

# SEWERAGE & WATER BOARD OF NEW ORLEANS

## FINANCE AND ADMINISTRATION COMMITTEE MEETING

WEDNESDAY, MARCH 10, 2021

**9:00 AM**

[March 2021 Finance and Administration Link](#)  
[+1 504-224-8698, 190525689#](#) United States, New Orleans  
Phone Conference ID: 190 525 689#

PUBLIC COMMENT WILL BE ACCEPTED VIA EMAIL TO  
[BOARDRELATIONS@SWBNO.ORG](mailto:BOARDRELATIONS@SWBNO.ORG). ALL PUBLIC COMMENTS MUST BE RECEIVED PRIOR TO  
9:30 AM ON March 10, 2021. COMMENTS WILL BE READ VERBATIM INTO THE  
RECORD.

Lynes Sloss Janet Howard  
Joseph Peychaud Ralph Johnson Alejandra Guzman

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### FINAL AGENDA

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#### 1. **ROLL CALL**

#### 2. **DISCUSSION ITEM**

- Financial Summary Update

#### 3. **ACTION ITEMS**

- Resolution (R-043-2021) Louisiana System Survey and Compliance Questionnaire

### **GENERAL SUPERINTENDENT'S REPORT**

- **BIDS & RENEWALS**

- Resolution (R-017-2021) Renewal of Contract MH 19004 – Purchase of Positive Displacement Type Cold Water Meters
- Resolution (R-028-2021) Award of Contract 2152 – Gert Town Waterline Replacement Program – Dupre Street, TM 004, FEMA PW 21031

- **CHANGE ORDERS/ FINAL ACCEPTANCE**

- Resolution (R-027-2021) Ratification of Change Order No. 11 and Final Acceptance of Contract 1368 – Hazard Mitigation Grant Program – Oak Street Pumping Station

- **CONTRACT AMENDMENTS**

- Resolution (R-026-2021) Authorization of Contract Amendment No. 5 to the Agreement with Black and Veatch Corporation for Design and Engineering Services for the EBWWTP Sludge Dryer Project

- Resolution (R-030-2021) Authorization of Amendment No. 7 to the Agreement Between the Sewerage and Water Board of New Orleans and Digital Engineering & Imaging, Inc. for Design and Engineering Services for the Water Line Replacement Program
- Resolution (R-031-2021) Authorization of Contract Amendment No. 5 to the Agreement Between the Sewerage and Water Board of New Orleans and Trigon Associates, LLC for Engineering and Design Services for the Waterline Replacement Program

#### **4. PUBLIC COMMENT**

**Public comments received until 30 minutes after the presentation of the Agenda will be read into the record.**

#### **5. INFORMATION ITEMS**

- FEMA February Project Worksheet Status
- February Safety Report
- Executive Director's Approval of Contracts of \$1,000,000.00 or less
- DBE Participation on Contracts
  - DBE Bid Analysis
  - Construction Review Committee – Goals Setting
  - Staff Contract Review Committee - Goals Setting
  - Final Acceptance of Construction Contracts with DBE Participation.

#### **6. ADJOURNMENT**

*This teleconference meeting is being held pursuant to and in accordance with the provisions of Section 4 of Proclamation Number JBE 2020-30, extended by Proclamation 30 JBE 2021, pursuant to Section 3 of Act 302 of 2020.*

## EXECUTIVE SUMMARY

January 2021

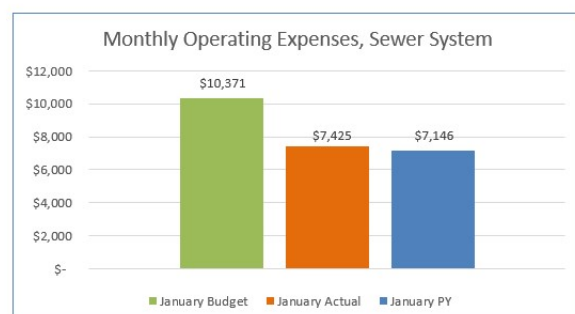
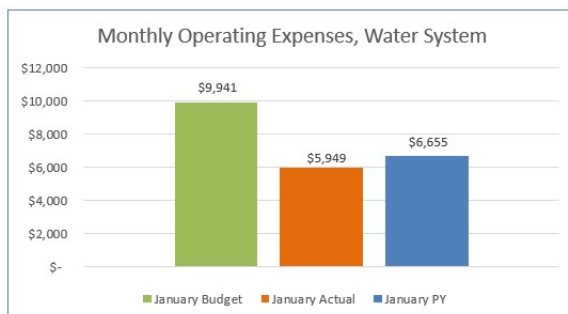
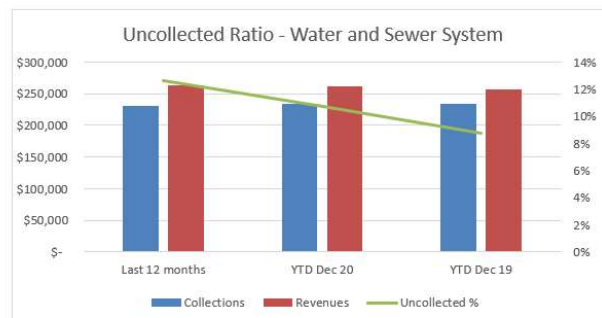
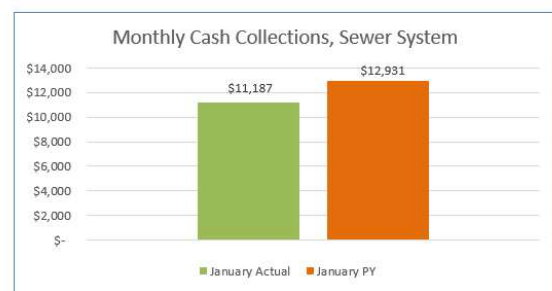
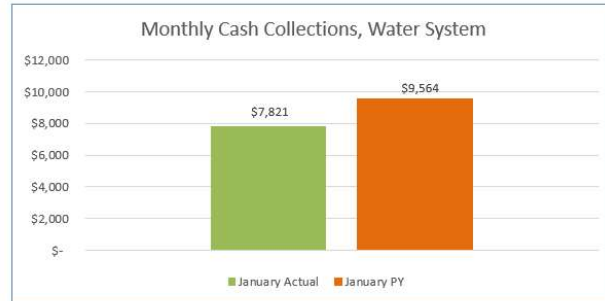
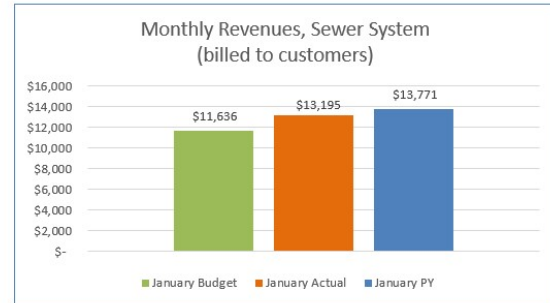
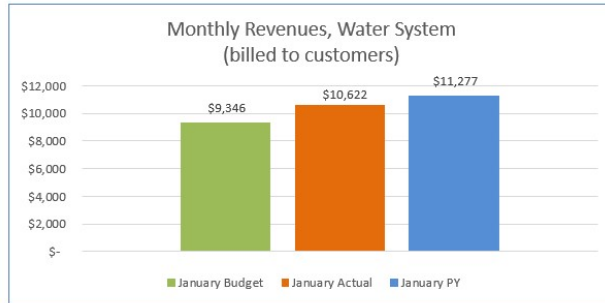
A summary analysis of the financial results and other performance goals is attached for your further review.

(in millions)	YTD <u>Actual</u>	YTD <u>Budget</u>	Annual <u>Budget</u>	Variance to <u>YTD Budget</u>
Operating Revenues	\$24.0	\$21.0	\$261.7	\$3.0
Ad Valorem / Other Revenues	0.5	0.8	67.3	(0.3)
Operating Expenditures	17.9	24.5	317.9	6.6

### Revenues Less Adjusted Expenses (January 2021)

Revenues	Budget (MTD)	Actual (MTD)	Budget (YTD)	Actual (YTD)	2020 Actual (YTD)	Actuals as % of YTD Budget
Water Service	9,346,405	10,622,031	9,346,405	10,622,031	11,276,625	114%
Sewer Service	11,636,224	13,195,402	11,636,224	13,195,402	13,770,539	113%
Non Operating Revenue	392,572	220,092	392,572	220,092	5,311	56%
Misc Revenues	463,741	325,432	463,741	325,432	70,783	70%
Total Revenues	21,838,943	24,362,958	21,838,943	24,362,958	25,123,259	112%
<b>Operating Expenses</b>						
Water Service	9,941,176	5,949,432	9,941,176	5,949,432	6,655,064	60%
Sewer Service	10,370,686	7,425,133	10,370,686	7,425,133	7,146,103	72%
Drainage Service	4,168,499	4,545,991	4,168,499	4,545,991	4,934,562	109%
Total Expenses	24,480,361	17,920,557	24,480,361	17,920,557	18,735,728	73%
Interest Expense	1,880,635	1,933,128	1,880,635	1,933,128	1,710,986	
Revenues less Expenses	(4,522,054)	4,509,273	(4,522,054)	4,509,273	4,676,545	
Non-Cash Expenses	8,921,577	8,090,878	9,104,290	8,228,487	5,397,126	
Revenues less Adjusted Expenses	4,399,524	12,600,151	4,582,236	12,737,760	10,073,670	

## Revenue and Expense Charts (January 2021)



## MONTHLY FINANCIAL REPORT

### Cash Collections (January 2021)

#### Historical Monthly Water and Sewerage System Cash Collections

2019 Water Collections				2020 Water Collections				2021 Water Collections			
Months	Water Service Charges & Fees	Delinquent Fees	Total	Months	Water Service Charges & Fees	Delinquent Fees	Total	Months	Water Service Charges & Fees	Delinquent Fees	Total
January	\$ 7,269,033	\$ 145,536	\$ 7,414,569	January	\$ 9,370,008	\$ 193,867	\$ 9,563,875	January	\$ 7,795,274	\$ 25,951	\$ 7,821,225
February	\$ 6,261,340	\$ 136,461	\$ 6,397,801	February	\$ 8,510,690	\$ 162,949	\$ 8,673,639				
March	\$ 6,835,909	\$ 98,609	\$ 6,934,519	March	\$ 8,510,036	\$ 162,272	\$ 8,672,308				
April	\$ 8,024,726	\$ 182,469	\$ 8,207,195	April	\$ 6,519,253	\$ 279,649	\$ 6,798,902				
May	\$ 8,128,278	\$ 148,478	\$ 8,276,756	May	\$ 7,900,869	\$ 105,513	\$ 8,006,382				
June	\$ 7,547,372	\$ 150,562	\$ 7,697,934	June	\$ 7,267,122	\$ 82,830	\$ 7,349,952				
July	\$ 9,662,101	\$ 194,163	\$ 9,856,264	July	\$ 8,048,453	\$ 43,613	\$ 8,092,066				
August	\$ 8,483,471	\$ 190,224	\$ 8,673,696	August	\$ 8,528,091	\$ 39,029	\$ 8,567,120				
September	\$ 8,246,459	\$ 171,490	\$ 8,417,949	September	\$ 8,829,034	\$ 28,497	\$ 8,857,531				
October	\$ 10,133,620	\$ 192,930	\$ 10,326,549	October	\$ 7,613,451	\$ 24,426	\$ 7,637,877				
November	\$ 9,064,555	\$ 213,662	\$ 9,278,216	November	\$ 7,190,806	\$ 24,456	\$ 7,215,262				
December	\$ 8,126,348	\$ 141,736	\$ 8,268,084	December	\$ 9,225,677	\$ 46,307	\$ 9,271,984				
<b>TOTAL</b>	<b>\$ 97,783,213</b>	<b>\$ 1,966,318</b>	<b>\$ 99,749,531</b>	<b>TOTAL</b>	<b>\$ 97,513,490</b>	<b>\$ 1,193,408</b>	<b>\$ 98,706,898</b>				
							\$ (1,042,633)				
2019 Sewerage Collections				2020 Sewerage Collections				2021 Sewerage Collections			
Months	Sewerage Service Charges & Fees	Delinquent Fees	Total	Months	Sewerage Service Charges & Fees	Delinquent Fees	Total	Months	Sewerage Service Charges & Fees	Delinquent Fees	Total
January	\$ 10,283,064	\$ 183,074	\$ 10,466,138	January	\$ 12,683,216	\$ 247,883	\$ 12,931,099	January	\$ 11,154,460	\$ 32,427	\$ 11,186,887
February	\$ 8,856,052	\$ 174,247	\$ 9,030,299	February	\$ 11,510,258	\$ 213,939	\$ 11,724,198				
March	\$ 10,920,960	\$ 123,706	\$ 11,044,666	March	\$ 12,337,081	\$ 207,785	\$ 12,544,866				
April	\$ 11,750,766	\$ 171,101	\$ 11,921,867	April	\$ 9,460,656	\$ 149,191	\$ 9,609,847				
May	\$ 11,709,701	\$ 184,362	\$ 11,894,064	May	\$ 10,703,694	\$ 136,628	\$ 10,840,322				
June	\$ 10,666,270	\$ 193,564	\$ 10,859,833	June	\$ 10,485,228	\$ 105,070	\$ 10,590,298				
July	\$ 12,418,687	\$ 240,120	\$ 12,658,808	July	\$ 11,609,615	\$ 54,036	\$ 11,663,651				
August	\$ 11,484,227	\$ 244,491	\$ 11,728,718	August	\$ 11,850,512	\$ 47,804	\$ 11,898,316				
September	\$ 11,249,671	\$ 225,192	\$ 11,474,863	September	\$ 11,927,583	\$ 35,569	\$ 11,963,153				
October	\$ 13,327,187	\$ 246,420	\$ 13,573,607	October	\$ 10,112,092	\$ 29,194	\$ 10,141,285				
November	\$ 7,943,403	\$ 256,514	\$ 8,199,917	November	\$ 8,981,302	\$ 29,429	\$ 9,010,731				
December	\$ 11,698,150	\$ 180,495	\$ 11,878,645	December	\$ 12,159,920	\$ 58,229	\$ 12,218,149				
<b>TOTAL</b>	<b>\$ 132,308,137</b>	<b>\$ 1,966,318</b>	<b>\$ 134,274,455</b>	<b>TOTAL</b>	<b>\$ 133,821,157</b>	<b>\$ 1,314,758</b>	<b>\$ 135,135,915</b>				
							\$ 404,491				

### Days cash on hand (January 2021)

	Water	Sewer
Total Unrestricted Cash Balances / Days Cash on Hand Covenant (>90 days Required)	152	197

## Debt Obligations

### Debt Information - As of January 31, 2021

	Water	Sewer	Drainage	Total
Debt Outstanding:				
Revenue Bonds*	189,900,000	262,705,000		452,605,000
Limited Tax Bonds			3,955,000	3,955,000
DEQ SRF Loans*		6,571,272		6,571,272
GoZone Loan		40,044,839		40,044,839
Total Debt Outstanding	189,900,000	309,321,111	3,955,000	503,176,111
Southeast Louisiana Project liability				250,343,870
Available Borrowed Funds:				
Unspent Bond Proceeds <sup>(1)</sup>	242,452	83,654,236		83,896,688
Available Undrawn DEQ SRF Loan Proceeds (South Shore Basin Project)		9,029,728		9,029,728
Total Available Borrowed Funds	242,452	92,683,964		92,926,416

(1) As reported by BLCD.

\* Included in Debt Service Coverage Tests

## 2021 Refunding

### 2021 Refunding of 2014/2015 Revenue Bonds

March 3, 2021 Closing Date

	Water	Sewer	Total
Par Amount	194,300,000	178,195,000	372,495,000
All in Total Interest Cost	2.72%	2.67%	
Average coupon of refunded bonds	5%	5%	
Average life of refunded bonds	17	15	
Par Amount refunded	161,440,000	157,900,000	319,340,000
Net Present value savings	18,122,740	18,430,995	36,553,735
Budget Savings for 2021 Debt Service Release of Debt Service Reserve Fund	8,614,832	15,494,946	24,109,778
with use of Surety Policy*	7,874,550	12,845,876	20,720,426

\*Restricted for capital projects

## Capital

### 2021 CAPITAL DISBURSEMENTS (As of 1/31/2021)

		YTD
	Annual Budget	Disbursements
Water	\$ 87,045,733	\$ 3,558,446
Sewer	\$ 188,632,679	\$ 1,673,666
Drainage	\$ 58,367,652	\$ 2,016,645
<b>Total</b>	<b>\$334,046,064</b>	<b>\$ 7,248,758</b>

Water - pending funding sources	<u>\$ 131,444,196</u>
Total Capital Budget	<u>\$ 465,490,260</u>

## Federal Grant/Funding Status

### FEDERAL GRANT/FUNDING STATUS (As of 1/31/2021)

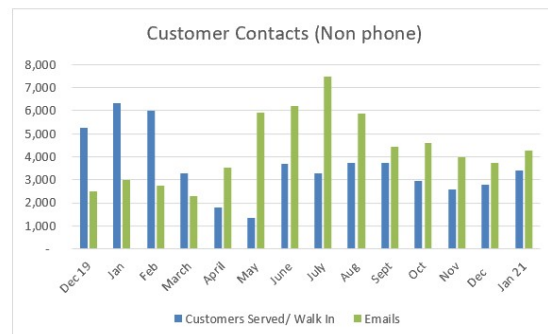
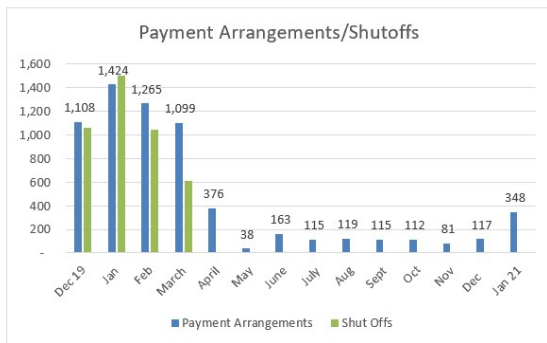
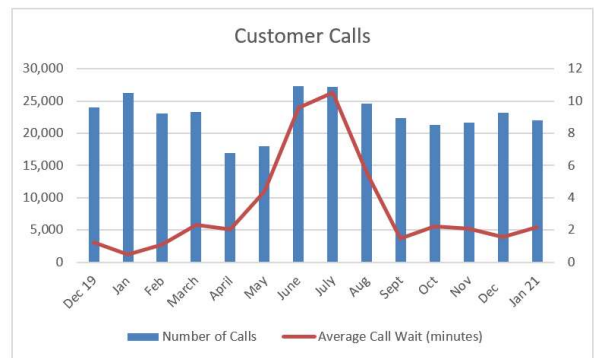
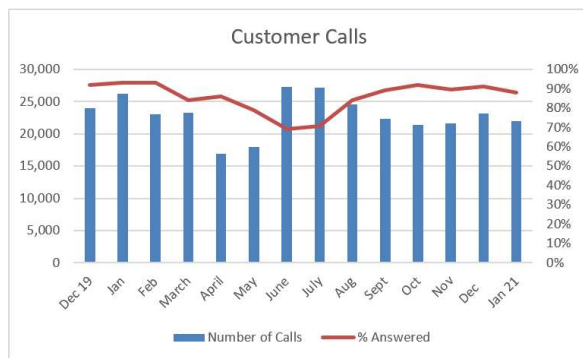
	Obligated	Expended	Reimbursed
Hurricane PA Projects	\$ 547,244,853	\$ 510,789,321	\$ 482,364,196
HMGP Projects	\$ 188,871,018	\$ 180,240,720	\$ 141,108,848
JIRR Projects	\$ 268,448,968	\$ 11,737,973	\$ 10,992,352
Total	\$ 1,004,564,839	\$ 702,768,014	\$ 634,465,397



## Customer Experience (January 2021):

In January 2021, a total of 36,126 customers were served. These reports do not include actions and responses related to investigations.

