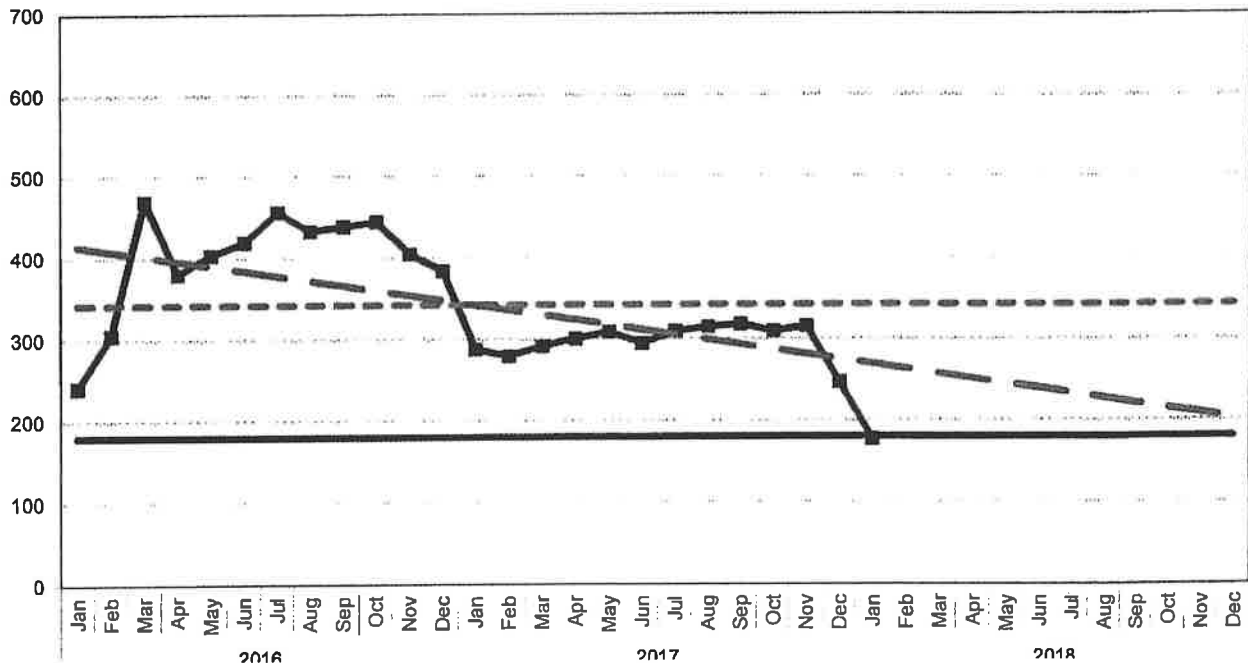
Trend: Unfavorable

Analysis

Monthly cash balances have remained stable except for repayment of previous inter-system loans. Note: Reclassification of certain current assets from restricted to unrestricted in October 2013 resulted in higher unrestricted balances.

Plans for Improvement

Utilize revenues generated from operations to increase cash balances according to 2011-2020 Financial Plan.



Data Table

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
2016	241.4	305.3	469.9	380.4	403.7	419.5	456.4	433.1	438.7	444.9	405.1	383.9
2017	287.5	279.1	291.3	300.4	308.7	294.4	309.3	314.6	317.9	309.3	315.3	246.7
2018	176.8											

Sewerage and Water Board of New Orleans

Drainage System Unrestricted Cash and Cash Equivalents in Days of O&M Expenses at Month End January 2018

EUM Attribute:
Financial Viability

Description: Establish and maintain an effective balance between long-term debt, assets values, operations and maintenance expenditures, and operating revenues.

Constituency:
Suppliers and Bondholders

Objective: Provide adequate cash to pay invoices on a timely basis

Goal: Cash balance of at least 180 days of O&M expenses.

Currently Meeting Goal: No

Process Operating Within Control Limits:
Yes

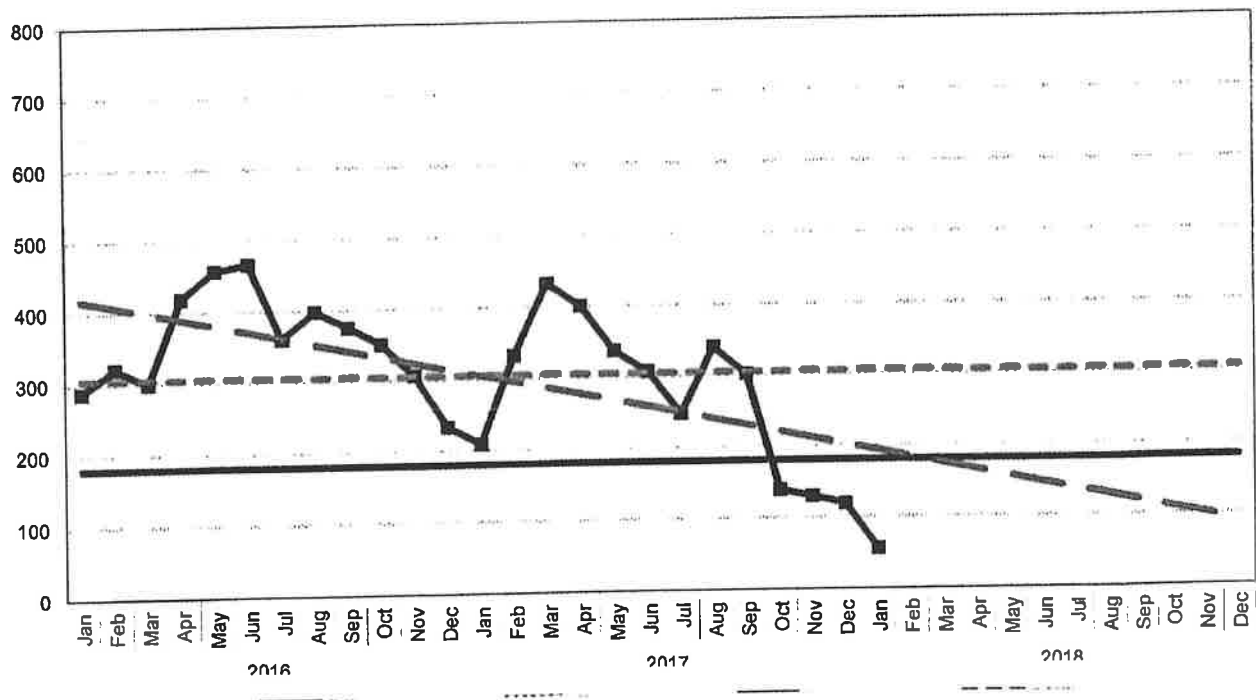
Trend: Unfavorable

Analysis

Monthly cash balances typically increase during the first quarter from property tax collections and then decrease for the remainder of the year. The long-term goal will not be met until a new revenue stream for the drainage system is created and implemented.

Plans for Improvement

Utilize revenues generated from operations to increase cash balances according to 2011-2020 Financial Plan.



Data Table

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
2016	287.9	321.6	299.4	419.2	457.5	466.6	360.3	398.3	375.3	351.2	306.8	233.7
2017	209.5	333.3	432.7	400.8	337.1	307.5	247.6	340.3	302.4	139.2	130.2	119.1
2018	56.1											



SEWERAGE AND WATER BOARD OF NEW ORLEANS

May 2, 2018

Strategy Committee
Sewerage and Water Board of New Orleans
New Orleans, Louisiana

Subject: Monthly Human Resources Activity Report for the Period April 1 – April 30, 2018

Dear Directors:

Please find below an account of various Board human resources activities for the period April 1- April 30, 2018. This monthly snapshot is presented to keep you abreast of the progress and challenges related to the Board's ability to hire and retain the best qualified candidates.

Human Resources Activities

Beginning Vacant Positions: 587

-3 (Three unclassified positions were deleted from the 2018 Budget)
584

Ending Vacant Positions: 546

New Hires: 56

Resignations: 15

Retirement: 0

Retirement Eligible (Not in DROP): 120

DROP Program Participants: 118

- Beginning Balance: 119
- New Member(s): 0
- Member(s) Removed: 1

Promotions: 32

Disciplinary Actions: 14

- Rehabilitations: 1
- Reprimands: 3
- Suspensions: 8
- Terminations: 2

Regards,

A handwritten signature in black ink, appearing to read 'Sharon Judkins', written in a cursive style.

