

SEWERAGE & WATER BOARD OF NEW ORLEANS

FINANCE AND ADMINISTRATION COMMITTEE MEETING

WEDNESDAY, APRIL 14, 2021

9:00 AM

[April 2021 Finance Committee Link](#)
[+1 504-224-8698,,503849810#](#) United States, New Orleans
Phone Conference ID: 503 849 810#

PUBLIC COMMENT WILL BE ACCEPTED VIA EMAIL TO
BOARDRELATIONS@SWBNO.ORG. ALL PUBLIC COMMENTS MUST BE RECEIVED PRIOR TO
9:30 AM ON April 14, 2021. COMMENTS WILL BE READ VERBATIM INTO THE
RECORD.

Lynes Sloss Janet Howard
Joseph Peychaud Ralph Johnson Alejandra Guzman

FINAL AGENDA

1. **ROLL CALL**

2. **DISCUSSION ITEM**

- Financial Summary Update

3. **PRESENTATION ITEM**

- Procurement Procedures Manual Overview

4. **ACTION ITEMS**

- Resolution (R-059-2021) Final Renewal of Contract for with Professional Security Corporation to Provide Armed Security Guards at Board Facilities

GENERAL SUPERINTENDENT'S REPORT

- **CONTRACTS and AWARDS**

- Resolution (R-041-2021) Award of Contract 2151 St. Bernard Transmission Main, TM002, FEMA Project Worksheet 19846 Multiple Neighborhoods
- Resolution (R-044-2021) Ratification of Award of Emergency Contract for Turbine 4 Repairs

- **CONTRACT AMENDMENTS**

- Resolution (R-036-2021) Authorization of Amendment No. 6 to the Agreement Between the Sewerage and Water Board of New Orleans and Arcadis U.S. Inc. for Design and Engineering Services for the Water Line Replacement Program

- Resolution (R-038-2021) Authorization of Contract Amendment No. 6 to the Agreement Between the Sewerage and Water Board of New Orleans and Richard C. Lambert for Design and Engineering Services for Water Line Replacement Program
- Resolution (R-039-2021) Authorization of Amendment No 6. To the Agreement Between the Sewerage and Water Board of New Orleans and Neel-Schaffer for Design and Engineering Services for Water Line Replacement Program
- Resolution (R-040-2021) Authorization of Amendment No. 8 to the Professional Service Agreement Between Sewerage and Water Board of New Orleans and MWH Americas, Inc. (predecessor in interest to Stantec Consulting Services, Inc.) for Design and Engineering Services for the Water Line Replacement Program

5. PUBLIC COMMENT

Public comments received until 30 minutes after the presentation of the Agenda will be read into the record.

6. INFORMATION ITEMS

- FEMA March Project Worksheet Status
- March Safety Report
- DBE Participation on Contracts
 - DBE Bid Analysis
 - Construction Review Committee – Goals Setting
 - Staff Contract Review Committee - Goals Setting
 - Final Acceptance of Construction Contracts with DBE Participation.

7. ADJOURNMENT

This teleconference meeting is being held pursuant to and in accordance with the provisions of Section 4 of Proclamation Number JBE 2020-30, extended by Proclamation 67 JBE 2021, pursuant to Section 3 of Act 302 of 2020.

EXECUTIVE SUMMARY**February 2021**

A summary analysis of the financial results and other performance goals is attached for your further review.

(in millions)	February MTD <u>Actual</u>	February YTD <u>Actual</u>	February YTD <u>Budget</u>	Variance to YTD <u>Budget</u>	<u>Annual Budget</u>
Operating Revenues	\$20.1	\$44.1	\$41.6	\$2.5	\$261.7
Ad Valorem / Other Revenues	0.6	1.3	2.1	(0.9)	67.3
Operating Expenditures	25.2	44.1	49.4	5.3	317.9

Revenues Less Adjusted Expenses (February 2021)

Revenues	Actual (MTD)	Budget (MTD)	Actual (YTD)	Budget (YTD)	2020 Actual (YTD)	Actual YTD as % of Budget YTD
Water Service	8,703,877	9,103,478	19,325,908	18,449,883	21,150,299	105%
Sewer Service	11,236,665	11,342,412	24,432,067	22,978,636	26,031,395	106%
Non Operating Revenue	421,010	1,197,132	938,245	1,997,021	45,963	47%
Misc Revenues	162,421	86,929	358,466	150,362	599,968	238%
Total Revenues	20,523,973	21,729,952	45,054,686	43,575,903	47,827,624	103%
Operating Expenses						
Water Service	8,075,750	10,800,903	14,185,860	20,742,078	14,184,288	68%
Sewer Service	11,855,038	10,355,610	19,789,055	20,726,296	14,185,644	95%
Drainage Service	5,219,843	3,737,879	10,128,847	7,906,378	10,287,594	128%
Total Expenses	25,150,631	24,894,391	44,103,761	49,374,752	38,657,527	89%
Interest Expense	1,933,128	1,880,635	3,866,257	3,761,270	3,421,972	103%
Revenues less Expenses	(6,559,786)	(5,045,073)	(2,915,332)	(9,560,119)	5,748,125	30%
Non-Cash Expenses	9,163,262	10,202,054	18,421,569	19,306,344	12,024,593	95%
Revenues less Adjusted Expenses	2,603,476	5,156,981	15,506,236	9,746,225	17,772,718	159%

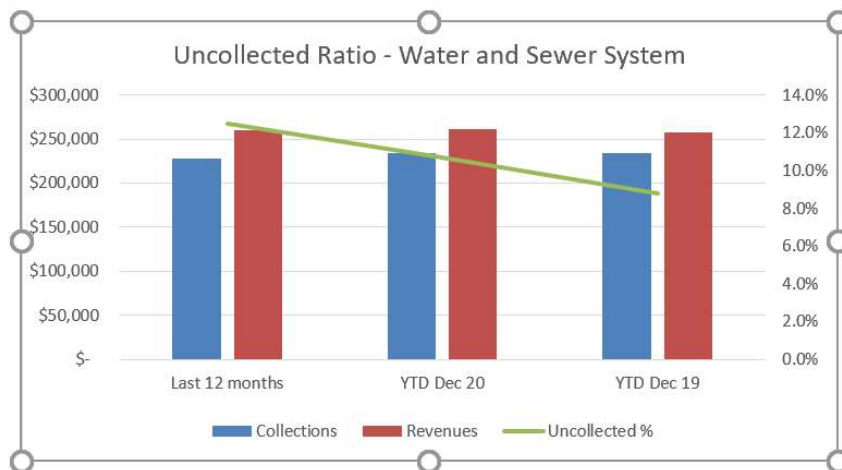
MONTHLY FINANCIAL REPORT

Cash Collections

Historical Monthly Water and Sewerage System Cash Collections

2019 Water Collections				2020 Water Collections				2021 Water Collections			
Months	Water Service Charges & Fees	Delinquent Fees	Total	Months	Water Service Charges & Fees	Delinquent Fees	Total	Months	Water Service Charges & Fees	Delinquent Fees	Total
January	\$ 7,269,033	\$ 145,536	\$ 7,414,569	January	\$ 9,370,008	\$ 193,867	\$ 9,563,875	January	\$ 7,795,274	\$ 25,951	\$ 7,821,225
February	\$ 6,261,340	\$ 136,461	\$ 6,397,801	February	\$ 8,510,890	\$ 162,949	\$ 8,673,839	February	\$ 7,291,874	\$ 21,589	\$ 7,313,463
March	\$ 6,835,909	\$ 98,609	\$ 6,934,519	March	\$ 8,510,036	\$ 162,272	\$ 8,672,308	March	\$ 8,468,654	\$ 32,902	\$ 8,501,556
April	\$ 8,024,726	\$ 182,469	\$ 8,207,195	April	\$ 6,519,253	\$ 279,649	\$ 6,798,902	Last 12 months (Feb)			
May	\$ 8,128,278	\$ 148,478	\$ 8,276,756	May	\$ 7,900,869	\$ 105,513	\$ 8,006,382	\$ 95,604,073			
June	\$ 7,547,372	\$ 150,562	\$ 7,697,934	June	\$ 7,267,122	\$ 82,830	\$ 7,349,952				
July	\$ 9,662,101	\$ 194,163	\$ 9,856,264	July	\$ 8,048,453	\$ 43,613	\$ 8,092,066				
August	\$ 8,483,471	\$ 190,224	\$ 8,673,696	August	\$ 8,528,091	\$ 39,029	\$ 8,567,120				
September	\$ 8,246,459	\$ 171,490	\$ 8,417,949	September	\$ 8,829,034	\$ 28,497	\$ 8,857,531				
October	\$ 10,133,620	\$ 192,930	\$ 10,326,549	October	\$ 7,613,451	\$ 24,426	\$ 7,637,877				
November	\$ 9,064,555	\$ 213,662	\$ 9,278,216	November	\$ 7,190,806	\$ 24,456	\$ 7,215,262				
December	\$ 8,126,348	\$ 141,736	\$ 8,268,084	December	\$ 9,225,677	\$ 46,307	\$ 9,271,984				
TOTAL	\$ 97,783,213	\$ 1,966,318	\$ 99,749,531	TOTAL	\$ 97,513,490	\$ 1,193,408	\$ 98,706,898				

2019 Sewerage Collections				2020 Sewerage Collections				2021 Sewerage Collections			
Months	Sewerage Service Charges & Fees	Delinquent Fees	Total	Months	Sewerage Service Charges & Fees	Delinquent Fees	Total	Months	Sewerage Service Charges & Fees	Delinquent Fees	Total
January	\$ 10,283,064	\$ 183,074	\$ 10,466,138	January	\$ 12,683,216	\$ 247,883	\$ 12,931,099	January	\$ 11,154,460	\$ 32,427	\$ 11,186,887
February	\$ 8,856,052	\$ 174,247	\$ 9,030,299	February	\$ 11,510,258	\$ 213,939	\$ 11,724,198	February	\$ 10,824,014	\$ 27,378	\$ 10,851,392
March	\$ 10,920,960	\$ 123,706	\$ 11,044,666	March	\$ 12,337,081	\$ 207,785	\$ 12,544,866	March	\$ 13,313,818	\$ 39,070	\$ 13,352,888
April	\$ 11,750,766	\$ 171,101	\$ 11,921,867	April	\$ 9,460,656	\$ 149,191	\$ 9,609,847	Last 12 months (Feb)			
May	\$ 11,709,701	\$ 184,362	\$ 11,894,064	May	\$ 10,703,694	\$ 136,628	\$ 10,840,322	\$ 132,518,897			
June	\$ 10,666,270	\$ 193,564	\$ 10,859,833	June	\$ 10,485,228	\$ 105,070	\$ 10,590,298				
July	\$ 12,418,687	\$ 240,120	\$ 12,658,808	July	\$ 11,609,615	\$ 54,036	\$ 11,663,651				
August	\$ 11,484,227	\$ 244,491	\$ 11,728,718	August	\$ 11,850,512	\$ 47,804	\$ 11,898,316				
September	\$ 11,249,671	\$ 225,192	\$ 11,474,863	September	\$ 11,927,583	\$ 35,569	\$ 11,963,153				
October	\$ 13,327,187	\$ 246,420	\$ 13,573,607	October	\$ 10,112,092	\$ 29,194	\$ 10,141,285				
November	\$ 7,943,403	\$ 256,514	\$ 8,199,917	November	\$ 8,981,302	\$ 29,429	\$ 9,010,731				
December	\$ 11,698,150	\$ 180,495	\$ 11,878,645	December	\$ 12,159,920	\$ 58,229	\$ 12,218,149				
TOTAL	\$ 132,308,137	\$ 1,966,318	\$ 134,274,455	TOTAL	\$ 133,821,157	\$ 1,314,758	\$ 135,135,915				



Monthly Financial Dashboard (February 2021)

SWBNO Monthly Financial Dashboard

February 2021

		February 2021		
		Water	Sewer	Drainage
1	Operating Revenues by class of service	\$8,703,877	\$11,236,665	
2	Revenues per Active account	\$63	\$81	
3	Cash Collections	\$7,313,463	\$10,851,392	
4	Ad Valorem - other revenues			\$32,221
5	Operating & Maintenance (O&M) Expenses	\$8,075,750	\$11,855,038	\$5,219,843
6	O&M cost per Active account	\$58	\$86	\$38
7	Cash, Cash Equivalents and Funds on Deposit	\$30,550,575	\$48,629,243	\$14,268,644
Liquidity				
8	Current ratio (current assets/current liabilities)	1.31	3.1	0.5
9	Days cash on hand (>90 days)	127	192	n/a
Leverage				
10	Debt (bonds)	\$204,657,530	\$300,436,178	\$3,969,175
11	Debt Service Coverage (>1.25) *	1.97	1.89	n/a
12	Total Assets	\$806,177,743	\$1,342,303,637	\$1,678,540,237
13	Net Position	\$442,583,884	\$877,919,214	\$1,245,870,522
14	Leverage (total debt/ total assets)	25%	22%	0%
15	Debt/ net position	46%	34%	0%
16	Long term debt per Active Accounts	\$1,478	\$2,170	\$29
*Debt Service Coverage to be updated including consideration of March 3 Bond Refunding				
Receivables		Water / Sewer		
17	Customer Receivables, net of allowance	\$48,397,575		
18	Receivables > 60 Days	\$50,873,632		
Uncollected Ratio (cash collections / revenues) -				
19	last 12 mos	12.5%		
20	Total Number of Active Accounts	138,479		
21	Total Number of Delinquent Active Accounts	25,938		
22	Total Number of Accounts in Dispute	1,967		
23	Total Number of New Payment Plans	143		
24	Total Number Inactive Accounts	34,420		

Debt Obligations

Debt Information - As of February 28, 2021

	Water	Sewer	Drainage	Total
Debt Outstanding:				
Revenue Bonds*	189,900,000	262,705,000		452,605,000
Limited Tax Bonds			3,955,000	3,955,000
DEQ SRF Loans*		6,571,272		6,571,272
GoZone Loan		40,044,839		40,044,839
Total Debt Outstanding	189,900,000	309,321,111	3,955,000	503,176,111
Southeast Louisiana Project liability				250,343,870
Available Borrowed Funds:				
Unspent Bond Proceeds ⁽¹⁾	224,277	82,783,076		83,007,353
Available Undrawn DEQ SRF Loan Proceeds (South Shore Basin Project)		9,029,728		9,029,728
Total Available Borrowed Funds	224,277	91,812,804		92,037,081

(1) As reported by BLCD.

* Included in Debt Service Coverage Tests

Capital

2021 CAPITAL DISBURSEMENTS (As of 2/28/2021)

	Annual Budget	YTD Disbursements
Water	\$ 87,045,733	\$ 13,721,106
Sewer	\$ 188,632,679	\$ 6,320,989
Drainage	\$ 58,367,652	\$ 4,098,651
Total	\$334,046,064	\$ 24,140,746
Water - pending funding sources	\$ 131,444,196	
Total Capital Budget	\$465,490,260	

Federal Grant/Funding Status

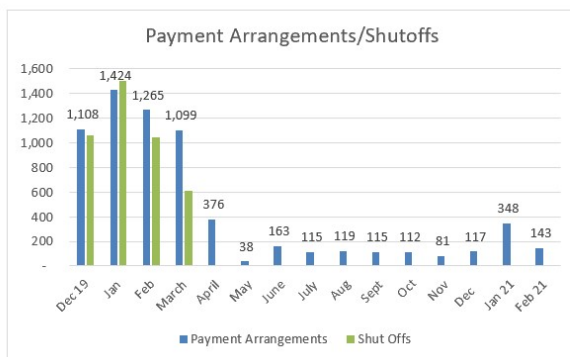
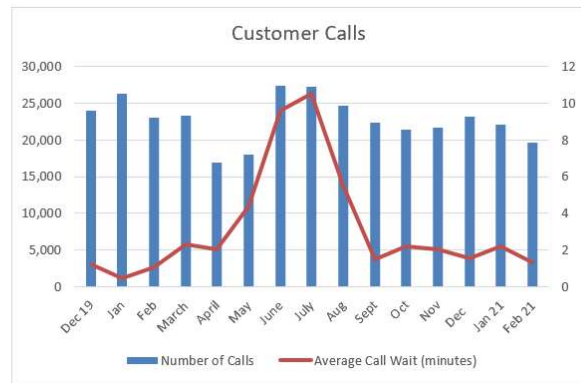
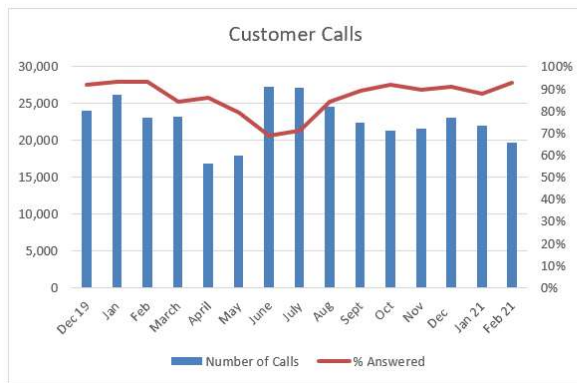
FEDERAL GRANT/FUNDING STATUS (As of 2/28/2021)

	Obligated	Expended	Reimbursed
Hurricane PA Projects	\$ 547,244,853	\$ 515,910,166	\$ 484,762,618
HMGP Projects	\$ 188,871,018	\$ 181,776,879	\$ 145,660,752
JIRR Projects	\$ 268,448,968	\$ 18,543,058	\$ 16,471,665
Total	\$ 1,004,564,839	\$ 716,230,102	\$ 646,895,035

Customer Experience (February 2021):

In February 2021, a total of 34,510 customers were served. These reports do not include actions and responses related to investigations.