	Total # Calls	Total calls Answered	Total Dropped Calls	Average Call Wait	% Calls Answered
January	22,019	19,371	2,648	2:20 min	88%



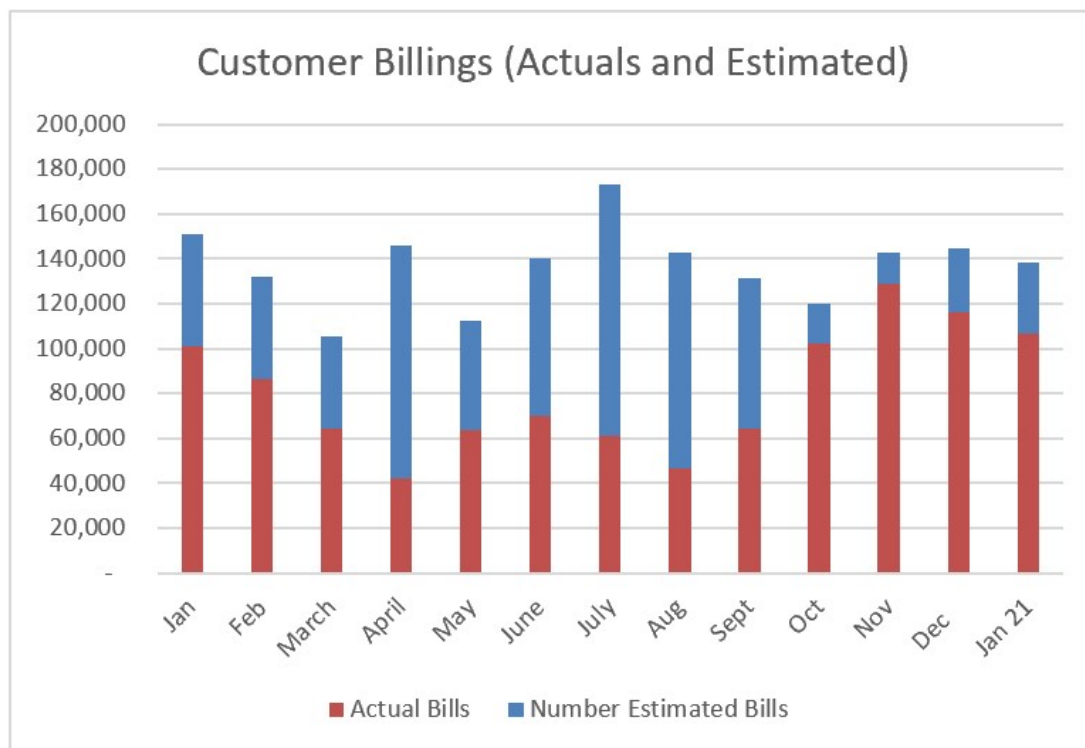


## Billing Accuracy (January 2021)

The following metrics are monitored to help management measure and improve the bill accuracy, improve the customer response time and implement best practice collection activity.

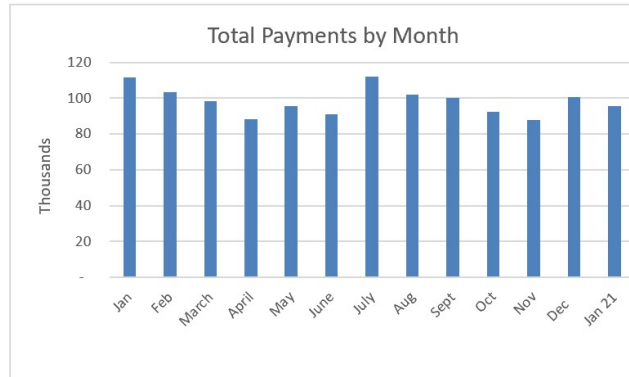
**The Sewerage and Water Board (SWBNO) suspended water service shut-offs Thursday, March 12, and will adhere to this policy change for the duration of the Mayor's Declared Emergency proclamation in response to the COVID-19 pandemic.**

The high number of estimated reads, caused by COVID-19 related staffing shortages and seasonal turnover, drive inaccuracies and billing complaints. To address this challenge, SWBNO has augmented its meter reading staff with 20 temporary employees to help our internal team provide actual reads as indicated in the monthly customer billings chart below:



## Collections (January 2021)

A total of 95,683 payments were received in the month. These payments were received via mail, walk-ins, online payments, and the interactive voice response phone system.



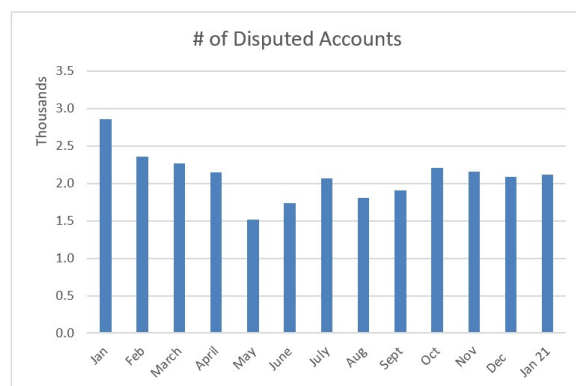
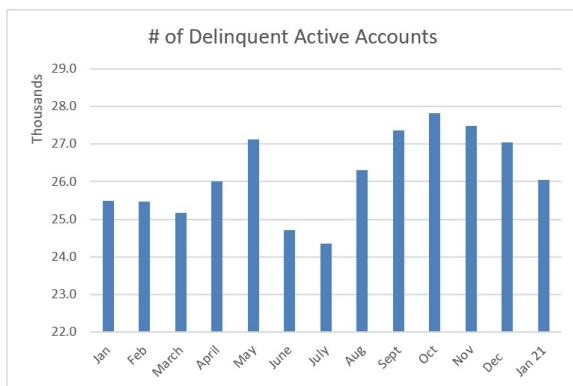
## Active Accounts (January 2021)

### CUSTOMER ACCOUNT AGING REPORT

As of January 31, 2021

	ACTIVE ACCOUNTS	DELINQUENT ACTIVE ACCOUNTS	PAST DUE \$ AMOUNT (60+ DAYS)	% CHANGE FROM PRIOR MONTH	ACCOUNTS IN DISPUTE	% CHANGE FROM PRIOR MONTH
Residential	120,495	23,999	\$37,822,296	1%	1,765	-2%
Multi-Family	4,582	630	\$ 2,708,998	2%	86	-10%
Commercial	12,836	1,410	\$ 8,625,813	3%	263	31%
Industrial	31	2	\$ 674	10%	-	
TOTALS	137,944	26,041	\$49,157,780	2%	2,114	1%

	January	December
# of Disconnect Notices Sent	0	0
#of New Payment Plans	348	117
Accounts Disconnected	0	0



The number of past due Inactive accounts totaled 34,269 an increase of 285 accounts over the prior month.

## January 2021 Financial Statements (unaudited)

### Pages 10-17 as follows:

- 10. All System Funds Statements of Revenues and Expenses and Changes in Net Position with Prior year (PY)
- 11. All System Funds Statements of Revenues and Expenses and Changes in Net Position with Budget
- 12. Water Fund Statements of Revenues and Expenses and Changes in Net Position with PY
- 13. Water Fund Statements of Revenues and Expenses and Changes in Net Position with Budget
- 14. Sewer Fund Statements of Revenues and Expenses and Changes in Net Position with PY
- 15. Sewer Fund Statements of Revenues and Expenses and Changes in Net Position with Budget
- 16. Drainage Fund Statements of Revenues and Expenses and Changes in Net Position with PY
- 17. Drainage Fund Statements of Revenues and Expenses and Changes in Net Position with Budget

**SEWERAGE AND WATER BOARD OF NEW ORLEANS**  
**ALL SYSTEM FUNDS**  
**STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION**  
**WITH PRIOR YEAR COMPARISONS**  
**January 2021 Prelim Financials**

	A	B	C	D	E	F	G	H	
	MTD	MTD	MTD		YTD	YTD	YTD		
	Actual	Prior Year	Variance	%	Actual	Prior Year	Variance	%	
<b>Operating revenues:</b>									
1 Sales of water and delinquent fees	10,622,031	11,276,625	(654,595)	-5.8%	10,622,031	11,276,625	(654,595)	-5.8%	1
2 Sewerage service charges and del fees	13,195,402	13,770,539	(575,137)	-4.2%	13,195,402	13,770,539	(575,137)	-4.2%	2
3 Plumbing inspection and license fees	28,290	49,900	(21,610)	-43.3%	28,290	49,900	(21,610)	-43.3%	3
4 Other revenues	167,755	279,242	(111,487)	-39.9%	167,755	279,242	(111,487)	-39.9%	4
5 Total operating revenues	24,013,477	25,376,307	(1,362,829)	-5.4%	24,013,477	25,376,307	(1,362,829)	-5.4%	5
<b>Operating Expenses:</b>									
6 Power and pumping	569,218	1,375,549	(806,331)	-58.6%	569,218	1,375,549	(806,331)	-58.6%	6
7 Treatment	265,130	859,467	(594,337)	-69.2%	265,130	859,467	(594,337)	-69.2%	7
8 Transmission and distribution	1,629,273	2,934,726	(1,305,452)	-44.5%	1,629,273	2,934,726	(1,305,452)	-44.5%	8
9 Customer accounts	351,951	368,249	(16,298)	-4.4%	351,951	368,249	(16,298)	-4.4%	9
10 Customer service	303,879	288,627	15,252	5.3%	303,879	288,627	15,252	5.3%	10
11 Administration and general	2,949,836	2,485,180	464,656	18.7%	2,949,836	2,485,180	464,656	18.7%	11
12 Payroll related	2,873,664	4,024,007	(1,150,343)	-28.6%	2,873,664	4,024,007	(1,150,343)	-28.6%	12
13 Maintenance of general plant	749,118	1,002,799	(253,681)	-25.3%	749,118	1,002,799	(253,681)	-25.3%	13
14 Depreciation	5,829,069	5,829,069	-	0.0%	5,829,069	5,829,069	-	0.0%	14
15 Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16 Provision for doubtful accounts	2,261,809	44,945	2,216,864	4932.4%	2,261,809	44,945	2,216,864	4932.4%	16
17 Provision for claims	137,609	(476,888)	614,497	-128.9%	137,609	(476,888)	614,497	-128.9%	17
18 Total operating expenses	17,920,557	18,735,728	(815,172)	-4.4%	17,920,557	18,735,728	(815,172)	-4.4%	18
19 Operating income (loss)	6,092,921	6,640,579	(547,658)	-8.2%	6,092,921	6,640,579	(547,658)	-8.2%	19
<b>Non-operating revenues (expense):</b>									
20 Two-mill tax	35	-	35	0.0%	35	-	35	0.0%	20
21 Three-mill tax	60,025	-	60,025	0.0%	60,025	-	60,025	0.0%	21
22 Six-mill tax	63,205	-	63,205	0.0%	63,205	-	63,205	0.0%	22
23 Nine-mill tax	94,740	-	94,740	0.0%	94,740	-	94,740	0.0%	23
24 Interest income	15,368	26,194	(10,826)	-41.3%	15,368	26,194	(10,826)	-41.3%	24
25 Other Taxes	-	-	-	0.0%	-	-	-	0.0%	25
26 Other Income	283,861	-	283,861	0.0%	283,861	-	283,861	0.0%	26
27 Bond Issuance Costs	-	-	-	0.0%	-	-	-	0.0%	27
28 Interest expense	(1,933,128)	(1,710,986)	(222,142)	13.0%	(1,933,128)	(1,710,986)	(222,142)	13.0%	28
29 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%	29
30 Provision for grants	-	-	-	0.0%	-	-	-	0.0%	30
31 Total non-operating revenues	(1,415,894)	(1,684,792)	268,899	-16.0%	(1,415,894)	(1,684,792)	268,899	-16.0%	31
32 Income before capital contributions	4,677,027	4,955,786	(278,759)	-5.6%	4,677,027	4,955,786	(278,759)	-5.6%	32
33 Capital contributions	787,882	224,612	563,270	250.8%	787,882	224,612	563,270	250.8%	33
34 Change in net position	5,464,909	5,180,399	284,511	5.5%	5,464,909	5,180,399	284,511	5.5%	34
35 Transfer of Debt Service Assistance Fund loan payable						-			35
36 Net position, beginning of year					2,361,448,451	2,356,268,052	5,180,399	0.2%	36
37 Net position, end of year					2,366,913,360	2,361,448,451	5,464,910	0.2%	37

**SEWERAGE AND WATER BOARD OF NEW ORLEANS**  
**ALL SYSTEM FUNDS**  
**STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION**  
**WITH BUDGET COMPARISONS**  
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<b>Operating revenues:</b>									
1 Sales of water and delinquent fees	10,622,031	9,346,405	1,275,626	13.6%	10,622,031	9,346,405	1,275,626	13.6%	1
2 Sewerage service charges and del fees	13,195,402	11,636,224	1,559,178	13.4%	13,195,402	11,636,224	1,559,178	13.4%	2
3 Plumbing inspection and license fees	28,290	42,088	(13,798)	-32.8%	28,290	42,088	(13,798)	-32.8%	3
4 Other revenues	167,755	21,344	146,410	685.9%	167,755	21,344	146,410	685.9%	4
5 Total operating revenues	24,013,477	21,046,062	2,967,415	14.1%	24,013,477	21,046,062	2,967,415	14.1%	5
<b>Operating Expenses:</b>									
6 Power and pumping	569,218	1,366,142	(796,924)	-58.3%	569,218	1,366,142	(796,924)	-58.3%	6
7 Treatment	265,130	1,761,622	(1,496,492)	-84.9%	265,130	1,761,622	(1,496,492)	-84.9%	7
8 Transmission and distribution	1,629,273	3,165,821	(1,536,547)	-48.5%	1,629,273	3,165,821	(1,536,547)	-48.5%	8
9 Customer accounts	351,951	385,139	(33,188)	-8.6%	351,951	385,139	(33,188)	-8.6%	9
10 Customer service	303,879	328,589	(24,710)	-7.5%	303,879	328,589	(24,710)	-7.5%	10
11 Administration and general	2,949,836	4,178,533	(1,228,697)	-29.4%	2,949,836	4,178,533	(1,228,697)	-29.4%	11
12 Payroll related	2,873,664	2,311,591	562,073	24.3%	2,873,664	2,311,591	562,073	24.3%	12
13 Maintenance of general plant	749,118	1,878,636	(1,129,517)	-60.1%	749,118	1,878,636	(1,129,517)	-60.1%	13
14 Depreciation	5,829,069	6,146,471	(317,402)	-5.2%	5,829,069	6,146,471	(317,402)	-5.2%	14
15 Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16 Provision for doubtful accounts	2,261,809	2,775,106	(513,297)	-18.5%	2,261,809	2,775,106	(513,297)	-18.5%	16
17 Provision for claims	137,609	182,712	(45,103)	-24.7%	137,609	182,712	(45,103)	-24.7%	17
18 Total operating expenses	17,920,557	24,480,361	(6,559,805)	-26.8%	17,920,557	24,480,361	(6,559,805)	-26.8%	18
19 Operating income (loss)	6,092,921	(3,434,299)	9,527,220	-277.4%	6,092,921	(3,434,299)	9,527,220	-277.4%	19
<b>Non-operating revenues (expense):</b>									
20 Two-mill tax	35	-	35	0.0%	35	-	35	0.0%	20
21 Three-mill tax	60,025	19,058	40,967	215.0%	60,025	19,058	40,967	215.0%	21
22 Six-mill tax	63,205	122,881	(59,676)	-48.6%	63,205	122,881	(59,676)	-48.6%	22
23 Nine-mill tax	94,740	172,032	(77,292)	-44.9%	94,740	172,032	(77,292)	-44.9%	23
24 Interest income	15,368	212,226	(196,858)	-92.8%	15,368	212,226	(196,858)	-92.8%	24
25 Other Taxes	-	58,617	(58,617)	-100.0%	-	58,617	(58,617)	-100.0%	25
26 Other Income	283,861	186,511	97,349	52.2%	283,861	186,511	97,349	52.2%	26
27 Interest expense	(1,933,128)	(1,880,635)	(52,494)	2.8%	(1,933,128)	(1,880,635)	(52,494)	2.8%	27
28 Operating and maintenance grants	-	28,564	(28,564)	-100.0%	-	28,564	(28,564)	-100.0%	28
29 Provision for grants	-	-	-	0.0%	-	-	-	0.0%	29
30 Total non-operating revenues	(1,415,894)	(1,080,746)	(335,148)	31.0%	(1,415,894)	(1,080,746)	(335,148)	31.0%	30
31 Income before capital contributions	4,677,027	(4,515,045)	9,192,073	-203.6%	4,677,027	(4,515,045)	9,192,073	-203.6%	31
32 Capital contributions	787,882	-	787,882	0.0%	787,882	-	787,882	0.0%	32
33 Change in net position	5,464,909	(4,515,045)	9,979,955	-221.0%	5,464,909	(4,515,045)	9,979,955	-221.0%	33
35 Audit Adjustment									35
36 Net position, beginning of year					2,361,448,451	2,356,268,052	5,180,399	0.2%	36
37 Net position, end of year					2,369,456,905	2,351,753,007	17,703,898	0.8%	37

**SEWERAGE AND WATER BOARD OF NEW ORLEANS**  
**WATER SYSTEM FUND**  
**STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION**  
**WITH PRIOR YEAR COMPARISONS**  
**January 2021 Prelim Financials**

	A	B	C	D	E	F	G	H	
	MTD	MTD	MTD		YTD	YTD	YTD		
	Actual	Prior Year	Variance	%	Actual	Prior Year	Variance	%	
<b>Operating revenues:</b>									
1 Sales of water and delinquent fees	10,622,031	11,276,625	(654,595)	-5.8%	10,622,031	11,276,625	(654,595)	-5.8%	1
2 Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%	2
3 Plumbing inspection and license fees	28,290	24,950	3,340	13.4%	28,290	24,950	3,340	13.4%	3
4 Other revenues <sup>1</sup>	125,135	180,359	(55,223)	-30.6%	125,135	180,359	(55,223)	-30.6%	4
5 Total operating revenues	10,775,456	11,481,934	(706,478)	-6.2%	10,775,456	11,481,934	(706,478)	-6.2%	5
<b>Operating Expenses:</b>									
6 Power and pumping	106,992	187,207	(80,215)	-42.8%	106,992	187,207	(80,215)	-42.8%	6
7 Treatment	254,337	610,761	(356,425)	-58.4%	254,337	610,761	(356,425)	-58.4%	7
8 Transmission and distribution	670,461	1,486,911	(816,451)	-54.9%	670,461	1,486,911	(816,451)	-54.9%	8
9 Customer accounts	175,498	183,659	(8,161)	-4.4%	175,498	183,659	(8,161)	-4.4%	9
10 Customer service	149,132	140,977	8,155	5.8%	149,132	140,977	8,155	5.8%	10
11 Administration and general	1,051,120	834,834	216,286	25.9%	1,051,120	834,834	216,286	25.9%	11
12 Payroll related	1,125,399	1,483,344	(357,945)	-24.1%	1,125,399	1,483,344	(357,945)	-24.1%	12
13 Maintenance of general plant	297,231	409,744	(112,513)	-27.5%	297,231	409,744	(112,513)	-27.5%	13
14 Depreciation	1,063,838	1,063,838	-	0.0%	1,063,838	1,063,838	-	0.0%	14
15 Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16 Provision for doubtful accounts	1,000,380	275,848	724,532	262.7%	1,000,380	275,848	724,532	262.7%	16
17 Provision for claims	55,044	(22,062)	77,105	-349.5%	55,044	(22,062)	77,105	-349.5%	17
18 Total operating expenses	5,949,432	6,655,064	(705,632)	-10.6%	5,949,432	6,655,064	(705,632)	-10.6%	18
					-				
19 Operating income (loss)	4,826,024	4,826,870	(846)	0.0%	4,826,024	4,826,870	(846)	0.0%	19
<b>Non-operating revenues (expense):</b>									
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%	20
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%	21
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%	22
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%	23
24 Interest income	2,146	17,604	(15,458)	-87.8%	2,146	17,604	(15,458)	-87.8%	24
25 Other Taxes	-	-	-	0.0%	-	-	-	0.0%	25
26 Other Income	283,861	-	283,861	0.0%	283,861	-	283,861	0.0%	26
27 Bond Issuance Costs	-	-	-	0.0%	-	-	-	0.0%	27
28 Interest expense	(788,854)	(804,046)	15,192	-1.9%	(788,854)	(804,046)	15,192	-1.9%	28
29 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%	29
30 Provision for grants	-	-	-	0.0%	-	-	-	0.0%	30
31 Total non-operating revenues	(502,847)	(786,442)	283,595	-36.1%	(502,847)	(786,442)	283,595	-36.1%	31
32 Income before capital contributions	4,323,177	4,040,428	282,749	7.0%	4,323,177	4,040,428	282,749	7.0%	32
33 Capital contributions	236,365	15,019	221,346	1473.8%	236,365	15,019	221,346	1473.8%	33
34 Change in net position	4,559,541	4,055,447	504,095	12.4%	4,559,541	4,055,447	504,095	12.4%	34
35 Transfer of Debt Service Assistance Fund loan payable					-				35
36 Net position, beginning of year					375,248,995	371,193,548	4,055,447	1.1%	36
37 Net position, end of year					379,808,536	375,248,995	4,559,542	1.2%	37