Sharon Judkins

Deputy Director-Administration

Attachments:

Monthly Activity Report
Resignation Analysis
Retirement Eligible
DROP Summary

April Month Activity Report			
DATE	ACTION	JOB TITLE	REASON
New Hires:			
4/2/2018		Engineering Technician	
4/16/2018		Environment Enforcement Technician I	
4/30/2018		Environment Enforcement Technician I	
4/9/2018		Grants & Reporting Specialist	
4/2/2018		Laborer	
4/2/2018		Laborer	
4/9/2018		Laborer	
4/9/2018		Laborer	
4/9/2018		Laborer	
4/9/2018		Laborer	
4/9/2018		Laborer	
4/9/2018		Laborer	
4/9/2018		Laborer	
4/16/2018		Laborer	
4/16/2018		Laborer	
4/16/2018		Laborer	
4/16/2018		Laborer	
4/16/2018		Laborer	
4/16/2018		Laborer	
4/30/2018		Law Clerk	
4/30/2018		Management Development Specialist II	
4/23/2018		Networks Maintenance Technician I	
4/30/2018		Networks Maintenance Technician I	
4/23/2018		Office Assistant I	
4/2/2018		Office Assistant II	
4/2/2018		Office Assistant II	
4/9/2018		Office Assistant II	
4/16/2018		Office Assistant II	
4/16/2018		Office Assistant II	
4/30/2018		Office Assistant II	
4/30/2018		Office Assistant II	
4/16/2018		Office Assistant IV	
4/2/2018		Office Assistant Trainee	
4/30/2018		Office Assistant Trainee	
4/9/2018		Pumping Plant Operator	
4/30/2018		Senior City Planner	
4/30/2018		Senior City Planner	
4/2/2018		Utilities Plant Worker	
4/2/2018		Utilities Plant Worker	
4/2/2018		Utilities Plant Worker	
4/9/2018		Utilities Plant Worker	
4/16/2018		Utilities Plant Worker	
4/16/2018		Utilities Plant Worker	

4/16/2018	Engineering Technician		
4/30/2018	Management Development Specialist II		
4/2/2018	Networks Maintenance Technician I		
4/2/2018	Networks Maintenance Technician I		
4/2/2018	Networks Maintenance Technician I		
4/9/2018	Networks Maintenance Technician I		
4/30/2018	Networks Maintenance Technician I		
4/30/2018	Networks Maintenance Technician I		
4/30/2018	Networks Maintenance Technician I		
4/27/2018	Networks Maintenance Technician I		
4/23/2018	Networks Maintenance Technician II		
4/2/2018	Networks Master Maintenance Technician I		
4/16/2018	Networks Quality Assurance and Safety Inspector		
4/16/2018	Networks Quality Assurance and Safety Inspector		
4/30/2018	Networks Senior Maintenance Technician II		
4/16/2018	Office Assistant III		
4/16/2018	Office Assistant III		
4/16/2018	Office Assistant III		
4/30/2018	Office Assistant III		
4/9/2018	Principal Office Support Specialist		
4/2/2018	Pumping Plant Operator		
4/9/2018	Senior Office Support Specialist		
4/9/2018	Senior Office Support Specialist		
4/9/2018	Utilities Maintenance Technician I		
4/9/2018	Utilities Maintenance Technician I		
4/9/2018	Utilities Maintenance Technician I		
4/9/2018	Utilities Plant Worker		
4/16/2018	Utilities Trade Specialist I		
Total		32	
Disciplinary Actions:			
4/4/2018	Laborer	Emergency Suspension	Allegation of Physical Altercation
4/20/2018	Laborer	Emergency Suspension	Failed Substance Abuse Screening
4/4/2018	Networks Maintenance Technician I	Emergency Suspension	Allegation of Physical Altercation
4/2/2018	Networks Maintenance Technician I	Emergency Suspension	Failed a Condition of Employment
4/19/2018	Utility Maintenance Master Specialist I	Emergency Suspension	Failed a Condition of Employment
4/20/2018	Utilities Plant Worker	Emergency Suspension	Failed a Condition of Employment
4/13/2018	Networks Maintenance Technician I	Rehabilitation	Failed a Condition of Employment
4/27/2018	Office Assistant Trainee	Reprimand	Job Performance
4/2/2018	Utilities Master Maintenance Specialist I	Reprimand	Misuse of Sick Leave
4/11/2018	Water Service Inspector I	Reprimand	Violation of Attendance Policy
4/3/2018	Laborer	Suspension	Insubordination
4/15/2018	Office Assistant II	Suspension	Violation of Attendance Policy
4/3/2018	Laborer	Termination	Failed Working Test Period
4/11/2018	Office Assistant Trainee	Termination	Failed Working Test Period
Total		14	

Human Resources Hiring Activities

January 2018 - April 2018

Month	Year	New Hires	Resignations	Terminations	Ended DROP	Retirement
January	2018	19	6	2	1	0
February	2018	17	3	3	1	0
March	2018	23	4	7	4	2
April	2018	56	15	2	1	0
Totals		115	28	14	7	2

115 New Hires

44% Left (51 Employees)

Note: An employee unofficially resigned on 3/22/18; however, the resignation is reflected in April

Human Resources Hiring Activities

January 2017 - April 2017

Month	Year	New Hires	Resignations	Terminations	Ended DROP	Retirement
January	2017	24	6	7	0	3
February	2017	14	8	2	4	1
March	2017	31	8	12	1	0
April	2017	31	6	5	7	3
Totals		100	28	26	12	7

100 New Hires

73% Left (73 Employees)

Hiring Classifications

January 2018 - April 2018

Month/Year	City-Wide	SWB	Direct Hire	Unclassified	Total Positions
January 2018	7	7	2	3	19
February 2018	2	5	6	4	17
March 2018	4	15	3	1	23
April 2018	15	25	15	1	56
Total Hires	28	52	26	9	115

City-Wide	24%
SWB	45%
Direct Hire	23%
Unclassified	8%

Hiring Classifications

January 2017 - April 2017

Month/Year	City-Wide	SWB	Direct Hire	Unclassified	Total Positions
January 2017	5	13	4	2	24
February 2017	8	1	4	1	14
March 2017	3	19	8	1	31
April 2017	6	17	7	1	31
Total Hires	22	50	23	5	100

City-Wide	22%
SWB	50%
Direct Hire	23%
Unclassified	5%

Hiring Categories for 2018

Position Type	Number of Positions	Percentage
Administrative/Professional:	36	31%
Operational/Technical:	79	69%
Total Hires for 2018:	115	100%

Administrative/Professional	
Position	Number of Positions
Accountant I	1
Auditor	1
Documentation Support Specialist II (Requisition & Invoice Specialist) (U)	3
Documentation Support Specialist III (Reconciliation & Closeout Specialist) (U)	1
Grants and Reporting Specialist (U)	2
Interim Executive Director (U)	1
Law Clerk	1
Management Development Analyst I	2
Management Development Specialist II	2
Office Assistant I	1
Office Assistant II	7
Office Assistant IV	2
Office Assistant Trainee	8
Paralegal	1
Project Manager (U)	1
Senior Special Agent	1
SWB Analyst (Reconciliation & Reimbursement Specialist) (U)	1
Operational/Technical	
Position	Number of Positions
Auto Mechanic	1
Emergency Management Services Coordinator	1
Engineering Technician	1
Environment Enforcement Technician I	3
Environment Enforcement Technician II	1
Field Service Supervisor	1
Laborer	20
Networks Maintenance Technician I	9
Networks Senior Maintenance Technician II	1
Public Works Superintendent	1
Pumping Plant Operator	1
Senior City Planner	2
Senior Principal Engineer	1
Utilities Maintenance Trainee II	1
Utilities Plant Worker	22
Utilities Trade Specialist I	1
Water Service Inspector I	12

Resignations for April 2018

Date	Reason	Job Title
4/28/2018	Accepted Employment Outside of Civil Service	Accountant III
4/30/2018	Accepted Employment Outside of Civil Service	Financial Analyst
4/16/2018	Accepted Employment Outside of Civil Service	Laborer
4/5/2018	Accepted Employment Outside of Civil Service	Management Development Analyst I
4/25/2018	Accepted Employment Outside of Civil Service	Networks Maintenance Technician I
4/9/2018	Accepted Employment Outside of Civil Service	Office Assistant II
4/14/2018	Accepted Employment Outside of Civil Service	Paralegal
4/23/2018	Accepted Employment Outside of Civil Service	Senior Project Manager
4/9/2018	Left City	Laborer
4/24/2018	Left City	Utility Maintenance Technician I
4/16/2018	Left City	Water Service Inspector I
4/5/2018	Other Reasons	Utilities Plant Worker
4/23/2018	Transferred/Promoted to the City	Management Development Analyst I
3/22/2018 *	Unknown	Documentation Support Specialist III (Reconciliation & Closeout Specialist)
4/14/2018	Unknown	Financial Analyst

Reason	# of Resignations	% of Total Resignations
Accepted Employment Outside of City Civil Service	8	53%
Avoided Disciplinary Action		
Continued Education		
Left City (Relocated)	3	20%
Medical		
Other Reasons	1	7%
Returned to School		
Transferred and/or Promoted to the City	1	7%
Unknown	2	13%
Work-Related (Environment)		
Total	15	100%

Note: An employee unofficially resigned on 3/22/18; however, the resignation is reflected in April *

RETIREMENT ELIGIBLE AS OF APRIL 30, 2018

Accountant 1	1
Accountant 2	1
Accountant 3	1
Administrative Support Supervisor 1	2
Administrative Support Supervisor 2	1
Administrative Support Supervisor 4	3
Automotive Maintenance Technician	2
Buyer 3	1
Chief Accountant	2
Drainage and Sewerage Pumping Supervisor	1
Duplicating Room Supervisor	1
Engineering Specialist	1
Engineering Technician	2
Environmental Enforcement Technician 2	2
Field Service Supervisor	1
Fleet Services Manager	1
Maintenance Engineer	1
Management Development Specialist 2	1
Management Development Supervisor	1
Networks Maintenance Technician 1	1
Networks Master Maintenance Technician 2	1
Networks Planner/Scheduler	1
Networks Quality Assurance and Safety Inspector	1
Networks Senior Maintenance Technician 1	3
Networks Senior Maintenance Technician 2	3
Networks Zone Manager 1	1
Office Assistant 2	3
Office Assistant 3	2
Office Assistant 4	1
Office Assistant Trainee	1
Office Support Specialist	8
Plumbing Inspector 2	2
Power Dispatcher 2	1
Principal Office Support Specialist	5
Public Works Maintenance Worker 1	1
Public Works Maintenance Worker 2	1
Public Works Supervisor 1	1
Pumping and Power Plant Operator	5
Pumping Plant Operator	5
Pumping Stations Supervisor	1
Pumping Stations Supervisor Assistant	3
Safety Engineer	1
Senior Office Support Specialist	5
Steam Plant Engineer 2	5
Steam Plant Engineer 4	1
Utilities Maintenance Technician 2	2
Utilities Maintenance Technician 3	2
Utilities Master Maintenance Specialist 2	1
Utilities Master Maintenance Supervisor	2
Utilities Plant Worker	2
Utilities Senior Services Manager	3
Utilities Services Administrator	4
Utilities Services Manager	2
Utility Meter Services Manager	1
Utility Meter Services Supervisor Assistant	1
Warehouse & Supplies Manager	1
Water Chemist 3	1
Water Purification Operator 1	2
Water Purification Operator 2	4
Water Purification Operator 3	2
Water Purification Operator 4	1