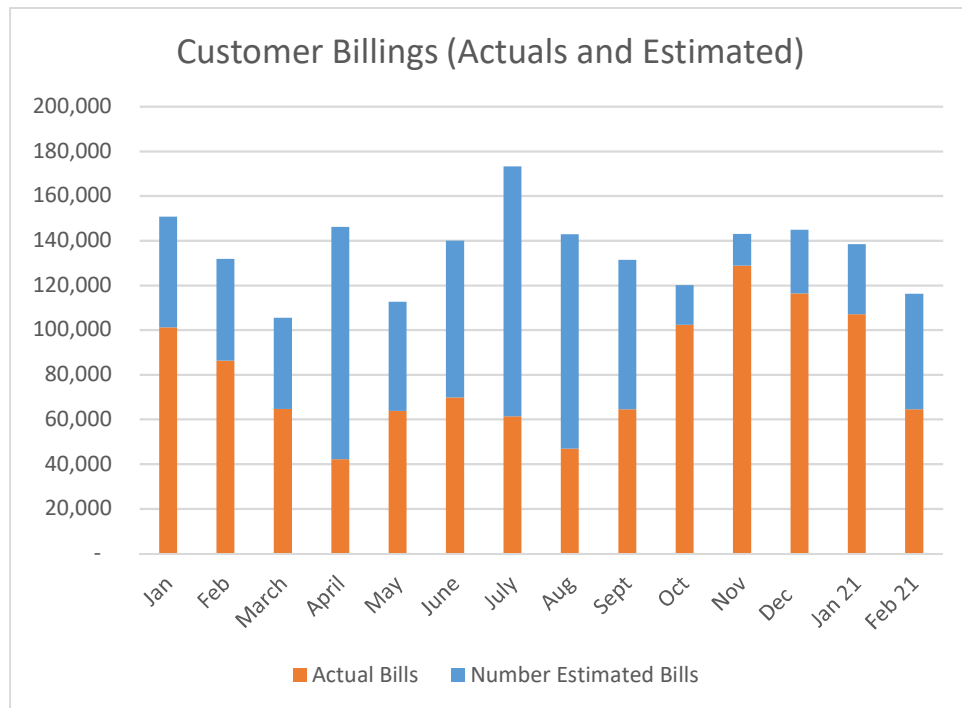
	Total # Calls	Total calls Answered	Total Dropped Calls	Average Call Wait	% Calls Answered
February	19,650	18,203	1,447	1:33 min	93%



Billing Accuracy (February 2021)

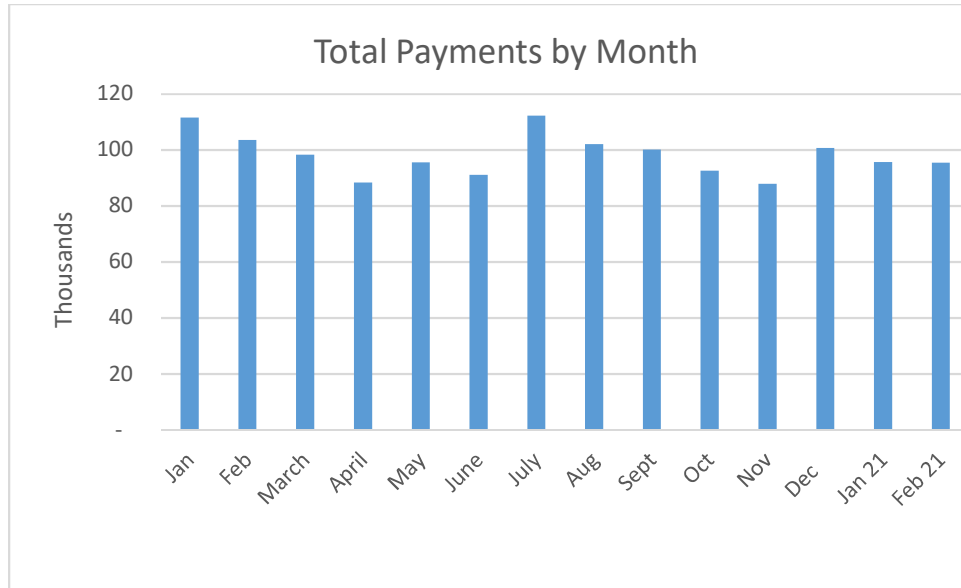
The following metrics are monitored to help management measure and improve the bill accuracy, improve the customer response time and implement best practice collection activity.

The Sewerage and Water Board (SWBNO) suspended water service shut-offs Thursday, March 12, 2020 and will adhere to this policy change for the duration of the Mayor's Declared Emergency proclamation in response to the COVID-19 pandemic.



Collections (February 2021)

A total of 95,481 payments were received in the month. These payments were received via mail, walk-ins, online payments, and the interactive voice response phone system.

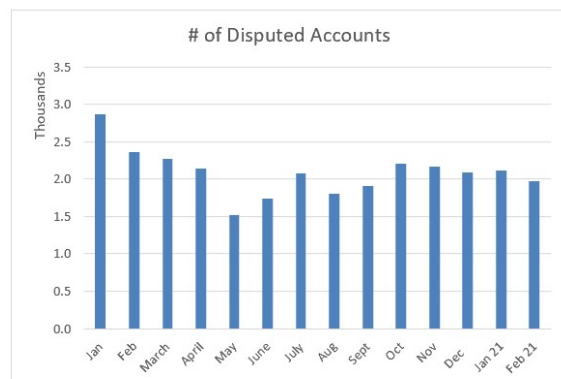
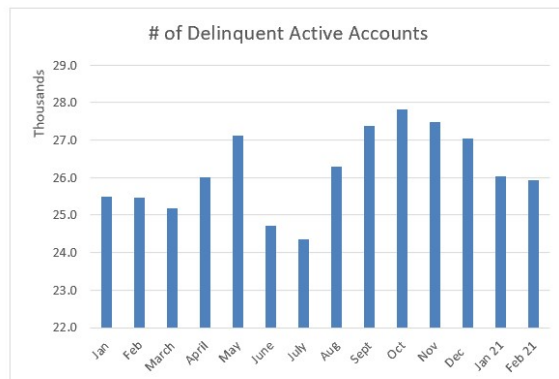


Active Accounts (February 2021)

CUSTOMER ACCOUNT AGING REPORT

As of February 28, 2021

	ACTIVE ACCOUNTS	DELINQUENT ACTIVE ACCOUNTS	PAST DUE \$ AMOUNT (60+ DAYS)	% CHANGE FROM PRIOR MONTH	ACCOUNTS IN DISPUTE	% CHANGE FROM PRIOR MONTH
Residential	120,993	23,942	\$39,270,910	4%	1,607	-9%
Multi-Family	4,591	630	\$ 2,794,408	3%	97	13%
Commercial	12,864	1,364	\$ 8,807,720	2%	263	0%
Industrial	31	2	\$ 594	-12%	-	-
TOTALS	138,479	25,938	\$50,873,632	3%	1,967	-7%



The number of past due Inactive accounts totaled 34,420 an increase of 151 accounts over the prior month.

February 2021 Financial Statements (unaudited)

Pages 10-17 as follows:

- 10. All System Funds Statements of Revenues and Expenses and Changes in Net Position with Prior year (PY)
- 11. All System Funds Statements of Revenues and Expenses and Changes in Net Position with Budget
- 12. Water Fund Statements of Revenues and Expenses and Changes in Net Position with PY
- 13. Water Fund Statements of Revenues and Expenses and Changes in Net Position with Budget
- 14. Sewer Fund Statements of Revenues and Expenses and Changes in Net Position with PY
- 15. Sewer Fund Statements of Revenues and Expenses and Changes in Net Position with Budget
- 16. Drainage Fund Statements of Revenues and Expenses and Changes in Net Position with PY
- 17. Drainage Fund Statements of Revenues and Expenses and Changes in Net Position with Budget
- 18-19. All System Funds Statements of Net Position
- 20-21. Water Fund Statements of Net Position
- 22-23. Sewer Fund Statements of Net Position
- 24-25. Drainage Fund Statements of Net Position

SEWERAGE AND WATER BOARD OF NEW ORLEANS
ALL SYSTEM FUNDS
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH PRIOR YEAR COMPARISONS
February 2021 Prelim Financials

	A	B	C	D	E	F	G	H	
	MTD Actual	MTD Prior Year	MTD Variance	%	YTD Actual	YTD Prior Year	YTD Variance	%	
Operating revenues:									
1 Sales of water and delinquent fees	8,703,877	9,873,673	(1,169,796)	-11.8%	19,325,908	21,150,299	(1,824,391)	-8.6%	1
2 Sewerage service charges and del fees	11,236,665	12,260,856	(1,024,191)	-8.4%	24,432,067	26,031,395	(1,599,329)	-6.1%	2
3 Plumbing inspection and license fees	22,440	35,197	(12,757)	-36.2%	50,730	85,097	(34,367)	-40.4%	3
4 Other revenues	139,981	235,629	(95,648)	-40.6%	307,736	514,871	(207,135)	-40.2%	4
5 Total operating revenues	20,102,963	22,405,355	(2,302,392)	-10.3%	44,116,440	47,781,662	(3,665,221)	-7.7%	5
Operating Expenses:									
6 Power and pumping	807,074	1,401,892	(594,819)	-42.4%	1,376,291	2,777,441	(1,401,150)	-50.4%	6
7 Treatment	3,135,118	733,950	2,401,168	327.2%	3,400,248	1,593,417	1,806,831	113.4%	7
8 Transmission and distribution	2,541,975	2,486,210	55,765	2.2%	4,171,248	5,420,936	(1,249,687)	-23.1%	8
9 Customer accounts	407,821	344,525	63,296	18.4%	759,772	712,774	46,998	6.6%	9
10 Customer service	1,597,970	591,486	1,006,484	170.2%	1,901,849	880,112	1,021,736	116.1%	10
11 Administration and general	2,742,288	1,531,591	1,210,697	79.0%	5,694,878	4,016,771	1,678,107	41.8%	11
12 Payroll related	2,396,559	4,390,356	(1,993,796)	-45.4%	5,270,223	8,414,363	(3,144,140)	-37.4%	12
13 Maintenance of general plant	2,358,566	1,814,323	544,243	30.0%	3,107,684	2,817,122	290,562	10.3%	13
14 Depreciation	6,703,430	5,829,069	874,360	15.0%	13,562,318	11,658,138	1,904,180	16.3%	14
15 Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16 Provision for doubtful accounts	2,261,809	1,252,046	1,009,763	80.6%	4,523,618	670,968	3,852,650	574.2%	16
17 Provision for claims	198,023	172,375	25,649	14.9%	335,633	(304,513)	640,146	-210.2%	17
18 Total operating expenses	25,150,631	20,547,822	4,602,810	22.4%	44,103,761	38,657,527	5,446,234	14.1%	18
19 Operating income (loss)	(5,047,668)	1,857,533	(6,905,202)	-371.7%	12,679	9,124,135	(9,111,455)	-99.9%	19
Non-operating revenues (expense):									
20 Two-mill tax	73	-	73	0.0%	109	-	109	0.0%	20
21 Three-mill tax	8,896	-	8,896	0.0%	68,921	-	68,921	0.0%	21
22 Six-mill tax	9,321	-	9,321	0.0%	72,526	-	72,526	0.0%	22
23 Nine-mill tax	13,931	-	13,931	0.0%	108,672	-	108,672	0.0%	23
24 Interest income	13,008	19,769	(6,761)	-34.2%	28,376	45,963	(17,587)	-38.3%	24
25 Other Taxes	-	-	-	0.0%	-	-	-	0.0%	25
26 Other Income	375,772	-	375,772	0.0%	659,632	-	659,632	0.0%	26
27 Bond Issuance Costs	-	-	-	0.0%	-	-	-	0.0%	27
28 Interest expense	(1,933,128)	(1,710,986)	(222,142)	13.0%	(3,866,257)	(3,421,972)	(444,284)	13.0%	28
29 Operating and maintenance grants	10	-	10	0.0%	10	-	10	0.0%	29
30 Provision for grants	-	-	-	0.0%	-	-	-	0.0%	30
31 Total non-operating revenues	(1,512,118)	(1,691,218)	179,099	-10.6%	(2,928,012)	(3,376,010)	447,998	-13.3%	31
32 Income before capital contributions	(6,559,786)	166,316	(6,726,102)	-4044.2%	(2,915,332)	5,748,125	(8,663,457)	-150.7%	32
33 Capital contributions	-	173,294	(173,294)	-100.0%	787,882	397,906	389,976	98.0%	33
34 Change in net position	(6,559,786)	339,610	(6,899,396)	-2031.6%	(2,127,450)	6,146,031	(8,273,481)	-134.6%	34
35 Transfer of Debt Service Assistance Fund loan payable					-				35
36 Net position, beginning of year					2,505,844,226	2,356,268,052	149,576,174	6.3%	36
37 Net position, end of year					2,504,219,623	2,362,414,083	141,805,540	6.0%	37

SEWERAGE AND WATER BOARD OF NEW ORLEANS
ALL SYSTEM FUNDS
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH BUDGET COMPARISONS
February 2021 Prelim Financials

	A	B	C	D	E	F	G	H	
	MTD	MTD	MTD		YTD	YTD	YTD		
	Actual	Budget	Variance	%	Actual	Budget	Variance	%	
Operating revenues:									
1 Sales of water and delinquent fees	8,703,877	9,103,478	(399,601)	-4.4%	19,325,908	18,449,883	876,025	4.7%	1
2 Sewerage service charges and del fees	11,236,665	11,342,412	(105,747)	-0.9%	24,432,067	22,978,636	1,453,430	6.3%	2
3 Plumbing inspection and license fees	22,440	48,557	(26,117)	-53.8%	50,730	90,645	(39,915)	-44.0%	3
4 Other revenues	139,981	38,373	101,608	264.8%	307,736	59,717	248,019	415.3%	4
5 Total operating revenues	20,102,963	20,532,820	(429,857)	-2.1%	44,116,440	41,578,882	2,537,559	6.1%	5
Operating Expenses:									
6 Power and pumping	807,074	1,021,009	(213,936)	-21.0%	1,376,291	2,387,151	(1,010,860)	-42.3%	6
7 Treatment	3,135,118	1,822,799	1,312,319	72.0%	3,400,248	3,584,421	(184,173)	-5.1%	7
8 Transmission and distribution	2,541,975	3,170,550	(628,575)	-19.8%	4,171,248	6,336,371	(2,165,123)	-34.2%	8
9 Customer accounts	407,821	376,448	31,373	8.3%	759,772	761,587	(1,815)	-0.2%	9
10 Customer service	1,597,970	522,990	1,074,979	205.5%	1,901,849	851,579	1,050,269	123.3%	10
11 Administration and general	2,742,288	3,010,476	(268,188)	-8.9%	5,694,878	7,189,009	(1,494,131)	-20.8%	11
12 Payroll related	2,396,559	2,510,779	(114,220)	-4.5%	5,270,223	4,822,370	447,853	9.3%	12
13 Maintenance of general plant	2,358,566	2,257,285	101,281	4.5%	3,107,684	4,135,921	(1,028,237)	-24.9%	13
14 Depreciation	6,703,430	7,190,018	(486,588)	-6.8%	13,562,318	13,336,489	225,829	1.7%	14
15 Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16 Provision for doubtful accounts	2,261,809	2,777,116	(515,307)	-18.6%	4,523,618	5,552,222	(1,028,604)	-18.5%	16
17 Provision for claims	198,023	234,920	(36,897)	-15.7%	335,633	417,632	(82,000)	-19.6%	17
18 Total operating expenses	25,150,631	24,894,391	256,240	1.0%	44,103,761	49,374,752	(5,270,991)	-10.7%	18
19 Operating income (loss)	(5,047,668)	(4,361,571)	(686,097)	15.7%	12,679	(7,795,870)	7,808,550	-100.2%	19
Non-operating revenues (expense):									
20 Two-mill tax	73	-	73	0.0%	109	-	109	0.0%	20
21 Three-mill tax	8,896	215,563	(206,667)	-95.9%	68,921	234,621	(165,700)	-70.6%	21
22 Six-mill tax	9,321	202,922	(193,601)	-95.4%	72,526	325,802	(253,276)	-77.7%	22
23 Nine-mill tax	13,931	292,730	(278,799)	-95.2%	108,672	464,763	(356,091)	-76.6%	23
24 Interest income	13,008	212,226	(199,218)	-93.9%	28,376	424,452	(396,077)	-93.3%	24
25 Other Taxes	-	-	-	0.0%	-	-	-	0.0%	25
26 Other Income	375,772	245,128	130,644	53.3%	659,632	490,256	169,376	34.5%	26
27 Interest expense	(1,933,128)	(1,880,635)	(52,494)	2.8%	(3,866,257)	(3,761,270)	(104,987)	2.8%	27
28 Operating and maintenance grants	10	28,564	(28,554)	-100.0%	10	57,127	(57,117)	-100.0%	28
29 Provision for grants	-	-	-	0.0%	-	-	-	0.0%	29
30 Total non-operating revenues	(1,512,118)	(683,502)	(828,616)	121.2%	(2,928,012)	(1,764,248)	(1,163,763)	66.0%	30
31 Income before capital contributions	(6,559,786)	(5,045,073)	(1,514,713)	30.0%	(2,915,332)	(9,560,119)	6,644,786	-69.5%	31
32 Capital contributions	-	-	-	0.0%	787,882	-	787,882	0.0%	32
33 Change in net position	(6,559,786)	(5,045,073)	(1,514,713)	30.0%	(2,127,450)	(9,560,119)	7,432,668	-77.7%	33
35 Audit Adjustment									35
36 Net position, beginning of year					2,505,844,226	2,356,268,052	149,576,174	6.3%	36
37 Net position, end of year					2,504,219,623	2,346,707,933	157,511,690	6.7%	37

SEWERAGE AND WATER BOARD OF NEW ORLEANS
WATER SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH PRIOR YEAR COMPARISONS
February 2021 Prelim Financials