**SEWERAGE AND WATER BOARD OF NEW ORLEANS**  
**WATER SYSTEM FUND**  
**STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION**  
**WITH BUDGET COMPARISONS**  
**January 2021 Prelim Financials**

	A	B	C	D	E	F	G	H	
	MTD	MTD	MTD		YTD	YTD	YTD		
	Actual	Budget	Variance	%	Actual	Budget	Variance	%	
<b>Operating revenues:</b>									
1 Sales of water and delinquent fees	10,622,031	9,346,405	1,275,626	13.6%	10,622,031	9,346,405	1,275,626	13.6%	1
2 Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%	2
3 Plumbing inspection and license fees	28,290	20,968	7,322	34.9%	28,290	20,968	7,322	34.9%	3
4 Other revenues <sup>1</sup>	125,135	13,564	111,572	822.6%	125,135	13,564	111,572	822.6%	4
5 Total operating revenues	10,775,456	9,380,937	1,394,520	14.9%	10,775,456	9,380,937	1,394,520	14.9%	5
<b>Operating Expenses:</b>									
6 Power and pumping	106,992	200,435	(93,443)	-46.6%	106,992	200,435	(93,443)	-46.6%	6
7 Treatment	254,337	677,624	(423,288)	-62.5%	254,337	677,624	(423,288)	-62.5%	7
8 Transmission and distribution	670,461	1,283,878	(613,417)	-47.8%	670,461	1,283,878	(613,417)	-47.8%	8
9 Customer accounts	175,498	191,685	(16,187)	-8.4%	175,498	191,685	(16,187)	-8.4%	9
10 Customer service	149,132	162,003	(12,871)	-7.9%	149,132	162,003	(12,871)	-7.9%	10
11 Administration and general	1,051,120	1,390,675	(339,555)	-24.4%	1,051,120	1,390,675	(339,555)	-24.4%	11
12 Payroll related	1,125,399	974,717	150,683	15.5%	1,125,399	974,717	150,683	15.5%	12
13 Maintenance of general plant	297,231	948,775	(651,544)	-68.7%	297,231	948,775	(651,544)	-68.7%	13
14 Depreciation	1,063,838	2,784,204	(1,720,365)	-61.8%	1,063,838	2,784,204	(1,720,365)	-61.8%	14
15 Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16 Provision for doubtful accounts	1,000,380	1,264,255	(263,875)	-20.9%	1,000,380	1,264,255	(263,875)	-20.9%	16
17 Provision for claims	55,044	62,924	(7,881)	-12.5%	55,044	62,924	(7,881)	-12.5%	17
18 Total operating expenses	5,949,432	9,941,176	(3,991,744)	-40.2%	5,949,432	9,941,176	(3,991,744)	-40.2%	18
19 Operating income (loss)	4,826,024	(560,239)	5,386,263	-961.4%	4,826,024	(560,239)	5,386,263	-961.4%	19
<b>Non-operating revenues (expense):</b>									
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%	20
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%	21
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%	22
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%	23
24 Interest income	2,146	89,725	(87,579)	-97.6%	2,146	89,725	(87,579)	-97.6%	24
25 Other Taxes	-	-	-	0.0%	-	-	-	0.0%	25
26 Other Income	283,861	143,800	140,061	97.4%	283,861	143,800	140,061	97.4%	26
27 Interest expense	(788,854)	(788,854)	(0)	0.0%	(788,854)	(788,854)	(0)	0.0%	27
28 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%	28
29 Provision for grants	-	-	-	0.0%	-	-	-	0.0%	29
30 Total non-operating revenues	(502,847)	(555,329)	52,482	-9.5%	(502,847)	(555,329)	52,482	-9.5%	30
31 Income before capital contributions	4,323,177	(1,115,568)	5,438,745	-487.5%	4,323,177	(1,115,568)	5,438,745	-487.5%	31
32 Capital contributions	236,365	-	236,365	0.0%	236,365	-	236,365	0.0%	32
33 Change in net position	4,559,541	(1,115,568)	5,675,110	-508.7%	4,559,541	(1,115,568)	5,675,110	-508.7%	33
35 Audit Adjustment	-	-	-	-	-	-	-	-	35
36 Net position, beginning of year	375,248,995	371,193,548	4,055,447	1.1%	375,248,995	371,193,548	4,055,447	1.1%	36
37 Net position, end of year	380,287,168	370,077,980	10,209,189	2.8%	380,287,168	370,077,980	10,209,189	2.8%	37



**SEWERAGE AND WATER BOARD OF NEW ORLEANS**  
**SEWER SYSTEM FUND**  
**STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION**  
**WITH PRIOR YEAR COMPARISONS**  
**January 2021 Prelim Financials**

	A	B	C	D	E	F	G	H	
	MTD	MTD	MTD		YTD	YTD	YTD		
	Actual	Prior Year	Variance	%	Actual	Prior Year	Variance	%	
<b>Operating revenues:</b>									
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%	1
2 Sewerage service charges and del fees	13,195,402	13,770,539	(575,137)	-4.2%	13,195,402	13,770,539	(575,137)	-4.2%	2
3 Plumbing inspection and license fees	-	24,950	(24,950)	-100.0%	-	24,950	(24,950)	-100.0%	3
4 Other revenues	36,224	97,458	(61,234)	-62.8%	36,224	97,458	(61,234)	-62.8%	4
5 Total operating revenues	13,231,626	13,892,947	(661,321)	-4.8%	13,231,626	13,892,947	(661,321)	-4.8%	5
<b>Operating Expenses:</b>									
6 Power and pumping	104,220	455,975	(351,755)	-77.1%	104,220	455,975	(351,755)	-77.1%	6
7 Treatment	10,793	248,705	(237,912)	-95.7%	10,793	248,705	(237,912)	-95.7%	7
8 Transmission and distribution	604,234	1,034,654	(430,419)	-41.6%	604,234	1,034,654	(430,419)	-41.6%	8
9 Customer accounts	175,498	183,659	(8,161)	-4.4%	175,498	183,659	(8,161)	-4.4%	9
10 Customer service	149,131	140,977	8,155	5.8%	149,131	140,977	8,155	5.8%	10
11 Administration and general	1,282,789	1,099,128	183,661	16.7%	1,282,789	1,099,128	183,661	16.7%	11
12 Payroll related	1,131,527	1,496,597	(365,070)	-24.4%	1,131,527	1,496,597	(365,070)	-24.4%	12
13 Maintenance of general plant	301,648	391,863	(90,215)	-23.0%	301,648	391,863	(90,215)	-23.0%	13
14 Depreciation	2,348,820	2,348,820	-	0.0%	2,348,820	2,348,820	-	0.0%	14
15 Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16 Provision for doubtful accounts	1,261,429	(234,613)	1,496,042	-637.7%	1,261,429	(234,613)	1,496,042	-637.7%	16
17 Provision for claims	55,044	(19,662)	74,706	-379.9%	55,044	(19,662)	74,706	-379.9%	17
18 Total operating expenses	7,425,133	7,146,103	279,030	3.9%	7,425,133	7,146,103	279,030	3.9%	18
19 Operating income (loss)	5,806,493	6,746,845	(940,351)	-13.9%	5,806,493	6,746,845	(940,351)	-13.9%	19
<b>Non-operating revenues (expense):</b>									
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%	20
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%	21
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%	22
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%	23
24 Interest income	11,135	3,279	7,856	239.6%	11,135	3,279	7,856	239.6%	24
25 Other Taxes	-	-	-	0.0%	-	-	-	0.0%	25
26 Other Income	-	-	-	0.0%	-	-	-	0.0%	26
27 Bond Issuance Costs	-	-	-	0.0%	-	-	-	0.0%	27
28 Interest expense	(1,144,274)	(906,940)	(237,334)	26.2%	(1,144,274)	(906,940)	(237,334)	26.2%	28
29 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%	29
30 Provision for grants	-	-	-	0.0%	-	-	-	0.0%	30
31 Total non-operating revenues	(1,133,139)	(903,661)	(229,478)	25.4%	(1,133,139)	(903,661)	(229,478)	25.4%	31
32 Income before capital contributions	4,673,355	5,843,183	(1,169,829)	-20.0%	4,673,355	5,843,183	(1,169,829)	-20.0%	32
33 Capital contributions	393,941	176,362	217,579	123.4%	393,941	176,362	217,579	123.4%	33
34 Change in net position	5,067,296	6,019,545	(952,250)	-15.8%	5,067,296	6,019,545	(952,250)	-15.8%	34
35 Transfer of Debt Service Assistance Fund loan payable									35
36 Net position, beginning of year					818,132,359	812,112,814	6,019,545	0.7%	36
37 Net position, end of year					823,199,655	818,132,359	5,067,296	0.6%	37

**SEWERAGE AND WATER BOARD OF NEW ORLEANS**  
**SEWER SYSTEM FUND**  
**STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION**  
**WITH BUDGET COMPARISONS**  
**January 2021 Prelim Financials**

	A	B	C	D	E	F	G	H	
	MTD	MTD	MTD		YTD	YTD	YTD		
	Actual	Budget	Variance	%	Actual	Budget	Variance	%	
<b>Operating revenues:</b>									
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%	1
2 Sewerage service charges and del fees	13,195,402	11,636,224	1,559,178	13.4%	13,195,402	11,636,224	1,559,178	13.4%	2
3 Plumbing inspection and license fees	-	21,121	(21,121)	-100.0%	-	21,121	(21,121)	-100.0%	3
4 Other revenues	36,224	7,781	28,444	365.6%	36,224	7,781	28,444	365.6%	4
5 Total operating revenues	13,231,626	11,665,126	1,566,501	13.4%	13,231,626	11,665,126	1,566,501	13.4%	5
<b>Operating Expenses:</b>									
6 Power and pumping	104,220	346,033	(241,814)	-69.9%	104,220	346,033	(241,814)	-69.9%	6
7 Treatment	10,793	1,083,998	(1,073,204)	-99.0%	10,793	1,083,998	(1,073,204)	-99.0%	7
8 Transmission and distribution	604,234	1,504,988	(900,753)	-59.9%	604,234	1,504,988	(900,753)	-59.9%	8
9 Customer accounts	175,498	192,236	(16,738)	-8.7%	175,498	192,236	(16,738)	-8.7%	9
10 Customer service	149,131	162,605	(13,474)	-8.3%	149,131	162,605	(13,474)	-8.3%	10
11 Administration and general	1,282,789	1,918,753	(635,964)	-33.1%	1,282,789	1,918,753	(635,964)	-33.1%	11
12 Payroll related	1,131,527	781,856	349,671	44.7%	1,131,527	781,856	349,671	44.7%	12
13 Maintenance of general plant	301,648	581,589	(279,941)	-48.1%	301,648	581,589	(279,941)	-48.1%	13
14 Depreciation	2,348,820	2,221,876	126,944	5.7%	2,348,820	2,221,876	126,944	5.7%	14
15 Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16 Provision for doubtful accounts	1,261,429	1,503,054	(241,625)	-16.1%	1,261,429	1,503,054	(241,625)	-16.1%	16
17 Provision for claims	55,044	73,698	(18,654)	-25.3%	55,044	73,698	(18,654)	-25.3%	17
18 Total operating expenses	7,425,133	10,370,686	(2,945,553)	-28.4%	7,425,133	10,370,686	(2,945,553)	-28.4%	18
19 Operating income (loss)	5,806,493	1,294,439	4,512,054	348.6%	5,806,493	1,294,439	4,512,054	348.6%	19
<b>Non-operating revenues (expense):</b>									
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%	20
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%	21
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%	22
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%	23
24 Interest income	11,135	108,167	(97,031)	-89.7%	11,135	108,167	(97,031)	-89.7%	24
25 Other Taxes	-	58,617	(58,617)	-100.0%	-	58,617	(58,617)	-100.0%	25
26 Other Income	-	-	-	0.0%	-	-	-	0.0%	26
27 Interest expense	(1,144,274)	(1,084,772)	(59,502)	5.5%	(1,144,274)	(1,084,772)	(59,502)	5.5%	27
28 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%	28
29 Provision for grants	-	-	-	0.0%	-	-	-	0.0%	29
30 Total non-operating revenues	(1,133,139)	(917,989)	(215,150)	23.4%	(1,133,139)	(917,989)	(215,150)	23.4%	30
31 Income before capital contributions	4,673,355	376,450	4,296,905	1141.4%	4,673,355	376,450	4,296,905	1141.4%	31
32 Capital contributions	393,941	-	393,941	0.0%	393,941	-	393,941	0.0%	32
33 Change in net position	5,067,296	376,450	4,690,846	1246.1%	5,067,296	376,450	4,690,846	1246.1%	33
35 Audit Adjustment	-	-	-	-	-	-	-	-	35
36 Net position, beginning of year	818,132,359	812,112,814	6,019,545	0.7%	818,132,359	812,112,814	6,019,545	0.7%	36
37 Net position, end of year	825,062,130	812,489,264	12,572,866	1.5%	825,062,130	812,489,264	12,572,866	1.5%	37

**SEWERAGE AND WATER BOARD OF NEW ORLEANS**  
**DRAINAGE SYSTEM FUND**  
**STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION**  
**WITH PRIOR YEAR COMPARISONS**  
**January 2021 Prelim Financials**

	A	B	C	D	E	F	G	H	
	MTD	MTD	MTD		YTD	YTD	YTD		
	Actual	Prior Year	Variance	%	Actual	Prior Year	Variance	%	
<b>Operating revenues:</b>									
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%	1
2 Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%	2
3 Plumbing inspection and license fees	-	-	-	0.0%	-	-	-	0.0%	3
4 Other revenues	6,395	1,425	4,970	348.7%	6,395	1,425	4,970	348.7%	4
5 Total operating revenues	6,395	1,425	4,970	348.7%	6,395	1,425	4,970	348.7%	5
	-				-				
<b>Operating Expenses:</b>									
6 Power and pumping	358,006	732,367	(374,361)	-51.1%	358,006	732,367	(374,361)	-51.1%	6
7 Treatment	-	-	-	0.0%	-	-	-	0.0%	7
8 Transmission and distribution	354,578	413,160	(58,582)	-14.2%	354,578	413,160	(58,582)	-14.2%	8
9 Customer accounts	954	930	24	2.6%	954	930	24	2.6%	9
10 Customer service	5,616	6,673	(1,057)	-15.8%	5,616	6,673	(1,057)	-15.8%	10
11 Administration and general	615,927	551,217	64,710	11.7%	615,927	551,217	64,710	11.7%	11
12 Payroll related	616,737	1,044,066	(427,328)	-40.9%	616,737	1,044,066	(427,328)	-40.9%	12
13 Maintenance of general plant	150,240	201,192	(50,953)	-25.3%	150,240	201,192	(50,953)	-25.3%	13
14 Depreciation	2,416,411	2,416,411	-	0.0%	2,416,411	2,416,411	-	0.0%	14
15 Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16 Provision for doubtful accounts	-	3,709	(3,709)	-100.0%	-	3,709	(3,709)	-100.0%	16
17 Provision for claims	27,522	(435,164)	462,686	-106.3%	27,522	(435,164)	462,686	-106.3%	17
18 Total operating expenses	4,545,991	4,934,562	(388,570)	-7.9%	4,545,991	4,934,562	(388,570)	-7.9%	18
	-				-				
19 Operating income (loss)	(4,539,597)	(4,933,136)	393,540	-8.0%	(4,539,597)	(4,933,136)	393,540	-8.0%	19
	-				-				
<b>Non-operating revenues (expense):</b>									
20 Two-mill tax	35	-	35	0.0%	35	-	35	0.0%	20
21 Three-mill tax	60,025	-	60,025	0.0%	60,025	-	60,025	0.0%	21
22 Six-mill tax	63,205	-	63,205	0.0%	63,205	-	63,205	0.0%	22
23 Nine-mill tax	94,740	-	94,740	0.0%	94,740	-	94,740	0.0%	23
24 Interest income	2,086	5,311	(3,225)	-60.7%	2,086	5,311	(3,225)	-60.7%	24
25 Other Taxes	-	-	-	0.0%	-	-	-	0.0%	25
26 Other Income	-	-	-	0.0%	-	-	-	0.0%	26
27 Bond Issuance Costs	-	-	-	0.0%	-	-	-	0.0%	27
28 Interest expense	-	-	-	0.0%	-	-	-	0.0%	28
29 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%	29
30 Provision for claims	-	-	-	0.0%	-	-	-	0.0%	30
31 Total non-operating revenues	220,092	5,311	214,781	4044.0%	220,092	5,311	214,781	4044.0%	31
	-				-				
32 Income before capital contributions	(4,319,504)	(4,927,825)	608,321	-12.3%	(4,319,504)	(4,927,825)	608,321	-12.3%	32
33 Capital contributions	157,576	33,232	124,345	374.2%	157,576	33,232	124,345	374.2%	33
34 Change in net position	(4,161,928)	(4,894,594)	732,666	-15.0%	(4,161,928)	(4,894,594)	732,666	-15.0%	34
	-				-				
35 Transfer of Debt Service Assistance Fund loan payable									35
36 Net position, beginning of year					1,168,067,096	1,172,961,690	(4,894,594)	-0.4%	36
37 Net position, end of year					1,163,905,169	1,168,067,096	(4,161,928)	-0.4%	37

**SEWERAGE AND WATER BOARD OF NEW ORLEANS**  
**DRAINAGE SYSTEM FUND**  
**STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION**  
**WITH BUDGET COMPARISONS**  
**January 2021 Prelim Financials**