TOTAL: 120

DROP SUMMARY REPORT

TITLE	START	END	TIME REMAINING (yrs)	AVG TIME REMAINING (YRS)	TOTAL EMPLOYEES ON DROP
				2.83	118
OFFICE SUPPORT SPECIALIST	5/1/2013	5/1/2018	0.08		
OFFICE SUPPORT SPECIALIST	5/1/2013	5/1/2018	0.08		
NET SENIOR MAINTENANCE TECH 2	5/31/2013	5/31/2018	0.17		
NET MASTER MAINTENANCE TECH 2	6/1/2013	6/1/2018	0.17		
OFFICE ASSISTANT 3	6/3/2013	6/3/2018	0.18		
PUMPING STATIONS SUPV	7/31/2013	7/31/2018	0.33		
OFFICE ASSISTANT 3	8/1/2013	8/1/2018	0.34		
OFFICE ASSISTANT 3	8/1/2013	8/1/2018	0.34		
NET MASTER MAINTENANCE TECH 2	8/12/2013	8/12/2018	0.37		
ENGINEERING SPECIALIST	10/1/2013	10/1/2018	0.50		
NET QUALITY ASSUR & SFTY INSPC	11/1/2013	11/1/2018	0.59		
FLEET SERVICES SUPERVISOR	11/1/2013	11/1/2018	0.59		
MANAGEMNT DEVELOPMNT SPECLST 2	12/1/2013	12/1/2018	0.67		
STEAM PLANT ENGINEER 2	12/2/2013	12/2/2018	0.67		
LEGAL ADMINISTRATIVE ASSISTANT	1/3/2014	1/3/2019	0.76		
NETWORKS MAINTENANCE TECH 2	1/27/2014	1/27/2019	0.83		
PUMPING AND POWER PLANT OPR	2/1/2014	2/1/2019	0.84		
NET MASTER MAINTENANCE TECH 2	2/13/2014	2/13/2019	0.87		
PUMPING AND POWER PLANT OPR	3/1/2014	3/1/2019	0.92		
PUMPING STATIONS SUPV ASST	3/1/2014	3/1/2019	0.92		
Employees within 1 year:				20	
WATER PURIFICATION OPERATOR 3	6/4/2014	6/4/2019	1.18		
EQUIPMENT OPERATOR 2	9/1/2014	9/1/2019	1.42		
OFFICE ASSISTANT 3	10/1/2014	10/1/2019	1.50		
NETWORKS MAINTENANCE TECH 1	10/30/2014	10/30/2019	1.58		
NET SENIOR MAINTENANCE TECH 1	10/31/2014	10/31/2019	1.59		
UTILITY SENIOR SERVICES MGR	10/31/2014	10/31/2019	1.59		
MANAGEMNT DEVELOPMNT SPECLST 2	11/1/2014	11/1/2019	1.59		
PUMPING PLANT OPERATOR	11/1/2014	11/1/2019	1.59		
AUTOMOTIVE MAINT. TECHNICIAN	12/1/2014	12/1/2019	1.67		
ADMIN. SUPPORT SUPERVISOR 4	12/15/2014	12/15/2019	1.71		
NET SENIOR MAINTENANCE TECH 2	12/19/2014	12/19/2019	1.72		
PUMPING STATIONS SUPV ASST	12/31/2014	12/31/2019	1.75		
NET MASTER MAINTENANCE TECH 1	12/31/2014	12/31/2019	1.75		
STEAM PLANT ENGINEER 2	1/24/2015	1/24/2020	1.82		
PUMPING STATIONS SUPV ASST	2/1/2015	2/1/2020	1.84		
UTILITY SERVICES ADMINISTRATOR	3/1/2015	3/1/2020	1.92		
FIELD SERVICE SUPERVISOR	3/15/2015	3/15/2020	1.96		
SR. OFFICE SUPPORT SPECIALIST	3/28/2015	3/28/2020	1.99		
STEAM PLANT ENGINEER 1	3/31/2015	3/31/2020	2.00		
Employees within 2 years:				19	

DROP SUMMARY REPORT

PUMPING AND POWER PLANT OPR	8/1/2015	8/1/2020	2.34
FLEET SERVICES MANAGER	8/7/2015	8/7/2020	2.36
STEAM PLANT ENGINEER 4	9/22/2015	9/22/2020	2.48
POWER DISPATCHER 4	9/22/2015	9/22/2020	2.48
NET SENIOR MAINTENANCE TECH 1	11/1/2015	11/1/2020	2.59
FIELD SERVICE SUPERVISOR	11/1/2015	11/1/2020	2.59
NET SENIOR MAINTENANCE TECH 1	11/1/2015	11/1/2020	2.59
ADMIN. SUPPORT SUPERVISOR 3	11/26/2015	11/26/2020	2.66
CHIEF ACCOUNTANT	11/28/2015	11/28/2020	2.67
PUBLIC WORKS SUPERVISOR 2	12/2/2015	12/2/2020	2.68
NET SENIOR MAINTENANCE TECH 2	12/30/2015	12/30/2020	2.75
NET MASTER MAINTENANCE TECH 1	12/30/2015	12/30/2020	2.75
PRIN OFFICE SUPPORT SPECIALIST	1/1/2016	1/1/2021	2.76
AUTOMOTIVE SERVICES SUPERVISOR	1/8/2016	1/8/2021	2.78
UTIL MAINT MASTER SUPERVISOR	1/8/2016	1/8/2021	2.78
UTIL MAINT MASTER SUPERVISOR	1/8/2016	1/8/2021	2.78
UTIL MAINT MASTER SUPERVISOR	1/11/2016	1/11/2021	2.79
NET SENIOR MAINTENANCE TECH 1	2/1/2016	2/1/2021	2.84
NET SENIOR MAINTENANCE TECH 2	2/29/2016	3/1/2021	2.92

Employees within 3 years:

19

NET MASTER MAINTENANCE TECH 1	4/1/2016	4/1/2021	3.01
POWER DISPATCHER 3	4/1/2016	4/1/2021	3.01
OFFICE ASSISTANT 4	4/1/2016	4/1/2021	3.01
PUBLIC WORKS MAINTENANCE WKR 1	6/1/2016	6/1/2021	3.17
NET SENIOR MAINTENANCE TECH 2	6/1/2016	6/1/2021	3.17
PUBLIC WORKS MAINTENANCE WKR 1	6/4/2016	6/4/2021	3.18
LABORATORY TECHNICIAN 3	7/1/2016	7/1/2021	3.25
PUMPING STATIONS SUPV	8/1/2016	8/1/2021	3.34
PUMPING AND POWER PLANT OPR	8/1/2016	8/1/2021	3.34
UTILITY SERVICES ADMINISTRATOR	9/1/2016	9/1/2021	3.42
ADMIN. SUPPORT SUPERVISOR 4	10/8/2016	10/8/2021	3.53
PUMPING PLANT OPERATOR	11/1/2016	11/1/2021	3.59
NET SENIOR MAINTENANCE TECH 1	11/1/2016	11/1/2021	3.59
PUBLIC WORKS MAINTENANCE WKR 2	11/1/2016	11/1/2021	3.59
ENGINEER INTERN 2	12/1/2016	12/1/2021	3.67
SR. OFFICE SUPPORT SPECIALIST	12/1/2016	12/1/2021	3.67
OFFICE SUPPORT SPECIALIST	12/1/2016	12/1/2021	3.67
NET MASTER MAINTENANCE TECH 1	12/28/2016	12/28/2021	3.75
PUMPING AND POWER PLANT OPR	12/31/2016	12/31/2021	3.76
SR. OFFICE SUPPORT SPECIALIST	1/2/2017	1/2/2022	3.76
WATER PURIFICATION OPERATOR 4	1/2/2017	1/2/2022	3.76
PUMPING AND POWER PLANT OPR	1/3/2017	1/3/2022	3.76
WATER PURIFICATION OPERATOR 2	1/5/2017	1/5/2022	3.77
POWER DISPATCHER 3	1/8/2017	1/8/2022	3.78
FIELD SERVICE SUPERVISOR	2/13/2017	2/13/2022	3.88
ADMIN. SUPPORT SUPERVISOR 1	2/28/2017	2/28/2022	3.92
AUTOMOTIVE SECTION SUPERVISOR	3/1/2017	3/1/2022	3.92
ADMIN. SUPPORT SUPERVISOR 4	3/1/2017	3/1/2022	3.92

Employees within 4 years:

28

DROP SUMMARY REPORT

OFFICE ASSISTANT 2	4/1/2017	4/1/2022	4.01
ENGINEER	4/1/2017	4/1/2022	4.01
NETWORKS MAINTENANCE TECH 2	4/1/2017	4/1/2022	4.01
ADMIN. SUPPORT SUPERVISOR 4	4/1/2017	4/1/2022	4.01
NETWORKS ZONE MANAGER 1	4/13/2017	4/13/2022	4.04
NET MASTER MAINTENANCE TECH 1	5/1/2017	5/1/2022	4.09
STEAM PLANT ENGINEER 1	6/1/2017	6/1/2022	4.17
NET SENIOR MAINTENANCE TECH 1	6/1/2017	6/1/2022	4.17
NETWORKS MAINTENANCE TECH 2	6/1/2017	6/1/2022	4.17
ENGINEER INTERN 2	6/20/2017	6/20/2022	4.22
ATTORNEY 4	6/26/2017	6/26/2022	4.24
NETWORKS MAINTENANCE TECH 2	7/1/2017	7/1/2022	4.25
NET SENIOR MAINTENANCE TECH 2	7/1/2017	7/1/2022	4.25
PUBLIC WORKS SUPERVISOR 4	7/1/2017	7/1/2022	4.25
MATERIAL AND STORES SUPV	7/3/2017	7/3/2022	4.26
ENGINEERING DIVISION MANAGER	8/1/2017	8/1/2022	4.34
PUMPING AND POWER PLANT OPR	8/1/2017	8/1/2022	4.34
NETWORKS MAINTENANCE TECH 1	10/1/2017	10/1/2022	4.51
WATER SERVICE INSPECTOR 2	10/1/2017	10/1/2022	4.51
NET SENIOR MAINTENANCE TECH 2	10/1/2017	10/1/2022	4.51
PRIN OFFICE SUPPORT SPECIALIST	11/6/2017	11/6/2022	4.61
STEAM PLANT ENGINEER 1	11/7/2017	11/7/2022	4.61
FACILITIES ENGINEERING SPCL	12/29/2017	12/29/2022	4.75
UTIL METER SERVICES SUPV ASST	1/3/2018	1/3/2023	4.76
WATER PURIFICATION OPERATOR 2	1/7/2018	1/7/2023	4.78
STEAM PLANT ENGINEER 3	1/8/2018	1/8/2023	4.78
PLUMBING INSPECTION SUPV ASST	1/14/2018	1/14/2023	4.79
POWER DISPATCHER 3	1/19/2018	1/19/2023	4.81
ADMIN. SUPPORT SUPERVISOR 2	2/1/2018	2/1/2023	4.84
PRIN OFFICE SUPPORT SPECIALIST	2/1/2018	2/1/2023	4.84
BOILER PLANT OPERATOR	2/8/2018	2/8/2023	4.86
PUMPING AND POWER PLANT OPR	2/8/2018	2/8/2023	4.86

Employees within 5 years:

32

DROP SUMMARY REPORT

APRIL SUMMARY		
TITLE	EFFECTIVE DATE	ACTION
UTIL MAINT MASTER SPECIALIST 2	4/1/2018	DELETION



SEWERAGE AND WATER BOARD

Inter-Office Memorandum

Date: May 5, 2018

From: Willie Mingo, Director of Procurement *WM*
Purchasing Department

Thru: Vicki Rivers, Deputy Director
Sewerage and Water Board New Orleans - Logistics

To: Jada Russel-Brown, Interim Special Counsel
Sewerage and Water Board New Orleans –Board Relations

Re: **Executive Director's Approval of Contracts of \$1,000,000.00 or less**

1. Atlas Hose & Gaskets Co LLC
1010 St. Mary St., NOLA 70130

- Furnishing Hoses & Accessories
- Start date 6/1/18 thru 5/31/19 with (1) one year renewal option
- \$136,586.55

- Upon request, complete contract available for review in Procurement office.

**OFFICE OF GENERAL SUPERINTENDENT
SEWERAGE AND WATER BOARD OF NEW ORLEANS**

May 16, 2018

To the Honorable President and members of the Sewerage and Water Board of New Orleans:

The following report for the month of April presented herewith:

Contract 1350 – Industrial & Mechanical Contractors, Inc. – Katrina related repairs to Stream Turbine No. 4 & Auxiliaries at the Main Water Purification Plant Power Complex. This work is approximately 98% complete. (CP Item 175).

Contract 1351 – Lou-Con, Inc. – Repairs to A & B Pumps & Auxiliaries at the Main Water Purification Plant. Contract accepted by the Board on December 20, 2017, awaiting clear lien & retainage payment. This work is 100% complete. (CP Item 175).

Contract 1352 – Industrial & Mechanical Contractors, Inc. – Katrina related replacement of Pump Package at the Main Water Purification Power Plant Complex. This work is 99% complete. (CP Item 175).

Contract 1378 – Plant-N-Power Services, LLP – Hurricane Katrina related repairs to Boilers/Duct/Elevator at the Main Water Purification Plant Power Complex. This work is approximately 95% complete. (CP Item 175).

Contract 1381 – Lou-Con, Inc. – Purchase & Installation of Compressor, Tank & Dryer in Sycamore Filter Gallery at the Main Water Purification Plant. Contract accepted by the Board on April 18, 2018, awaiting clear lien & retainage payment. This work is 100% complete. (CP Item 110).

Contract 1384 – M.R. Pittman Group, LLC – Sycamore Filter Gallery Backwash Pump Replacement. This work is approximately 14% complete. (CP Item 122).

Contract 1387 – New Orleans Metalworks, Inc. – Painting & Repairs of Four (4) Million Gallon Water Storage Tanks at the Main Water Purification Plant. Contract accepted by the Board on December 20, 2017, awaiting clear lien & retainage payment. This work is 100% complete. (CP Item 110).

Contract 1393 – Cycle Construction, LLC. – Secondary Chlorination Station at Venetian Isles. This work is approximately 96% complete. (CP Item 175).

Contract 1395 – Burk-Kleinpeter Construction, Inc. – Water Hammer Hazard Mitigation – Elevated Storage Tanks. This work is approximately 60% complete. (CP Item 175).

Contract 1397 – Maguire Iron, Inc. – Improvements to Michoud Water Tower. This work is approximately 89% complete. (CP Item 175).

Contract 2105 – Boh Brothers Construction Co., LLC – Replacement of Water Lines damaged by Hurricane Katrina within various roadways in different neighborhoods throughout the City of New Orleans. Contract accepted by the Board on December 20, 2017, awaiting clear lien & retainage payment. This work is 100% complete. (CP Item 175).

Contract 2106 – Fleming Construction Co., Inc. – New Water, Sewer & Drain Service Connection. This work is approximately 94% complete. (CP Item 375).

Contract 2111 – Wallace C. Drennan, Inc. – Water Main Point Repair, Water Service Connection, Water Valve & Fire Hydrant Replacement at Various Sites throughout Orleans Parish. Contract accepted by the Board on April 18, 2018, awaiting clear lien & retainage payment. This work is 100% complete. (CP Item 175).

Contract 2123 – Wallace C. Drennan, Inc. – Waterline Replacement & Extensions. Contract accepted by the Board on April 18, 2018, awaiting clear lien & retainage payment. This work is 100% complete. (CP Item 214).

Contract 2126 – Wallace C. Drennan, Inc. – Water Point Repair. This work is 89% complete. (CP Item 175).

Contract 3663 – Lou-Con, Inc. – Hurricane Katrina 404 Hazard Mitigation Grant Program Replacement of Bullard Sewage Pumping Station. This work is approximately 99% complete. (CP Item 340).

Contract 3664 – Hard Rock Construction, Inc. – Replacement of Sewage Pumping Station #8. This work is approximately 86% complete. (CP Item 340).

Contract 3665 – TKT MJ, Inc. – Hurricane Katrina 404 Hazard Mitigation Grant Program Replacement of DOTD Sewage Lift Station. This work is approximately 99% complete. (CP Item 340).

Contract 3667 – TKTMJ, Inc. – Hurricane Katrina 404 Hazard Mitigation Grant Program Replacement of Plum Orchard Sewage Lift Station. This work is approximately 99% complete. (CP Item 340).

Contract 3669 – Industrial & Mechanical Contractors, Inc. – Hurricane Katrina 404 Hazard Mitigation Grant Program Replacement of Lawrence Sewage Pumping Station #6. Contract accepted by the Board on February 21, 2018, awaiting clear lien & retainage payment. This work is 100% complete. (CP Item 340).

Contract 3670 – Lou-Con, Inc. – Hurricane Katrina 404 Hazard Mitigation Grant Program Replacement of Lawrence Sewage Pumping Station. This work is approximately 99% complete. (CP Item 340).

Contract 3675 – F.H. Paschen, S.N. Nielsen & Associates LLC - Katrina related replacement of the Administration Building at the East Bank Waste Water Treatment Plant. This work is approximately 99% complete. (CP Item 375).

Contract 3737 – Wallace C. Drennan, Inc. – Carrollton Area Sewer Rehabilitation Project Mistletoe St., 18-Inch Sewer Line Replacement SSERP. Contract accepted by the Board on December 20, 2017, awaiting clear lien & retainage payment. This work is 100% complete (CP Item 317).

Contract 3796 – Industrial & Mechanical Contractors, Inc. – Replacement of Pumps at the Boulevard “X” Sewage Pumping Station. Contract accepted by the Board on February 21, 2018, awaiting clear lien & retainage payment. This work is 100% complete. This work is approximately 99% complete. (CP Item 311).

Contract 3986 – BLD Services, LLC – Ninth Ward Area Sewer Rehabilitation-Sewer Rehabilitation No. 5. Contract accepted by the Board on February 21, 2018, awaiting clear lien & retainage payment. This work is 100% complete. (CP Item 317).

Contract 6254 – Walter J. Barnes Electric Co., Inc. – Hurricane Katrina related repairs to Central Yard Garage #2/Electrical & Main Power Room. This work is approximately 96% complete. (CP Item 676).

Contract 6259 – Industrial & Mechanical Contractors, Inc. – Installation of Permanent Generator at 5 Underpass Stations. This work is approximately 88% complete. (CP Item 575).