	A	B	C	D	E	F	G	H	
	MTD	MTD	MTD		YTD	YTD	YTD		
	Actual	Prior Year	Variance	%	Actual	Prior Year	Variance	%	
Operating revenues:									
1 Sales of water and delinquent fees	8,703,877	9,873,673	(1,169,796)	-11.8%	19,325,908	21,150,299	(1,824,391)	-8.6%	1
2 Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%	2
3 Plumbing inspection and license fees	22,440	17,648	4,792	27.2%	50,730	42,598	8,132	19.1%	3
4 Other revenues ¹	104,927	212,318	(107,391)	-50.6%	230,062	392,676	(162,614)	-41.4%	4
5 Total operating revenues	8,831,244	10,103,639	(1,272,395)	-12.6%	19,606,700	21,585,573	(1,978,873)	-9.2%	5
Operating Expenses:									
6 Power and pumping	116,402	183,933	(67,531)	-36.7%	223,394	371,140	(147,746)	-39.8%	6
7 Treatment	989,599	723,398	266,201	36.8%	1,243,935	1,334,159	(90,224)	-6.8%	7
8 Transmission and distribution	673,769	1,306,596	(632,827)	-48.4%	1,344,230	2,793,507	(1,449,278)	-51.9%	8
9 Customer accounts	203,418	171,788	31,630	18.4%	378,917	355,448	23,469	6.6%	9
10 Customer service	796,109	292,521	503,588	172.2%	945,241	433,498	511,743	118.0%	10
11 Administration and general	915,725	678,865	236,860	34.9%	1,967,947	1,513,699	454,247	30.0%	11
12 Payroll related	939,391	1,635,061	(695,669)	-42.5%	2,064,791	3,118,405	(1,053,614)	-33.8%	12
13 Maintenance of general plant	1,138,333	1,128,426	9,907	0.9%	1,435,564	1,538,170	(102,606)	-6.7%	13
14 Depreciation	1,223,414	1,063,838	159,576	15.0%	2,446,828	2,127,677	319,152	15.0%	14
15 Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16 Provision for doubtful accounts	1,000,380	551,697	448,684	81.3%	2,000,761	551,697	1,449,064	262.7%	16
17 Provision for claims	79,209	68,950	10,260	14.9%	134,253	46,888	87,365	186.3%	17
18 Total operating expenses	8,075,750	7,805,073	270,677	3.5%	14,185,860	14,184,288	1,571	0.0%	18
					-				
19 Operating income (loss)	755,494	2,298,566	(1,543,072)	-67.1%	5,420,841	7,401,285	(1,980,444)	-26.8%	19
Non-operating revenues (expense):									
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%	20
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%	21
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%	22
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%	23
24 Interest income	1,860	16,257	(14,397)	-88.6%	4,006	33,861	(29,855)	-88.2%	24
25 Other Taxes	-	-	-	0.0%	-	-	-	0.0%	25
26 Other Income	375,772	-	375,772	0.0%	659,632	-	659,632	0.0%	26
27 Bond Issuance Costs	-	-	-	0.0%	-	-	-	0.0%	27
28 Interest expense	(788,854)	(804,046)	15,192	-1.9%	(1,577,708)	(1,608,092)	30,383	-1.9%	28
29 Operating and maintenance grants	10	-	10	0.0%	10	-	10	0.0%	29
30 Provision for grants	-	-	-	0.0%	-	-	-	0.0%	30
31 Total non-operating revenues	(411,213)	(787,789)	376,576	-47.8%	(411,213)	(1,574,231)	1,163,018	-73.9%	31
32 Income before capital contributions	344,281	1,510,777	(1,166,496)	-77.2%	5,009,628	5,827,054	(817,426)	-14.0%	32
33 Capital contributions	-	54,947	(54,947)	-100.0%	236,365	69,965	166,399	237.8%	33
34 Change in net position	344,281	1,565,724	(1,221,443)	-78.0%	5,245,993	5,897,020	(651,027)	-11.0%	34
35 Transfer of Debt Service Assistance Fund loan payable					-				35
36 Net position, beginning of year					426,577,730	371,193,548	55,384,182	14.9%	36
37 Net position, end of year					431,823,723	377,090,568	54,733,155	14.5%	37

SEWERAGE AND WATER BOARD OF NEW ORLEANS
WATER SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH BUDGET COMPARISONS
February 2021 Prelim Financials

	A	B	C	D	E	F	G	H	
	MTD	MTD	MTD		YTD	YTD	YTD		
	Actual	Budget	Variance	%	Actual	Budget	Variance	%	
Operating revenues:									
1 Sales of water and delinquent fees	8,703,877	9,103,478	(399,601)	-4.4%	19,325,908	18,449,883	876,025	4.7%	1
2 Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%	2
3 Plumbing inspection and license fees	22,440	24,322	(1,882)	-7.7%	50,730	45,290	5,440	12.0%	3
4 Other revenues ¹	104,927	14,214	90,713	638.2%	230,062	27,778	202,284	728.2%	4
5 Total operating revenues	8,831,244	9,142,015	(310,770)	-3.4%	19,606,700	18,522,951	1,083,749	5.9%	5
Operating Expenses:									
6 Power and pumping	116,402	193,607	(77,206)	-39.9%	223,394	394,042	(170,649)	-43.3%	6
7 Treatment	989,599	820,589	169,010	20.6%	1,243,935	1,498,213	(254,278)	-17.0%	7
8 Transmission and distribution	673,769	1,296,245	(622,476)	-48.0%	1,344,230	2,580,123	(1,235,893)	-47.9%	8
9 Customer accounts	203,418	187,354	16,064	8.6%	378,917	379,039	(123)	0.0%	9
10 Customer service	796,109	258,382	537,727	208.1%	945,241	420,385	524,856	124.9%	10
11 Administration and general	915,725	1,063,390	(147,665)	-13.9%	1,967,947	2,454,065	(486,118)	-19.8%	11
12 Payroll related	939,391	1,020,667	(81,276)	-8.0%	2,064,791	1,995,384	69,406	3.5%	12
13 Maintenance of general plant	1,138,333	783,788	354,545	45.2%	1,435,564	1,732,563	(296,999)	-17.1%	13
14 Depreciation	1,223,414	3,827,750	(2,604,336)	-68.0%	2,446,828	6,611,954	(4,165,126)	-63.0%	14
15 Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16 Provision for doubtful accounts	1,000,380	1,264,255	(263,875)	-20.9%	2,000,761	2,528,511	(527,750)	-20.9%	16
17 Provision for claims	79,209	84,875	(5,666)	-6.7%	134,253	147,799	(13,546)	-9.2%	17
18 Total operating expenses	8,075,750	10,800,903	(2,725,153)	-25.2%	14,185,860	20,742,078	(6,556,219)	-31.6%	18
19 Operating income (loss)	755,494	(1,658,888)	2,414,382	-145.5%	5,420,841	(2,219,127)	7,639,968	-344.3%	19
Non-operating revenues (expense):									
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%	20
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%	21
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%	22
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%	23
24 Interest income	1,860	89,725	(87,865)	-97.9%	4,006	179,450	(175,444)	-97.8%	24
25 Other Taxes	-	-	-	0.0%	-	-	-	0.0%	25
26 Other Income	375,772	143,800	231,972	161.3%	659,632	287,600	372,032	129.4%	26
27 Interest expense	(788,854)	(788,854)	(0)	0.0%	(1,577,708)	(1,577,708)	(0)	0.0%	27
28 Operating and maintenance grants	10	-	10	0.0%	10	-	10	0.0%	28
29 Provision for grants	-	-	-	0.0%	-	-	-	0.0%	29
30 Total non-operating revenues	(411,213)	(555,329)	144,117	-26.0%	(914,060)	(1,110,658)	196,598	-17.7%	30
31 Income before capital contributions	344,281	(2,214,217)	2,558,499	-115.5%	5,009,628	(3,329,786)	8,339,414	-250.4%	31
32 Capital contributions	-	-	-	0.0%	236,365	-	236,365	0.0%	32
33 Change in net position	344,281	(2,214,217)	2,558,499	-115.5%	5,245,993	(3,329,786)	8,575,778	-257.5%	33
35 Audit Adjustment	-	-	-	-	-	-	-	-	35
36 Net position, beginning of year	426,577,730	371,193,548	55,384,182	14.9%	426,577,730	371,193,548	55,384,182	14.9%	36
37 Net position, end of year	431,823,723	367,863,762	63,959,960	17.4%	431,823,723	367,863,762	63,959,960	17.4%	37

SEWERAGE AND WATER BOARD OF NEW ORLEANS
SEWER SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH PRIOR YEAR COMPARISONS
February 2021 Prelim Financials

	A	B	C	D	E	F	G	H	
	MTD	MTD	MTD		YTD	YTD	YTD		
	Actual	Prior Year	Variance	%	Actual	Prior Year	Variance	%	
Operating revenues:									
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%	1
2 Sewerage service charges and del fees	11,236,665	12,260,856	(1,024,191)	-8.4%	24,432,067	26,031,395	(1,599,329)	-6.1%	2
3 Plumbing inspection and license fees	-	17,548	(17,548)	-100.0%	-	42,498	(42,498)	-100.0%	3
4 Other revenues	29,388	21,347	8,040	37.7%	65,612	118,805	(53,193)	-44.8%	4
5 Total operating revenues	11,266,052	12,299,751	(1,033,699)	-8.4%	24,497,678	26,192,699	(1,695,020)	-6.5%	5
Operating Expenses:									
6 Power and pumping	253,349	448,980	(195,631)	-43.6%	357,569	904,955	(547,386)	-60.5%	6
7 Treatment	2,145,519	10,552	2,134,967	20233.1%	2,156,312	259,257	1,897,055	731.7%	7
8 Transmission and distribution	1,595,584	854,338	741,246	86.8%	2,199,818	1,888,992	310,826	16.5%	8
9 Customer accounts	203,418	171,788	31,630	18.4%	378,916	355,447	23,469	6.6%	9
10 Customer service	796,109	292,521	503,588	172.2%	945,240	433,497	511,743	118.0%	10
11 Administration and general	1,242,511	466,379	776,132	166.4%	2,526,402	1,565,507	960,895	61.4%	11
12 Payroll related	943,328	1,644,508	(701,180)	-42.6%	2,074,855	3,141,105	(1,066,250)	-33.9%	12
13 Maintenance of general plant	633,439	386,241	247,199	64.0%	935,087	778,104	156,984	20.2%	13
14 Depreciation	2,701,143	2,348,820	352,323	15.0%	5,557,745	4,697,640	860,105	18.3%	14
15 Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16 Provision for doubtful accounts	1,261,429	692,930	568,498	82.0%	2,522,857	111,852	2,411,005	2155.5%	16
17 Provision for claims	79,209	68,950	10,259	14.9%	134,253	49,288	84,965	172.4%	17
18 Total operating expenses	11,855,038	7,386,007	4,469,031	60.5%	19,789,055	14,185,644	5,603,410	39.5%	18
					-				
19 Operating income (loss)	(588,986)	4,913,745	(5,502,731)	-112.0%	4,708,624	12,007,054	(7,298,430)	-60.8%	19
Non-operating revenues (expense):									
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%	20
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%	21
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%	22
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%	23
24 Interest income	10,058	1,915	8,144	425.4%	21,194	5,194	16,000	308.1%	24
25 Other Taxes	-	-	-	0.0%	-	-	-	0.0%	25
26 Other Income	-	-	-	0.0%	-	-	-	0.0%	26
27 Bond Issuance Costs	-	-	-	0.0%	-	-	-	0.0%	27
28 Interest expense	(1,144,274)	(906,940)	(237,334)	26.2%	(2,288,548)	(1,813,881)	(474,668)	26.2%	28
29 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%	29
30 Provision for grants	-	-	-	0.0%	-	-	-	0.0%	30
31 Total non-operating revenues	(1,134,216)	(905,026)	(229,190)	25.3%	(2,267,354)	(1,808,687)	(458,668)	25.4%	31
					-				
32 Income before capital contributions	(1,723,202)	4,008,719	(5,731,921)	-143.0%	2,441,269	10,198,367	(7,757,098)	-76.1%	32
33 Capital contributions	-	58,265	(58,265)	-100.0%	393,941	234,627	159,314	67.9%	33
34 Change in net position	(1,723,202)	4,066,984	(5,790,186)	-142.4%	2,835,210	10,432,994	(7,597,784)	-72.8%	34
35 Transfer of Debt Service Assistance Fund loan payable					-				35
36 Net position, beginning of year					848,456,908	812,112,814	36,344,094	4.5%	36
37 Net position, end of year					851,292,118	822,545,808	28,746,310	3.5%	37

SEWERAGE AND WATER BOARD OF NEW ORLEANS
SEWER SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH BUDGET COMPARISONS
February 2021 Prelim Financials

	A	B	C	D	E	F	G	H	
	MTD	MTD	MTD		YTD	YTD	YTD		
	Actual	Budget	Variance	%	Actual	Budget	Variance	%	
Operating revenues:									
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%	1
2 Sewerage service charges and del fees	11,236,665	11,342,412	(105,747)	-0.9%	24,432,067	22,978,636	1,453,430	6.3%	2
3 Plumbing inspection and license fees	-	24,234	(24,234)	-100.0%	-	45,355	(45,355)	-100.0%	3
4 Other revenues	29,388	24,159	5,229	21.6%	65,612	31,939	33,672	105.4%	4
5 Total operating revenues	11,266,052	11,390,805	(124,753)	-1.1%	24,497,678	23,055,931	1,441,748	6.3%	5
Operating Expenses:									
6 Power and pumping	253,349	316,267	(62,918)	-19.9%	357,569	662,301	(304,732)	-46.0%	6
7 Treatment	2,145,519	1,002,210	1,143,309	114.1%	2,156,312	2,086,208	70,104	3.4%	7
8 Transmission and distribution	1,595,584	1,500,531	95,053	6.3%	2,199,818	3,005,518	(805,700)	-26.8%	8
9 Customer accounts	203,418	187,905	15,513	8.3%	378,916	380,141	(1,225)	-0.3%	9
10 Customer service	796,109	258,753	537,356	207.7%	945,240	421,358	523,882	124.3%	10
11 Administration and general	1,242,511	1,300,705	(58,194)	-4.5%	2,526,402	3,219,458	(693,057)	-21.5%	11
12 Payroll related	943,328	856,853	86,475	10.1%	2,074,855	1,638,709	436,146	26.6%	12
13 Maintenance of general plant	633,439	1,113,179	(479,739)	-43.1%	935,087	1,694,768	(759,681)	-44.8%	13
14 Depreciation	2,701,143	2,221,876	479,267	21.6%	5,557,745	4,443,753	1,113,992	25.1%	14
15 Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16 Provision for doubtful accounts	1,261,429	1,503,054	(241,625)	-16.1%	2,522,857	3,006,108	(483,250)	-16.1%	16
17 Provision for claims	79,209	94,276	(15,067)	-16.0%	134,253	167,974	(33,721)	-20.1%	17
18 Total operating expenses	11,855,038	10,355,610	1,499,429	14.5%	19,789,055	20,726,296	(937,241)	-4.5%	18
19 Operating income (loss)	(588,986)	1,035,196	(1,624,182)	-156.9%	4,708,624	2,329,635	2,378,989	102.1%	19
Non-operating revenues (expense):									
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%	20
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%	21
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%	22
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%	23
24 Interest income	10,058	108,167	(98,108)	-90.7%	21,194	216,333	(195,139)	-90.2%	24
25 Other Taxes	-	-	-	0.0%	-	-	-	0.0%	25
26 Other Income	-	58,617	(58,617)	-100.0%	-	117,233	(117,233)	-100.0%	26
27 Interest expense	(1,144,274)	(1,084,772)	(59,502)	5.5%	(2,288,548)	(2,169,545)	(119,004)	5.5%	27
28 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%	28
29 Provision for grants	-	-	-	0.0%	-	-	-	0.0%	29
30 Total non-operating revenues	(1,134,216)	(917,989)	(216,227)	23.6%	(2,267,354)	(1,835,978)	(431,377)	23.5%	30
31 Income before capital contributions	(1,723,202)	117,207	(1,840,409)	-1570.2%	2,441,269	493,657	1,947,612	394.5%	31
32 Capital contributions	-	-	-	0.0%	393,941	-	393,941	0.0%	32
33 Change in net position	(1,723,202)	117,207	(1,840,409)	-1570.2%	2,835,210	493,657	2,341,553	474.3%	33
35 Audit Adjustment	-	-	-	-	-	-	-	-	35
36 Net position, beginning of year	848,456,908	812,112,814	36,344,094	4.5%	851,292,118	812,606,471	38,685,647	4.8%	36
37 Net position, end of year	-	-	-	-	-	-	-	-	37

SEWERAGE AND WATER BOARD OF NEW ORLEANS
DRAINAGE SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH PRIOR YEAR COMPARISONS
February 2021 Prelim Financials

	A	B	C	D	E	F	G	H	
	MTD	MTD	MTD		YTD	YTD	YTD		
	Actual	Prior Year	Variance	%	Actual	Prior Year	Variance	%	
Operating revenues:									
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%	1
2 Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%	2
3 Plumbing inspection and license fees	-	-	-	0.0%	-	-	-	0.0%	3
4 Other revenues	5,667	1,964	3,702	188.5%	12,062	3,390	8,672	255.8%	4
5 Total operating revenues	5,667	1,964	3,702	188.5%	12,062	3,390	8,672	255.8%	5
Operating Expenses:									
6 Power and pumping	437,323	768,979	(331,657)	-43.1%	795,329	1,501,346	(706,018)	-47.0%	6
7 Treatment	-	-	-	0.0%	-	-	-	0.0%	7
8 Transmission and distribution	272,622	325,276	(52,654)	-16.2%	627,201	738,436	(111,236)	-15.1%	8
9 Customer accounts	985	949	36	3.8%	1,939	1,879	61	3.2%	9
10 Customer service	5,752	6,444	(692)	-10.7%	11,368	13,117	(1,749)	-13.3%	10
11 Administration and general	584,051	386,347	197,704	51.2%	1,200,530	937,564	262,965	28.0%	11
12 Payroll related	513,840	1,110,787	(596,947)	-53.7%	1,130,577	2,154,852	(1,024,275)	-47.5%	12
13 Maintenance of general plant	586,793	299,656	287,137	95.8%	737,033	500,848	236,185	47.2%	13
14 Depreciation	2,778,872	2,416,411	362,462	15.0%	5,557,745	4,832,822	724,923	15.0%	14
15 Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16 Provision for doubtful accounts	-	7,419	(7,419)	-100.0%	-	7,419	(7,419)	-100.0%	16
17 Provision for claims	39,605	34,475	5,130	14.9%	67,127	(400,689)	467,816	-116.8%	17
18 Total operating expenses	5,219,843	5,356,742	(136,899)	-2.6%	10,128,847	10,287,594	(158,747)	-1.5%	18
					-				
19 Operating income (loss)	(5,214,176)	(5,354,777)	140,601	-2.6%	(10,116,785)	(10,284,204)	167,419	-1.6%	19
Non-operating revenues (expense):									
20 Two-mill tax	73	-	73	0.0%	109	-	109	0.0%	20
21 Three-mill tax	8,896	-	8,896	0.0%	68,921	-	68,921	0.0%	21
22 Six-mill tax	9,321	-	9,321	0.0%	72,526	-	72,526	0.0%	22
23 Nine-mill tax	13,931	-	13,931	0.0%	108,672	-	108,672	0.0%	23
24 Interest income	1,089	1,597	(508)	-31.8%	3,176	6,908	(3,732)	-54.0%	24
25 Other Taxes	-	-	-	0.0%	-	-	-	0.0%	25
26 Other Income	-	-	-	0.0%	-	-	-	0.0%	26
27 Bond Issuance Costs	-	-	-	0.0%	-	-	-	0.0%	27
28 Interest expense	-	-	-	0.0%	-	-	-	0.0%	28
29 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%	29
30 Provision for claims	-	-	-	0.0%	-	-	-	0.0%	30
31 Total non-operating revenues	33,310	1,597	31,713	1985.9%	253,403	6,908	246,495	3568.3%	31
					-				
32 Income before capital contributions	(5,180,866)	(5,353,181)	172,315	-3.2%	(9,863,382)	(10,277,296)	413,914	-4.0%	32
33 Capital contributions	-	60,083	(60,083)	-100.0%	157,576	93,314	64,262	68.9%	33
34 Change in net position	(5,180,866)	(5,293,098)	112,232	-2.1%	(9,705,806)	(10,183,982)	478,176	-4.7%	34
35 Transfer of Debt Service Assistance Fund loan payable					-				35
36 Net position, beginning of year					1,230,809,588	1,172,961,690	57,847,898	4.9%	36
37 Net position, end of year					1,221,103,782	1,162,777,708	58,326,074	5.0%	37