	A	B	C	D	E	F	G	H	
	MTD	MTD	MTD		YTD	YTD	YTD		
	Actual	Budget	Variance	%	Actual	Budget	Variance	%	
<b>Operating revenues:</b>									
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%	1
2 Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%	2
3 Plumbing inspection and license fees	-	-	-	0.0%	-	-	-	0.0%	3
4 Other revenues	6,395	-	6,395	100.0%	6,395	-	6,395	100.0%	4
5 Total operating revenues	6,395	-	6,395	100.0%	6,395	-	6,395	100.0%	5
<b>Operating Expenses:</b>									
6 Power and pumping	358,006	819,673	(461,667)	-56.3%	358,006	819,673	(461,667)	-56.3%	6
7 Treatment	-	-	-	0.0%	-	-	-	0.0%	7
8 Transmission and distribution	354,578	376,956	(22,377)	-5.9%	354,578	376,956	(22,377)	-5.9%	8
9 Customer accounts	954	1,218	(264)	-21.6%	954	1,218	(264)	-21.6%	9
10 Customer service	5,616	3,980	1,636	41.1%	5,616	3,980	1,636	41.1%	10
11 Administration and general	615,927	869,105	(253,177)	-29.1%	615,927	869,105	(253,177)	-29.1%	11
12 Payroll related	616,737	555,018	61,719	11.1%	616,737	555,018	61,719	11.1%	12
13 Maintenance of general plant	150,240	348,271	(198,032)	-56.9%	150,240	348,271	(198,032)	-56.9%	13
14 Depreciation	2,416,411	1,140,391	1,276,020	111.9%	2,416,411	1,140,391	1,276,020	111.9%	14
15 Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16 Provision for doubtful accounts	-	7,797	(7,797)	-100.0%	-	7,797	(7,797)	-100.0%	16
17 Provision for claims	27,522	46,090	(18,568)	-40.3%	27,522	46,090	(18,568)	-40.3%	17
18 Total operating expenses	4,545,991	4,168,499	377,492	9.1%	4,545,991	4,168,499	377,492	9.1%	18
19 Operating income (loss)	(4,539,597)	(4,168,499)	(371,097)	8.9%	(4,539,597)	(4,168,499)	(371,097)	8.9%	19
<b>Non-operating revenues (expense):</b>									
20 Two-mill tax	35	-	35	0.0%	35	-	35	0.0%	20
21 Three-mill tax	60,025	19,058	40,967	215.0%	60,025	19,058	40,967	215.0%	21
22 Six-mill tax	63,205	122,881	(59,676)	-48.6%	63,205	122,881	(59,676)	-48.6%	22
23 Nine-mill tax	94,740	172,032	(77,292)	-44.9%	94,740	172,032	(77,292)	-44.9%	23
24 Interest income	2,086	14,335	(12,248)	-85.4%	2,086	14,335	(12,248)	-85.4%	24
25 Other Taxes	-	-	-	0.0%	-	-	-	0.0%	25
26 Other Income	-	42,711	(42,711)	-100.0%	-	42,711	(42,711)	-100.0%	26
27 Interest expense	-	(7,008)	7,008	-100.0%	-	(7,008)	7,008	-100.0%	27
28 Operating and maintenance grants	-	28,564	(28,564)	-100.0%	-	28,564	(28,564)	-100.0%	28
29 Provision for grants	-	-	-	0.0%	-	-	-	0.0%	29
30 Total non-operating revenues	220,092	392,572	(172,480)	-43.9%	220,092	392,572	(172,480)	-43.9%	30
31 Income before capital contributions	(4,319,504)	(3,775,927)	(543,577)	14.4%	(4,319,504)	(3,775,927)	(543,577)	14.4%	31
32 Capital contributions	157,576	-	157,576	0.0%	157,576	-	157,576	0.0%	32
33 Change in net position	(4,161,928)	(3,775,927)	(386,001)	10.2%	(4,161,928)	(3,775,927)	(386,001)	10.2%	33
35 Audit Adjustment	-	-	-	-	-	-	-	-	35
36 Net position, beginning of year	1,168,067,096	1,172,961,690	(4,894,594)	-0.4%	1,168,067,096	1,172,961,690	(4,894,594)	-0.4%	36
37 Net position, end of year	1,164,107,607	1,169,185,763	(5,078,156)	-0.4%	1,164,107,607	1,169,185,763	(5,078,156)	-0.4%	37

**LOUISIANA SYSTEM SURVEY AND COMPLIANCE QUESTIONNAIRE**

WHEREAS, the Louisiana State Legislative Auditor has oversight authority over Louisiana governmental units and quasi-public corporations; and

WHEREAS, the Louisiana State Legislative Auditor requires a completed system survey and compliance questionnaire as part of the financial and compliance audits of Louisiana governmental units and quasi-public corporations; and

WHEREAS, upon completion, the Louisiana State Legislative Auditor requires the questionnaire to be presented to and adopted by the governing body of the organization by means of a formal resolution in an open meeting; and

NOW, THEREFORE, BE IT RESOLVED that the Sewerage and Water Board of New Orleans does adopt this resolution, attesting to the completion of the System Survey and Compliance Questionnaire.

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I, Ghassan Korban, Executive Director,  
Sewerage and Water Board of New Orleans, do  
hereby certify that the above and foregoing  
is a true and correct copy of a resolution  
adopted at the Regular Monthly Meeting of said  
Board, duly called and held, according to law  
on March 17, 2021

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**GHASSAN KORBAN  
EXECUTIVE DIRECTOR  
SEWERAGE AND WATER BOARD OF NEW ORLEANS**



# **The Sewerage & Water Board**

## **OF NEW ORLEANS**

625 ST. JOSEPH STREET

NEW ORLEANS, LA 70165

504.529.2837 OR 52.WATER

[www.swbno.org](http://www.swbno.org)

January 19, 2021

Dr. Tamika Duplessis

Dear Dr. Duplessis:

RE: Louisiana Compliance Questionnaire

Please answer question number 9 and 10, part III on page 3 of the Louisiana Compliance Questionnaire, as a Board member, check the appropriate box with an "X", as this is needed for the 2020 Annual Audit. The remaining items on the Questionnaire were completed by staff and will be presented with a resolution to the full Board for approval on Wednesday, February 17, 2021.

Please return this form to the Board and date and sign your name with President Pro -Tem on the last page.

If further inquiry or discussion is needed, please call Minnie Vann at (504) 585-2352.

Your assistance in this matter is appreciated.

Sincerely,

E. Grey Lewis  
Chief Financial Officer

EGL/mlv

enclosed

cc: Ghassan Korban  
Rosita P. Thomas  
Latressia Matthews  
Rona Harris  
Hazel Bell

**LOUISIANA COMPLIANCE QUESTIONNAIRE  
(For Audit Engagements of Governments)**

Dear Chief Executive Officer:

Attached is the Louisiana Compliance Questionnaire that is to be completed by you or your staff. This questionnaire is a required part of a financial audit of Louisiana state and local government agencies. Upon completion, the questionnaire must be presented to and adopted by the governing body, if any, of your organization by means of a formal resolution in an open meeting. Independently elected officials should sign the document, in lieu of such a resolution.

The completed questionnaire and a copy of the adoption instrument, if appropriate, must be given to the auditor at the beginning of the audit. The auditor will, during the course of his/her regular audit, test the accuracy of the responses in the questionnaire. It is not necessary to return the questionnaire to my office.

Certain portions of the questionnaire may not be applicable to your organization. In such cases, it is appropriate to mark the representation "not applicable." However, you must respond to each applicable representation. A 'yes' answer indicates that you have complied with the applicable law or regulation. A 'no' answer to any representation indicates a possible violation of law or regulation and, as such, should be fully explained. These matters will be reviewed by the auditor during the course of his/her examination. Please feel free to attach a further explanation of any representation.

Your cooperation in this matter will be greatly appreciated.

Sincerely,

Daryl G. Purpera, CPA, CFE  
Louisiana Legislative Auditor

Enclosure



**LOUISIANA COMPLIANCE QUESTIONNAIRE  
(For Audit Engagements of Government Agencies)**

**January 19, 2021**

**POSTLETHWAITE & NETTERVILLE  
ONE GALLERIA BOULEVARD, SUITE 2100  
METAIRIE, LOUISIANA 70001**

In connection with your audit of our financial statements as of **December 31, 2020** and for **January 1, 2021 through March 31, 2021** (period of audit) for the purpose of expressing an opinion as to the fair presentation of our financial statements in accordance with accounting principles generally accepted in the United States of America, to assess our internal control structure as a part of your audit, and to review our compliance with applicable laws and regulations, we confirm, to the best of our knowledge and belief, the following representations. These representations are based on the information available to us as of **February 16, 2021** (date completed/date of the representations).

**PART I. AGENCY PROFILE**

1. Name and address of the organization.

**Sewerage & Water Board of New Orleans  
625 St. Joseph Street  
New Orleans, La. 70165**

2. List the population of the municipality or parish based upon the last official United States Census or most recent official census (municipalities and police juries only). Include the source of the information.

**Not Applicable**

3. List names, addresses, and telephone numbers of entity officials. Include elected/appointed members of the governing board, chief executive and fiscal officer, and legal counsel.

**See Attached List A**

4. Period of time covered by this questionnaire.

**January 1, 2020 through March 31, 2021**

5. The entity has been organized under the following provisions of the Louisiana Revised Statute(s) (R.S.) and, if applicable, local resolutions/ordinances.

**Act. 6 of the Louisiana Legislature of 1899**

6. Briefly describe the public services provided.

**Construct, control, maintain and operate the Public Water System  
the Sewerage System and Drainage system for the City of New Orleans**

7. Expiration date of current elected/appointed officials' terms.

**See Attached List B**

**LEGAL COMPLIANCE**

**PART II. PUBLIC BID LAW**

8. The provisions of the public bid law, R.S. Title 38:2211-2296, and, where applicable, the regulations of the Division of Administration, State Purchasing Office have been complied with.

A) All public works purchases exceeding \$154,450 have been publicly bid.

B) All material and supply purchases exceeding \$30,000 have been publicly bid.

Yes [ X ] No [ ]

**PART III. CODE OF ETHICS LAW FOR PUBLIC OFFICIALS  
AND PUBLIC EMPLOYEES**

9. It is true that no employees or officials have accepted anything of value, whether in the form of a service, loan, or promise, from anyone that would constitute a violation of R.S. 42:1101-1124.

Signature: *Yamika Duplessis*

Date: 2/17/2021

Yes [ X ] No [ ]

10. It is true that no member of the immediate family of any member of the governing authority, or the chief executive of the governmental entity, has been employed by the governmental entity after April 1, 1980, under circumstances that would constitute a violation of R.S. 42:1119.

Signature: *Yamika Duplessis*

Date: 2/17/2021

Yes [ X ] No [ ]

**PART IV. LAWS AFFECTING BUDGETING**

11. We have complied with the budgeting requirements of the Local Government Budget Act (R.S. 39:1301-15) R.S. 39:33, or R.S. 39:1331-1342, as applicable:

**A. Local Budget Act**

1. We have adopted a budget for the general fund and all special revenue funds (R.S. 39:1305).
2. The chief executive officer, or equivalent, has prepared a proposed budget that included a budget message, a proposed budget for the general fund and each special revenue fund, and a budget adoption instrument that specified the chief executive's authority to make budgetary amendments without approval of the governing authority. Furthermore, the proposed expenditures did not exceed estimated funds to be available during the period (R.S. 39:1305).
3. The proposed budget was submitted to the governing authority and made available for public inspection at least 15 days prior to the beginning of the budget year (R.S. 39:1306).
4. To the extent that proposed expenditures were greater than \$500,000, we have made the budget available for public inspection and have advertised its availability in our official journal. The advertisement included the date, time, and place of the public hearing on the budget. Notice has also been published certifying that all actions required by the Local Government Budget Act have been completed (R.S. 39:1307).
5. If required, the proposed budget was made available for public inspection at the location required by R.S. 39:1308.
6. All action necessary to adopt and finalize the budget was completed prior to the date required by state

law. The adopted budget contained the same information as that required for the proposed budget (R.S. 39:1309).

7. After adoption, a certified copy of the budget has been retained by the chief executive officer or equivalent officer (R.S. 39:1309).

8. To the extent that proposed expenditures were greater than \$500,000, the chief executive officer or equivalent notified the governing authority in writing during the year when actual receipts plus projected revenue collections for the year failed to meet budgeted revenues by five percent or more, or when actual expenditures plus projected expenditures to year end exceeded budgeted expenditures by five percent or more (R.S. 39:1311).

9. The governing authority has amended its budget when notified, as provided by R.S. 39:1311. (Note, general and special revenue fund budgets should be amended, regardless of the amount of expenditures in the fund, when actual receipts plus projected revenue collections for the year fail to meet budgeted revenues by five percent or more; or when actual expenditures plus projected expenditures to year end exceed budgeted expenditures by five percent or more. State law exempts from the amendment requirements special revenue funds with anticipated expenditures of \$500,000 or less, and exempts special revenue funds whose revenues are expenditure-driven - primarily federal funds-from the requirement to amend revenues.)

**Not Applicable**

Yes [ ] No [ ]

#### **B. State Budget Requirements**

10. The state agency has complied with the budgetary requirements of R.S. 39:33.

**Not Applicable**

Yes [ ] No [ ]

#### **C. Licensing Boards**

11. The licensing board has complied with the budgetary requirements of R.S. 39:1331-1342.

**Not Applicable**

Yes [ ] No [ ]

### **PART V. ACCOUNTING, AUDITING, AND FINANCIAL REPORTING LAWS**

12. We have maintained our accounting records in such a manner as to provide evidence of legal compliance and the preparation of annual financial statements to comply with R.S. 24:513 and 515, and/or 33:463.

Yes [X] No [ ]

13. All non-exempt governmental records are available as a public record and have been retained for at least three years, as required by R.S. 44:1, 44:7, 44:31, and 44:36.

Yes [X] No [ ]

14. We have filed our annual financial statements in accordance with R.S. 24:514, and 33:463 where applicable.

Yes [X] No [ ]

15. We have had our financial statements audited in a timely manner in accordance with R.S. 24:513.

Yes [X] No [ ]

16. We did not enter into any contracts that utilized state funds as defined in R.S. 39:72.1 A. (2); and that were subject to the public bid law (R.S. 38:2211, et seq.), while the agency was not in compliance with R.S. 24:513 (the audit law).

Yes [X] No [ ]

17. We have complied with R.S. 24:513 A. (3) regarding disclosure of compensation, reimbursements, benefits and other payments to the agency head, political subdivision head, or chief executive officer.

Yes [X] No [ ]

18. We have remitted all fees, fines, and court costs collected on behalf of other entities, in compliance with applicable Louisiana Revised Statutes or other laws.

Yes [X] No [ ]

**PART VI. MEETINGS**

19. We have complied with the provisions of the Open Meetings Law, provided in R. S. 42:11 through 42:28.

Yes ☒ No ☐

**PART VII. ASSET MANAGEMENT LAWS**

20. We have maintained records of our fixed assets and movable property records, as required by R.S. 24:515 and/or 39:321-332, as applicable.

Yes ☒ No ☐

**PART VIII. FISCAL AGENCY AND CASH MANAGEMENT LAWS**

21. We have complied with the fiscal agency and cash management requirements of R.S. 39:1211-45 and 49:301-327, as applicable.

**Not Applicable**

Yes ☐ No ☐

**PART IX. DEBT RESTRICTION LAWS**

22. It is true we have not incurred any long-term indebtedness without the approval of the State Bond Commission, as provided by Article VII, Section 8 of the 1974 Louisiana Constitution, Article VI, Section 33 of the 1974 Louisiana Constitution, and R.S. 39:1410.60-1410.65.

Yes ☒ No ☐

23. We have complied with the debt limitation requirements of state law (R.S. 39:562).

**Not Applicable**

Yes ☐ No ☐

24. We have complied with the reporting requirements relating to the Fiscal Review Committee of the State Bond Commission (R.S. 39:1410.62).

Yes ☒ No ☐

**PART X. REVENUE AND EXPENDITURE RESTRICTION LAWS**

25. We have restricted the collections and expenditures of revenues to those amounts authorized by Louisiana statutes, tax propositions, and budget ordinances.

**Not Applicable** Yes ☐ No ☐

26. It is true we have not advanced wages or salaries to employees or paid bonuses in violation of Article VII, Section 14 of the 1974 Louisiana Constitution, R.S. 14:138, and AG opinion 79-729.

Yes ☒ No ☐

27. It is true that no property or things of value have been loaned, pledged, or granted to anyone in violation of Article VII, Section 14 of the 1974 Louisiana Constitution.

Yes ☒ No ☐

**PART XI. ISSUERS OF MUNICIPAL SECURITIES**

28. It is true that we have complied with the requirements of R.S. 39:1438.C.

**Not Applicable**

Yes ☐ No ☐

**PART XI. QUESTIONS FOR SPECIFIC GOVERNMENTAL UNITS**

Parish Governments

29. We have adopted a system of road administration that provides as follows:

- A. Approval of the governing authority of all expenditures, R.S. 48:755(A).
- B. Development of a capital improvement program on a selective basis, R.S. 48:755.
- C. Centralized purchasing of equipment and supplies, R.S. 48:755.
- D. Centralized accounting, R.S. 48:755.
- E. A construction program based on engineering plans and inspections, R.S. 48:755.
- F. Selective maintenance program, R.S. 48:755.
- G. Annual certification of compliance to the auditor, R.S. 48:758.

**Not Applicable**

Yes ☐ No ☐

## School Boards

30. We have complied with the general statutory, constitutional, and regulatory provisions of the Louisiana Department of Education, R.S. 17:51-400.

**Not Applicable** Yes [ ] No [ ]

31. We have complied with the regulatory circulars issued by the Louisiana Department of Education that govern the Minimum Foundation Program.

**Not Applicable** Yes [ ] No [ ]

32. We have, to the best of our knowledge, accurately compiled the performance measurement data contained in the following schedules and recognize that your agreed-upon procedures will be applied to such schedules and performance measurement data:

Parish school boards are required to report, as part of their annual financial statements, measures of performance. These performance indicators are found in the supplemental schedules:

- Schedule 1, General Fund Instructional and Support Expenditures and Certain Local Revenue Sources
- Schedule 2, Class Size Characteristics

We have also, to the best of our knowledge, accurately compiled the performance measurement data contained in the following schedules, and recognize that although the schedules will not be included in the agreed-upon procedures report, the content of the schedules will be tested and reported upon by school board auditors in the school board performance measures agreed-upon procedures report:

- Education Levels of Public School Staff
- Schedule 4, Experience of Public Principals, Assistant Principals, and Full-time Classroom Teachers
- Public School Staff Data: Average Salaries

We understand that the content of the first two schedules will be tested and reported upon together.

**Not Applicable** Yes [ ] No [ ]

## Tax Collectors

33. We have complied with the general statutory requirements of R.S. 47.

**Not Applicable** Yes [ ] No [ ]

## Sheriffs

34. We have complied with the state supplemental pay regulations of R.S. 40:1667.7.

**Not Applicable** Yes [ ] No [ ]

35. We have complied with R.S. 13:5535 relating to the feeding and keeping of prisoners.

**Not Applicable** Yes [ ] No [ ]

## District Attorneys

36. We have complied with the regulations of the DCFS that relate to the Title IV-D Program.

**Not Applicable** Yes [ ] No [ ]

## Assessors

37. We have complied with the regulatory requirements found in R.S. Title 47.

**Not Applicable** Yes [ ] No [ ]

38. We have complied with the regulations of the Louisiana Tax Commission relating to the reassessment of property.

**Not Applicable** Yes [ ] No [ ]

## Clerks of Court

39. We have complied with R.S. 13:751-917 and applicable sections of R.S. 11:1501-1562.

**Not Applicable** Yes [ ] No [ ]

## Libraries

40. We have complied with the regulations of the Louisiana State Library.

**Not Applicable**

Yes [ ] No [ ]

#### Municipalities

41. Minutes are taken at all meetings of the governing authority (R.S. 42:20).

**Not Applicable**

Yes [ ] No [ ]

42. Minutes, ordinances, resolutions, budgets, and other official proceedings of the municipalities are published in the official journal (R.S. 43:141-146 and A.G. 86-528).

**Not Applicable**

Yes [ ] No [ ]

43. All official action taken by the municipality is conducted at public meetings (R.S. 42:11 to 42:28).

**Not Applicable**

Yes [ ] No [ ]

#### Airports

44. We have submitted our applications for funding airport construction or development to the Department of Transportation and Development as required by R.S. 2:802.

**Not Applicable**

Yes [ ] No [ ]

45. We have adopted a system of administration that provides for approval by the department for any expenditures of funds appropriated from the Transportation Trust Fund, and no funds have been expended without department approval (R.S. 2:810).