Contract 8132 – Industrial & Mechanical Contractors, Inc. - Hurricane Katrina related repairs to Central Yard Garage #1/Generator & Power Room. This work is approximately 99% complete. (CP Item 175).

Contract 8139 – W.L. Wymann Construction Co., Inc. – Re-bid to replace the Central Yard Annex Building damaged during Hurricane Katrina. This work is approximately 99% complete. (CP Item 175).

Contract 8143 – Hamp’s Construction – Hurricane Katrina related repairs to Central Yard Garage #2/Building & Roof. Contract accepted by the Board on October 18, 2017, awaiting clear lien & retainage payment. This work is 100% complete. (CP Item 175).

Contract 8144 – Wallace C. Drennan, Inc. – Repaving of Open Cuts in Streets, Driveways, & Sidewalks resulting from the repair to the Sewerage & Water Board of New Orleans Underground Utilities. This work is approximately 99% complete. (CP Item 880).

Contract 30002 – BLD Services, Inc. – Restoration of existing Gravity Sewer Mains damaged by Hurricane Katrina by excavation & replacement from Manhole to Manhole, CIPP Lining from Manhole to Manhole & Point Repairs at various sites throughout Orleans Parish. This work is approximately 99% complete. (CP Item 375).

Contract 30003 – BLD Services, Inc. – Restoration of existing Gravity Sewer Mains damaged by Hurricane Katrina by excavation & replacement from Manhole to Manhole, CIPP Lining from Manhole to Manhole & Point Repairs at various sites throughout Orleans Parish. Contract accepted by the Board on February 21, 2018, awaiting clear lien & retainage payment. This work is 100% complete. (CP Item 375).

Contract 30006 – Boh Brothers Construction Co., LLC – Restoration of existing Gravity Sewer Mains damaged by Hurricane Katrina by excavation & replacement from Manhole to Manhole, CIPP Lining from Manhole to Manhole & Point Repairs at various sites throughout Orleans Parish. This work is approximately 99% complete. (CP Item 375).

Contract 30009 – Wallace C. Drennan, Inc. – Restoration of existing Gravity Sewer Mains damaged by Hurricane Katrina by excavation & replacement from Manhole to Manhole, CIPP Lining from Manhole to Manhole & Point Repairs at various sites throughout Orleans Parish. Contract accepted by the Board on December 20, 2017, awaiting clear lien & retainage payment. This work is 100% complete. (CP Item 375).

Contract 30014 – CES – Cleaning & CCTV Inspection. This work is approximately 97% complete. (CP Item 375).

Contract 30015 – Wallace C. Drennan, Inc. – Restoration of existing Gravity Sewer Mains by Point Repair & CIPP Lining of Sewer Mains. This work is approximately 99% complete. (CP Item 375).

Contract 30016 – Wallace C. Drennan, Inc. - Manhole to Manhole & Sewer Point Repair. This work is approximately 99% complete. (CP Item 375).

Contract 30100 – Gootee Construction, Inc. –Electrical Modifications to the Effluent Pump House at EBWWTP. This work is 88% complete. (CP Item 375).

Contract 30103 – Fleming Construction Co., Inc. – Installation of EDC at various Sewer Pumping Stations. Contract accepted by the Board on December 20, 2017, awaiting clear lien & retainage payment. This work is 100% complete. (CP Item 326).

Contract 30203 – Wallace C. Drennan, Inc. – Sewer Point Repairs. This work is approximately 85% complete. (CP Item 375).

Contract 30204 – Wallace C. Drennan, Inc. – Manhole to Manhole & Sewer Point Repair. This work is approximately 70% complete. (CP Item 318).

Contract 30205 – Wallace C. Drennan, Inc. – New Orleans East Basin, Plum Orchard Sewer Rehabilitation. This work is approximately 98% complete. (CP Item 317/375).

Contract 30206 – Fleming Construction Co., Inc. – New Orleans East Basin, W. Lake Forest & Read Blvd. West Sewer Rehabilitation. This work is approximately 99% complete. (CP Item 317/375).

Contract 30207 – BLD Services, Inc. – New Orleans East Read East & West Viavant. This work is approximately 80% complete. (CP Item 317).

Contract 30208 – Fleming Construction Co., Inc. – New Orleans East De'Lest & Venetian Isles. This work is approximately 85% complete. (CP Item 317).

CURRENT EMERGENCY BID CONTRACTS

TEMPORARY GENERATORS AT CARROLLTON FREQUENCY CHANGER, DPS4, CITRUS DPS, DWYER DPS, GRANT DPS, MAXENT DPS, OLEANDER DPS, ELAINE DPS, AND SPS A AND TEMPORARY A/C UNITS AT POWER HOUSE #1 AND PLANT FREQUENCY CHANGER - REQUISITION NO. EE 17-0006

Contractor: United Rentals
Amount: \$5,537,025.44
% complete: 100 %

The Notice to Proceed was issued on Tuesday, August 8, 2017.

TEMPORARY GENERATORS AT DPS 17 (STATION D) - REQUISITION NO. EE 17-0007

Contractor: ARCCO
Amount: \$2,579,148.00
% complete: 100%

The Notice to Proceed was issued on August 28, 2017.

PURCHASE OF FIVE 25Hz GENERATORS SETS - REQUISITION NO. EE 17-0008

Contractor: ARCCO
Amount: \$11,895,000.00
% complete: 99 %

The Notice to Proceed was issued on August 29, 2017.

WIRING FOR TEMPORARY GENERATOR SETS AT STATION "D" - REQUISITION NO. EE 17-0009

Contractor: Walter J. Barnes Electric Company
Amount: \$790,000.00
% complete: 100%

The Notice to Proceed was issued on August 29, 2017.

PLUMBING DEPARTMENT

Submitted herewith, you will find the monthly report for work performed by the Plumbing Department;

There were 343 Plumbing, and 74 Backflow permits issued during the month of **April**. This department complied with 625 requests for Plumbing Inspections, which consists of inspections conducted with Licensed Plumbing Contractors, Property Owners, Tenants, Department of Health and Hospitals Sanitarians, and Environmental Inspectors.

For your information, the following numbers are of the permits issued and inspections conducted.

	<u>Feb</u>	<u>Mar</u>	<u>2018</u> <u>Apr</u>	<u>2017</u> <u>Apr</u>	<u>YTD 2018</u>
Plumbing Permits Issued	270	305	343	260	
Backflow Permits Issued	031	039	074	064	
	301	344	417	324	1062
Inspections Conducted					
Water	392	529	470	379	
Special	004	004	008	157	
Final	111	174	147	149	
Totals	507	707	625	685	1839

RAINFALL REPORT FOR APRIL 2018

The rainfall for the month of **April** was **5.22"**, compared to the 125-year average of **4.95"** for the month of **April**. The cumulative rainfall through the fourth month of the year was **14.78"** compared to the 125-year average of **19.03"**.

AVERAGE DAILY PUMPAGE FOR THE MONTH OF APRIL

New Orleans Side
Algiers Side

125.391 Million Gallons Per Day
9.52 Million Gallons Per Day

Respectfully submitted,



**BRUCE ADAMS
INTERIM GENERAL SUPERINTENDENT**

BA/zfg
GENSUP%5-16-18

**SEWERAGE AND WATER BOARD OF NEW ORLEANS
OFFICE OF SPECIAL COUNSEL**

May 16, 2018

**To the Honorable President and Members of the
Sewerage and Water Board of New Orleans:**

The following represents Legal Department activities during the month of
April 2018.

CONTRACTS EXECUTED BEFORE SPECIAL COUNSEL:

**ASSORTED PRODUCTS – Contract for Furnishing Hand Tools, Hardware
Supplies, Paint & Paint Supplies - \$314,142.90**

**COBURN'S SUPPLY – Contract for Furnishing Sewer Repair
Couplings – \$76,005.75**

**FERGUSON ENTERPRISES DBA LOUISIANA UTILITIES SUPPLY – Contract
For Furnishing Full Circle S/S Repair and Tap Clamps and
Transitional Couplings for Cast Iron, Ductile Iron and Transite Pipe –
\$239,157.00 for Section I, II, and III**

**FERGUSON ENTERPRISES DBA LOUISIANA UTILITIES SUPPLY – Contract
For Furnishing Sewer Repair Couplings – \$13,582.50
For Item Nos. 5, 6, 7, 8, 9, 10, 11, 12, 13, & 14**

**POLYDYNE INC. – Contract for Furnishing Polyelectrolyte
\$572,500.00**

CIVIL SUITS FILED AGAINST BOARD:

**MUBASHIR MAQBOOL, DANIEL EAGLIN II, AND DAVID P. LOCKETT V.
SEWERAGE AND WATER BOARD OF NEW ORLEANS, No. 18-3206, Civil
District Court.** In this case, plaintiffs filed Verified Petition for
Temporary Restraining Order and Preliminary Injunction in
connection with Staff Augmentation Contract.

SONYA PAYNE V. SEWERAGE AND WATER BOARD OF NEW ORLEANS, THE CITY OF NEW ORLEANS, CAJUN OPERATING COMPANY D/B/A CHURCH'S CHICKEN AND LIBERTY MUTUAL INSURANCE COMPANY, No. 18-03989, Civil District Court. In this case, plaintiff sued seeking judgment for injuries allegedly sustained when she slipped and fell on an area of sidewalk that was dangerous and defective, at or near the 1600 block of South Claiborne Avenue on or about April 24, 2017.