SEWERAGE AND WATER BOARD OF NEW ORLEANS
DRAINAGE SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH BUDGET COMPARISONS
February 2021 Prelim Financials

	A	B	C	D	E	F	G	H	
	MTD	MTD	MTD		YTD	YTD	YTD		
	Actual	Budget	Variance	%	Actual	Budget	Variance	%	
Operating revenues:									
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%	1
2 Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%	2
3 Plumbing inspection and license fees	-	-	-	0.0%	-	-	-	0.0%	3
4 Other revenues	5,667	-	5,667	100.0%	12,062	-	12,062	100.0%	4
5 Total operating revenues	5,667	-	5,667	100.0%	12,062	-	12,062	100.0%	5
Operating Expenses:									
6 Power and pumping	437,323	511,135	(73,812)	-14.4%	795,329	1,330,808	(535,479)	-40.2%	6
7 Treatment	-	-	-	0.0%	-	-	-	0.0%	7
8 Transmission and distribution	272,622	373,775	(101,152)	-27.1%	627,201	750,730	(123,530)	-16.5%	8
9 Customer accounts	985	1,189	(204)	-17.1%	1,939	2,406	(467)	-19.4%	9
10 Customer service	5,752	5,856	(104)	-1.8%	11,368	9,836	1,532	15.6%	10
11 Administration and general	584,051	646,381	(62,329)	-9.6%	1,200,530	1,515,485	(314,956)	-20.8%	11
12 Payroll related	513,840	633,259	(119,419)	-18.9%	1,130,577	1,188,277	(57,700)	-4.9%	12
13 Maintenance of general plant	586,793	360,318	226,475	62.9%	737,033	708,589	28,443	4.0%	13
14 Depreciation	2,778,872	1,140,391	1,638,481	143.7%	5,557,745	2,280,783	3,276,962	143.7%	14
15 Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16 Provision for doubtful accounts	-	9,807	(9,807)	-100.0%	-	17,604	(17,604)	-100.0%	16
17 Provision for claims	39,605	55,769	(16,164)	-29.0%	67,127	101,859	(34,732)	-34.1%	17
18 Total operating expenses	5,219,843	3,737,879	1,481,964	39.6%	10,128,847	7,906,378	2,222,469	28.1%	18
19 Operating income (loss)	(5,214,176)	(3,737,879)	(1,476,297)	39.5%	(10,116,785)	(7,906,378)	(2,210,407)	28.0%	19
Non-operating revenues (expense):									
20 Two-mill tax	73	-	73	0.0%	109	-	109	0.0%	20
21 Three-mill tax	8,896	215,563	(206,667)	-95.9%	68,921	234,621	(165,700)	-70.6%	21
22 Six-mill tax	9,321	202,922	(193,601)	-95.4%	72,526	325,802	(253,276)	-77.7%	22
23 Nine-mill tax	13,931	292,730	(278,799)	-95.2%	108,672	464,763	(356,091)	-76.6%	23
24 Interest income	1,089	14,335	(13,245)	-92.4%	3,176	28,669	(25,493)	-88.9%	24
25 Other Taxes	-	-	-	0.0%	-	-	-	0.0%	25
26 Other Income	-	42,711	(42,711)	-100.0%	-	85,422	(85,422)	-100.0%	26
27 Interest expense	-	(7,008)	7,008	-100.0%	-	(14,017)	14,017	-100.0%	27
28 Operating and maintenance grants	-	28,564	(28,564)	-100.0%	-	57,127	(57,127)	-100.0%	28
29 Provision for grants	-	-	-	0.0%	-	-	-	0.0%	29
30 Total non-operating revenues	33,310	789,816	(756,505)	-95.8%	253,403	1,182,388	(928,985)	-78.6%	30
31 Income before capital contributions	(5,180,866)	(2,948,063)	(2,232,803)	75.7%	(9,863,382)	(6,723,990)	(3,139,392)	46.7%	31
32 Capital contributions	-	-	-	0.0%	157,576	-	157,576	0.0%	32
33 Change in net position	(5,180,866)	(2,948,063)	(2,232,803)	75.7%	(9,705,806)	(6,723,990)	(2,981,816)	44.3%	33
35 Audit Adjustment					-				35
36 Net position, beginning of year					1,230,809,588	1,172,961,690	57,847,898	4.9%	36
37 Net position, end of year					1,221,103,782	1,166,237,700	54,866,082	4.7%	37

SEWERAGE AND WATER BOARD OF NEW ORLEANS
ALL SYSTEM FUNDS
STATEMENTS OF NET POSITION
WITH PRIOR YEAR AND BEGINNING OF YEAR COMPARISONS
February 2021 Prelim Financials

Assets		A	B	C	D	E	F	G	
		Prior Year	Variance	%	Current Year	Variance	%	Beginning of Year (2020)	
Noncurrent assets:									
1	Property, plant and equipment	4,404,650,192	212,867,958	4.8%	4,617,518,150	202,274,291	4.6%	4,415,243,859	1
2	Less: accumulated depreciation	1,108,606,879	1,904,180	0.2%	1,110,511,059	13,562,315	1.2%	1,096,948,744	2
3	Property, plant, and equipment, net	3,296,043,313	210,963,778	6.4%	3,507,007,091	188,711,976	5.7%	3,318,295,115	3
Restricted cash, cash equivalents, and investments									
4	Cash and cash equivalents restricted for capital projects	4,723,785	87,067,657	1843.2%	91,791,442	87,574,584	2076.8%	4,216,858	4
5	Debt service	15,293,061	(589,975)	-3.9%	14,703,086	2,952,661	25.1%	11,750,425	5
6	Debt service reserve	32,089,192	1,353,768	4.2%	33,442,960	1,353,768	4.2%	32,089,192	6
7	Health insurance reserve	1,887,000	-	0.0%	1,887,000	0	0.0%	1,887,000	7
8	Total restricted cash, cash equivalents, and investments	53,993,038	87,831,450	162.7%	141,824,488	91,881,012	184.0%	49,943,476	8
Current assets:									
Unrestricted and undesignated									
9	Cash and cash equivalents	49,084,534	30,892,003	62.9%	79,976,537	17,714,073	28.5%	62,262,464	9
Accounts receivable:									
10	Customers (net of allowance for doubtful accounts)	38,586,978	9,810,597	25.4%	48,397,575	14,341,816	42.1%	34,055,759	10
11	Taxes	4,673,993	(550,870)	-11.8%	4,123,123	(966,013)	-19.0%	5,089,136	11
12	Interest	2,147	(590)	-27.5%	1,557	1,557	0.0%	0	12
13	Grants	16,750,999	(280,735)	-1.7%	16,470,264	(499,375)	-2.9%	16,969,639	13
14	Miscellaneous	5,514,015	3,718,789	67.4%	9,232,804	3,450,006	59.7%	5,782,798	14
15	Due from (to) other internal departments	(164,482)	511,595	-311.0%	347,113	347,113	0.0%	0	15
16	Inventory of supplies	5,137,000	174,052	3.4%	5,311,052	174,053	3.4%	5,136,999	16
17	Prepaid expenses	570,597	287,491	50.4%	858,087	287,490	50.4%	570,597	17
18	Total unrestricted current assets	120,155,779	44,562,334	37.1%	164,718,113	34,850,721	26.8%	129,867,392	18
Other assets:									
19	Funds from/for customer deposits	12,819,922	600,688	4.7%	13,420,610	626,783	4.9%	12,793,827	19
20	Deposits	51,315	-	0.0%	51,315	-	0.0%	51,315	20
21	Total other assets	12,871,237	600,688	4.7%	13,471,925	626,783	4.9%	12,845,142	21
22	TOTAL ASSETS	3,483,063,367	343,958,250	210.8%	3,827,021,617	316,070,492	221.4%	3,510,951,125	22
Deferred outflows or resources:									
23	Deferred amounts related to net pension liability	13,848,478	2,428,421	17.5%	16,276,899	(2,428,422)	-14.9%	18,705,321	23
24	Deferred amounts related to OPEB	29,365,639	(4,549,606)	-15.5%	24,816,033	0	0.0%	24,816,033	24
25	Deferred loss on bond refunding	2,599,743	(261,256)	-10.0%	2,338,487	(261,256)	-10.0%	2,599,743	25
26	TOTAL DEFERRED OUTFLOWS OF RESOURCES	45,813,860	(2,382,441)	-5.2%	43,431,420	(2,689,677)	-5.8%	46,121,097	26
27	TOTAL ASSETS AND TOTAL DEFERRED OUTFLOWS	3,528,877,227	341,575,810	205.6%	3,870,453,037	313,380,815	215.5%	3,557,072,222	27

SEWERAGE AND WATER BOARD OF NEW ORLEANS
ALL SYSTEM FUNDS
STATEMENTS OF NET POSITION
WITH PRIOR YEAR COMPARISONS
February 2021 Prelim Financials

	A	B	C	D	E	F	G	
	Prior Year	Variance	%	Current Year	Variance	%	Beginning of Year (2020)	
NET ASSETS AND LIABILITIES								
Net position								
1 Net investments in capital assets	2,621,716,015	194,732,731	7.4%	2,816,448,746	184,645,994	7.0%	2,631,802,752	1
2 Restricted for Debt Service	47,382,253	763,794	1.6%	48,146,046	4,306,428	9.8%	43,839,618	2
3 Unrestricted	(306,684,185)	8,463,012	-2.8%	(298,221,172)	21,153,146	-6.6%	(319,374,318)	3
4 Total net position	2,362,414,083	203,959,537	8.6%	2,566,373,620	210,105,568	8.9%	2,356,268,052	4
Long-term liabilities								
5 Claims payable	43,209,163	-	0.0%	43,209,163	-	0.0%	43,209,163	5
6 Accrued vacation and sick pay	9,194,486	1,224,721	13.3%	10,419,207	1,350,873	14.9%	9,068,334	6
7 Net pension obligation	101,588,877	1,726,272	1.7%	103,315,149	(1,726,269)	-1.6%	105,041,418	7
8 Other postretirement benefits liability	194,338,528	(6,626,242)	-3.4%	187,712,286	1	0.0%	187,712,285	8
9 Bonds payable (net of current maturities)	422,546,351	69,063,532	16.3%	491,609,883	69,063,532	16.3%	422,546,351	9
10 Special Community Disaster Loan Payable	-	-	0.0%	-	-	0.0%	-	10
11 Southeast Louisiana Project liability	250,343,870	36,505,352	14.6%	286,849,222	36,505,352	14.6%	250,343,870	11
12 Debt Service Assistance Fund Loan payable	40,039,087	(2,773,797)	-6.9%	37,265,290	(2,773,797)	-6.9%	40,039,087	12
13 Customer deposits	12,819,922	600,688	4.7%	13,420,610	626,783	4.9%	12,793,827	13
14 Total long-term liabilities	1,074,080,284	99,119,838	9.2%	1,173,800,810	103,046,475	9.6%	1,070,754,335	14
Current liabilities (payable from current assets)								
15 Accounts payable and other liabilities	31,498,201	35,031,175	111.2%	66,529,375	(1,174,117)	-1.7%	67,703,492	15
16 Due to City of New Orleans	658,421	1,733,335	263.3%	2,391,756	1,681,202	236.6%	710,554	16
17 Disaster Reimbursement Revolving Loan	-	-	0.0%	-	-	0.0%	-	17
18 Retainers and estimates payable	3,481,002	3,163,590	90.9%	6,644,593	3,125,647	88.8%	3,518,946	18
19 Due to other fund	228,539	329,852	144.3%	558,390	215,046	62.6%	343,344	19
20 Accrued salaries	1,868,844	(3,195,781)	-171.0%	(1,326,937)	(3,512,219)	-160.7%	2,185,282	20
21 Claims payable	2,882,675	742,000	25.7%	3,624,675	(0)	0.0%	3,624,675	21
22 Total OPEB liability, due within one year	7,602,480	(86,661)	-1.1%	7,515,819	10	0.0%	7,515,809	22
23 Debt Service Assistance Fund Loan payable	2,650,800	122,997	4.6%	2,773,797	122,997	4.6%	2,650,800	23
24 Advances from federal government	4,656,143	75,231	1.6%	4,731,374	75,867	1.6%	4,655,507	24
25 Other Liabilities	1,021,886	345,778	33.8%	1,367,664	515,489	60.5%	852,175	25
26 Total current liabilities (payable from current assets)	56,548,990	38,261,516	67.7%	94,810,507	1,049,923	292.4%	93,760,584	26
Current liabilities (payable from restricted assets)								
27 Accrued interest	2,550,699	67,470	2.6%	2,618,169	67,470	2.6%	2,550,699	27
28 Bonds payable	17,819,000	(366,000)	-2.1%	17,453,000	(366,000)	-2.1%	17,819,000	28
29 Retainers and estimates payable	749,493	(663,052)	-88.5%	86,441	(663,052)	-88.5%	749,493	29
30 Total current liabilities (payable from restricted assets)	21,119,192	(961,582)	-4.6%	20,157,610	(961,582)	-4.6%	21,119,192	30
31 Total current liabilities	77,668,182	37,299,934	48.0%	114,968,117	88,341	0.1%	114,879,776	31
32 Total liabilities	1,151,748,466	34,526,137	3.0%	1,288,768,926	103,134,815	8.7%	1,185,634,111	32
Deferred inflows or resources:								
33 Amounts related to net pension liability	7,419,173	(140,432)	-1.9%	7,278,740	140,432	2.0%	7,138,308	33
34 Amounts related to total OPEB liability	7,295,506	736,245	10.1%	8,031,751	0	0.0%	8,031,751	34
35 TOTAL DEFERRED INFLOWS OF RESOURCES	14,714,679	(140,432)	-1.0%	15,310,491	140,432	0.9%	15,170,059	35
36 Total Net Position, Liabilities and Deferred Inflows of Resources	3,528,877,228	238,485,674	6.8%	3,870,453,037	313,380,815	8.8%	3,557,072,222	36

SEWERAGE AND WATER BOARD OF NEW ORLEANS
WATER SYSTEM FUND
STATEMENTS OF NET POSITION
WITH PRIOR YEAR AND BEGINNING OF YEAR COMPARISONS
February 2021 Prelim Financials

Assets		A	B	C	D	E	F	G	
		Prior Year	Variance	%	Current Year	Variance	%	Beginning of Year (2020)	
Noncurrent assets:									
1	Property, plant and equipment	1,017,521,026	58,863,190	5.8%	1,076,384,216	53,958,231	5.3%	1,022,425,985	1
2	Less: accumulated depreciation	369,363,849	319,152	0.1%	369,683,000	2,446,828	0.7%	367,236,172	2
3	Property, plant, and equipment, net	648,157,177	58,544,038	9.0%	706,701,216	51,511,403	7.9%	655,189,813	3
Restricted cash, cash equivalents, and investments									
4	Cash and cash equivalents restricted for capital projects	3,379,961	1,520,545	45.0%	4,900,505	1,809,170	58.5%	3,091,335	4
5	Debt service	3,744,877	30,000	0.8%	3,774,877	(667,500)	-17.7%	3,107,377	5
6	Debt service reserve	13,473,550	-	0.0%	13,473,550	-	0.0%	13,473,550	6
7	Health insurance reserve	638,113	-	0.0%	638,113	0	0.0%	638,113	7
8	Total restricted cash, cash equivalents, and investments	21,236,501	1,550,545	7.3%	22,787,045	2,476,670	12.2%	20,310,375	8
Current assets:									
Unrestricted and undesignated									
9	Cash and cash equivalents	13,425,023	3,681,991	27.4%	17,107,015	(3,287,431)	-19.2%	20,394,446	9
Accounts receivable:									
10	Customers (net of allowance for doubtful accounts)	18,605,412	4,125,657	22.2%	22,731,069	6,088,429	26.8%	16,642,640	10
11	Taxes	-	-	0.0%	-	-	0.0%	-	11
12	Interest	-	-	0.0%	-	-	0.0%	-	12
13	Grants	10,610,313	5,182,102	48.8%	15,792,415	4,963,461	45.8%	10,828,954	13
14	Miscellaneous	711,019	4,865,178	684.3%	5,576,197	4,777,164	597.9%	799,033	14
15	Due from (to) other internal departments	(2,765,140)	2,016,601	-72.9%	(748,539)	4,069,553	-84.5%	(4,818,092)	15
16	Inventory of supplies	2,380,984	6,956	0.3%	2,387,940	6,956	0.3%	2,380,984	16
17	Prepaid expenses	284,829	114,996	40.4%	399,825	114,996	40.4%	284,829	17
18	Total unrestricted current assets	43,252,441	19,993,482	46.2%	63,245,922	16,733,128	36.0%	46,512,794	18
Other assets:									
19	Funds from/for customer deposits	12,819,922	600,688	4.7%	13,420,610	626,783	4.9%	12,793,827	19
20	Deposits	22,950	-	0.0%	22,950	-	0.0%	22,950	20
21	Total other assets	12,842,872	600,688	4.7%	13,443,560	626,783	4.9%	12,816,777	21
22	TOTAL ASSETS	725,488,990	80,688,753	67.2%	806,177,743	71,347,984	60.9%	734,829,759	22
Deferred outflows or resources:									
23	Deferred amounts related to net pension liability	4,616,159	809,474	17.5%	5,425,633	(809,474)	-13.0%	6,235,107	23
24	Deferred amounts related to OPEB	9,788,546	(1,516,535)	-15.5%	8,272,011	0	0.0%	8,272,011	24
25	Deferred loss on bond refunding	10,886	(4,362)	-40.1%	6,524	(4,362)	-40.1%	10,886	25
26	TOTAL DEFERRED OUTFLOWS OF RESOURCES	14,415,592	(711,424)	-4.9%	13,704,168	(813,836)	-5.6%	14,518,004	26
27	TOTAL ASSETS AND TOTAL DEFERRED OUTFLOWS	739,904,582	79,977,329	62.3%	819,881,911	70,534,148	55.3%	749,347,763	27