**Not Applicable**

Yes [ ] No [ ]

46. All project funds have been expended on the project and for no other purpose (R.S. 2:810).

Yes [ ] No [ ]

47. We have certified to the auditor, on an annual basis, that we have expended project funds in accordance with the standards established by law (R.S. 2:811).

**Not Applicable**

Yes [ ] No [ ]

#### Ports

48. We have submitted our applications for funding port construction or development to the Department of Transportation and Development as required by R.S. 34:3452.

**Not Applicable**

Yes [ ] No [ ]

49. We have adopted a system of administration that provides for approval by the department for any expenditures of funds made out of state and local matching funds, and no funds have been expended without department approval (R.S. 34:3460).

**Not Applicable**

Yes [ ] No [ ]

50. All project funds have been expended on the project and for no other purpose (R.S. 34:3460).

**Not Applicable**

Yes [ ] No [ ]

51. We have established a system of administration that provides for the development of a capital improvement program on a selective basis, centralized purchasing of equipment and supplies, centralized accounting, and the selective maintenance and construction of port facilities based upon engineering plans and inspections (R.S. 34:3460).

**Not Applicable**

Yes [ ] No [ ]

52. We have certified to the auditor, on an annual basis, that we have expended project funds in accordance with the standards established by law (R.S. 34:3461).

**Not Applicable**

Yes [ ] No [ ]

#### Sewerage Districts

53. We have complied with the statutory requirements of R.S. 33:3881-4159.10.

Yes [ X ] No [ ]

#### Waterworks Districts

54. We have complied with the statutory requirements of R.S. 33:3811-3837.

Yes [ X ] No [ ]

#### Utility Districts

55. We have complied with the statutory requirements of R.S. 33:4161-4546.21.

Yes [ X ] No [ ]

**Drainage and Irrigation Districts**

56. We have complied with the statutory requirements of R.S. 38:1601-1707 (Drainage Districts); R.S. 38:1751-1921 (Gravity Drainage Districts); R.S. 38:1991-2048 (Levee and Drainage Districts); or R.S. 38:2101-2123 (Irrigation Districts), as appropriate.

Yes [ X ] No [ ]

**Fire Protection Districts**

57. We have complied with the statutory requirements of R.S. 40:1491-1509.

**Not Applicable**

Yes [ ] No [ ]

**Other Special Districts**

58. We have complied with those specific statutory requirements of state law applicable to our district.

**Not Applicable**

Yes [ ] No [ ]

The previous responses have been made to the best of our belief and knowledge. We have disclosed to you all known noncompliance of the foregoing laws and regulations, as well as any contradictions to the foregoing representations. We have made available to you documentation relating to the foregoing laws and regulations.

We have provided you with any communications from regulatory agencies or other sources concerning any possible noncompliance with the foregoing laws and regulations, including any communications received between the end of the period under examination and the issuance of this report. We acknowledge our responsibility to disclose to you and the Legislative Auditor any known noncompliance that may occur subsequent to the issuance of your report.

_____	Secretary	_____	Date
_____	Treasurer	_____	Date
<i>Janika Stephens</i>	President	Pro-Tem 2/17/2021	Date



**GENERAL SUPERINTENDENT RECOMMENDATIONS  
FOR THE MARCH 10, 2021  
FINANCE AND ADMINISTRATION COMMITTEE MEETING**

A listing of the bids, change orders, amendments and final acceptances received during the month of February is included in the following report. A brief summary is attached for your review.

**BIDS AND RENEWALS (2)**

- |                   |  |
|-------------------|--|
| Page 3 R-017-2021 | Renewal of Contract MH 19004 – Purchase of Positive Displacement Type Cold Water Meters                |
| Page 6 R-028-2021 | Award of Contract 2152 – Gert Town Waterline Replacement Program – Dupre Street, TM 004, FEMA PW 21031 |

**CHANGE ORDER/FINAL ACCEPTANCE (1)**

- |                    |  |
|--------------------|--|
| Page 10 R-027-2021 | Ratification of Change Order No. 11 and Final Acceptance of Contract 1368 – Hazard Mitigation Grant Program – Oak Street Pumping Station |
|--------------------|--|

**CONTRACT AMENDMENTS (3)**

- |                    |  |
|--------------------|--|
| Page 15 R-026-2021 | Authorization of Contract Amendment No. 5 to the Agreement with Black and Veatch Corporation for Design and Engineering Services for the EBWWTP Sludge Dryer Project   |
| Page 19 R-030-2021 | Authorization of Amendment No. 7 to the Agreement Between the Sewerage and Water Board of New Orleans and Digital Engineering & Imaging, Inc. for Design and Engineering Services for the Water Line Replacement Program |
| Page 23 R-031-2021 | Authorization of Contract Amendment No. 5 to the Agreement Between the Sewerage and Water Board of New Orleans and Trigon Associates, LLC for Engineering and Design Services for the Waterline Replacement Program      |

# **GENERAL SUPERINTENDENT'S RECOMMENDATIONS**

## **BIDS AND RENEWALS**

**FIRST RENEWAL OF CONTRACT MH 190014 – Furnishing and Delivering Positive Displacement Cold Water Meters**

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**WHEREAS**, on November 20, 2019, the Sewerage and Water Board of New Orleans (“Board”) via Resolution No. R-176-2019 approved entering into contract MH 190014 with Mueller Water Products (“Mueller”) for the furnishing of positive displacement cold water meters in the amount of \$1,888,082.00; and

**WHEREAS**, on January 20, 2020, the Board and Muller entered into Contract Number MH 190014 (the “Mueller Contract”) for an initial term of one (1) year, with four (4) optional one-year-renewals; and

**WHEREAS**, Mueller desires to extend its contract facilitating the continuity of its services, in the amount of \$ 1,888,082.00 for **Furnishing and Delivering Positive Displacement Cold Water Meters** to the Board; and

**WHEREAS**, relevant to the data from U.S. Bureau of Labor Statistics, Mueller furnished a 12-month unit price revision for Contract MH 190014; and

**WHEREAS**, the Board desires to exercise one of the four (4) one-year renewal options of the Mueller Contract for January 20, 2021 – January 19, 2022, in the amount of \$1,888,082.00; and

**WHEREAS**, as this First Renewal will bring the total contract amount to \$3,776,164.00 and funds for this project are budgeted under the Capital Program 823.

**NOW, THEREFORE, BE IT RESOLVED**, that the first one-year contract renewal of Contract MH 190014 with Mueller Water Products for Furnishing and Delivering Positive Displacement Cold Water Meters at a renewal price of \$1,888,082.00 and a Contract End Date of January 21, 2022 is hereby approved.

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I, Ghassan Korban, Executive Director, Sewerage and Water Board of New Orleans, do hereby certify that the above and foregoing is a true and correct copy of a resolution adopted at the Regular Meeting of the said Board, duly called and held, according to law, on March 17, 2021.

**Sewerage and Water Board of New Orleans  
BOARD OF DIRECTORS CONTRACTOR FACT SHEET**



**ACTION REQUESTED**

**CONTRACT RENEWAL**

**Contract MH 19004 Purchase of Positive Displacement Type Cold Water Meters**

First renewal of Contract MH 19-0014 for Furnishing and Delivering positive displacement cold water meters in the amount of \$1,882,082.00

**CONTRACTOR/SUB/VENDOR INFORMATION**

		DBE PARTICIPATION	
PRIME	SUBS	TARGET	ACTUAL
<i>Mueller Systems</i>		0.00%	0.00%
Total		0.00%	0.00%

**DESCRIPTION AND PURPOSE**

Original Contract Value	\$1,888,082.00
Previous Change Orders	\$0.00
% Change of Contract To Date	0.0%
Value of Requested Change	\$1,888,082.00
% For This Change Order	100.0%
<i>Has a NTP been Issued</i>	<i>Yes</i>
<b>Total Revised Contract Value</b>	<b>\$3,776,164.00</b>
% Total Change of Contract	100.0%
Original Contract Completion Date	12/31/2020
Previously Approved Extensions (Days)	0
Time Extension Requested (Days)	0
<b>Proposed Contract Completion Date</b>	<b>1/1/2021</b>

**Purpose and Scope of the Contract:**

*Purchase of quality positive displacement cold water meter, as this is the main source of revenue for S&WB, providing metered purified water for the city.*

**Reason for Change:**

Error/Omission <input type="checkbox"/>	Differing Site Condition <input type="checkbox"/>	Regulatory Requirement <input type="checkbox"/>
Design Change <input type="checkbox"/>	Other <input checked="" type="checkbox"/>	

*No change is required, this will be the first renewal with total of four.*

**Spending to Date:**

Cumulative Contract Amount (as of 1/1/2020)	\$1,882,082.00
Cumulative Contract Spending (invoiced as of 1/1/2020)	\$261,274.00

**Contractor's Past Performance:**

*Mueller Systems has been consistently providing satisfactory service for the Facility Maintenance*

<b>PROCUREMENT INFORMATION</b>
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<b>Contract Type</b>	Base Bid	<b>Award Based On</b>	Lowest Competitive Bid
<b>Commodity</b>	Public Works Construction	<b>Contract Number</b>	MH190004
<b>Contractor Market</b>	Public Bid with zero DBE participation		
<b>Compliance with Procurement Laws?</b>	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>CMRC Date (if nec.) :</b>	

<b>BUDGET INFORMATION</b>
---------------------------

<b>Funding</b>	CP 823	<b>Department</b>	Facility Maintenance
<b>System</b>	Sewer/Water	<b>Project Manager</b>	M. Asgari
<b>Job Number</b>		<b>Purchase Order #</b>	

<b>ESTIMATED FUND SOURCE</b>
------------------------------

User	Share%	Dollar Amount	Reimbursable?
Sewer System	50%	\$941,041.00	No
Water System	50%	\$941,041.00	No
Drainage System	0%		No
<b>TOTAL</b>	100%	\$1,882,082.00	

I certify that this contract action complies with all Sewerage and Water Board procurement policies and guidance, ethics rules and meets necessary regulatory requirements, including compliance with financing sources.

\_\_\_\_\_  
*Majid Asgari*  
*Sr. Technical Administrator*  
*Facilities Maintenance*

**CONTRACT 2152 - GERT TOWN WATERLINE REPLACEMENT PROGRAM –  
DUPRE STREET, TM004, FEMA PW 21031**

**WHEREAS**, the Sewerage and Water Board of New Orleans received three (3) responsive bid packages for Contract 2152 Gert Town Waterline Replacement Program – Dupre Street, TM004, FEMA PW 21031 on January 29, 2021; and

**WHEREAS**, the bid submitted by BLD Services, LLC with the total sum of \$2,419,891.00 was the lowest responsive bid; and

**NOW, THEREFORE BE IT RESOLVED**, that the lowest responsive bidder is hereby accepted, and a contract be awarded to **BLD Services, LLC** in the amount of **\$2,419,891.00**.

---

I, Ghassan Korban, Executive Director, Sewerage and Water Board of New Orleans, do hereby certify that the above and foregoing is a true and correct copy of a resolution adopted at the Regular Meeting of the said Board, duly called and held, according to law, on March 17, 2021.

---

**Ghassan Korban**  
EXECUTIVE DIRECTOR  
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**Sewerage and Water Board of New Orleans  
BOARD OF DIRECTORS CONTRACTOR FACT SHEET**



**ACTION REQUESTED**

**CONTRACT AWARD**

**Contract with BLD Services, LLC for Construction of Contract 2152 - TM004 St. Dupre Street Transmission Main**  
Authorization of Contract between the Sewerage and Water Board and BLD Services, LLC , in the amount of \$2,419,891.00.

**CONTRACTOR/SUB/VENDOR INFORMATION**

		DBE PARTICIPATION	
PRIME	SUBS	GOAL	TARGET
<i>BLD Services, LLC</i>	<i>C&amp;M Construction Group, Inc.</i>	13.17%	0.00%
	<i>Prince Dump Truck Service, LLC</i>	2.13%	0.00%
		0.00%	0.00%
Total		15.30%	0.00%

**DESCRIPTION AND PURPOSE**

Original Contract Value	\$2,419,891.00
<i>Has a NTP been Issued</i>	<i>No</i>
<b>Total Revised Contract Value</b>	\$2,419,891.00
Start Date	
Original Contract Completion Date	12/31/2021
<b>Proposed Contract Completion Date</b>	12/31/2021

**Purpose and Scope of the Contract:**

*Furnishing all materials, equipment, supplies, and appurtenances; providing all construction equipment, and tools; and performing all necessary labor and supervision to fully complete the Dupre Transmission Main, distribution main, and related work.*

**Spending to Date:**

Cumulative Contract Amount (as of 3/17/2021)	\$0.00
Cumulative Contract Spending (as of 3/17/2021)	\$0.00

**Contractor's Performance:**

*Initial Award.*

**PROCUREMENT INFORMATION**

<b>Contract Type</b>	ITB	<b>Award Based On</b>	Low Responsive
<b>Commodity</b>	Public Works	<b>Contract Number</b>	2152
<b>Contractor Market</b>	Formal Bid Law with DBE participation		
<b>Compliance with Procurement Laws?</b>	Yes	X	No

#### BUDGET INFORMATION

<b>Funding</b>	CP 175-13	<b>Department</b>	JIRR
<b>System</b>	Water	<b>Project Manager</b>	Norman Rockwell, PE
<b>Job Number</b>	A1173FEM	<b>Purchase Order #</b>	TBD

#### ESTIMATED FUND SOURCE

User	Share%	Dollar Amount	Reimbursable?
Sewer System	0%		No
Water System	100%	\$ 2,419,891.00	FEMA
Drainage System	0%		No
<b>TOTAL</b>		<b>\$ 2,419,891.00</b>	No

I certify that this contract action complies with all Sewerage and Water Board procurement policies and guidance, ethics rules and meets necessary regulatory requirements, including compliance with financing sources.

\_\_\_\_\_  
*Mark D Van Hala, PE*  
*Program Administrator*  
*Joint Infrastructure Recovery Request*



# **GENERAL SUPERINTENDENT'S RECOMMENDATIONS**

## **CHANGE ORDER/FINAL ACCEPTANCE**

**RATIFICATION OF CHANGE ORDER NO. 11 AND FINAL ACCEPTANCE OF CONTRACT 1368 – HAZARD MITIGATION GRANT PROGRAM - OAK STREET PUMPING STATION**

**WHEREAS**, the Sewerage and Water Board entered into Contract 1368 with MR Pittman Group in the amount of \$23,092,500.00 for FEMA funded repairs to the Oak Street Pumping Station; and

**WHEREAS**, the Board by Resolution R-021-2018 approved on February 21, 2018 Change Order No. 1 increasing the contract value by \$1,303,043.27; and,

**WHEREAS**, the Board by Resolution R-046-2018 approved on April 18, 2018 Contract Change Order No. 2 increasing the contract value by \$256,436.17; and

**WHEREAS**, the Board by Resolution R-114-2018 approved on August 15, 2018 Contract Change Order No. 3 increasing the contract value by \$2,032,389.01; and

**WHEREAS**, the Board by Resolution R-010-2019 approved on January 16, 2019 Contract Change Order No. 4 increasing the contract value by \$831,352.85; and

**WHEREAS**, the Board by Resolution R-100-2019 approved on May 15, 2019 Contract Change Order No. 5 increasing the contract value by \$299,261.24; and

**WHEREAS**, the Board by Resolution R-117-2019 approved on August 21, 2019 Contract Change Order No. 6 increasing the contract value by \$1,228,610.03; and

**WHEREAS**, the Board by Resolution R-184-2019 approved on December 18, 2019 Contract Change Order No. 7 increasing the contract value by \$174,766.42; and

**WHEREAS**, the Board by Resolution R-043-2020 approved on April 22, 2020 Contract Change Order No. 8 increasing the contract value by \$176,060.02; and

**WHEREAS**, the Board by Resolution R-067-2020 approved on May 20, 2020 Contract Change Order No. 9 increasing the contract value by \$87,189.21; and

**WHEREAS**, the Board by Resolution R-074-2020 approved on June 17, 2020 Contract Change Order No. 10 increasing the contract value by \$423,577.17; and

**WHEREAS**, this Change Order represents credits for the smoke test of the river intake water line and generator rentals not necessary to complete the Work; and

**WHEREAS**, this Change Order, in the amount of a \$80,345.57 credit, brings the accumulated Contract change order total to \$6,732,339.82, or 29.22% of the original Contract value; and

**WHEREAS**, Contract 1368 is ready for Final Acceptance by the Sewerage and Water Board of New Orleans; and

**NOW THEREFORE BE IT RESOLVED**, that the Sewerage and Water Board of New Orleans hereby ratifies Change Order No. 11 for Contract 1368 and approves the Final Acceptance of Contract 1368 in the total Contract Amount of \$29,824,839.82.

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I, Ghassan Korban, Executive Director,  
Sewerage and Water Board of New Orleans,  
do hereby certify that the above and foregoing  
is a true and correct copy of a resolution adopted  
at the Regular Meeting of said Board,  
duly called and held, according to law, on  
March 17, 2021.

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**Ghassan Korban,**  
EXECUTIVE DIRECTOR  
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**Sewerage and Water Board of New Orleans  
BOARD OF DIRECTORS CONTRACTOR FACT SHEET**



**ACTION REQUESTED**

**CONTRACT CHANGE ORDER 11 and FINAL ACCEPTANCE  
Contract 1368**

Approval to modify contract # Contract 1368 - HMGP Oak Street Pump Station Upgrade and Rehabilitation Project, between the Sewerage and Water Board and M.R. Pittman Group, LLC, in the amount of (\$80,345.57)

**CONTRACTOR/SUB/VENDOR INFORMATION**

		<b>DBE PARTICIPATION</b>	
<b>PRIME</b>	<b>SUBS</b>	<b>TARGET</b>	<b>11% ACTUAL</b>
<i>M.R. Pittman Group</i>	<i>The Beta Group</i>	0.21%	0.03%
	<i>Landrieu Concrete</i>	0.64%	0.38%
	<i>C Watson Gorup, LLC</i>	1.43%	0.92%
	<i>JEI Solutions, Inc.</i>	9.70%	7.80%
	<i>Blue Flash Sewer Service, Inc.</i>	N/A	2.48%
	<i>J Brown Construcion, LLC</i>	N/A	0.15%
<b>Total</b>		11.98%	11.76%

**DESCRIPTION AND PURPOSE**

Original Contract Value	\$23,092,500.00
Previous Change Orders	\$6,812,685.39
% Change of Contract To Date	29.5%
Value of Requested Change	<b>(\$80,345.57)</b>
% For This Change Order	-0.3%
<i>Has a NTP been Issued</i>	<i>Yes</i>
<b>Total Revised Contract Value</b>	<b>\$29,824,839.82</b>
% Total Change of Contract	29.2%
Original Contract Completion Date	6/16/2019
Previously Approved Extensions (Days)	428
Time Extension Requested (Days)	0
<b>Proposed Contract Completion Date</b>	<b>8/17/2020</b>

**Purpose and Scope of the Contract:**

*This contract consists of the upgrade to and rehabilitation of the existing Oak Street Pump Station located at the intersection of General Ogden Street and Oak Street. The work includes but is not limited to demolition of existing pumps, valves, piping, electrical, controls, HVAC, rooms, grating system, supports, concrete and other components within the station. Installation of new pumps, piping, valves, HVAC, electrical gear, controls, rooms, structural modifications, upgrading the hoist, buried valve and flow meter replacement, cleaning and inspection of existing piping along with other associated and detailed work.*

**Reason for Change:**

Error/Omission <input type="checkbox"/>	Differing Site Condition <input type="checkbox"/>	Regulatory Requirement <input checked="" type="checkbox"/>
Design Change <input type="checkbox"/>	Other <input checked="" type="checkbox"/>	

*This Change Order consists of the credits for smoke tests of water lines and generator rentals accounted for in the contract documents that were not utilized to complete the Contract Work.*

**Spending to Date:**

Cumulative Contract Amount (as of 2/1/2021)	\$29,905,185.39
Cumulative Contract Invoiced (as of 2/1/2021)	\$28,314,843.37

**Contractor's Past Performance:**

*Contractor's Performance has been acceptable to date.*

**PROCUREMENT INFORMATION**

Contract Type	Base Bid	Award Based On	Lowest Competitive Bid
Commodity	Public Works Construction	Contract Number	1368
Contractor Market	Public Bid with DBE participation		
Compliance with Procurement Laws?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	CMRC Date (if nec.):	2/25/2021

**BUDGET INFORMATION**

Funding	CP 676	Department	Mechanical Engineering
System	Combo	Project Manager	Chris Bergeron
Job Number	1368	Purchase Order #	PG2019000045

**ESTIMATED FUND SOURCE**

User	Share%	Dollar Amount	Reimbursable?
Sewer System	13%	\$ (10,444.92)	N/A
Water System	34%	\$ (27,317.49)	N/A
Drainage System	53%	\$ (42,583.15)	N/A
<b>TOTAL</b>		\$ (80,345.57)	

I certify that this contract action complies with all Sewerage and Water Board procurement policies and guidance, ethics rules and meets necessary regulatory requirements, including compliance with financing sources.