YOLANDA PENN V. SEWERAGE AND WATER BOARD OF NEW ORLEANS AND CITY OF NEW ORLEANS DEPARTMENT OF PUBLIC WORKS, No. 18-01714, Civil District Court. In this case, plaintiff sued seeking judgment for injuries allegedly sustained when she fell into an uncovered manhole, near Desire and North Robertson Streets, on or about February 22, 2017.

JOYCE PETERS V. SEWERAGE AND WATER BOARD OF NEW ORLEANS, No. 18-02719, Civil District Court. In this case, plaintiff sued seeking judgment for injuries allegedly sustained when she fell on a large soggy area at or near 1830 Bienville Street, on or about April 4, 2017.

MARTIN F. LAZA ROSELL V. WALLACE C. DRENNAN, INC., THE SEWERAGE & WATER BOARD OF NEW ORLEANS, AND THE CITY OF NEW ORLEANS, No. 18-00312, Civil District Court. In this case, plaintiff sued seeking judgment for injuries allegedly sustained when he was riding on a tractor lawn mower in or about the 7400 block of Downman Road on or January 12, 2017, when a sinkhole opened and the vehicle fell in.

CLAUDIA VAPPIE WIFE OF/AND CHRISTOPHER VAPPIE, INDIVIDUALLY AND ON BEHALF OF THEIR MINOR CHILD, GISELLE VAPPIE V. NEW ORLEANS SEWERAGE AND WATER BOARD AND ORLEANS LEVEE DISTRICT, No. 18-3592, Civil District Court. In this case, plaintiffs sued seeking judgment for injuries allegedly sustained by the minor child when she fell in an uncovered manhole on the Lakefront near the back of the University of New Orleans, on or about March 2, 2018.

Respectfully submitted,



**JADE BROWN-RUSSELL
INTERIM SPECIAL COUNSEL**

JBR:mkt

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Project Delivery Unit April Report

Hurricane Katrina	# of PWs	Obligations	FEMA Revenue Received	% Financially Complete	Total # PWs Submitted for Closeout	Total \$ Value Submitted for Closeout	# PWs Officially Closed	% Submitted	% Closed	# PWs Submitted but Not Closed	Total \$ Value Submitted but Not Closed
St. Joseph Headquarters	20	\$ 2,131,371.24	\$ 584,655.47	27%	19	\$ 1,030,774.25	13	95%	63%	6	\$ 654,667.10
Central Yard	42	\$ 28,806,799.50	\$ 22,356,127.48	78%	35	\$ 24,587,249.06	26	83%	62%	9	\$ 17,672,777.09
Wastewater Treatment Plant	128	\$ 90,721,028.97	\$ 75,507,446.86	83%	127	\$ 84,836,520.97	121	99%	95%	6	\$ 37,755,947.72
Carrollton Water Plant	55	\$ 74,208,087.54	\$ 61,547,765.01	83%	50	\$ 11,529,378.67	44	91%	80%	6	\$ 2,503,927.41
Distribution Network	27	\$ 187,777,680.09	\$ 136,647,982.14	73%	20	\$ 101,980,683.32	13	74%	48%	7	\$ 75,957,686.90
JIRR	55	\$ 336,836,351.85	\$ 54,805,526.87	16%	0	\$ -	0	0%	0%	0	\$ -
Pump Stations	166	\$ 81,375,509.41	\$ 69,010,507.07	85%	153	\$ 61,531,814.51	133	92%	80%	20	\$ 19,269,541.45
Total	493	\$ 801,856,828.60	\$ 420,460,010.90	52%	404	\$ 285,516,420.78	350	82%	71%	54	\$ 153,814,547.67

Hurricane Gustav	# of PWs	Obligations	FEMA Revenue Received	% Financially Complete	Total # PWs Submitted for Closeout	Total \$ Value Submitted for Closeout	# PWs Officially Closed	% Submitted	% Closed	# PWs Submitted but Not Closed	Total \$ Value Submitted but Not Closed
	9	\$ 668,689.06	\$ 668,689.06	100%	9	\$ 668,689.06	8	100%	89%	0	\$ 3,233.80
Total											

Hurricane Isaac	# of PWs	Obligations	FEMA Revenue Received	% Financially Complete	Total # PWs Submitted for Closeout	Total \$ Value Submitted for Closeout	# PWs Officially Closed	% Submitted	% Closed	# PWs Submitted but Not Closed	Total \$ Value Submitted but Not Closed
	8	\$ 1,554,775.01	\$ 955,019.44	61%	1	\$ 4,540.20	1	13%	13%	0	\$ -
Total											

Hurricane Katrina Hazard Mitigation	HMGP No.	# of Projects	Obligations	FEMA Revenue Received	% Financially Complete	Total # of Contracts Completed	Total \$ Value Submitted for Closeout	# PWs Contracts Closed	% Submitted	% Closed	# Contracts Submitted but Not Closed	Total \$ Value Submitted but Not Closed
Retrofit of Power House	39	10	\$ 91,510,697.00	\$ 58,555,718.77	49%	2	\$ -	0	0%	0%	0	\$ -
Flood Mitigation of 9 SPS	6	9	\$ 19,987,722.00	\$ 18,975,754.39	63%	2	\$ -	0	0%	0%	0	\$ -
Total		18	\$ 111,498,419.00	\$ 77,531,473.16	52%	4	\$ -	0	0%	0%	0	\$ -

Hurricane Ike Hazard Mitigation	HMGP No.	# of Projects	Obligations	FEMA Revenue Received	% Financially Complete	Total # of Contracts Completed	Total \$ Value Submitted for Closeout	# PWs Contracts Closed	% Submitted	% Closed	# Contracts Submitted but Not Closed	Total \$ Value Submitted but Not Closed
Five Underpass Generators	2	1	\$ 988,658.00	\$ -	0%	0	\$ -	0	0%	0%	0	\$ -
Total		1	\$ 988,658.00	\$ -	0%	0	\$ -	0	0%	0%	0	\$ -



SEWERAGE AND WATER BOARD

Inter-Office Memorandum

Date: April 30, 2018

To: Irma Plummer, EDBP Director
Valerie Rivers, Deputy Director - Logistics
Sharon Judkins, Deputy Director - Human Resources

From: Veronica Johnson-Christmas, EDBP *VJC*

Re: EDBP Contract/Certification Summary - April 2018

Analyses conducted by EDBP

There were seven (7) Construction contracts and one (1) Goods & Services contract for the EDBP Department to review for the month of April 2018.

CONSTRUCTION CONTRACTS

1) Contract #2130 – Water Main Point Repair, Water Service Connection, Water Valve and Fire Hydrant Replacement at Various Sites throughout Orleans Parish

On Friday, April 6, 2018 five (5) bids were received for subject contract. The bid totals are as follows:

Wallace C. Drennan, Inc.	\$3,887,040.00
Infinity Construction and Trucking, LLC	\$4,382,535.00
Grady Crawford Construction Co., Inc. of Baton Rouge	\$6,451,860.00
Fleming Construction Co., LLC	\$6,566,600.00
Boh Bros. Construction Co., LLC	\$7,555,250.00

Thirty-six percent (36%) DBE participation was requested on this contract.

- ❖ The apparent lowest bidder, **Wallace C. Drennan, Inc.**, submitted the following subcontractors:

C&M Construction Group, Inc. (eligible certified SLDBE) to perform asphalt and concrete restoration
\$1,010,000.00 – 25.98%

Prince Dump Truck Services, LLC (eligible certified SLDBE) to perform trucking and hauling services
\$200,712.00 – 5.16%

Choice Supply Solutions, LLC (eligible certified SLDBE) to provide hydrants and all associated products
\$200,000.00 – 5.15%

Total Participation: \$1,410,712.00 - 36.29%

Signed correspondence from DBEs on their own letterhead reaffirming negotiated terms was provided.

- ❖ The apparent second lowest bidder, **Infinity Construction and Trucking, LLC** included Gentilly Debris Removal (eligible certified SLDBE) to perform trucking, water line repair, water service connections, and fire hydrant replacement.

Total Participation: \$1,577,712.60 – 36.00%

Signed correspondence from DBE on their own letterhead reaffirming negotiated terms was provided.

- ❖ The apparent third lowest bidder, **Grady Crawford Construction Co., Inc. of Baton Rouge**, apparent fourth lowest bidder, **Fleming Construction Co., LLC**, and apparent fifth lowest bidder, **Boh Bros. Construction Co., LLC**, were not required to submit a DBE Participation Summary Sheet for review.

Based upon analysis of SLDBE participation, the Economically Disadvantaged Business Program recommends that the SLDBE participation submitted by Wallace C. Drennan, Inc., and Infinity Construction and Trucking, be considered as responsive to meeting EDBP bid requirements.

2) **Contract #2131 – Installation of New Water, Sewer, and Drain Service Connections at Various Sites throughout Orleans Parish**

On Friday, April 6, 2018, four (4) bids were received for subject contract. The bid totals are as follows:

Fleming Construction Co., LLC	\$2,962,550.00
Wallace C. Drennan, Inc.	\$3,744,920.00
Cycle Construction Co., LLC	\$4,247,030.00
Grady Crawford Construction Co., Inc. of Baton Rouge	\$4,261,439.50

Thirty-six percent (36%) DBE participation was requested on this contract.

- ❖ The apparent lowest bidder, **Fleming Construction Co., LLC**, submitted the following subcontractors:

Cooper Contracting Group, LLC (eligible certified SLDBE) to perform installation of new water, sewer, and drain service connections and asphalt and concrete pavement restoration
\$900,000.00 – 30.38%

F.P. Richard Construction, LLC (eligible certified SLDBE) to perform asphalt and concrete pavement restoration
\$130,000.00 – 4.39%

Hebert's Trucking & Equipment Service (eligible certified SLDBE) to perform trucking service
\$50,000.00 – 1.69%

Total Participation: \$1,080,000.00 - 36.46%

Signed correspondence from DBEs on their own letterhead reaffirming negotiated terms was provided.