SEWERAGE AND WATER BOARD OF NEW ORLEANS
WATER SYSTEM FUND
STATEMENTS OF NET POSITION
WITH PRIOR YEAR COMPARISONS
February 2021 Prelim Financials

	A	B	C	D	E	F	G	
	Prior Year	Variance	%	Current Year	Variance	%	Beginning of Year (2020)	
NET ASSETS AND LIABILITIES								
Net position								
1 Net investments in capital assets	444,176,254	65,214,766	14.7%	509,391,019	60,598,432	13.5%	448,792,587	1
2 Restricted for Debt Service	17,218,427	30,000	0.2%	17,248,427	667,500	4.0%	16,580,927	2
3 Unrestricted	(84,304,113)	248,551	-0.3%	(84,055,562)	10,124,404	-10.8%	(94,179,966)	3
4 Total net position	377,090,567	65,493,317	17.4%	442,583,884	71,390,336	19.2%	371,193,548	4
Long-term liabilities								
5 Claims payable	3,879,578	-	0.0%	3,879,578	-	0.0%	3,879,578	5
6 Accrued vacation and sick pay	3,724,080	467,816	12.6%	4,191,896	516,766	14.1%	3,675,130	6
7 Net pension obligation	33,862,959	575,424	1.7%	34,438,383	(575,423)	-1.6%	35,013,806	7
8 Other postretirement benefits liability	64,779,510	(2,208,747)	-3.4%	62,570,762	0	0.0%	62,570,762	8
9 Bonds payable (net of current maturities)	205,663,561	(5,011,031)	-2.4%	200,652,530	(5,011,031)	-2.4%	205,663,561	9
10 Special Community Disaster Loan Payable	-	-	0.0%	-	-	0.0%	-	10
11 Southeast Louisiana Project liability	-	-	0.0%	-	-	0.0%	-	11
12 Debt Service Assistance Fund Loan payable	-	-	0.0%	-	-	0.0%	-	12
13 Customer deposits	12,819,922	600,688	4.7%	13,420,610	626,783	4.9%	12,793,827	13
14 Total long-term liabilities	324,729,610	(6,176,538)	-1.9%	319,153,759	(4,442,905)	-1.4%	323,596,664	14
Current liabilities (payable from current assets)								
15 Accounts payable and other liabilities	19,055,434	16,923,029	88.8%	35,978,464	862,018	2.5%	35,116,446	15
16 Due to City of New Orleans	658,421	1,733,335	263.3%	2,391,756	1,681,202	236.6%	710,554	16
17 Disaster Reimbursement Revolving Loan	-	-	0.0%	-	-	0.0%	-	17
18 Retainers and estimates payable	455,255	2,283,407	501.6%	2,738,661	2,283,407	501.6%	455,254	18
19 Due to other fund	111,624	160,928	144.2%	272,553	46,123	20.4%	226,430	19
20 Accrued salaries	631,327	(1,341,950)	-212.6%	(710,623)	(1,490,583)	-191.1%	779,960	20
21 Claims payable	1,089,171	128,167	11.8%	1,217,338	(0)	0.0%	1,217,338	21
22 Other postretirement benefits liability	2,534,160	(28,887)	-1.1%	2,505,273	-	0.0%	2,505,273	22
23 Debt Service Assistance Fund Loan payable	-	-	0.0%	-	-	0.0%	-	23
24 Advances from federal government	2,679,837	75,231	2.8%	2,755,068	75,866	2.8%	2,679,202	24
25 Other Liabilities	783,260	235,894	30.1%	1,019,154	389,768	61.9%	629,386	25
26 Total current liabilities (payable from current assets)	27,998,490	20,169,154	72.0%	48,167,644	3,847,801	8.7%	44,319,843	26
Current liabilities (payable from restricted assets)								
27 Accrued interest	883,851	(94,997)	-10.7%	788,854	(94,997)	-10.7%	883,851	27
28 Bonds payable	3,825,000	180,000	4.7%	4,005,000	180,000	4.7%	3,825,000	28
29 Retainers and estimates payable	472,171	(392,898)	-83.2%	79,273	(392,898)	-83.2%	472,171	29
30 Total current liabilities (payable from restricted assets)	5,181,022	(307,895)	-5.9%	4,873,127	(307,895)	-5.9%	5,181,022	30
31 TOTAL CURRENT LIABILITIES	33,179,512	19,861,259	59.9%	53,040,771	3,539,906	7.2%	49,500,865	31
32 TOTAL LIABILITIES	357,909,122	13,684,720	3.8%	372,194,530	(902,999)	-0.2%	373,097,529	32
Deferred inflows or resources:								
33 Amounts related to net pension liability	2,473,058	(46,811)	-1.9%	2,426,247	1,868,773	78.5%	2,379,436	33
34 Amounts related to total OPEB liability	2,431,835	245,415	10.1%	2,677,250	4,015,877	150.0%	2,677,250	34
35 TOTAL DEFERRED INFLOWS OF RESOURCES	4,904,893	198,604	4.0%	5,103,497	5,884,650	116.4%	5,056,686	35
36 Total Net Position, Liabilities and Deferred Inflows of Resources	739,904,582	79,178,037	10.7%	819,881,911	70,534,148	9.4%	749,347,763	36

SEWERAGE AND WATER BOARD OF NEW ORLEANS
SEWER SYSTEM FUND
STATEMENTS OF NET POSITION
WITH PRIOR YEAR AND BEGINNING OF YEAR COMPARISONS
February 2021 Prelim Financials

Assets		A	B	C	D	E	F	G	
		Prior Year	Variance	%	Current Year	Variance	%	Beginning of Year (2020)	
Noncurrent assets:									
1	Property, plant and equipment	1,432,291,928	42,191,667	2.9%	1,474,483,595	42,100,585	2.9%	1,432,383,010	1
2	Less: accumulated depreciation	339,260,624	860,105	0.3%	340,120,729	5,557,744	1.7%	334,562,985	2
3	Property, plant, and equipment, net	1,093,031,304	41,331,562	3.8%	1,134,362,866	36,542,841	3.3%	1,097,820,025	3
Restricted cash, cash equivalents, and investments									
4	Cash and cash equivalents restricted for capital projects	1,036,015	83,127,955	8023.8%	84,163,969	83,285,961	9485.8%	878,008	4
5	Debt service	11,492,751	(564,542)	-4.9%	10,928,209	2,340,593	27.3%	8,587,616	5
6	Debt service reserve	18,615,642	1,353,768	7.3%	19,969,410	1,353,768	7.3%	18,615,642	6
7	Health insurance reserve	603,855	-	0.0%	603,855	0	0.0%	603,855	7
8	Total restricted cash, cash equivalents, and investments	31,748,263	83,917,181	264.3%	115,665,444	86,980,322	303.2%	28,685,122	8
Current assets:									
Unrestricted and undesignated									
9	Cash and cash equivalents	30,456,557	18,154,721	59.6%	48,611,278	15,934,638	48.8%	32,676,640	9
Accounts receivable:									
10	Customers (net of allowance for doubtful accounts)	19,988,984	5,677,522	28.4%	25,666,506	8,253,387	47.4%	17,413,119	10
11	Taxes	-	-	0.0%	-	-	0.0%	-	11
12	Interest	2,147	(590)	-27.5%	1,557	1,557	0.0%	-	12
13	Grants	1,821,272	(1,888,860)	-103.7%	(67,587)	(1,888,858)	-103.7%	1,821,271	13
14	Miscellaneous	759,269	1,570,143	206.8%	2,329,412	1,466,806	170.0%	862,606	14
15	Due from (to) other internal departments	22,479,950	(8,756,027)	-39.0%	13,723,922	(3,826,921)	-21.8%	17,550,843	15
16	Inventory of supplies	1,635,632	111,397	6.8%	1,747,030	111,398	6.8%	1,635,632	16
17	Prepaid expenses	130,248	114,996	88.3%	245,244	114,996	88.3%	130,248	17
18	Total unrestricted current assets	77,274,058	14,983,304	19.4%	92,257,362	20,167,003	28.0%	72,090,359	18
Other assets:									
19	Funds from/for customer deposits	-	-	0.0%	-	-	0.0%	-	19
20	Deposits	17,965	-	0.0%	17,965	-	0.0%	17,965	20
21	Total other assets	17,965	-	0.0%	17,965	-	0.0%	17,965	21
22	TOTAL ASSETS	1,202,071,591	140,232,046	287.5%	1,342,303,637	143,690,166	334.5%	1,198,613,471	22
Deferred outflows or resources:									
23	Deferred amounts related to net pension liability	4,616,159	809,474	17.5%	5,425,633	(809,474)	-14.9%	6,235,107	23
24	Deferred amounts related to OPEB	9,788,545	(1,516,535)	-15.5%	8,272,010	(0)	0.0%	8,272,010	24
25	Deferred loss on bond refunding	2,513,395	(245,374)	-9.8%	2,268,021	(245,374)	-9.8%	2,513,395	25
26	TOTAL DEFERRED OUTFLOWS OF RESOURCES	16,918,100	(952,435)	-5.6%	15,965,664	(1,054,848)	-6.2%	17,020,512	26
27	TOTAL ASSETS AND TOTAL DEFERRED OUTFLOWS	1,218,989,690	139,279,611	281.9%	1,358,269,301	142,635,319	328.3%	1,215,633,983	27

SEWERAGE AND WATER BOARD OF NEW ORLEANS
SEWER SYSTEM FUND
STATEMENTS OF NET POSITION
WITH PRIOR YEAR COMPARISONS
February 2021 Prelim Financials

	A	B	C	D	E	F	G	
	Prior Year	Variance	%	Current Year	Variance	%	Beginning of Year (2020)	
NET ASSETS AND LIABILITIES								
Net position								
1 Net investments in capital assets	873,790,612	49,857,789	5.7%	923,648,402	49,924,715	5.7%	873,723,687	1
2 Restricted for Debt Service	30,108,393	789,226	2.6%	30,897,619	3,694,360	13.6%	27,203,259	2
3 Unrestricted	(81,353,198)	4,726,391	-5.8%	(76,626,807)	12,187,325	-13.7%	(88,814,132)	3
4 Total net position	822,545,808	55,373,406	6.7%	877,919,214	65,806,400	8.1%	812,112,814	4
Long-term liabilities								
5 Claims payable	4,937,099	-	0.0%	4,937,099	-	0.0%	4,937,099	5
6 Accrued vacation and sick pay	3,514,586	510,932	14.5%	4,025,518	563,130	16.3%	3,462,388	6
7 Net pension obligation	33,862,959	575,424	1.7%	34,438,383	(575,423)	-1.6%	35,013,806	7
8 Other postretirement benefits liability	64,779,508	(2,208,747)	-3.4%	62,570,761	0	0.0%	62,570,761	8
9 Bonds payable (net of current maturities)	212,875,346	76,067,832	35.7%	288,943,178	76,067,832	35.7%	212,875,346	9
10 Special Community Disaster Loan Payable	-	-	0.0%	-	-	0.0%	-	10
11 Southeast Louisiana Project liability	-	-	0.0%	-	-	0.0%	-	11
12 Debt Service Assistance Fund Loan payable	40,039,087	(2,773,797)	-6.9%	37,265,290	(2,773,797)	-6.9%	40,039,087	12
13 Customer deposits	-	-	0.0%	-	-	0.0%	-	13
14 Total long-term liabilities	360,008,585	72,171,644	20.0%	432,180,229	73,281,742	20.4%	358,898,487	14
Current liabilities (payable from current assets)								
15 Accounts payable and other liabilities	6,955,554	12,563,328	180.6%	19,518,882	4,758,422	32.2%	14,760,460	15
16 Due to City of New Orleans	-	-	0.0%	-	-	0.0%	-	16
17 Disaster Reimbursement Revolving Loan	-	-	0.0%	-	-	0.0%	-	17
18 Retainers and estimates payable	1,371,382	437,118	31.9%	1,808,500	399,174	28.3%	1,409,326	18
19 Due to other fund	52,324	77,189	147.5%	129,513	77,189	147.5%	52,324	19
20 Accrued salaries	728,154	(1,212,112)	-166.5%	(483,959)	(1,322,716)	-157.7%	838,757	20
21 Claims payable	1,057,413	125,667	11.9%	1,183,080	0	0.0%	1,183,080	21
22 Other postretirement benefits liability	2,534,160	(28,887)	-1.1%	2,505,273	-	0.0%	2,505,273	22
23 Debt Service Assistance Fund Loan payable	2,650,800	122,997	4.6%	2,773,797	122,997	4.6%	2,650,800	23
24 Advances from federal government	1,976,305	-	0.0%	1,976,305	0	0.0%	1,976,305	24
25 Other Liabilities	223,731	108,764	48.6%	332,495	123,406	59.0%	209,089	25
26 Total current liabilities (payable from current assets)	17,549,823	12,194,064	69.5%	29,743,887	4,158,473	16.3%	25,585,414	26
Current liabilities (payable from restricted assets)								
27 Accrued interest	1,604,260	218,047	13.6%	1,822,307	218,047	13.6%	1,604,260	27
28 Bonds payable	12,099,000	(606,000)	-5.0%	11,493,000	606,000	5.0%	12,099,000	28
29 Retainers and estimates payable	277,322	(270,154)	-97.4%	7,168	270,154	97.4%	277,322	29
30 Total current liabilities (payable from restricted assets)	13,980,582	(658,107)	-4.7%	13,322,475	(658,107)	-4.7%	13,980,582	30
31 TOTAL CURRENT LIABILITIES	31,530,405	11,535,957	36.6%	43,066,362	3,500,366	8.8%	39,565,996	31
32 TOTAL LIABILITIES	391,538,990	83,707,601	21.4%	475,246,591	76,782,108	19.3%	398,464,483	32
Deferred inflows or resources:								
33 Amounts related to net pension liability	2,473,058	(46,811)	-1.9%	2,426,247	46,811	2.0%	2,379,436	33
34 Amounts related to total OPEB liability	2,431,835	245,415	10.1%	2,677,250	0	0.0%	2,677,250	34
35 TOTAL DEFERRED INFLOWS OF RESOURCES	4,904,893	198,604	4.0%	5,103,497	46,811	0.9%	5,056,686	35
36 Total Net Position, Liabilities and Deferred Inflows of Resources	1,218,989,691	139,081,007	11.4%	1,358,269,301	142,635,318	11.7%	1,215,633,983	36

SEWERAGE AND WATER BOARD OF NEW ORLEANS
DRAINAGE SYSTEM FUND
STATEMENTS OF NET POSITION
WITH PRIOR YEAR AND BEGINNING OF YEAR COMPARISONS
February 2021 Prelim Financials

		A	B	C	D	E	F	G	
Assets									
		Prior Year	Variance	%	Current Year	Variance	%	Beginning of Year (2020)	
Noncurrent assets:									
1	Property, plant and equipment	1,954,837,238	111,813,101	5.7%	2,066,650,339	106,215,475	5.4%	1,960,434,864	1
2	Less: accumulated depreciation	399,982,407	724,923	0.2%	400,707,330	5,557,743	1.4%	395,149,587	2
3	Property, plant, and equipment, net	1,554,854,831	111,088,178	7.1%	1,665,943,009	100,657,732	6.4%	1,565,285,277	3
Restricted cash, cash equivalents, and investments									
4	Cash and cash equivalents restricted for capital projects	307,810	2,419,157	0.0%	2,726,967	2,479,452	1001.7%	247,515	4
5	Debt service	55,432	(55,432)	-100.0%	-	(55,432)	-100.0%	55,432	5
6	Debt service reserve	-	-	0.0%	-	-	0.0%	-	6
7	Health insurance reserve	645,032	-	0.0%	645,032	(0)	0.0%	645,032	7
8	Total restricted cash, cash equivalents, and investments	1,008,274	2,363,725	234.4%	3,371,999	2,424,020	255.7%	947,979	8
Current assets:									
Unrestricted and undesignated									
9	Cash and cash equivalents	5,202,954	9,055,290	174.0%	14,258,244	5,066,866	55.1%	9,191,378	9
Accounts receivable:		-							
10	Customers (net of allowance for doubtful accounts)	(7,419)	7,419	-100.0%	0	0	0.0%	-	10
11	Taxes	4,673,993	(550,870)	-11.8%	4,123,123	(966,013)	-19.0%	5,089,136	11
12	Interest	-	-	0.0%	-	-	0.0%	-	12
13	Grants	4,319,414	(3,573,977)	-82.7%	745,437	(3,573,977)	-82.7%	4,319,414	13
14	Miscellaneous	4,043,727	(2,716,532)	-67.2%	1,327,195	(2,793,964)	-67.8%	4,121,159	14
15	Due from (to) other internal departments	(19,879,291)	7,251,021	-36.5%	(12,628,270)	104,481	-0.8%	(12,732,751)	15
16	Inventory of supplies	1,120,384	55,699	5.0%	1,176,082	55,699	5.0%	1,120,383	16
17	Prepaid expenses	155,520	57,498	37.0%	213,018	57,498	37.0%	155,520	17
18	Total unrestricted current assets	(370,720)	9,585,548	-2585.7%	9,214,829	(2,049,410)	-18.2%	11,264,239	18
Other assets:									
19	Funds from/for customer deposits	-	-	0.0%	-	-	0.0%	-	19
20	Deposits	10,400	-	0.0%	10,400	-	0.0%	10,400	20
21	Total other assets	10,400	-	0.0%	10,400	-	0.0%	10,400	21
22	TOTAL ASSETS	1,555,502,786	123,037,451	-2344.1%	1,678,540,237	101,032,342	243.9%	1,577,507,895	22
Deferred outflows or resources:									
23	Deferred amounts related to net pension liability	4,616,159	809,474	17.5%	5,425,633	(809,474)	-13.0%	6,235,107	23
24	Deferred amounts related to OPEB	9,788,548	(1,516,536)	-15.5%	8,272,012	0	0.0%	8,272,012	24
25	Deferred loss on bond refunding	75,462	(11,519)	-15.3%	63,943	(11,519)	-15.3%	75,462	25
26	TOTAL DEFERRED OUTFLOWS OF RESOURCES	14,480,169	(718,581)	-5.0%	13,761,588	(820,993)	-5.6%	14,582,581	26
27	TOTAL ASSETS AND TOTAL DEFERRED OUTFLOWS	1,569,982,955	122,318,870	-2349.0%	1,692,301,825	100,211,349	238.3%	1,592,090,476	27