\_\_\_\_\_  
*Chris Bergeron*  
*Engineering Division Manager*  
*Mechanical Engineering*

# **GENERAL SUPERINTENDENT'S RECOMMENDATIONS**

## **CONTRACT AMENDMENTS**

**AUTHORIZATION OF CONTRACT AMENDMENT NO. 5 TO THE AGREEMENT WITH BLACK AND VEATCH CORPORATION FOR DESIGN AND ENGINEERING SERVICES FOR THE EBWWTP SLUDGE DRYER PROJECT**

**WHEREAS**, by action of the Sewerage and Water Board of New Orleans (Board) through the adoption of Resolution R-183-2010, Black and Veatch Corporation (BV) was awarded an agreement for design and engineering services for the East Bank Wastewater Treatment Plant (EBWWTP) Sludge Dryer Project for an amount not to exceed \$450,000.00; and

**WHEREAS**, by action of the Board through the adoption of Resolution R-021-2012, the agreement with BV was amended to increase the scope of work for an amount not to exceed of \$28,366.00 for additional design for repairs to existing columns and wind post at the EBWWTP Solids Dewatering and Disposal Building at elevation 60.00; and

**WHEREAS**, by action of the Board through the adoption of Resolution R-098-2012, the agreement with BV was amended to increase the scope of work for an amount not to exceed of \$169,908.00 for additional structural design effort; and

**WHEREAS**, by action of the Board through the adoption of Resolution R-267-2014, the agreement with BV was amended to increase the scope of work for an amount not to exceed \$87,082 to review the air emissions data for compliance to the Environmental Protection Agency has implemented new Maximum Available Control Technology (MACT) Standards for Sewage Sludge Incinerators (SSI), identify suitable pollution control upgrades, evaluate the upgrades to minimize impacts to the drying system configuration and structural systems, and established a basis of design for detailed design scope; and

**WHEREAS**, by action of the Board through the adoption of Resolution R-073-2015, the agreement with BV was amended to increase the scope of work for an amount not to exceed of \$837,374 to prepare procurement documents for the sludge dryer and the pollution control equipment; design of the new pollution control equipment, including demolition of existing venturi and scrubber; and redesign of the drying system; and

**WHEREAS**, the scope of work for the agreement has increased due to owner furnished equipment in lieu of assignment for the Sludge Dryer Equipment, the addition of an installation contract for the Emission Controls System, and project administration in relation to the above tasks for an amount not to exceed of \$186,072; and

**NOW, THEREFORE BE IT RESOLVED** that the President and/or President Pro Term shall be authorized to execute on behalf of the Sewerage and Water Board of New Orleans Contract Amendment No. 5 to the existing agreement with Black and Veatch Corporation for the EBWWTP Sludge Dryer Project, increasing the fee authorized to be paid to BV by \$186,072.00 to a total cumulative fee of \$1,758,802.00.

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I, Ghassan Korban, Executive Director,  
Sewerage and Water Board of New Orleans,  
do hereby certify that the above and foregoing  
is a true and correct copy of a resolution adopted  
at the Regular Meeting of said Board,  
duly called and held, according to law, on  
March 17, 2021.

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**Ghassan Korban,**  
EXECUTIVE DIRECTOR  
SEWERAGE AND WATER BOARD OF NEW ORLEANS



**Sewerage and Water Board of New Orleans  
BOARD OF DIRECTORS CONTRACTOR FACT SHEET**



**ACTION REQUESTED**

**AMENDMENT 5**

**BISI - Scrubber and Sludge Dryer Procurement and Install**

Approval to modify the Contract between Black and Veatch and SWBNO in the amount of \$186,072.00

**CONTRACTOR/SUB/VENDOR INFORMATION**

		DBE PARTICIPATION	
PRIME	SUBS	TARGET	ACTUAL
<i>Black and Veatch</i>	<i>Trigon</i>	14.40%	16.00%
	<i>Condall</i>	7.70%	0.00%
Total		22.10%	16.00%

**DESCRIPTION AND PURPOSE**

Original Contract Value	\$450,000.00
Previous Change Orders	\$1,122,730.00
% Change of Contract To Date	249.5%
Value of Requested Change	\$186,072.00
% For This Change Order	41.3%
<i>Has a NTP been Issued</i>	NA
<b>Total Revised Contract Value</b>	<b>\$1,758,802.00</b>
% Total Change of Contract	290.8%
Original Contract Completion Date	NA
Previously Approved Extensions (Days)	0
Time Extension Requested (Days)	0
<b>Proposed Contract Completion Date</b>	NA

**Purpose and Scope of the Contract:**

*Design for the procurement and install contracts for both the Fluid Bed Incinerator Scrubber and the Sludge Dryer.*

**Reason for Change:**

Error/Omission <input type="checkbox"/>	Differing Site Condition <input type="checkbox"/>	Regulatory Requirement <input type="checkbox"/>
Design Change <input checked="" type="checkbox"/>	Other <input type="checkbox"/>	

1. Project Administration - Original scope of work called for 25 months. Current duration is 64 months. 2.

Owner Furnished Equipment In Lieu of Assignment - Change in original scope of work. The Board requested the equipment be purchased by the owner and furnished to the installation contractor. 3. Additional

Install Contract - To expedite the Scrubber install (MACT-129) the Board directed B&V to prepare a separate install package for the FBI Scrubber. (Originally the scrubber and sludge dryer installs were to be bid together in one package.)

**Pending to Date:**

Cumulative Contract Amount (as of 1/1/2021)	\$1,572,730.00
Cumulative Contract Spending (as of 1/1/2021)	\$1,402,246.24

**Contractor's Past Performance:**

*The contractor did not meet their project completion dates for the Sludge Dryer Install Contract.*

**PROCUREMENT INFORMATION**

Contract Type	RFQ	Award Based On	Qualifications
Commodity		Contract Number	
Contractor Market	RFQ with DBE participation		
Compliance with Procurement Laws?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	CMRC Date (if nec.):	

**BUDGET INFORMATION**

Funding	CAP 348/ FEMA	Department	WWTP Operations
System	Sewer	Project Manager	Felicia Bergeron
Job Number	C1343XXX	Purchase Order #	6000106 PG2020

**ESTIMATED FUND SOURCE**

User	Share%	Dollar Amount	Reimbursable?
Sewer System	100	\$ 186,072.00	no
Water System			
Drainage System			
<b>TOTAL</b>		\$ 186,072.00	

I certify that this contract action complies with all Sewerage and Water Board procurement policies and guidance, ethics rules and meets necessary regulatory requirements, including compliance with financing sources.

\_\_\_\_\_  
*Felicia Bergeron*  
*Principal Engineer*  
*WWTP Operations*

**AUTHORIZATION OF AMENDMENT NO. 7 TO THE AGREEMENT BETWEEN THE SEWERAGE AND WATER BOARD OF NEW ORLEANS AND DIGITAL ENGINEERING & IMAGING, INC. FOR DESIGN AND ENGINEERING SERVICES FOR THE WATER LINE REPLACEMENT PROGRAM**

**WHEREAS**, by Resolution R-052-2012, approved March 15, 2012 **THE SEWERAGE AND WATER BOARD OF NEW ORLEANS** (Board) authorized execution of a contract with **DIGITAL ENGINEERING & IMAGING, INC.** (Consultant) to provide engineering and design services for the Water Line Replacement Program (WLRP) for the Hollygrove and Gert Town neighborhoods and pursuant to Resolution R-052-2012, the Board and Contractor entered into an agreement on January 10, 2013 (Original Agreement); and

**WHEREAS**, the Board and the Consultant have executed Amendments No.1 through Amendment No.4 (Current Agreement), the Board has approved Amendments No.5 and Amendment No.6; and

**WHEREAS**, by Resolution R-156-2014, approved August 20, 2014, the Board authorized amending the agreement to include program/project management services, and for coordination efforts, and the fee under amendment no. 1 was not to exceed 10% as negotiated by Board staff, the Board and Consultant executed Amendment No.1 dated September 17, 2014, and

**WHEREAS**, by Resolution R-029-2020, approved April 22, 2020, the Board authorized amending the agreement for engineering and construction services for RR062 Hollygrove Group B in the not to exceed amount of \$134,552.55, and engineering and construction services for RRTM004 Gert Town Transmission Main in the not to exceed amount of \$277,004.30, Amendment No.2 dated July 1, 2020 to provide compensation in the amount of \$411,556.8; and

**WHEREAS**, Amendment No. 3 added survey and design services for water lines in RR015 BW Cooper, Gert Town, Dixon Group B at a not to exceed amount of \$184,080.40 and a total not to exceed amount of \$982,251.64; and

**WHEREAS**, by Resolution R-081-2020, approved July 22, 2020, the Board authorized amending the agreement for Construction Administration and Resident Inspection services in RR015 BW Cooper, Gert Town, Dixon Group B not to exceed \$108,150.70 and RR062 Hollygrove Group B not to exceed \$133,263.60, Amendment No.4 dated September 30, 2020 provided compensation in the amount of \$241,414.30; and

**WHEREAS**, by Resolution R-108-2020, approved November 18, 2020, the Board authorized amending the agreement for Construction Administration and Resident Inspection services in RR064 Hollygrove Group D not to exceed \$70,950.60; and

**WHEREAS**, by Resolution R-006-2021, approved January 20, 2021, the Board authorized amending the agreement for Engineering, Survey, Construction Administration and Resident Inspection services in TM005 Eden, Grape, Claiborne Transmission Main and RR064 Hollygrove Group D not to exceed \$741,542.58 and RR065 Hollygrove Group E not to exceed \$80,227.3; and

**WHEREAS**, staff has negotiated fees with the Consultant Projects RR063 Hollygrove Group C not to exceed \$124,237.95 and RR066 Hollygrove Group F in the amount not to exceed \$72,385.70; and

**WHEREAS**, staff is negotiating fees with the Consultant for the following Projects RR016 BW Cooper, Gert Town, Dixon Group C, RR018 BW Cooper, Gert Town, Dixon Group E, RR064 Hollygrove Group D, RR065 Hollygrove Group D, RR015 BW Cooper, Gert Town, Dixon Group B not to exceed fees of \$249,950.00; and

**NOW THEREFORE, BE IT RESOLVED**, that the President or President Pro Tem of the Sewerage and Water Board is hereby authorized to execute on behalf of the Sewerage and Water Board of New Orleans Contract Amendment No. 7 with Digital Engineering & Imaging, Inc., to include engineering and construction services, to increase the net fee authorized to be paid to Digital Engineering & Imaging, Inc., by \$446,573.65 to a total not to exceed amount of \$2,562,960.07.

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I, Ghassan Korban, Executive Director,  
Sewerage and Water Board of New Orleans,  
do hereby certify that the above and foregoing  
is a true and correct copy of a Resolution adopted  
at the Regular Monthly Meeting of said Board,  
duly called and held, according to law, on  
March 17, 2021.

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**Ghassan Korban,**  
EXECUTIVE DIRECTOR  
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**Sewerage and Water Board of New Orleans**  
**BOARD OF DIRECTORS PROFESSIONAL SERVICES FACT SHEET**



**ACTION REQUESTED**

**Amendment to Professional Engineering Services Agreement**

**Digital Engineering & Imaging, Inc. WLRP Agreement - RR015, RR016, RR018, RR063, RR064, RR065 & RR066**

Request authorization for Amendment No.7 to our WLRP Agreement for Projects RR063 Hollygrove Group C, RR066 Hollygrove Group F, R016 BW Cooper, Gert Town, Dixon Group C, RR018 BW Cooper, Gert Town, Dixon Group E, RR064 Hollygrove Group D, RR064 Hollygrove Group D, RR015 BW Cooper, Gert Town, Dixon Group B, in the amount not to exceed \$446,573.65.

**CONTRACTOR/SUB/VENDOR INFORMATION**

		DBE PARTICIPATION	
PRIME	SUBS	TARGET	ACTUAL
<i>Digital Engineering &amp; Imaging, Inc.</i>	<i>Royal Engineering</i>	40.00%	
	<i>APS</i>		10.24%
	<i>The Beta Group</i>		15.56%
Total		40.00%	25.80%

**DESCRIPTION AND PURPOSE**

Original Contract - Not to Exceed 18% Cost of Construction	
Amendments 1-6	\$2,116,386.42
Value of Requested Amendment	\$446,573.65
% For This Change Order	
Has a NTP been Issued	No
<b>Total Revised Contract Value</b>	<b>\$2,562,960.07</b>
Original Contract Completion Date	12/30/2022
Previously Approved Extensions (Days)	182
Time Extension Requested (Days)	0
<b>Proposed Contract Completion Date</b>	<b>6/30/2023</b>

**Purpose and Scope of the Contract:**

Per R-052-2012 on 3/15/2012 an agreement for Water Line Replacement Program (WLRP) engineering services for the following neighborhoods – Hollygrove and Gert Town was executed 1/10/2013. This WLRP Agreement is FEMA reimbursible. Construction will be reimbursed through the FEMA Project Worksheet (PW) 21031 The Settlement. Design is being reimbursed through PW 20752 Holly Grove, and PW 20753 Gert Town

**Reason for Change:**

Negotiate Fees <input checked="" type="checkbox"/>	Differing Site Condition <input type="checkbox"/>	Regulatory Requirement <input type="checkbox"/>
Design Change <input type="checkbox"/>	Other <input type="checkbox"/>	

Amendment No.7 provides negotiated fees and rates for RR063 Hollygrove Group C not to Exceed \$124,237.95 and RR066 Hollygrove Group F in the amount not to exceed \$72,385.70, and alot not to exceed fees of \$249,950.00 for R016 BW Cooper, Gert Town, Dixon Group C, RR018 BW Cooper, Gert Town, Dixon Group E, RR064 Hollygrove Group D, RR065 Hollygrove Group D, RR015 BW Cooper, Gert Town, Dixon Group B.

**Spending to Date:**

Cumulative Contract Amount (as of 2/17/2020)	\$2,116,386.42
Cumulative Contract Spending (as of 2/17/2020)	\$599,324.80

**Contractor's Past Performance:**

*The designer's timeliness of deliverables, conformance to SWBNO policies, procedures, and quality meet expectations. DBE participation will be met by Resident Inspection services during Construction.*

**PROCUREMENT INFORMATION**

Contract Type	Professional Services	Award Base	Qualified List
Commodity	Public Works Construction	Name & FEMA PW	WLRP & 21031
Contractor Market	Public RFQ with DBE participation		
Compliance with Procurement Laws?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	CMRC Date (if nec.): 2/18/2021 & 2/25/2021	

**BUDGET INFORMATION**

Funding	CP 175-13 & CP 317-06	Department	JIRR
System	Water & Sewer	Project Manager	PM Carriere & Er. Diehl
Job Number	A1369FEM & C1398XXX	Purchase Order #	PCCG192040236

**ESTIMATED FUND SOURCE**

User	Share%	Dollar Amount	Reimbursible?
Sewer System	9%	\$40,500	WIFIA - 100%
Water System	91%	\$406,073.65	FEMA - 100%
Drainage System			
<b>TOTAL</b>		\$ 446,573.65	

I certify that this contract action complies with all Sewerage and Water Board procurement policies and guidance, ethics rules and meets necessary regulatory requirements, including compliance with financing sources.

\_\_\_\_\_  
Mark D. Van Hala, P.E.  
Program Administrator  
Joint Infrastructure Recovery Request

**AUTHORIZATION OF CONTRACT AMENDMENT NO. 5 TO THE AGREEMENT BETWEEN THE SEWERAGE AND WATER BOARD OF NEW ORLEANS AND TRIGON ASSOCIATES, LLC FOR ENGINEERING AND DESIGN SERVICES FOR THE WATERLINE REPLACEMENT PROGRAM**

**WHEREAS**, by Resolution R-001-2012 approved January 18, 2012, **THE SEWERAGE AND WATER BOARD OF NEW ORLEANS** (Board) authorized execution of a contract with **TRIGON ASSOCIATES, LLC** (Consultant) to provide engineering and design services for the Water Line Replacement Program for the Lakeview, Lakewood, Navarre, and West End neighborhoods, and pursuant to Resolution R-001-2012 the Board and Contractor entered into an agreement on March 20, 2012 (Original Agreement); and,

**WHEREAS**, the Board by Resolution R-148-2012, approved on August 15, 2012, the Board authorized amendment No.1 to the Original Agreement to provide for compensation for surveying services at a rate not to exceed \$7 per linear foot in 2012, the Board and Consultant executed Amendment No.1 dated September 10, 2012, and,

**WHEREAS**, by Resolution R-153-2013, approved on August 13, 2013, the Board authorized amendment No.2 the Agreement to address Construction Delays, the Board and Consultant executed Amendment No.2 dated November 12, 2013 to provide for compensation in the amount of \$58,324.00; and,

**WHEREAS**, by Resolution R-136-2014, approved on July 16, 2013, the Board authorized amendment No.3 to include program/project management services, and the Board and Consultant executed Amendment No. 3 not to exceed 10% of the construction cost; and,

**WHEREAS**, by Resolution R-065-2020, approved on May 20, 2020, the Board authorized amendment No.4 to remove of project/program management services from its scope and reduce the costs accordingly, incorporate clauses that allow for Fair and Reasonable fees to be negotiated in accordance with FP&C State Guidelines and Cost Reasonable Analysis, incorporate the latest FEMA and Federal Requirements clauses into the Agreement, establish a total fee not to exceed \$1,191,219.89 and set the contract end date at June 30, 2023; and

**WHEREAS**, staff needs engineering construction services for RR132 Navarre Group A, and the Consultant is willing to perform same for \$165,734.60; and

**WHEREAS**, staff needs to capture \$54,730.45 in work performed under the original terms of the agreement, paid in February 2020 but not captured in Resolution R-065-2020 approved on May 20, 2020; and

**Sewerage and Water Board of New Orleans  
BOARD OF DIRECTORS PROFESSIONAL SERVICES FACT SHEET**



**ACTION REQUESTED**

**Amendment to Professional Engineering Services Agreement  
Trigon Associates, LLC . WLRP Agreement - RR132**

Request authorization for Amendment No.5 to our WLRP Agreement for Engineering construction services for Project RR132 Navarre Group A not to exceed \$165,734.60 and to capture \$54,730.45 in work under the original terms of the agreement, paid in February

**CONTRACTOR/SUB/VENDOR INFORMATION**

		DBE PARTICIPATION	
PRIME	SUBS	TARGET	ACTUAL
<i>Trigon Associates, LLC</i>	<i>GAEA Consultants, LLC</i>	20.00%	2.40%
	<i>Circular Consulting, LLC</i>	20.00%	23.50%
<b>Total</b>		<b>40.00%</b>	<b>25.90%</b>

**DESCRIPTION AND PURPOSE**

Original Contract - Not to Exceed 18% Cost of Amendments 1-4	\$54,730.45 \$1,191,219.89
Value of Requested Amendment	\$165,734.60
<i>Has a NTP been Issued</i>	<i>City Lead Project.</i>
<b>Total Revised Contract Value</b>	<b>\$1,411,684.94</b>
Original Contract Completion Date	12/30/2022
Previously Approved Extensions (Days)	182
Time Extension Requested (Days)	0
<b>Proposed Contract Completion Date</b>	<b>6/30/2023</b>

**Purpose and Scope of the Contract:**

*Per R-001-2012 on 1/18/2012 an agreement for Water Line Replacement Program (WLRP) engineering services for the following neighborhoods – Lakeview, Lakewood, Navarre, and West End was executed 3/20/2012. This WLRP Agreement is FEMA reimbursible. Engineering construction services will be reimbursed through the FEMA Project Worksheet (PW) 21031 The Settlement.*

**Reason for Change:**

Negotiate Fees	Differing Site Condition	Regulatory Requirement
Design Change	Other _____	

*Amendment No.5 is required to capture negotiated engineering construction services fees for project RR132 Navarre Group A in the amount NTE \$165,734.60 - Bidding at \$507.50, CA at \$48,611, Recors drawings at \$9075, and resident inspection at \$197,425.85. Amendment No.5 will also capture work accomplished and paid for under the original terms of the agreement but not captured in the*

**Spending to Date:**

Cumulative Contract Amount (as of 2/18/2021)	\$1,245,950.34
Cumulative Contract Spending (as of 2/18/2021)	\$1,229,250.34

**Contractor's Past Performance:**

*The designer's timeliness of deliverables, conformance to SWBNO policies, procedures, and quality meet expectations. DBE*



participation will be met by Resident Inspection services during Construction.