- ❖ The apparent second lowest bidder, **Wallace C. Drennan, Inc.**, submitted the following subcontractors:

C&M Construction Group, Inc. (eligible certified SLDBE) to perform asphalt and concrete restoration
\$1,050,000.00 – 28.04%

Prince Dump Truck Services, LLC (eligible certified SLDBE) to perform trucking and hauling services
\$160,000.00 – 4.27%

Choice Supply Solutions, LLC (eligible certified SLDBE) to provide hydrants and all associated products
\$142,000.00 – 3.79%

Total Participation: \$1,352,000.00 — 36.10%

Signed correspondence from DBEs on their own letterhead reaffirming negotiated terms was provided.

- ❖ The apparent third lowest bidder, **Cycle Construction Co., LLC**, and apparent fourth lowest bidder, **Grady Crawford Construction Co., Inc. of Baton Rouge**, were not required to submit a DBE Participation Summary Sheet for review.

Based upon analysis of SLDBE participation, the Economically Disadvantaged Business Program recommends that the SLDBE participation submitted by Fleming Construction Co., LLC, and Wallace C. Drennan, Inc., be considered as responsive to meeting EDBP bid requirements.

3) Contract #30102 – DPS #17/Station D Structural Repairs

On Friday, April 13, 2018, two (2) bids were received for subject contract. The bid totals are as follows:

The McDonnel Group, LLC	\$8,766,710.00
M.R. Pittman Group, LLC	\$9,927,050.00

Twenty-six percent (26%) DBE participation was requested on this contract.

- ❖ The apparent lowest bidder, **The McDonnel Group, LLC**, submitted the following subcontractors:

Small Construction Group (eligible certified SLDBE) to supply all masonry material, perform masonry pointing and crack repair. Supply, erect, and dismantle all scaffold systems
\$1,976,000.00 — 22.54%

NOLA Steele Fabrication & Erection, LLC (eligible certified SLDBE) to supply, fabricate, and install all structural steel components (roof truss reinforcement, and 2nd level deck steel
\$413,165.87 — 4.71%

Total Participation: \$2,389,165.87 - 27.25%

Signed correspondence from DBEs on their own letterhead reaffirming negotiated terms was provided.

- ❖ The apparent second lowest bidder, **M.R. Pittman Group, LLC**, submitted the following subcontractors:

RLH Investments, LLC (eligible certified SLDBE) to perform concrete replacement of slab on grade
\$44,034.00 — 0.44%

J. Brown Construction, LLC (eligible certified SLDBE) to furnish and install scaffold for masonry work, masonry point repairs, furnish anchors and compatible injected fill
\$2,717,700.00 — 27.38%

Total Participation: \$2,761,734.00 - 27.82%

Signed correspondence from DBEs on their own letterhead reaffirming negotiated terms was provided.

Based upon analysis of SLDBE participation, the Economically Disadvantaged Business Program recommends that the SLDBE participation submitted by The McDonnell Group, LLC, and M.R. Pittman Group, LLC, be considered as responsive to meeting EDBP bid requirements.

4) Contract #30109 – Sewer Pumping Station A Structural Rehabilitation

On Friday, April 6, 2018, four (4) bids were received for subject contract. The bid totals are as follows:

Cycle Construction Co., LLC	\$1,669,984.00
Lou-Con, Inc.	\$2,000,000.00
M.R. Pittman Group, LLC	\$2,728,750.00
Malin Construction Co., Inc.	\$2,986,814.00

Thirty-six percent (36%) DBE participation was requested on this contract.

- ❖ The apparent lowest bidder, **Cycle Construction Co., LLC**, submitted the following subcontractors:

K-Belle Consultants, LLC (eligible certified SLDBE) to perform structural concrete (\$523,967; 31.38%) and flatwork concrete (\$12,000.00; 0.72%)
\$535,967.00 - 32.09%

RLH Investments, LLC (eligible certified SLDBE) to perform architectural painting
\$46,489.00 - 2.78%

NOLA Steele Fabrication & Erection, LLC (eligible certified SLDBE) to install structural steel
\$24,579.00 — 1.47%

Traffic Solutions, Inc. (eligible certified SLDBE) to provide temporary signs and barricades
\$4,307.50 — 0.26%

A&A Enterprises, Inc. (eligible certified SLDBE) to perform sawcutting & wall sawing
\$4,377.00 — 0.26%

Urban Systems, Inc. (eligible certified SLDBE) to provide traffic control plan
\$3,500.00 — 0.21%

Total Participation: \$619,219.50 — 37.08%

Signed correspondence from DBEs on their own letterhead reaffirming negotiated terms was provided.

- ❖ The apparent second lowest bidder, **Lou-Con, Inc.**, included RLH Investments, LLC (eligible certified SLDBE) to concrete items, architectural paintings, roof removal and reinstallation, miscellaneous concrete items.

Total Participation: \$720,160.00 – 36.01%

Signed correspondence from DBE on their own letterhead reaffirming negotiated terms was provided.

- ❖ The apparent third lowest bidder, **M.R. Pittman Group, LLC**, and apparent fourth lowest bidder, **Malin Construction Co., Inc.**, were not required to submit a DBE Participation Summary Sheet for review.

Based upon analysis of SLDBE participation, the Economically Disadvantaged Business Program recommends that the SLDBE participation submitted by Cycle Construction Co., LLC, and Lou-Con, Inc., be considered as responsive to meeting EDBP bid requirements.

5) **Contract #30211 – Acoustic Pipe Assessment, Cleaning, CCTV Inspection, and Application of Grease Inhibiting Chemical of Sanitary Sewer and/or Storm Drainage Mains at Scattered Sites within Orleans Parish**

On Friday, April 6, 2018, there was one (1) bid received for subject contract. The bid totals was as follows:

Compliance EnviroSystems, LLC	\$1,291,750.00
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Thirty-six percent (36%) DBE participation was requested on this contract.

- ❖ The apparent lowest bidder, **Compliance EnviroSystems, LLC**, included Blue Flash (eligible certified SLDBE) to perform pipe cleaning and CCTV inspection.

Total Participation: \$516,700.00 – 40.00%

Signed correspondence from DBE on their own letterhead reaffirming negotiated terms was provided.

Based upon analysis of SLDBE participation, the Economically Disadvantaged Business Program recommends that the SLDBE participation submitted by Compliance EnviroSystems, LLC, be considered as responsive to meeting EDBP bid requirements.

6) **Contract #30217 Cleaning and CCTV Inspection of Sanitary Sewer Mains at Scattered Sites within Orleans Parish**

On Friday, April 6, 2018, three (3) bids were received for subject contract. The bid totals are as follows:

Compliance EnviroSystems, LLC	\$715,350.00
Wallace C. Drennan, Inc.	\$1,138,397.00
Gulf Coast Underground, LLC	\$1,116,002.50

Thirty-six percent (36%) SLDBE participation was requested on this contract.

- ❖ The apparent lowest bidder, **Compliance EnviroSystems, LLC**, included Blue Flash Sewer Services, Inc. (eligible certified SLDBE) to perform sewer and drain cleaning, and pipe televising.

Total Participation: \$286,140.00 – 40.00%

Signed correspondence from DBE on their own letterhead reaffirming negotiated terms was provided.

- ❖ The apparent second lowest bidder, **Wallace C. Drennan, Inc.**, included Blue Flash (eligible certified SLDBE) to perform pipe cleaning and CCTV inspection.

Total Participation: \$421,206.89 – 37.00%

Signed correspondence from DBE on their own letterhead reaffirming negotiated terms was provided.

- ❖ The apparent third lowest bidder, **Gulf Coast Underground, LLC**, was not required to submit DBE Participation Summary Sheets for review.

Based upon analysis of DBE participation, the Economically Disadvantaged Business Program recommends that the DBE participation submitted by Compliance EnviroSystems, LLC, and Wallace C. Drennan, Inc., be considered as responsive.

7) **Contract #30218 – Restoration of Gravity Flow Sanitary Sewers by Point Repair of Sewer Mains at Various Sites throughout the City of New Orleans**

On Friday, April 6, 2018, six (6) bids were received for subject contract. The bid totals are as follows:

Command Construction Industries, LLC	\$3,899,888.00
Wallace C. Drennan, Inc.	\$3,977,043.00
Fleming Construction Co., LLC	\$4,294,753.00
Cycle Construction Co., LLC	\$4,507,095.00
Boh Bros. Construction Co., LLC	\$4,609,025.00
Grady Crawford Construction Co., Inc. of Baton Rouge	\$4,800,500.00

Thirty-six percent (36%) DBE participation was requested on this contract.

- ❖ The apparent lowest bidder, **Command Construction Industries, LLC**, submitted the following subcontractors:

C&M Construction Group, Inc. (eligible certified SLDBE) to perform roadway restoration
\$993,800.00 – 25.48%

Cooper Contracting Group (eligible certified SLDBE) to perform sewer rehabilitation
\$410,160.00 – 10.52%

Total Participation: \$1,403,960.00 - 36.00%

Signed correspondence from DBEs on their own letterhead reaffirming negotiated terms was provided.

- ❖ The apparent second lowest bidder, **Wallace C. Drennan, Inc.**, submitted the following subcontractors:

C&M Construction Group, Inc. (eligible certified SLDBE) to perform asphalt and concrete restoration
\$917,774.65 – 23.08%

Prince Dump Truck Services, LLC (eligible certified SLDBE) to perform trucking and hauling services
\$350,000.00 – 8.80%

Choice Supply Solutions, LLC (eligible certified SLDBE) to provide hydrants and all associated products
\$176,476.10 – 4.44%

Total Participation: \$1,444,250.65 - 36.31%

Signed correspondence from DBEs on their own letterhead reaffirming negotiated terms was provided.