SEWERAGE AND WATER BOARD OF NEW ORLEANS
DRAINAGE SYSTEM FUND
STATEMENTS OF NET POSITION
WITH PRIOR YEAR COMPARISONS
February 2021 Prelim Financials

	A	B	C	D	E	F	G	
	Prior Year	Variance	%	Current Year	Variance	%	Beginning of Year (2020)	
NET ASSETS AND LIABILITIES								
Net position								
1 Net investments in capital assets	1,303,749,149	79,660,175	6.1%	1,383,409,324	74,122,846	5.7%	1,309,286,478	1
2 Restricted for Debt Service	55,432	(55,432)	-100.0%	-	(55,432)	-100.0%	55,432	2
3 Unrestricted	(141,026,874)	3,488,071	-2.5%	(137,538,802)	(1,158,582)	0.8%	(136,380,220)	3
4 Total net position	1,162,777,708	83,092,814	7.1%	1,245,870,522	72,908,832	6.2%	1,172,961,690	4
Long-term liabilities								
5 Claims payable	34,392,486	-	0.0%	34,392,486	-	0.0%	34,392,486	5
6 Accrued vacation and sick pay	1,955,820	245,973	12.6%	2,201,793	270,977	14.0%	1,930,816	6
7 Net pension obligation	33,862,959	575,424	1.7%	34,438,383	(575,423)	-1.6%	35,013,806	7
8 Other postretirement benefits liability	64,779,510	(2,208,747)	-3.4%	62,570,762	0	0.0%	62,570,762	8
9 Bonds payable (net of current maturities)	4,007,444	(1,993,269)	-49.7%	2,014,175	(1,993,269)	-49.7%	4,007,444	9
10 Special Community Disaster Loan Payable	-	-	0.0%	-	-	0.0%	-	10
11 Southeast Louisiana Project liability	250,343,870	36,505,352	14.6%	286,849,222	36,505,352	14.6%	250,343,870	11
12 Debt Service Assistance Fund Loan payable	-	-	0.0%	-	-	0.0%	-	12
13 Customer deposits	-	-	0.0%	-	-	0.0%	-	13
14 Total long-term liabilities	389,342,088	33,124,733	8.5%	422,466,821	34,207,637	8.8%	388,259,184	14
Current liabilities (payable from current assets)								
15 Accounts payable and other liabilities	5,487,212	5,544,818	101.0%	11,032,030	(6,794,556)	-38.1%	17,826,586	15
16 Due to City of New Orleans	-	-	0.0%	-	-	0.0%	-	16
17 Disaster Reimbursement Revolving Loan	-	-	0.0%	-	-	0.0%	-	17
18 Retainers and estimates payable	1,654,366	443,066	26.8%	2,097,432	443,066	26.8%	1,654,366	18
19 Due to other fund	64,590	91,734	142.0%	156,325	91,735	142.0%	64,590	19
20 Accrued salaries	509,363	(641,718)	-126.0%	(132,356)	(698,921)	-123.4%	566,565	20
21 Claims payable	736,090	488,167	66.3%	1,224,257	(0)	0.0%	1,224,257	21
22 Total OPEB liability, due within one year	2,534,160	(28,887)	-1.1%	2,505,273	10	0.0%	2,505,263	22
23 Debt Service Assistance Fund Loan payable	-	-	0.0%	-	-	0.0%	-	23
24 Advances from federal government	-	-	0.0%	-	-	0.0%	-	24
25 Other Liabilities	14,896	1,120	7.5%	16,016	2,316	16.9%	13,700	25
26 Total current liabilities (payable from current assets)	11,000,677	5,898,299	53.6%	16,898,976	(6,956,351)	-29.2%	23,855,327	26
Current liabilities (payable from restricted assets)								
27 Accrued interest	62,588	(55,580)	-88.8%	7,008	(55,580)	-88.8%	62,588	27
28 Bonds payable	1,895,000	60,000	3.2%	1,955,000	(60,000)	-3.2%	1,895,000	28
29 Retainers and estimates payable	-	-	0.0%	-	-	0.0%	-	29
30 Total current liabilities (payable from restricted assets)	1,957,588	4,420	0.2%	1,962,008	4,420	0.2%	1,957,588	30
31 TOTAL CURRENT LIABILITIES	12,958,265	5,902,719	45.6%	18,860,984	(6,951,931)	-26.9%	25,812,915	31
32 TOTAL LIABILITIES	402,300,354	39,027,451	9.7%	441,327,805	27,255,706	6.6%	414,072,099	32
Deferred inflows or resources:								
33 Amounts related to net pension liability	2,473,058	(46,811)	-1.9%	2,426,247	46,811	2.0%	2,379,436	33
34 Amounts related to total OPEB liability	2,431,836	-	0.0%	2,677,251	-	0.0%	2,677,251	34
35 TOTAL DEFERRED INFLOWS OF RESOURCES	4,904,894	198,604	4.0%	5,103,498	46,811	0.9%	5,056,687	35
36 Total Net Position, Liabilities and Deferred Inflows of Resources	1,569,982,955	122,120,266	7.8%	1,692,301,825	100,211,349	6.3%	1,592,090,476	36

Procurement Procedures Manual: Highlights

Finance & Administration Committee, April 14, 2021
Grey Lewis, Chief Financial Officer



Procurement Manual: Purpose



- **Single roadmap** for various rules for procurement of construction projects, professional services, and goods and services
- **Provide flexibility and efficiencies** for user departments while retaining proper controls and oversight of purchasing
- **Provide consistent terminology** and language of purchasing to facilitate communication among departments
- **Reduce redundancy** in forms used and to provide a single "Requisition form"
- **Provide clear expectations** for currently undefined and undocumented processes such as an expedited change order requests
- **Streamline approval process** for contract change orders and amendments to align with ED approval of contracts less than \$1m

Procurement Manual: Summary of Major Changes



Process Component	Current	Proposed	Reason for Change
Formal Sealed Bids ('Large Projects'): Requisitions forms	Three forms are currently utilized, with separate but parallel tracks: 1) contract routing form (to review front end specifications); 2) contract data form (establish budget authority within capital program number); and 3) job number form (to assign a job number). An additional process is utilized for Construction Review Committee (CRC) and Staff Contract Review Committee (SCRC) agenda and approval.	Combine all three forms into One approval process via the single "Requisition form". Continue with CRC/SCRC approval process as a parallel step.	Reduces number of forms, which have redundant signature requirements.
Change orders Approval	All change orders of any value are sent to the Board for approval. However, there is no current written policy or resolution requiring this.	Create 3 tiers of change orders, giving ED authorization up to a specified amount or percentage of the contract, based on the original size of the contract and considering cumulative amounts.	This streamlines the process for management and provides a more meaningful formalized process for Board members.
Expedited change order procedures	In the event that field work on a project needs to be done as soon as possible, the General Superintendent or other Leadership team member writes a memo to contractors to proceed on a case by case basis while price is being established.	Formalized expedited approval process that defines the signature authority for expedited approval. Thresholds are set at the same as change order threshold for ED signature.	This process is currently undefined.
Micropurchases	Current SWB policy allows for purchases under \$1,000 to be paid on a Purchase Voucher (PV) form (no purchase order required)	Modify threshold of a micropurchase as purchase <\$10,000 and permitted to be paid on a PV (no purchase order) required and <u>consistent with current policy a Leadership team member is required to approve the PV and ensure item is within the scope of a micropurchase and nonrecurring)</u>	Consistency with federal and state statutes
Informal Quotes ('Small Purchases'): Requisition Forms	Budget department is sporadically involved in the review/approval process	Budget and PDU (if applicable) sign requisition. Budget should work with accounting to assign a job number if needed.	Require budget involvement at the beginning to provide additional oversight

Change Order Approvals



Table 12-1. Contract Change Order Tier Summary

Contract Tier	Change Order Parameter	Signature Requirements
Tier One: Contract Aggregate <\$1,000,000		
Change Order Scenario 1	If a change order is below 10% of the original contract value	Executive Director approves and signs a resolution to formally approve the change and SWBNO Board of Directors is notified during monthly meetings
Change Order Scenario 2	If a change order exceeds 10% of the original contract value, and total contract value remains below \$1M	Executive Director approves and signs a resolution to formally approve the change and SWBNO Board of Directors is notified during monthly meetings
Tier Two: Contract Aggregate between \$1,000,000 and \$4,999,999.99		
Change Order Scenario 1	If a change order is less than \$250,000 or 20% of the original contract value (whichever is less considering cumulative amount of change orders)	Executive Director approves and signs a resolution to formally approve the change and SWBNO Board of Directors is notified during monthly meetings
Change Order Scenario 2	If a change order or aggregate of change orders exceeds \$249,999.99 or 20% of the original contract value (whichever is less considering cumulative amount of change orders)	SWBNO Board of Directors approves via F&A Committee. Executive Director signs resolution
Tier Three: Contract Aggregate \$5,000,000 and above		
Change Order Scenario 1	If a change order is less than \$500,000 or 10% of the original contract value (whichever is less considering cumulative amount of change orders)	Executive Director approves and signs a resolution to formally approve the change and SWBNO Board of Directors is notified during monthly meetings
Change Order Scenario 2	If a change order or aggregate of change orders exceeds \$499,999.99 or 10% of the original contract value (whichever is less considering cumulative amount of change orders)	SWBNO Board of Directors approves via F&A Committee. Executive Director signs resolution

- There are three tiers of change order approvals as described in Table 12-1.
 - Within each tier, a change order can be considered a standard request (Chapter 12) or an expedited request (Chapter 13).
- Professional services contracts with amendments follow the same three tier system for approval as shown on Table 14-1.

Change Order Examples



Example - Tier 1 that transitions to Tier 2

Document	Amount	% of original	CO Cumulative Total	Final Approval
Original Contract	\$ 850,000			ED (original contract does not exceed \$1M)
CO 1	\$ 75,000	9%	\$ 75,000	ED (total contract still below \$1m)
New total	\$ 925,000			
CO 2	\$ 150,000	18%	\$ 225,000	Board (new contract total exceeds \$1M)
New total	\$ 1,075,000			
Project Completion	\$ 1,075,000			

Example - Tier 2 - multiple small change orders

Document	Amount	% of original	CO Cumulative Total	Final Approval
Original Contract	\$ 3,000,000			Board (original contract exceeds \$1M)
CO 1	\$ 200,000	6.7%	\$ 200,000	ED (does not exceed \$250K or 20%)
New total	\$ 3,200,000			
CO 2	\$ 20,000	1%	\$ 220,000	ED (does not exceed \$250K or 20%)
New total	\$ 3,220,000			
CO 3	\$ 30,000	1%	\$ 250,000	Board (individual does not exceed threshold, but cumulative does)
New total	\$ 3,250,000			
Project Completion	\$ 3,250,000			

Next Steps

- Ratify a resolution at the next F&A meeting which recommends approval by the Board of Directors to accept the new process for change orders and amendments as defined in Table 12-1 Contract Change Order Tier Summary and Table 14-1 Contract Amendment Tier Summary
- Training program on Procurement Manual for departments
- Begin using the Procurement Manual

Questions?

FINAL RENEWAL OF CONTRACT FOR WITH PROFESSIONAL SECURITY CORPORATION TO PROVIDE ARMED SECURITY GUARDS AT BOARD FACILITIES

WHEREAS, the Sewerage and Water Board of New Orleans (“Board”) and Professional Security Corporation (“Contractor”) are parties to a Professional Services Agreement (“Agreement”) dated May 1, 2016, and extended through May 1, 2021, for providing armed security guard services to the Board’s facilities; and

WHEREAS, on February 3, 2016, the Board issued a Public Notice announcing the Request for Proposals (“RFP”) for a Security Guard Services contract; and

WHEREAS, the Public Notice listed the submission deadline for bid responses as no later than March 3, 2016; and

WHEREAS, on March 22, 2016 the Selection Committee held an open meeting wherein eight responses to the RFP for Security Guard Services were reviewed and evaluated; and

WHEREAS, based upon the Selection Evaluation Committee’s evaluation, the Committee recommended Professional Security Corporation for approval by the members of the Board to serve as the Security Guard Services for the Board; and

WHEREAS, by Resolution R-047-2016, approved April 20, 2016, the Board of Directors authorized execution of an agreement with Contractor to provide security guard services at Board facilities, and pursuant to Resolution R-047-2016, the Board and Contractor executed the original Agreement for an initial term of one (1) year with the option to extend the term for five (5) additional one (1) year periods, and providing for termination upon thirty (30) days’ notice, which has never been given; and

WHEREAS, from the inception of the original Agreement, Contractor has continually performed the services provided thereunder; and

WHEREAS, since March 2020 there has been an ongoing public health emergency due to the COVID 19, in response to which all persons entering the Board facilities at the Carrollton Water Plant, Algiers Water Plant, Central Yard and St. Joseph St. office buildings, were required to undergo temperature checks, Contractor was willing to expand its Scope of Services to perform such temperature checks, and amendment of the Agreement to include such services and compensation therefor was necessitated; and

WHEREAS, accordingly, the parties reviewed the Agreement, confirmed the continual performance by Contractor thereunder at the end of the previous terms, and as a memorialization of same, executed Amendment 1 amending the Agreement to include services for COVID 19 temperature checks and renewing and extending same through May 1, 2021; and

WHEREAS, the parties have again reviewed the Agreement, desire to renew and extend the Agreement for the final additional one (1) year period authorized by the original Agreement at an additional one-year budgeted cost not to exceed \$3,500,000.00, and to formally acknowledge the renewal and continual performances provided since the inception of the original Agreement and provide for written memorialization of same;

NOW, THEREFORE, BE IT RESOLVED, that the President or President Pro-Tem is hereby authorized to execute, on behalf of the Sewerage and Water Board of New Orleans, an amendment to the Agreement with Professional Security Corporation, originally dated May 1, 2016, as extended through May 1, 2021, for the fifth and final one-year renewal through April 30, 2022 and all previous renewal terms, with increased scope of services including COVID 19 temperature checks as evidenced by Amendment No. 1 dated May 29, 2020, at a maximum compensation for such one-year renewal of \$3,500,000.00 and a total contract amount for the six (6) years from inception through April 30, 2022, not to exceed \$19,582,495.30.

I, Ghassan Korban, Executive Director, Sewerage and Water Board of New Orleans, do hereby certify that the above and foregoing is a true and correct copy of a resolution adopted at the Regular Meeting of the said Board, duly called and held, according to law, on April 21, 2021.

Ghassan Korban
EXECUTIVE DIRECTOR
SEWERAGE AND WATERBOARD OF NEW ORLEANS

**GENERAL SUPERINTENDENT RECOMMENDATIONS
FOR THE APRIL 14, 2021
FINANCE AND ADMINISTRATION COMMITTEE MEETING**

A listing of the bids, change orders, amendments and final acceptances received during the month of March is included in the following report. A brief summary is attached for your review.

BIDS AND RENEWALS (2)

- | | |
|-------------------|---|
| Page 3 R-041-2021 | Award of Contract 2151 between the Sewerage and Water Board of New Orleans and Wallace C. Drennan, Inc for the replacement of the St. Bernard Transmission Main, TM002, FEMA Project Worksheet 19846 Multiple Neighborhoods |
| Page 6 R-044-2021 | Ratification of Award of Emergency Contract between the Sewerage and Water Board of New Orleans and Alfred Conhagen, Inc. of Louisiana for the Repairs of Turbine 4 |

CONTRACT AMENDMENTS (4)

- | | |
|--------------------|---|
| Page 9 R-036-2021 | Authorization of Amendment No. 6 to the Agreement Between the Sewerage and Water Board of New Orleans and Arcadis U.S. INC. for Design and Engineering Services for the Water Line Replacement Program |
| Page 13 R-038-2021 | Authorization of Contract Amendment No. 7 to the Agreement Between the Sewerage and Water Board of New Orleans and Richard C. Lambert for Design and Engineering Services for Water Line Replacement Program |
| Page 17 R-039-2021 | Authorization of Amendment No 6. To the Agreement Between the Sewerage and Water Board of New Orleans and Neel-Schaffer for Design and Engineering Services for Water Line Replacement Program |
| Page 21 R-040-2021 | Authorization of Amendment No. 8 to the Professional Service Agreement Between Sewerage and Water Board of New Orleans and MWH Americas, Inc. (predecessor in interest to Stantec Consulting Services, Inc.) for Design and Engineering Services for the Water Line Replacement Program |

GENERAL SUPERINTENDENT'S RECOMMENDATIONS

BIDS AND RENEWALS

CONTRACT 2151 – ST. BERNARD TRANSMISSION MAIN, TM002, FEMA PW 19846 MULTIPLE NEIGHBORHOODS

WHEREAS, the Sewerage and Water Board of New Orleans received three (3) responsive bid packages for Contract 2151 Waterline Replacement Program – St. Bernard Street, TM002, FEMA PW 19846 on March 17, 2021; and,

WHEREAS, the bid submitted by Wallace C. Drennan, Inc. with the total sum of \$2,093,638.00 was the lowest responsive bid; and,

NOW, THEREFORE BE IT RESOLVED, that the lowest responsive bidder is hereby accepted, and Contract 2151 is awarded to **Wallace C. Drennan, Inc.** in the amount of **\$2,093,638.00**.

I, Ghassan Korban, Executive Director, Sewerage and Water Board of New Orleans, do hereby certify that the above and foregoing is a true and correct copy of a resolution adopted at the Regular Meeting of the said Board, duly called and held, according to law, on April 21, 2021.

Ghassan Korban
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**Sewerage and Water Board of New Orleans
BOARD OF DIRECTORS CONTRACTOR FACT SHEET**



ACTION REQUESTED

CONTRACT AWARD

Construction of Contract 2151 - Wallace C Drennan, Inc. - TM002 St. Bernard Transmission Main

Authorization to execute a contract between the Sewerage and Water Board and Wallace C Drennan, Inc. in the amount of \$2,093,638.00.