#### PROCUREMENT INFORMATION

Contract Type	Professional Services	Award Base	Qualified List
Commodity	Public Works Construction	Name & FEMA PW	WLRP & 21031
Contractor Market	Public RFQ with DBE participation		
Compliance with Procurement Laws?	Yes                  No	CMRC Date (if nec.) :	2/18/2021

#### BUDGET INFORMATION

Funding	CP 175-13	Department	JIRR
System	Water	Project Manager	Er. Susan Diehl
Job Number	A1348FEM	Purchase Order #	PC20200000244

#### ESTIMATED FUND SOURCE

User	Share%	Dollar Amount	Reimbursible?
Sewer System	0%	\$ -	FEMA - 100%
Water System	100%	\$ 165,734.60	
Drainage System			
<b>TOTAL</b>		\$ 165,734.60	

I certify that this contract action complies with all Sewerage and Water Board procurement policies and guidance, ethics rules and meets necessary regulatory requirements, including compliance with financing sources.

\_\_\_\_\_  
Mark D. Van Hala, P.E.  
Program Administrator  
Joint Infrastructure Recovery Request

Project Delivery Unit  
February 2021 Closeout Snapshot

FEMA Public Assistance	# of Project Worksheets	FEMA Obligated	FEMA Revenue Received per LAPA	FEMA Obligation Balance	% Financially Complete	# of Projects Submitted for Closeout	OBLIGATED Value of Projects Submitted for Closeout	POTENTIAL Value of Projects Submitted to Closeout	# of Projects Officially Closed	OBLIGATED Value of Projects Officially Closed	% Submitted	% Closed	# of Projects Submitted but Not Officially Closed	OBLIGATED Value of Projects Submitted but Not Officially Closed
Hurricane Katrina - St. Joseph Headquarters	20	\$ 5,428,537.69	\$ 3,032,390.41	\$ 2,396,147.28	56%	19	\$ 2,236,513.15	\$ 2,236,513.15	19	\$ 2,236,513.15	95%	95%	0	\$ -
Hurricane Katrina - Central Yard	42	\$ 27,734,255.70	\$ 26,162,402.43	\$ 1,571,853.27	94%	42	\$ 27,734,255.70	\$ 27,427,104.70	32	\$ 22,025,919.44	100%	76%	10	\$ 5,708,336.26
Hurricane Katrina - Wastewater Treatment Plant	128	\$ 90,803,270.37	\$ 83,014,642.92	\$ 7,788,627.45	91%	127	\$ 83,765,860.77	\$ 80,355,483.77	120	\$ 38,257,447.07	99%	94%	7	\$ 45,508,413.70
Hurricane Katrina - Carrollton Water Plant	55	\$ 76,019,829.37	\$ 67,425,963.04	\$ 8,593,866.33	89%	54	\$ 64,304,777.65	\$ 64,211,524.10	51	\$ 13,730,451.46	98%	93%	3	\$ 50,574,326.19
Hurricane Katrina - Distribution Network	27	\$ 194,904,197.06	\$ 173,324,806.32	\$ 21,579,390.74	89%	25	\$ 114,411,682.59	\$ 118,403,600.11	18	\$ 30,028,021.61	93%	67%	7	\$ 84,383,660.98
Hurricane Katrina - Pump Stations	166	\$ 81,286,159.38	\$ 72,539,592.02	\$ 8,746,567.36	89%	160	\$ 79,985,972.17	\$ 81,499,643.66	143	\$ 58,844,680.54	96%	86%	17	\$ 21,141,291.63
Hurricane Gustav	9	\$ 667,553.90	\$ 668,689.06	\$ (1,135.16)	100%	9	\$ 667,553.90	\$ 667,553.90	8	\$ 665,778.64	100%	89%	1	\$ 1,775.26
Hurricane Isaac	8	\$ 1,554,775.01	\$ 955,019.44	\$ 599,755.57	61%	7	\$ 1,554,775.01	\$ 67,467.45	2	\$ 7,391.29	88%	25%	5	\$ 1,547,383.72
Tropical Storm Nate	2	\$ 439,728.39	\$ 249,212.10	\$ 190,516.29	57%	2	\$ 439,728.39	\$ -	1	\$ 20,939.45	100%	50%	1	\$ 418,788.94
Hurricane Barry	2	\$ 947,134.10	\$ -	\$ 947,134.10	0%	0	\$ -	\$ -	0	\$ -	0%	0%	0	\$ -
Hurricane Sally	0	\$ -	\$ -	\$ -	0%	0	\$ -	\$ -	0	\$ -	0%	0%	0	\$ -
Total	459	\$ 479,785,440.97	\$ 427,372,717.74	\$ 52,412,723.23	89%	445	\$ 375,101,119.33	\$ 374,868,890.84	394	\$ 165,817,142.65	97%	86%	51	\$ 209,283,976.68

FEMA Joint Infrastructure	# of Project Worksheets	FEMA Obligated	FEMA Revenue Received per LAPA	FEMA Obligation Balance	% Financially Complete	# of Projects Submitted for Closeout	OBLIGATED Value of Projects Submitted for Closeout	POTENTIAL Value of Projects Submitted to Closeout	# of Projects Officially Closed	OBLIGATED Value of Projects Officially Closed	% Submitted	% Closed	# of Projects Submitted but Not Officially Closed	OBLIGATED Value of Projects Submitted but Not Officially Closed
Hurricane Katrina - JIRR settlement	2	\$ 268,448,968.15	\$ 11,856,523.69	\$ 256,592,444.46	4%	0	\$ -	\$ -	0	\$ -	0%	0%	0	\$ -
Hurricane Katrina - JIRR Donors	53	\$ 67,459,411.95	\$ 54,370,436.50	\$ 13,088,975.45	81%	39	\$ 47,253,372.55	\$ 50,439,677.76	6	\$ 263,742.70	74%	11%	33	\$ 46,989,629.85
Total	55	\$ 335,908,380.10	\$ 66,226,960.19	\$ 269,681,419.91	20%	39	\$ 47,253,372.55	\$ 50,439,677.76	6	\$ 263,742.70	71%	11%	33	\$ 46,989,629.85

FEMA Hazard Mitigation Grant Program	# of Contracts	FEMA Obligated	FEMA Revenue Received per LAHM	FEMA Obligation Balance	% Financially Complete	# of Contracts Completed	OBLIGATED Value of Contracts Submitted for Closeout	POTENTIAL Value of Contract Submitted to Closeout	# of Contracts Officially Closed	OBLIGATED Value of Contracts Officially Closed	% Submitted	% Closed	# of Contracts Submitted but Not Officially Closed	OBLIGATED Value of Contracts Submitted but Not Officially Closed
Hurricane Katrina - Retrofit of Power House	17	\$ 166,795,389.00	\$ 125,521,797.76	\$ 41,273,591.24	75%	8	\$ -	\$ -	0	\$ -	0%	0%	0	\$ -
Hurricane Katrina - Flood Mitigation of 9 SPS	9	\$ 19,987,722.00	\$ 19,299,825.10	\$ 687,896.90	97%	9	\$ 19,987,722.00	\$ 19,987,722.00	0	\$ -	100%	0%	9	\$ 19,987,722.00
Hurricane Ike - Five Underpass Generators	1	\$ 988,658.00	\$ 839,129.23	\$ 149,528.77	85%	1	\$ -	\$ -	0	\$ -	0%	0%	0	\$ -
Total	27	\$ 187,771,769.00	\$ 145,660,752.09	\$ 42,111,016.91	78%	18	\$ 19,987,722.00	\$ 19,987,722.00	0	\$ -	33%	0%	9	\$ 19,987,722.00

TOTALS as of 3.1.21	FEMA Obligated	FEMA Revenue Received	FEMA Obligation Balance
	\$ 1,003,465,590.07	\$ 639,260,430.02	\$ 364,205,160.05

February 2021

## BOARD'S SAFETY REPORT



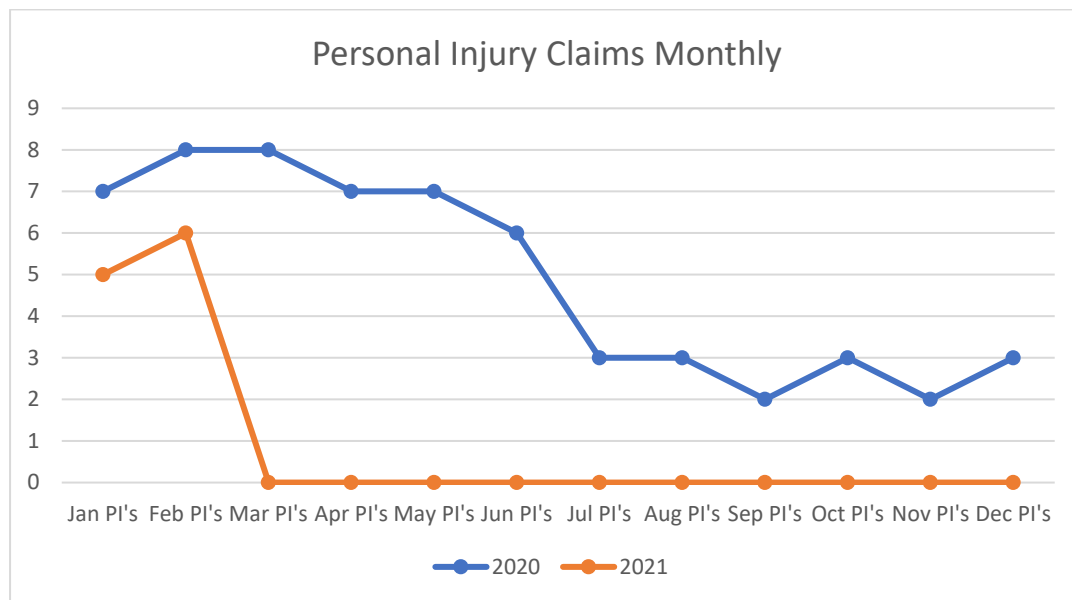
## Executive Summary

### Personal Injuries

There has been report of 6 personal injuries since last month's report. Compared to last month's report of 5 personal injuries, the incidents have increased by 1.

**All Major Incidents (injury resulting in hospital admission) with appropriate Safety response are listed below.**

None.



Employee Sustained Injuries of 2021 and 2020													
Year	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	Total
2021	5	1											6
2020	7	8	8	7	7	6	3	3	2	3	2	3	59

### Non-Vehicle Accidents :

There has been report of 45 non-vehicle accidents since last month's report. Compared to last month's report of 34 non-vehicle accidents, the incidents have increased by 11.

**All Major Incidents (Electrical/Gas line incidents or excessive damage to property) with appropriate Safety response are listed below.**

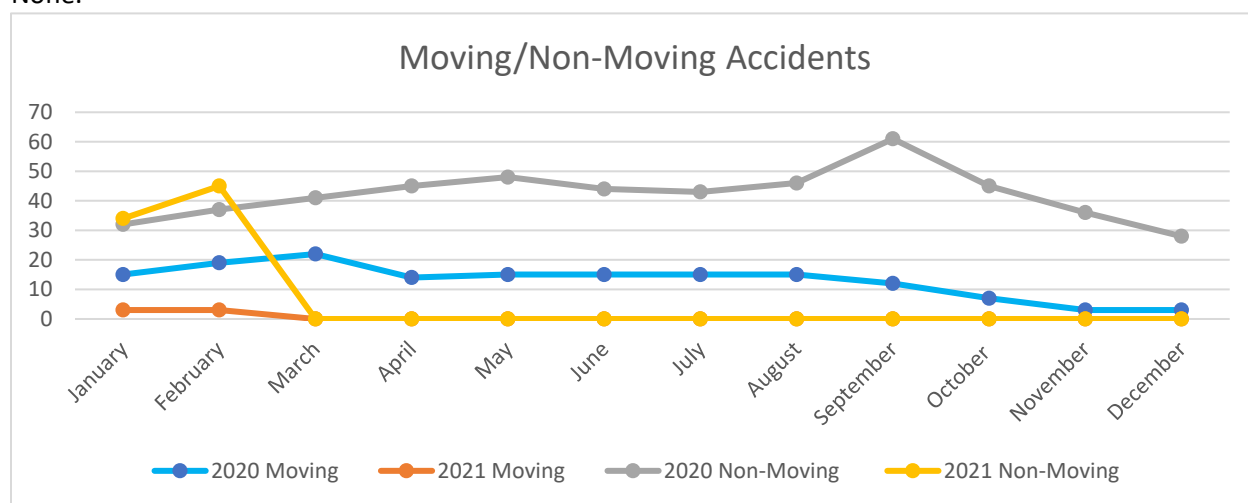
None.

### Vehicle Accidents:

There has been report of 3 vehicle accidents since last month's report. Compared to last month's report of 3 vehicle accidents, the incidents have neither increased nor decreased.

**All Major Incidents (Vehicle Accidents resulting in injury or excessive damage to Vehicles) with appropriate Safety response are listed below.**

None.



### Claims Moving/Non-Moving Accidents of 2021 and 2020

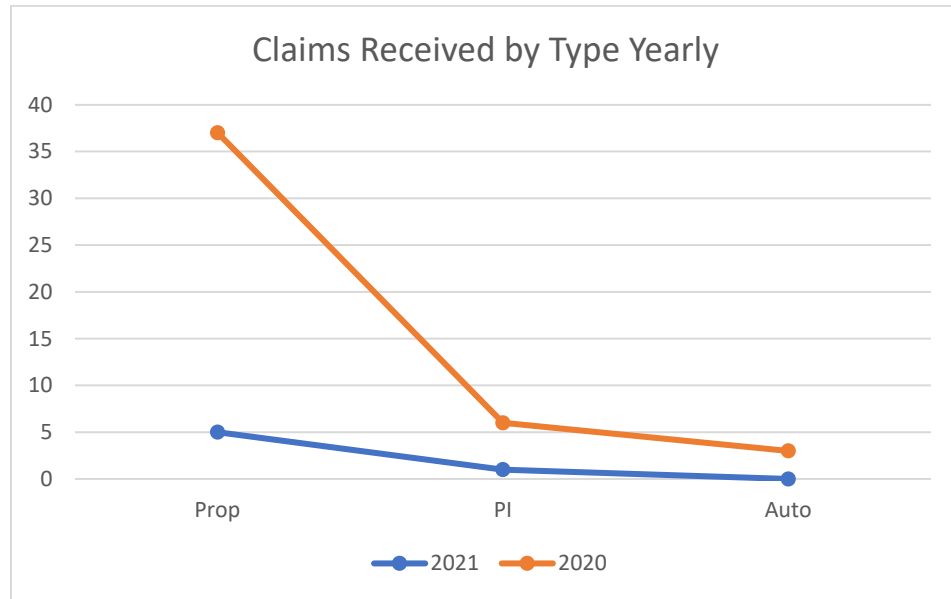
Accident Type	Year	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	Total
Moving	2021	3	3											6
Moving	2020	15	19	22	14	15	15	15	15	12	7	3	3	155
Non-Moving	2021	34	45											79
Non-Moving	2020	32	37	41	45	48	44	43	46	61	45	36	28	506

#### Claims :

There has been report of 1 Claim since last month's report. Compared to last month's report of 4 claims, the claims have decreased by 3.

**All Major Claims (Claims over an estimated value of 5k in damages) with Safety response are listed below.**

None.



Claims Received by Month of 2021 and 2020													
Year	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	Total
2021	2	1											3
2020	3	3	3	0	2	6	3	3	1	6	1	4	35

### Safety Training

**The Safety Department has conducted the following safety training since last month:**

OSHA Trainer Course for Construction

TapRoot, Root Cause Analysis Essentials

Slip, trips and falls on walking surfaces

### Safety Incident Investigation Report

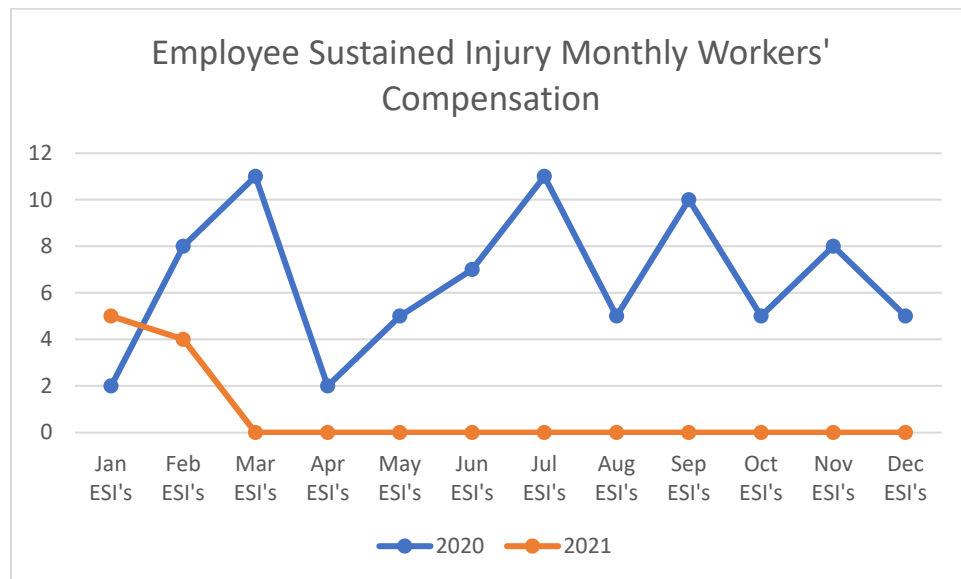
**At the end of this report is the Safety Incident Investigation tracking report for incidents that required a Safety Investigation. The report outlines the type of incident, date investigation was closed, and recommended action to reduce the accident from happening again.**

Department	IMS Incident#	Date of Accident	Incident Type	Description	Recommended Action	Closed Date
Customer Service	9911	1/22/2021	Injury	Injured neck and back after office chair rolled backwards while employee stood up to get out of chair.	It is recommended that in the morning, prior to starting the workday, supervisors should reiterate safety awareness with employees concerning getting out and sitting back down in their chairs while assisting Water Board customers; A different chair was placed behind the desk to keep same accident from re-occurring.	2/9/2021



## Workers' Compensation 2021 Statistics

The charts below represent claims that were reported to Workers' Compensation where employees sustained injuries (ESI).