- ❖ The apparent third lowest bidder, **Fleming Construction Co., LLC**, apparent fourth lowest bidder, **Cycle Construction Co., LLC**, apparent fifth lowest bidder, **Boh Bros. Construction Co., LLC**, and apparent sixth lowest bidder **Grady Crawford Construction Co., Inc. of Baton Rouge**, were not required to submit a DBE Participation Summary Sheet for review.

Based upon analysis of SLDBE participation, the Economically Disadvantaged Business Program recommends that the SLDBE participation submitted by Command Construction Industries, LLC, and Wallace C. Drennan, Inc., be considered as responsive to meeting EDBP bid requirements.

GOODS AND SERVICES CONTRACT

Furnishing Hoses and Accessories – REQ NO. PM18-0014

On Thursday, April 19, 2018, one (1) bid was received for subject contract. The bid total is as follows:

Atlas Hose & Gasket Co., LLC	\$136,586.55
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Five percent (5%) SLDBE participation was requested on this contract.

Atlas Hose & Gasket Co., LLC, the sole bidder, submitted Next Generation Logistics (eligible certified SLDBE) to perform logistical and delivery services. Correspondence from DBE on their own letterhead reaffirming negotiated terms was provided.

Total Participation: \$136,586.55 – 5.0%

Based upon analysis of SLDBE participation, the Economically Disadvantaged Business Program recommends that the SLDBE participation submitted by Atlas Hose & Gasket Co., LLC be considered as responsive to meeting EDBP bid requirements.

Construction Review Committee Recommendation

The Construction Review Committee cast their vote via email for Thursday, April 12, 2018 meeting and made the following recommendation:

Open Market Contract:

1. Contract #8145 - Re-paving of open cuts in streets

Budget Amount:	\$5,005,720.00
Recommended Percentage Goal:	36%
Renewal Option(s):	One (1) year with two (2) one-year renewal options

Note: Vote conducted by email ballot of quorum of committee members. CRC members, George White (email received 4/12/18), Andre Kelley (email received 4/11/18), Angela Latino-Geir (email received 4/11/18), and Elizabeth Gordon (email received 4/11/18) voted unanimously for the above stated terms of the project.

Staff Contract Review Committee Recommendations

The Staff Contract Review Committee convened Thursday, April 12, 2018, and made the following recommendations:

OPEN MARKET CONTRACT

- 1) **Furnish and Deliver 350 Tons of Sodium Chloride**
Budget Amount: \$50,000.00
Recommended Percentage Goal: 0%
Justification: Does not lend itself to SLDBE participation because no SLDBE company is certified in this area and the product is a sole source item shipped directly from the manufacturer.
Renewal Option(s): One (1) year with one (1) year renewal option

RENEWAL CONTRACTS

- 2) **Contract for Professional Claims Services**
Budget Amount: \$50,000.00
Renewal Option: First of Five (5) – One (1) year renewal options
Renewal Cost: \$50,000.00
Percentage Goal: 0%
Percentage Goal Achieved: N/A
Prime Contractor: Hammerman & Gainer, Inc.
- 3) **Contract for Insurance Broker Services**
Budget Amount: \$59,500.00
Renewal Option: Four (4) of Five (5) – One (1) year renewal options
Renewal Cost: \$59,500.00
Percentage Goal: 0%
Percentage Goal Achieved: N/A
Prime Contractor: Eagan Insurance Agency, LLC

FINAL ACCEPTANCE of CONSTRUCTION CONTRACTS with DBE PARTICIPATION

There were two (2) Final Acceptance contracts with SLDBE participation to report for the month of April 2018.

1) Contract #1381 – Furnish and Installation of Air Compressors and Associated Equipment at the MWPP

DBE Goal:	20.00%
DBE Participation Achieved:	29.37%
Prime Contractor:	Lou-Con, Inc.
Closeout Date:	April 2018

The Economically Disadvantaged Business Program recommends that the participation on subject contract be approved for Final Acceptance.

2) Contract #30002 – Restoration of Existing Gravity Sewer Mains Damaged by Hurricane Katrina by Excavation and Replacement from Manhole-to-Manhole, CIPP Lining from Manhole-to-Manhole and Point Repairs at Various Sites throughout Orleans Parish

DBE Goal:	36.00%
DBE Participation Achieved:	37.89%
Prime Contractor:	BLD Services, LLC
Closeout Date:	May 2018

The Economically Disadvantaged Business Program recommends that the participation on subject contract be approved for Final Acceptance.

Sewerage & Water Board of New Orleans Contracts with DBE Participation January through April 2018

Sewerage & Water Board New Orleans Awarded Projects with SLDBE Participation January 2018 - April 2018

Category	Category Dollar Amount	SLDBE Dollar Value
Construction Projects	\$ 5,606,983	\$ 1,826,138
Goods & Services Projects	\$ 314,143	\$ 94,500
Professional Services Projects	\$ 146,900	\$ 7,830
Grand Total	\$ 6,068,026	\$ 1,928,468

Sewerage & Water Board of New Orleans Open Market Bids with DBE Participation January 2018 - April 2018

Construction Projects Awarded 2018

Contract No./Description	%DBE Goal	Contract \$	Prime	Sub(s)	% DBE Part (Prime)	\$ Sub Award	\$ Sub Paid Notice to Proceed has not been delivered	% DBE Sub Paid	Award date
#2129 - Water Main Point Repair, Water Service Connection, Water Valve and Fire Hydrant Replacement at Various Sites throughout Orleans Parish	36%	\$870,663.00	Grady Crawford Construction Co., Inc.	Purnell Construction Co., LLC; to provide labor, material, and equipment	36.18%	\$315,000.00			2/21/2018
#8155 - Carrollton Water Plant Engineering Building Renovations	35%	\$443,000.00	Main Construction Co., Inc.	Thomville Services, Inc.; to perform demolition, electrical, drywall, framing, and painting	42.88%	\$190,000.00	NTP has not been delivered		2/21/2018
#30106 - Rehabilitation of Bio-Reactor Train #4 at the East Bank Wastewater Treatment Plant	18%	\$1,897,000.00	Chart Mechanical Construction, LLC	EFT Diversified, Inc.; to perform transportation and disposal of removed materials	20.56%	\$390,000.00	NTP has not been delivered		2/21/2018
#30110 - Electrical Improvements Phase II Replacement of Motor Control Centers F&G and Associated 480v Switchgear at EBWWTP	13%	\$969,000.00	Waller J Barnes Electric Co., Inc.	C. Watson Group, LLC; to supply electrical equipment	37.67%	\$365,000.00	NTP has not been delivered		3/28/2018
#5241 - DPS #1 Anti-Siphon Project	10%	\$528,000.00	M.R. Pittman Group, LLC	J. Brown Construction, LLC; to supply butterfly valves and actuators	45.68%	\$241,638.00	NTP has not been delivered		2/21/2017
#30216 - Electrical Improvements Phase II Replacement of Motor Control Centers F&G and Associated 480v Switchgear at EBWWTP	36%	\$998,300.00	Wallace C. Drennan, Inc.	Prince Dump Truck Services, LLC; to provide general hauling of various types of material, to-end-to job sites. Supply sand & aggregate materials, trucking and hauling services. Choice Supply Solutions; to supply materials. Cooper Contracting Group, LLC; construction underground utilities, site preparation, concrete and asphalt paving	20.82% 6.86% 8.35%	\$187,000.00 62,500.00 75,000.00	NTP has not been delivered		3/28/2018
Total Construction Projects		\$5,606,983.00		Total	36.12%	\$324,500.00			
					33%	\$1,826,138.00			

Sewerage & Water Board of New Orleans Open Market Bids with DBE Participation January 2018 - April 2018

Goods & Services Projects Awarded 2018

Contract No./Description	%DBE Goal	Contract \$	Prime	Sub(s)	% DBE Part (Prime)	\$ Sub Award	\$ Sub Paid	% DBE Sub Paid	Award date
#YW18-0001 - Hand Tools, Hardware Supplies, Paint & Paint Supplies	30%	\$314,142.90	Assorted Products, LLC	Westbank Paper & Janitorial Supply, Inc.; to provide supplies	30.08%	\$94,500.00	Notice to Proceed has not been delivered		3/28/2018

Total Goods & Services Projects		\$314,142.90			30%	\$94,500.00			
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Sewerage & Water Board of New Orleans Open Market Bids with DBE Participation January 2018 - April 2018

Professional Services Projects Awarded 2018

Contract No./Description	%DBE Goal	Contract \$	Prime	Sub(s)	% DBE Part (Prime)	\$ Sub Award	\$ Sub Paid	% DBE Sub Paid	Award date
EV180001 - Municipal Separate Storm Water (MS4) Permit Renewal, MS4 Annual Report, and Spill Prevention, Control, Countermeasure (SPCC) Plan	5%	\$120,854.00	Digital Engineering	The Beta Group	5.00%	\$6,270.00	Notice to Proceed has not been delivered		3/28/2018
EV180002 - Environmental Consulting Services for Limited Phase II Subsurface Investigation of Existing Diesel Above Ground Storage Tank	5%	\$26,046.00	APTIM Environmental & Infrastructure, Inc.	Gulf South Engineering and Testing, Inc.	6%	\$1,560.00	Notice to Proceed has not been delivered		3/28/2018
Total Professional Services Projects		\$146,900.00			5%	\$7,830.00			