CONTRACTOR/SUB/VENDOR INFORMATION

		DBE PARTICIPATION	
PRIME	SUBS	GOAL	TARGET
Wallace C Drennan, Inc.	Prince Dump Truck Service, LLC	1.91%	
	Choice Supply Solutions, LLC	24.12%	
		0.00%	
Total		26.03%	0.00%

DESCRIPTION AND PURPOSE

Original Contract Value	\$2,093,638.00
Has a NTP been Issued	No
Total Revised Contract Value	\$2,093,638.00
Original Contract Completion Date	240 Calendar Days
Proposed Contract Completion Date	12/31/2021

Purpose and Scope of the Contract:

Furnishing all materials, equipment, supplies, and appurtenances; providing all construction equipment, and tools; and performing all necessary labor and supervision to fully complete the St. Bernard Transmission Main Project replacing the existing 50" steel transmission main with a new 48" D.I.P. transmission main. The project is located on St. Bernard Avenue between Duels Street and Paul Morphy Street. Work consists of installing some 233 L.F. of new 48" D.I.P.; installing a 48" horizontal gate valve housed in a reinforced concrete valve vault; installing two (2) temporary 50" line stops; plugging and filling the abandon 50" steel water main with flowable fill; pressure testing and disinfecting the new 48" water main; traffic control and other required incidentals to complete the project work.

Spending to Date:

Cumulative Contract Amount (as of 4/21/2021)	\$0.00
Cumulative Contract Spending (as of 4/21/2021)	\$0.00

Contractor's Performance:

Initial Award.

PROCUREMENT INFORMATION

Contract Type	ITB	Award Based On	Low Responsive
Commodity	Public Works Construction	Contract Number	2151
Contractor Market	Formal Bid Law with DBE participation		
Compliance with Procurement Laws?	Yes	X	No

BUDGET INFORMATION

Funding	CP 175-13	Department	JIRR
System	Water	Project Manager	Norman Rockwell, PE
Job Number	A1173FEM	Purchase Order #	TBD

ESTIMATED FUND SOURCE

User	Share%	Dollar Amount	Reimbursable?
Sewer System	0%		No
Water System	100%	\$ 2,093,638.00	FEMA PW 19846
Drainage System	0%		No
TOTAL		\$ 2,093,638.00	No

I certify that this contract action complies with all Sewerage and Water Board procurement policies and guidance, ethics rules and meets necessary regulatory requirements, including compliance with financing sources.

Mark D Van Hala, PE
Program Administrator
Joint Infrastructure Recovery Request

Ratification of Award of Emergency Contract for Turbine 4 Repairs

WHEREAS, on October 25, 2020, Turbine 4, which has been serving as the primary turbine for daily operations and rain events, tripped offline unexpectedly, further risking operational effectiveness of the Sewerage and Water Board of New Orleans (SWBNO) infrastructure due to the preexisting impairment of SWBNO power generation capabilities because of the age, condition and additional prior damage to Turbines 1, 3 and 5; and

WHEREAS, when Turbine 4 tripped offline on October 25, 2020, SWBNO crews onsite began troubleshooting various systems, and it was determined that the cause of the trip was related to a malfunction of the turbine speed sensors; and

WHEREAS, further investigation into the cause of the event and extent of damage to Turbine 4, as well as the repair of the turbine requires the services of an outside contractor familiar with the operation, maintenance and repair of steam turbine generators; and

WHEREAS, the loss of Turbine 4 has resulted in the loss of up to 20 megawatts of 25 Hz power generating capacity, thus reducing total 25 Hz power generation redundancy; and

WHEREAS, the failure to self-generate 25 Hz power places at risk the operation of the Board's sewerage, water and drainage system that provide essential sanitary sewerage services, potable water services, and stormwater drainage services upon which the citizens of New Orleans rely; and

WHEREAS, time is of the essence to determine and address the cause(s) of the Turbine 4 trip event, prevent further damage, make all necessary repairs, and where necessary, repair or improve other system components to fortify the remaining 25 Hz power generation and distribution facilities to provide as much system reliability and redundancy as practicable during the Turbine 4 outage;

NOW THEREFORE, BE IT RESOLVED by the Sewerage and Water Board of New Orleans that an emergency contract for the repairs of Turbine 4 is hereby accepted, and a contract is awarded therefore to **Alfred Conhagen, Inc. of Louisiana**, in the total amount of **\$1,007,714.67**.

I, Ghassan Korban, Executive Director,
Sewerage and Water Board of New Orleans,
do hereby certify that the above and foregoing
is a true and correct copy of a resolution adopted
at the Regular Meeting of said Board,
duly called and held, according to law, on
April 21, 2021.

Ghassan Korban ,
EXECUTIVE DIRECTOR SEWERAGE AND
WATER BOARD OF NEW ORLEANS

GENERAL SUPERINTENDENT'S RECOMMENDATIONS

CONTRACT AMENDMENTS

AUTHORIZATION OF AMENDMENT NO. 6 TO THE AGREEMENT BETWEEN THE SEWERAGE AND WATER BOARD OF NEW ORLEANS AND ARCADIS U.S. INC. FOR DESIGN AND ENGINEERING SERVICES FOR THE WATER LINE REPLACEMENT PROGRAM

WHEREAS, by Resolution R-043-2012 approved March 21, 2012, **THE SEWERAGE AND WATER BOARD OF NEW ORLEANS** (“Board”) authorized execution of a contract with **ARCADIS U.S. INC** (Consultant) to provide engineering and design services for the Water Line Replacement Program (WLRP) for the Irish Channel, East Riverside, and Touro neighborhoods, and pursuant to Resolution R-043-2012 the Board and Contractor entered into an agreement on October 17, 2012; and,

WHEREAS, the fee under the original agreement was not to exceed 18% of the construction cost and the Consultant provided a DBE participation summary; and

WHEREAS, by Resolution R-045-2013 approved March 20, 2013, the Board authorized amending the Agreement to assign the neighborhoods of Seventh Ward, Treme-Lafitte and Tulane-Gravier and compensation of surveying work and by Resolution R-160-2014 approved August 20, 2014, the Board authorized amending the Agreement to include Program/Project Management Services,

WHEREAS, pursuant to Resolution R-045-2013 and R-160-2014 the Board and Contractor executed Amendment No. 1 on November 20, 2014; and,

WHEREAS, under Amendment No. 1 the fees for Program/Project Management Services, are not to exceed 10% construction cost; and

WHEREAS, by Resolution R-074-2019 approved August 21, 2019, the Board authorized amending the Agreement for engineering and design services for the additional water lines in the Seventh Ward and Treme-Lafitte neighborhoods for a not to exceed fee of \$196,744.91 and for a total fee not to exceed \$973,480.49 and to establish a contract expiration date of December 30, 2022; and,

WHEREAS, by Resolution R-057-2020 approved May 20, 2020, the Board authorized amending the Agreement for engineering construction services for RR186 Viavant-Lake Catherine Group C and RR035 East Riverside, Garden District, Irish Channel, St Thomas Group A not to exceed fee of \$265,287.75 and for a total fee not to exceed \$1,238,768.24 and set the end date at June 30, 2023; and,

WHEREAS, by Resolution R-093-2020 approved August 19, 2020, the Board authorized amending the Agreement for engineering construction services for RR004 Bayou St. John, Fairgrounds, Seventh Ward Group B, RR068 Iberville, Tulane-Gravier, LGD, CBD Group A, and RR183 Treme Lafitte Group B not to exceed fee of \$375,789.13 and for a total fee not to exceed \$1,614,557.37; and,

WHEREAS, by Resolution R-152-2020 approved December 21, 2020, the Board authorized amending the Agreement for engineering construction services for RR036 East Riverside, Garden District, Irish Channel, St Thomas Group B not to exceed fee of \$45,978.72 and for a total fee not to exceed \$1,660,536.09; and,

WHEREAS, the Board has negotiated engineering construction services for these projects originally assigned to neighborhoods in Atkins North America, Inc. WLRP agreement: RR136 Plum Orchard Group C not to exceed (NTE) \$73,044.12 and RR137 Plum Orchard Group D NTE \$57,206.81, and construction administration and inspection NTE \$58,000.00, for a total amendment NTE \$188,250.93 and the State has requested additional State and Federal clauses be amended into the contract.

NOW THEREFORE, BE IT RESOLVED, that the President or President Pro-Tem of the Sewerage and Water Board is hereby authorized to execute on behalf of the Sewerage and Water Board of New Orleans Amendment No. 6 with Arcadis U.S., Inc. for JIRR work NTE \$188,250.93, for a maximum agreement value not to exceed \$1,660,536.11 and amend State and Federal clauses into the agreement.

I, Ghassan Korban, Executive Director,
Sewerage and Water Board of New Orleans,
do hereby certify that the above and foregoing
is a true and correct copy of a Resolution adopted
at the Regular Monthly Meeting of said Board,
duly called and held, according to law, on
April 21, 2021.

Ghassan Korban,
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**Sewerage and Water Board of New Orleans
BOARD OF DIRECTORS PROFESSIONAL SERVICES FACT SHEET**



ACTION REQUESTED

**Amendment to Professional Engineering Services Agreement
Arcadis U.S., Inc. WLRP Agreement Amendment No.6 - RR136 and RR137**

Request authorization for Amendment No.6 to our WLRP Agreement for Projects RR136, RR137 (Work previously in Atkins North America, Inc. neighborhoods), contract administration and inspection on JIRR Projects not to exceed \$188,250.93 and add GOHSEP & FEMA required clauses.

CONTRACTOR/SUB/VENDOR INFORMATION

		DBE PARTICIPATION	
PRIME	SUBS	TARGET	ACTUAL
<i>Arcadis U.S., Inc.</i>	<i>Integrated Logistical Support Inc.</i>	35.00%	5.21%
Total		35.00%	5.21%

DESCRIPTION AND PURPOSE

Original Contract - Not to Exceed 18% Cost of Construction	
Amendments 1-5	\$1,660,536.11
Value of Requested Amendment	\$188,250.93
<i>Has NTP been Issued</i>	<i>No</i>
Total Revised Contract Value	\$1,848,787.04
Original Contract Completion Date	End of Construction
Previously Approved Extensions (Days)	
Time Extension Requested (Days)	
Proposed Contract Completion Date	6/30/2023

Purpose and Scope of the Contract:

This agreement provides engineering services for the Joint Infrastructure Recovery Request (JIRR) program in coordination with the City of New Orleans (CNO) Recovery Roads (RR) Program for the Irish Channel, East Riverside, and Garden District neighborhoods. The WLRP Agreement is FEMA reimbursible. Construction fees will be reimbursed through the FEMA Settlement, PW 21031.

Reason for Change:

Negotiate Fees X	Differing Site Condition	Regulatory Requirement
Design Change	Other Assign Work	

Amendment No.6 will transfer additional work from Atkins North America, Inc. WLRP agreement to Arcadis U.S., Inc. WLRP agreement, provide fees for RR136 Plum Orchard Group C not to exceed (NTE) \$73,044.12 and RR137 Plum Orchard Group D NTE \$57,206.81, and provide for construction administration and inspection NTE \$58,000.00 on JIRR Projects No.6 also adds contracted

clauses requested by GOHSEP & FEMA.

Spending to Date:

Cumulative Contract Amount (as of 3/23/2021)	\$1,660,536.11
Cumulative Contract Spending (as of 3/23/2021)	\$460,126.81

Contractor's Past Performance:

The designer's timeliness of deliverables, conformance to SWBNO policies, procedures, and quality meet expectations. DBE participation will be met by Resident Inspection services during Construction.

PROCUREMENT INFORMATION

Contract Type	Professional Services	Award Based On	Qualified List
Commodity	Public Works Construction	Name & FEMA PW	WLRP & 21031
Contractor Market	Public RFQ with DBE participation		
Compliance with Procurement Laws?	Yes X No	CMRC Date (if nec.) :	3/18/2021

BUDGET INFORMATION

Funding	CP 175-13 & CP 317-06 & CP 317-05	Department	JIRR
System	Water & Sewer	Project Manager	Ms. Chantrell Carriere
Job Number	A1348FEM & A1370FEM & C1399XXX	Purchase Order #	PG20206000125

ESTIMATED FUND SOURCE

User	Share%	Dollar Amount	Reimbursible?
Sewer System	37%	\$58,000.00	WIFIA - 100% FEMA - 100%
Water System	63%	\$130,250.93	
Drainage System			
TOTAL		\$188,250.93	

I certify that this contract action complies with all Sewerage and Water Board procurement policies and guidance, ethics rules and meets necessary regulatory requirements, including compliance with financing sources.

Mark D. Van Hala, P.E.
Program Administrator
Joint Infrastructure Recovery Request

AUTHORIZATION OF CONTRACT AMENDMENT NO. 7 TO THE AGREEMENT BETWEEN THE SEWERAGE AND WATER BOARD OF NEW ORLEANS AND RICHARD C. LAMBERT, CONSULTANTS, LLC FOR DESIGN AND ENGINEERING SERVICES FOR WATER LINE REPLACEMENT PROGRAM

WHEREAS, the Board and Consultant are parties to a Professional Services Agreement dated December 12, 2012 (the “Original Agreement”), and amended thereafter by Amendment 1 dated September 17, 2014, Amendment 2 dated February 3, 2020, Amendment 3 dated March 18, 2020 Amendment 4 dated July 15, 2020, Amendment 5 dated November 13, 2020, and Amendment 6 dated March 11, 2021 (the “Current Agreement”) by which Consultant was engaged to provide engineering and design services and surveying services for the Water Line Replacement Program for the Gentilly Woods and Pontchartrain Park neighborhoods with compensation originally based on a percentage of construction costs and other nonspecific amounts, and program/project management services, design and inspection services of repair or replacement of drain lines damaged by Katrina as approved and funded by FEMA PWs for the City Department of Public Works, and payment of fees therefor; and

WHEREAS, during the coordination and management efforts of the Board’s Water Line Replacement Program, the City’s Recovery Roads Program and other related work, it was determined to include additional water lines into projects in Gentilly Woods and Pontchartrain Park neighborhoods; and,

WHEREAS, by R-073-2019 adopted at its meeting on August 21, 2019, the Board authorized execution of Amendment No. 2 to the Agreement to delete any future program/project management services and all unaccrued program/project management services fees, to include design and supplemental services in the Gentilly Woods and Pontchartrain Park neighborhoods at an additional fee not to exceed \$279,051.18 (for a total fee not to exceed \$621,651.11) and to establish a contract expiration date of November 28, 2021; and

WHEREAS, pursuant to R-073-2019 the Board and Consultant executed Amendment No. 2 dated February 3, 2020; and

WHEREAS, pursuant to Resolution R-025-2020 the Board and Contractor executed Amendment No. 3 dated March 18, 2020 for engineering services on project RR061 for a fee not to exceed \$11,241.70 (for a total contract value of \$632,892.81), and establishing a contract expiration date of June 30, 2023; and

WHEREAS, the Board and Contractor executed Amendment No. 4 dated July 15, 2020 for engineering services on project RR140 for a fee not to exceed \$37,228.75 (for a total contract value of \$670,121.56); and

WHEREAS, the Board and Contractor executed Amendment No. 5 dated November 13, 2020 for engineering services on project RR061, RR142, and RR143 for a fee not to exceed \$272,401.44 (for a total contract value of \$942,523.00); and

WHEREAS, pursuant to Resolution R-116-2020 the Board and Contractor executed Amendment No. 6 dated March 10, 2021 for engineering services on project RR085 for a fee not to exceed \$261,440.00 (for a total contract value of \$1,203,963.00); and

WHEREAS, the Board has negotiated the remaining fees for RR056 Gentilly Terrace Group F, RR086 Lakeview North Group D, RR061 Gentilly Woods Group F, RR140 Pontchartrain Park Group A, RR142 Pontchartrain Park Group C, RR143 Pontchartrain Park Group D, and RR194 West End Group B, in the not to exceed amount of \$437,361.79, (for a total compensation amount not to exceed \$1,641,324.79) and the State has requested additional State and Federal clauses be amended into the contract; and

NOW, THEREFORE BE IT RESOLVED, that the President/President Pro-Tem of the Sewerage and Water Board is hereby authorized to execute on behalf of the Sewerage and Water Board of New Orleans an amendment to the existing agreement with Richard C. Lambert Consultants, LLC to include engineering services on JIRR work in the not to exceed amount of \$437,361.79, for a total maximum compensation of \$1,641,324.79 and amend State and Federal clauses into the contract.

I, Ghassan Korban, Executive Director,
Sewerage and Water Board of New Orleans,
do hereby certify that the above and foregoing
is a true and correct copy of a resolution adopted
at the Regular Meeting of said Board,
duly called and held, according to law, on
April 21, 2021.

Ghassan Korban
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**Sewerage and Water Board of New Orleans
BOARD OF DIRECTORS PROFESSIONAL SERVICES FACT SHEET**



ACTION REQUESTED

Amendment to Professional Engineering Services Agreement

Richard C. Lambert Consultants, LLC WLRP Amendment No.7 RR056, RR086, RR061, RR140, RR142, RR143, RR194

Request authorization to process WLRP Agreement for Amendment No.7 for RR056 Gentilly Terrace Group F, RR086 Lakeview North Group D, RR061 Gentilly Woods Group F, RR140 Pontchartrain Park Group A, RR142 Pontchartrain Park Group C, RR143 Pontchartrain Park Group D, and RR194 West End Group B, in the not to exceed amount of \$437,361.79.

CONTRACTOR/SUB/VENDOR INFORMATION

		DBE PARTICIPATION	
PRIME	SUBS	TARGET	ACTUAL
<i>Richard C. Lambert Consultants, LLC</i>	<i>Kenall, Inc.</i>	35.00%	7.62%
	<i>Civil Design & Construction</i>		0.00%
	<i>Integrated Logistical Support</i>		0.00%
Total		35.00%	7.62%

DESCRIPTION AND PURPOSE

Original Contract - Not to Exceed 18% Cost of Construction	
Amendments 1-6	\$1,203,963.00
Value of Requested Amendment	\$437,361.79
Has a NTP been Issued	Yes
Total Revised Contract Value	\$1,641,324.79
Original Contract Completion Date	End of Construction
Previously Approved Extensions (Days)	
Time Extension Requested (Days)	
Proposed Contract Completion Date	6/30/2023

Purpose and Scope of the Contract:

The original Water Line Replacement Program (WLRP) consultant agreement dated 3/15/2012, R-046-2012, provided engineering services for FEMA work in the following neighborhoods – Pontchartrain Park and Gentilly Woods. This WLRP Agreement is FEMA reimbursible. Construction will be reimbursed through the FEMA PW 21031 The Settlement.