Total number of claims reported in February: 4

### Employee Sustained Injuries of 2021 and 2020

Year	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	Total
2021	5	4											9
2020	2	8	11	2	5	7	11	5	10	5	8	5	79

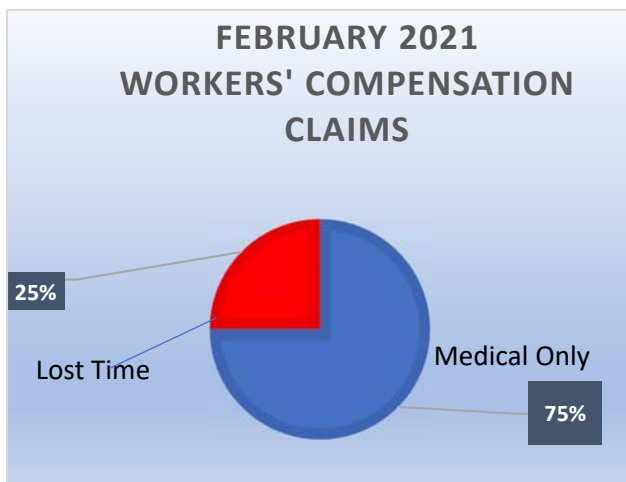
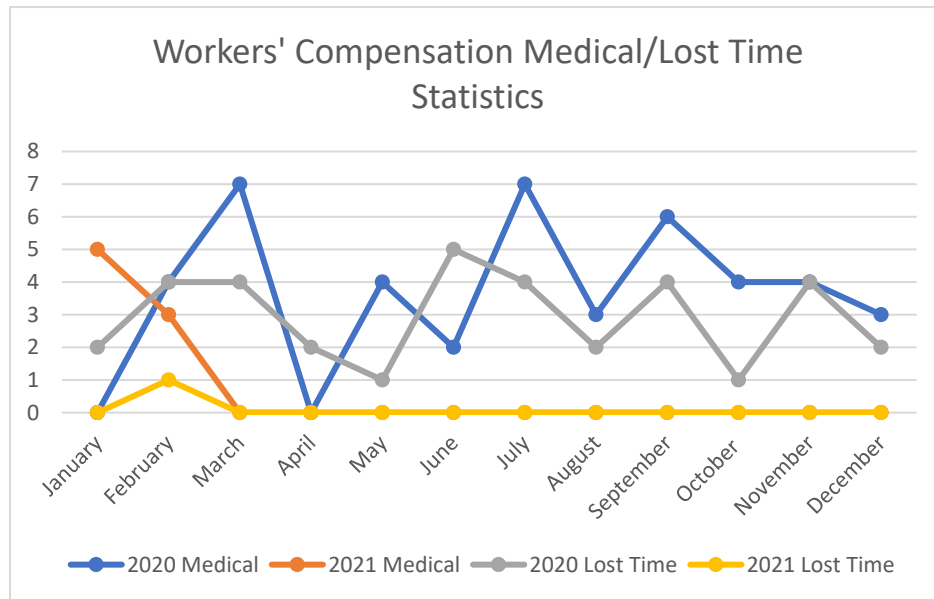
Comparison of 2021 & 2020 ESIs: As of February 2021, injury cases have decreased by an average of 1.3 overall. The overall percentage decline in injuries dropped by 1% or 4 injuries as of February 2021 as compared to 79 injuries as of December 2020.

Goal: To educate and communicate with departments on data found and collaborate on a plan that reduces the amount of injuries and risk for the agency.





## Workers' Compensation 2021 Claims Statistics



**Medical Only:** Of the 4 Claims, three (3) claims required medical treatment only and employees returned to work within a week.

**Lost Time:** Of the 4 claims, one (1) claim required medical treatment and paid wages as employee was not able to return to work within the week of the injury.

**Goal:** Safety Department will evaluate claims in a timely manner that ensures proper Personal Protective Equipment (PPE) and safety training is made available to department managers to reduce accidents. Workers' Compensation Unit will evaluate claims in a timely manner that ensures prompt medical treatment so that employees are returned into the workforce as efficiently and medically stable as possible. This will aid in maintaining an active and healthy workforce.



## Workers' Compensation Personal Protection Equipment (PPE) 2021 Statistics

Workers' Compensation tracks the use of Personal Protection Equipment (PPE). This safety equipment should be used during work activity to protect against health risks. The information below details the use of PPE as it relates to the "body part" injured as a result of the accident.

### February 2021 Personal Protection Equipment Statistics

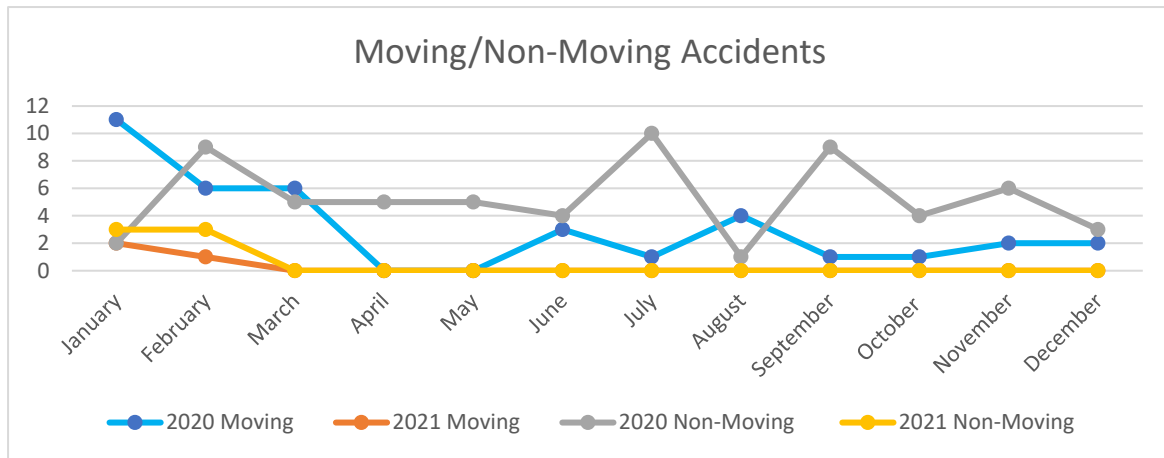
Department	IMS #	Body Part Injured	Accident Type	PPE? Yes/No or N/A
Meter Repairs	9935	Right Hand	Slips, Trips, & Falls	No
Meter Reading & Investigation	9946	Right & Left Leg	Slips, Trips, & Falls	N/A
Zone 6	9947	Left Wrist	Slips, Trips, & Falls	Yes
Meter Reading & Investigation	9948	Left Foot	Slips, Trips, & Falls	yes



SWBNO Accident  
Types V1 20201013.c



## 2021 Motor Vehicle & Non-Motor Vehicle Incidents



During 2021, there were a total of two (2) Employee Sustained Incidents caused by Motor Vehicle Incidents. A total of seven (7) were Non-Motor Vehicle Incidents.

During 2020, there were a total of eighteen (18) Employee Sustained incidents caused by Motor Vehicle Incidents. A total of sixty-one (61) were Non-Motor Vehicle Incidents.

\*Incident: any occurrence of an event in which workers' compensation is notified (minor or serious consequences)

\*Motor Vehicle: Incident that involves motorized mobile machinery (vehicle, riding lawnmower, backhoe machine, etc.)

\*Non-Motor Vehicle: Incident that does not involve motorized mobile machinery.

### Departmental view of MVI and Non-MVIs reported in 2021

Department	MVI	Non-MVI	Total
Meter Reading & Invest.	1	2	3
Mechanical Maintenance Supt		1	1
Zone 3		1	1
Customer Service Walk-Ins		1	1
Maintenance & Relief MWP		1	1
Zone 6	1		1
Meter Repairs		1	1
<b>Total</b>	<b>2</b>	<b>7</b>	<b>9</b>

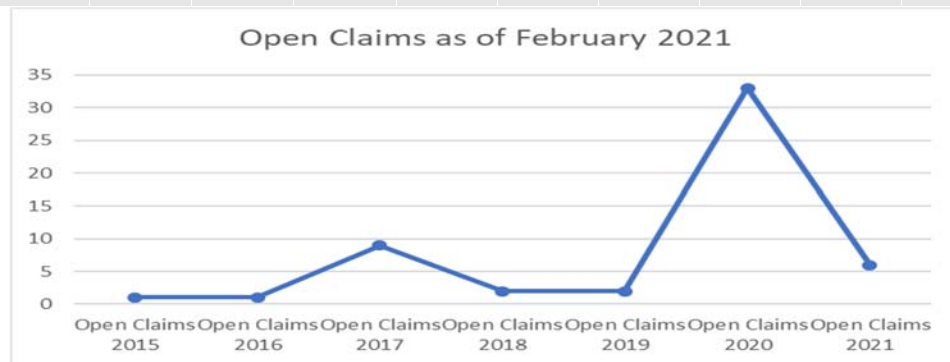


## 2021 Open and Closed Damage Claims

Month	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	Total
Received Claims	2	1											3
Closed Claims	8	8											16

## 2020 Open and Closed Damage Claims

Month	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	Total
Received Claims	6	10	7	0	3	4	8	2	0	2	1	0	43
Closed Claims	33	21	16	3	8	16	27	5	3	30	19	15	196



Open Claims by Year		
Year	Number	Status
2015	1	In Litigation
2016	1	In Litigation
2017	9	In Litigation
2018	2	In Litigation
2019	2	In Litigation
2020	33	In Review
2021	6	Up for Review
Total	54	Total Number of Claims

Open Claims by Type	
Number of Claims	Type of Claim
45	Property
6	Personal Injury
3	Auto
54	Total # of Claims





# SEWERAGE AND WATER BOARD

## Inter-Office Memorandum

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**Date:** March 3, 2021

**To:** Tanyell Allen,  
Board Relations

**Through:** Ghassan Korban,  
SWBNO Executive Director

**From:** Erin Weaver,  
Purchasing Department

**Re:** Executive Director's Approval of Contracts of \$1,000,000.00 or less

1. Howard Avenue Garage, LLC  
918 Gravier Street  
New Orleans, LA70114

- Parking for Employees
- Effective Date: February 1, 2021
- Initial Term: 1 year from effective date
- Renewal: May be extended for up to five (5) one (1) year periods
- Compensation: \$80 per month per for 65 spaced guaranteed
- DBE Goal: 0%

2. Hydra-Stop LLC  
144 Tower Drive  
Burr Ridge, IL 60527

- Furnishing Valve Insertion System 4, 6, 8, 10, 12 Inch Nominal Sizes (YW21-0002)
- Effective Date: February 25, 2021
- Initial Term: 1 year
- Renewal: Two (2) one (1) year renewal options
- Compensation: \$915,910.00
- DBE Goal: 0%

3. Industrial Welding Supply  
Buras Drive  
Belle Chasse, LA. 70037

- Laboratory and Welding Gases (YW20-0009)
- Initial Term: 1 year
- Renewal options: Two (2) one (1) year renewal options
- Compensation: \$53,787.90 annually
- DBE Goal 0%

4. Steris Corporation  
5960 Heisly Road  
Mentor, OH 44060

- Comprehensive Service Agreement including Maintenance, Parts & Labor. Requisition# LB210006
- Start Date: 03/01/2021 – 02/29/2022
- Renewal Options: Annually
- \$14,655.25 annually
- DBE Goal 0%



## SEWERAGE AND WATER BOARD

### Inter-Office Memorandum

**Date:** March 3, 2021

**To:** Ghassan Korban, Executive Director

**Thru:** Irma Plummer, EDBP Director

(UP)

**From:** Lyria Hicks, EDBP Compliance Officer

**Re:** EDBP Department Summary – February 2021

#### **ANALYSES CONDUCTED BY EDBP**

For the month of February 2021, the EDBP Department did not receive any Goods and Services contracts to review.

For the month of February 2021, the EDBP Department did not receive any Professional Services contracts to review.

For the month of February 2021, the EDBP Department received one (1) Construction contract to review.

#### **CONTRACT #2152 – GERT TOWN WATERLINE REPLACEMENT PROGRAM – S. DUPREE ST, TM004, PW21031**

On Friday, January 29, 2021, three (3) bids were received for subject contract. The bid totals are as follows:

<b>BLD Services, LLC</b>	<b>\$2,419,891.00</b>
<b>Wallace C. Drennan, Inc.</b>	<b>\$2,477,939.00</b>
<b>Cycle Construction Co., LLC</b>	<b>\$3,246,170.00</b>

Fifteen percent (15%) DBE participation was established for this project.

The apparent lowest bidder, **BLD Services, LLC**, submitted C&M Construction Group, Inc. (eligible certified SLDBE) to perform excavation, base work, asphalt paving, and road concrete construction and Prince Dump Truck Service, LLC (eligible certified SLDBE) to provide dump truck service and aggregate. Correspondence from DBEs on their own letterhead reaffirming negotiated terms was provided.

**Total Participation:** \$370,200.00 — 15.30%



The apparent second lowest bidder, **Wallace C. Drennan, Inc.**, submitted JEI Solutions, Inc. (eligible certified SLDBE) to supply valves, pipes, pipe fittings, and other materials. Correspondence from DBE on their own letterhead reaffirming negotiated terms was provided.

**Total Participation:** \$400,000.00 – 16.14%

Based upon analysis of DBE participation, the Economically Disadvantaged Business Program recommends that the DBE participation submitted by **BLD Services, LLC**, and **Wallace C. Drennan, Inc.**, be considered as responsive to meeting EDBP bid requirements.

### **CONSTRUCTION REVIEW COMMITTEE RECOMMENDATIONS**

There were not any new construction projects presented to EDBP, therefore the Construction Review Committee did not convene for the month of February 2021.

### **STAFF CONTRACT REVIEW COMMITTEE RECOMMENDATIONS**

The Staff Contract Review Committee convened on Thursday, February 11, 2021, and made the following recommendations:

#### **OPEN MARKET CONTRACTS**

**1) Request for Furnishing RFP for Leak Detection Services**

Budget Amount:	\$600,000.00
Renewal Term(s):	Initial term of One (1) year with two (2) one-year renewal options
Recommended	
Percentage Goal:	15%
Justification:	N/A

**2) Request for Polyelectrolyte**

Budget Amount:	\$800,000.00
Renewal Term(s):	Initial term of One (1) year with two (2) one-year renewal options
Recommended	
Percentage Goal:	0%
Justification:	Due to the specialized nature of manufacturing and transport.

### **RENEWAL CONTRACTS**

**1) Request for Renewal for Furnishing Hand Tools, Hardware Supplies, Paint & Paint Supplies**

Budget Amount: \$320,000.00  
Amount Spent: \$270,687.52  
Renewal Term(s): First of two (2) one-year renewal options  
Renewal Cost: \$320,000.00  
Recommended  
Percentage Goal: 30%  
Percentage Goal  
Achieved: 31.40%

**2) Request for Renewal for Furnishing Limestone**

Budget Amount: \$800,000.00  
Amount Spent: \$718,279.58  
Renewal Term(s): First of two (2) one-year renewal options  
Renewal Cost: \$800,000.00  
Recommended 20%  
Percentage Goal:  
Percentage Goal 20%  
Achieved:  
Prime Contractor: MST Enterprises, LLC

**3) Request for Renewal for Furnishing and Delivering Positive Displacement Cold Water Meters No. MH210003**

Budget Amount: \$1,8882,082.00  
Amount Spent: \$465,507.80  
Renewal Term(s): First of four (4) one-year renewal options  
Renewal Cost: \$1,8882,082.00  
Recommended 0%  
Percentage Goal:  
Percentage Goal N/A  
Achieved:  
Prime Contractor: Mueller Systems

### **CONSTRUCTION RENEWAL CONTRACTS with DBE PARTICIPATION**

There were no projects with a DBE Participation Goal to review for the month of February 2021.

**FINAL ACCEPTANCE CONTRACTS with DBE PARTICIPATION**

In January, the following construction contract was approved by the Board for final acceptance. EDBP reports the DBE participation as follows:

❖ **Contract #5243 – DPS #4 Anti-Siphon Project**

DBE Goal:	20.00%
DBE Participation Achieved:	20.35%
Prime Contractor:	Industrial & Mechanical Contractors, Inc.
Closeout Date:	January 2021

In February, the following construction contracts were approved by the Board for final acceptance. EDBP reports the DBE participation as follows:

❖ **Contract #2130 – Water Main Point Repair, Water Service Connection, Water Valve and Fire Hydrant Replacement at Various Sites throughout Orleans Parish**

DBE Goal:	36.00%
DBE Participation Achieved:	53.50%
Prime Contractor:	Wallace C. Drennan, Inc.
Closeout Date:	January 2021

❖ **CV 20-0011 – Turbine 5 Metal Stack and Duct Demolition and Asbestos Abatement**

DBE Goal:	10.00%
DBE Participation Achieved:	20.96%
Prime Contractor:	Alfred Conhagen, Inc. of LA
Closeout Date:	January 2021

There was one (1) final acceptance construction contract with a DBE participation goal to review for the month of February 2021.

❖ **Contract #1368 – HMGP Oak Street Pump Station Upgrade and Rehabilitation Project**

DBE Goal:	11.00%
DBE Participation Achieved:	12.80%
Prime Contractor:	M.R. Pittman Group, LLC
Closeout Date:	February 2021

EDBP accepts the DBE participation and recommends approval for Final Acceptance.

**SEWERAGE & WATER BOARD OF NEW ORLEANS CONTRACTS WITH DBE PARTICIPATION**

There was two (2) contracts with DBE participation awarded for the month of February 2021.

**Sewerage & Water Board New Orleans Awarded Projects with SLDBE Participation February 2021**

<b>Category</b>	<b>Category Dollar Amount</b>	<b>SLDBE Dollar Value</b>
<b>Construction Projects</b>	<b>\$ 2,218,500</b>	<b>\$ 229,101</b>
<b>Goods &amp; Services Projects</b>	<b>\$ -</b>	<b>\$ -</b>
<b>Professional Services Projects</b>	<b>\$ 4,105,431</b>	<b>\$ -</b>
<b>Grand Total</b>	<b>\$ 6,323,931</b>	<b>\$ 229,101</b>

**Sewerage & Water Board of New Orleans Open Market Bids with DBE Participation February 2021**

**Construction Projects**

<b>Contract No./Description</b>	<b>%DBE Goal</b>	<b>Contract \$</b>	<b>Prime</b>	<b>Sub(s)</b>	<b>% DBE Part (Prime)</b>	<b>\$ Sub Award</b>	<b>Award date</b>
Cont #6267: Turbine 5 Exhaust System	\$	2,218,500.00	M.R. Pittman Group, LLC	JEI Solutions, Inc.	0.68%	\$ 15,000.00	1/20/2021

**Sewerage & Water Board of New Orleans Open Market Bids with DBE Participation February 2021**

**Goods & Services Projects**

<b>Contract No./Description</b>	<b>%DBE Goal</b>	<b>Contract \$</b>	<b>Prime</b>	<b>Sub(s)</b>	<b>% DBE Part (Prime)</b>	<b>\$ Sub Award</b>	<b>Award date</b>
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<b>Total Goods &amp; Services Projects</b>		<b>\$0.00</b>			<b>0%</b>	<b>\$0.00</b>	
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**Sewerage & Water Board of New Orleans Open Market Bids with DBE Participation February 2021**

**Professional Services Projects**

<b>Contract No./Description</b>	<b>%DBE Goal</b>	<b>Contract \$</b>	<b>Prime</b>	<b>Sub(s)</b>	<b>% DBE Part (Prime)</b>	<b>\$ Sub Award</b>	<b>Award date</b>
Consulting Services for the Manging the Preparation, Selection, and Implementation of An Advance Metering Infrastructure Solution	35%	\$4,105,431.00	Jacobs Engineering Group, Inc.	1) Bright Moments 2) Gaea Consultants, LLC 3) Integrated Logistical Support, Inc. DBA ILSI Engineering	<b>35.00%</b>		2/10/2021

**Total Professional Services  
Projects**

**35%    \$4,105,431.00**

**35%**

**\$0.00**