Reason for Change:

Negotiate Fees X	Differing Site Condition	Regulatory Requirement
Design Change	Other _____	

Amendment No. 7 provides fees for engineering construction service RR086 Lakeview North Group D in the not to exceed (NTE) amount of \$60,000.00, RR061 Gentilly Woods Group F in the NTE \$2,168.75, RR142 Pontchartrain Park Group C in the NTE amount of \$1,210.00, RR143 Pontchartrain Park Group D in the NTE amount of \$2,783.04, RR140 Pontchartrain Park Group A in the NTE amount of \$41,700.00, RR194 West End Group B in the NTE amount of \$322,850.00, and railroad permit fees for RR056 Gentilly Terrace Group F in the NTE amount of \$6,650.00.

Future amendments are not anticipated. This could change during CNO's construction.

Spending to Date:

Cumulative Contract Amount (as of 4/20/2021)	\$1,203,963.00
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Cumulative Contract Spending (as of 4/6/2021)

\$509,209.11

Contractor's Past Performance:

The designer's timeliness of deliverables, conformance to SWBNO policies, procedures, and quality meet expectations. To date the consultant has not achieved a DBE participation rate of 35%. Remaining DBE participation will be met by Resident Inspection Services during Construction.

PROCUREMENT INFORMATION

Contract Type	Professional Services	Award Based On	Qualified List
Commodity	Public Works Construction	Name & FEMA PW	WLRP & 21031
Contractor Market	Public RFQ with DBE participation		
Compliance with Procurement Laws?	Yes X No	CMRC Date (if nec.) :	2/25/2021 & 3/18/2021

BUDGET INFORMATION

Funding	CP 175-13 & CP 317-06	Department	JIRR
System	Water & Sewer	Project Manager	Er. Susan Diehl
Job Number	A1369FEM & C1399XXX	Purchase Order #	PG20206000074

ESTIMATED FUND SOURCE

User	Share%	Dollar Amount	Reimbursible?
Sewer System	33%	143,579.55	WIFIA Loan - 100%
Water System	67%	293,782.24	FEMA - 100%
Drainage System			
TOTAL		437,361.79	

I certify that this contract action complies with all Sewerage and Water Board procurement policies and guidance, ethics rules and meets necessary regulatory requirements, including compliance with financing sources.

Mark D. Van Hala, P.E.

Program Administrator

Joint Infrastructure Recovery Request

AUTHORIZATION OF AMENDMENT NO. 6 TO THE AGREEMENT BETWEEN THE SEWERAGE AND WATER BOARD OF NEW ORLEANS AND NEEL-SCHAFFER, INC., FOR DESIGN AND ENGINEERING SERVICES FOR THE WATERLINE REPLACEMENT PROGRAM

WHEREAS, the Board and Consultant are parties to a professional services agreement dated September 10, 2012 (the “Original Agreement”) authorized by Resolution R-043-2012 under which Consultant was engaged to provide engineering services for the Board’s Water Line Replacement Program (“WLRP”) in the City Park, Dixon and Mid City neighborhoods with a DBE participation goal of 35%, as amended from time to time to date (as amended, the “Current Agreement”), and Board and Consultant now desire to amend the Current Agreement, specifically:

WHEREAS, Amendment 1 dated September 17, 2014 (per Resolution R-164-2014) added program/project management services, design and inspection services of repair or replacement of drain lines damaged by Katrina as approved and funded by FEMA PWs for the City Department of Public Works, and payment of fees therefor not to exceed 10% of the construction and for compensation of survey work in accordance with FEMA recommended policy; and

WHEREAS, Amendment 2 dated March 19, 2020 (per Resolution R083-2019) deleted any future program/project management services and all unaccrued program/project management services fees, included additional design and supplemental services at an additional fee not to exceed \$75,253.81 (for a total fee not to exceed \$735,317.20) and established a contract expiration date of May 30, 2022; and

WHEREAS, Amendment 3 dated May 28, 2020 (per Resolution R-037-2020) included engineering construction services for the following projects within the City Park, Dixon and Mid City neighborhoods: RR201 Taft Place, RR025 City park Group A, RR125 Mid City Group B, RR126 Mid City Group C, RR127 Mid City Group D, RR128 Mid City Group E, RR014 BW Cooper, Gert Town, Dixon Group A, RR017 BW Cooper, Gert Town, Dixon Group D, and RR019 BW Cooper, Gert Town, Dixon Group F, at an additional net fee of \$438,202.34, for a total fee not to exceed \$742,469.93, and extended the Agreement through June 30, 2023; and

WHEREAS, Amendment 4 dated September 3, 2020 (per Resolution R-073-2020 corrected the amount of the total maximum fee to be an amount not to exceed \$1,173,519.54, correcting an error in Resolution R-037-2020 which authorized additional work for an additional fee of \$438,202.34 for a total fee not to exceed \$742,469.93, which should have been set forth as \$1,173,519.54; and

WHEREAS, Amendment 5 dated January 8, 2021 (per Resolution R-107-2020) which authorized engineering construction services for the RR127 Mid City Group D; not to exceed \$18,040.00, and a total fee not to exceed \$1,191,559.54.

WHEREAS, the Board needs engineering design and construction services for the TM008A Elysian Fields at Florida Ave 50” Transmission Main and TM008B 3800 Carrollton over Palmetto Canal 20” Transmission Main, and add GOHSEP and FEMA required clauses; and

WHEREAS, the Consultant is willing to perform same for a fee not to exceed \$525,432.80, and a total fee not to exceed \$1,716,992.34.

NOW, THEREFORE BE IT RESOLVED, that the President or the President Pro-Tem is hereby authorized to execute on behalf of the Sewerage and Water Board of New Orleans Contract Amendment No. 6 to the existing agreement with Neel-Schaffer Inc. for engineering and construction services for the JIRR work at a fee not to exceed \$525,432.80, a total fee not to exceed \$1,716,992.34 and amend GOHSEP and FEMA required clauses into the agreement.

I, Ghassan Korban, Executive Director,
Sewerage and Water Board of New Orleans,
do hereby certify that the above and foregoing
is a true and correct copy of a resolution adopted
at the Regular Meeting of said Board,
duly called and held, according to law, on
April 21, 2021.

Ghassan Korban,
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**Sewerage and Water Board of New Orleans
BOARD OF DIRECTORS PROFESSIONAL SERVICES FACT SHEET**



ACTION REQUESTED

**Amendment to Professional Engineering Services Agreement
Neel-Schaffer Inc. WLRP Agreement - TM008A and TM008B**

Request authorization for Amendment No.6 to the WLRP Agreement for Projects TM008A Elysian Fields at Florida Ave 50" Transmission Main and TM008B 3800 Carrollton over Palmetto Canal 20" Transmission Main for a fee not to exceed \$525,432.80, and

CONTRACTOR/SUB/VENDOR INFORMATION

		DBE PARTICIPATION	
PRIME	SUBS	TARGET	ACTUAL
<i>Neel-Schaffer Inc.</i>	<i>GoTech, Inc.</i>	35.00%	15.30%
Total		35.00%	15.30%

DESCRIPTION AND PURPOSE

Original Contract - Not to Exceed 18% Cost of Construction	
Amendments 1-5	\$1,191,559.54
Value of Requested Amendment	\$525,432.80
<i>Has a NTP been Issued</i>	<i>No</i>
Total Revised Contract Value	\$1,716,992.34
Original Contract Completion Date	End of Construction
Previously Approved Extensions (Days)	
Time Extension Requested (Days)	
Proposed Contract Completion Date	6/30/2023

Purpose and Scope of the Contract:

This agreement provides engineering services for the Joint Infrastructure Recovery Request (JIRR) program in coordination with the City of New Orleans (CNO) Recovery Roads (RR) Program for the Pines Village and West Lake Forest neighborhoods. The WLRP Agreement is FEMA reimbursible. Design and Construction fees will be reimbursed through the FEMA project Worksheet (PW) 19846 Multiple Neighborhoods and The Settlement, PW 21031.

Reason for Change:

Negotiate Fees X	Differing Site Condition	Regulatory Requirement
Design Change	Other _____	

A mendment No.6 to Projects:		TM008A	TM008B	
Survey	\$	53,030.00	\$	28,020.00
Geotechnical & Utility Exploration	\$	-	\$	44,532.80
Preliminary Design	\$	37,130.00	\$	75,910.00
Permitting	\$	-	\$	9,590.00
Final Design	\$	16,420.00	\$	34,680.00
Bid & Award	\$	3,890.00	\$	7,870.00
Construction Admin	\$	18,550.00	\$	44,010.00
Resident Inspection	\$	48,000.00	\$	103,800.00
Total	\$	177,020.00	\$	348,412.80
		Combined Total		\$ 525,432.80

Spending to Date:

Cumulative Contract Amount (as of 4/20/2021)	\$1,191,559.54
Cumulative Contract Spending (as of 3/31/2021)	\$475,041.26

Contractor's Past Performance:

The designer's timeliness of deliverables, conformance to SWBNO policies, procedures, and quality meet expectations. DBE participation will be met by Resident Inspection services during Construction.

PROCUREMENT INFORMATION

Contract Type	Professional Services	Award Based On	Qualified List
Commodity	Public Works Construction	Name & FEMA PW	WLRP & 19846 & 21031
Contractor Market	Public RFQ with DBE participation		
Compliance with Procurement Laws?	Yes X No	CMRC Date (if nec.) :	3/18/2021 & 3/25/2021

BUDGET INFORMATION

Funding	CP 175-13	Department	JIRR
System	Water	Project Manager	Norman Rockwell, PE
Job Number	A1348FEM	Purchase Order #	PG20206000138

ESTIMATED FUND SOURCE

User	Share%	Dollar Amount	Reimbursible
Sewer System			FEMA - PW 19846
Water System	100%	\$525,432.80	
Drainage System			
TOTAL		\$525,432.80	

I certify that this contract action complies with all Sewerage and Water Board procurement policies and guidance, ethics rules and meets necessary regulatory requirements, including compliance with financing sources.

 Mark D. Van Hala, P.E.
 Program Administrator
 Joint Infrastructure Recovery Request

AUTHORIZATION OF AMENDMENT NO. 8 TO THE PROFESSIONAL SERVICES AGREEMENT BETWEEN SEWERAGE AND WATER BOARD OF NEW ORLEANS AND MWH AMERICAS, INC. (predecessor in interest to STANTEC CONSULTING SERVICES, INC.) FOR DESIGN AND ENGINEERING SERVICES FOR THE WATER LINE REPLACEMENT PROGRAM (WLRP)

WHEREAS, by Resolution R-214-2011, adopted at its December 2011 Board meeting, the Board approved a list of engineering and consulting firms, including MWH, for the replacement and rehabilitation of its Water Line Replacement Program (WLRP); and

WHEREAS, by Resolution R-001-2012, approved at its January 18, 2012 meeting, the Board authorized execution of a contract with MWH to provide engineering and design services for the Board's Waterline Replacement Program (WLRP) for the Bywater, Florida, Florida Development, Marigny, St. Claude and St. Roch neighborhoods, and pursuant to Resolution R-001-2012 the Board and MWH entered into a Professional Services Agreement dated April 3, 2012 (the "Original Agreement") with fees in an amount not-to-exceed ten (10) percent of construction for design, six (6) percent for inspection and two (2) percent for supplemental services or a sum total not to exceed eighteen (18) percent of the cost of construction; and

WHEREAS, by Resolution R-144-2012 approved at its August 15, 2012, meeting the Board authorized amendment of the Original Agreement to provide for compensation for surveying services to be billed in accordance with the prevailing FEMA recommended policy (not to exceed \$7.00 per linear foot in 2012, which included a mark-up on the direct cost of surveying); and

WHEREAS, pursuant to Resolution R-144-2012, the Board and MWH executed Amendment No. 1 to the Original Agreement on October 1, 2012 (as amended, hereafter, the "Agreement"); and

WHEREAS, by Resolution R-163-2014, adopted at its August 20, 2014 meeting, the Board authorized amending the Agreement to include program/project management services, design and inspection services of repair or replacement of drain lines damaged by Katrina as approved and funded by the Federal Emergency Management Agency ("FEMA") under Project Worksheets ("PWs"), for the City of New Orleans ("City"), through its Department of Public Works ("DPW"),

WHEREAS, pursuant to Resolution R-163-2014, the Board and MWH executed Amendment No. 2 to the Agreement, dated September 17, 2014, for program/project management services, design and inspection services of repair or placement of drain lines, and to provide for compensation at a percentage of construction costs, not to exceed 10% and that the fees, as defined in the original agreement, should be based on the amounts FEMA approved on PW's for design and construction inspection; and

WHEREAS, pursuant to Resolution R-233-2014, adopted at its November 19, 2014 meeting, the Board authorized amending the Agreement to include identification of FEMA eligible ADA curb ramps noted in PWs for the City and DPW, and payment of fees therefor not to exceed \$459,442.80; and

WHEREAS, pursuant to Resolution R-233-2014, the Board and MWH executed Amendment No. 3 to the Agreement, dated December 17, 2014, for identification of FEMA eligible ADA curb ramps as

approved by Board staff, to reflect PWs approved by FEMA for design and construction inspection of the work performed and payment of fees therefor not to exceed \$459,442.80; and

WHEREAS, by merger effective January 1, 2017, Consultant acquired MWH and assumed all its responsibilities under the Agreement; and

WHEREAS, pursuant to Resolution R-010-2020, approved on January 22, 2020, the Board authorized amending the Agreement to include final design for RR004 Bayou St John, Fairgrounds and Seventh Ward Group B and RR170 St Claude Group E, and final design and engineering construction services for projects RR166 St Claude Group A, RR167 St Claude Group B, RR168 St Claude Group C, RR180 St Roch Group A, RR181 St Roch Group B in the amount of \$342,272.60, to delete any future program/project management services and all unaccrued program/project management services fees, and to establish a contract expiration date of May 30, 2022, and the parties executed Amendment No. 4 dated May 19, 2020; and

WHEREAS, by Resolution R-064-2020, approved May 20, 2020, the Board authorized amending the Agreement to include engineering design services for RR167: St Claude Group B (a DOTD revision), RR004: Bayou St. John, Fairgrounds, Seventh Ward Group B Construction Admin & Inspection, RR020: Bywater, Marigny Group A (Final Design, Construction Admin and Inspection, RR170: St. Claude Group E (Construction Admin and Inspection, and RR168: St. Claude Group C Construction Admin and Inspection in the amount of \$119,874.90, and to extend the contract expiration date from May 30, 2022 to June 30, 2023, and the parties executed Amendment No. 5 dated October 21, 2020; and

WHEREAS, by Resolution R-117-2020, approved October 21, 2020, the Board authorized amending the Agreement to include engineering design services for RR176 St. Roch North Group A; and Construction Admin and Inspection in the amount not to exceed \$167,140.89; and the parties executed Amendments No. 6 and 7 dated February 1, 2021; and

WHEREAS, by Resolution R-130-2020, approved November 18, 2020, the Board authorized amending the Agreement to include engineering design services for RR177 St. Roch North Group B and RR178 St. Roch North Group in the amount not to exceed \$465,215.71; and the parties executed Amendments No. 6 and 7 dated February 1, 2021; and

WHEREAS, the Board has negotiated fees for RR170 St. Claude Group E Not to exceed (NTE) \$3,150.00, RR116 Marlyville-Fountainbleau Group A NTE \$92,954.70 and the Board needs to negotiate fees for RR046 Florida Area & Dev Group A, RR047 Florida Area & Dev Group B, RR048 Florida Area & Dev Group C, RR049 Florida Area & Dev Group D, RR172 St. Claude Group G, RR173 St. Claude Group G and sewer resident inspection NTE \$292,000.00 and the State has requested additional State and Federal clauses be amended into the contract;

NOW, THEREFORE BE IT RESOLVED, that the President or President Pro Tem of the Sewerage and Water Board is hereby authorized to execute on behalf of the Sewerage and Water Board of New Orleans Contract Amendment No. 8 to the existing agreement with Stantec Consulting Services, Inc. (as successor in interest to MWH Americas, Inc.) for JIRR work not to exceed \$388,104.70, a total fee not to exceed \$2,380,213.03 and amend State and Federal clauses into the contract.

I, Ghassan Korban, Executive Director,
Sewerage and Water Board of New Orleans,
do hereby certify that the above and foregoing
is a true and correct copy of a resolution adopted
at the Regular Meeting of said Board,
duly called and held, according to law, on
April 21, 2021.

Ghassan Korban,
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**Sewerage and Water Board of New Orleans
BOARD OF DIRECTORS PROFESSIONAL SERVICES FACT SHEET**



ACTION REQUESTED

Amendment to Professional Engineering Services Agreement

Stantec Consulting Services, Inc. WLRP Agreement - RR170, RR116, RR046, RR047, RR048, RR049, RR172, RR173

Request authorization for Amendment No.8 to the WLRP Agreement for Projects RR170 St. Claude Group E, RR116 Marlyville-Fountainbleau Group A RR046 Florida Area & Dev Group A, RR047 Florida Area & Dev Group B, RR048 Florida Area & Dev Group C, RR049 Florida Area & Dev Group D, RR172 St. Claude Group G, RR173 St. Claude Group G and sewer resident inspection not to exceed \$388,104.70.

CONTRACTOR/SUB/VENDOR INFORMATION

		DBE PARTICIPATION	
PRIME	SUBS	TARGET	ACTUAL
<i>Stantec Consulting Services, Inc.</i>	<i>Gotech, Inc.</i>	35.00%	4.74%
	<i>Royal Engineers & Consultants, LLC</i>		0.00%
	<i>GAEA Consultants, LLC</i>		0.37%
	<i>CDW Services, LLC</i>		3.78%
	<i>Batture, LLC</i>		3.71%
Total		35.00%	12.59%

DESCRIPTION AND PURPOSE

Original Contract - Not to Exceed 18% Cost of Construction	
Amendments 1-7	\$1,992,108.33
Value of Requested Amendment	\$388,104.70
Has a NTP been Issued	No
Total Revised Contract Value	\$2,380,213.03
Original Contract Completion Date	End of Construction
Previously Approved Extensions (Days)	
Time Extension Requested (Days)	
Proposed Contract Completion Date	6/30/2023

Purpose and Scope of the Contract:

This agreement provides engineering services for the Joint Infrastructure Recovery Request (JIRR) program in coordination with the City of New Orleans (CNO) Recovery Roads (RR) Program for Bywater, Florida Area, Marigny, St. Roch, and St. Claude neighborhoods.

Reason for Change:

Negotiate Fees X	Differing Site Condition	Regulatory Requirement
Design Change	Other Estimated Fees_	

Amendment No.8 to the WLRP Agreement for Projects RR170 St. Claude Group E Not to exceed (NTE) \$3,150, RR116 Marlyville-Fountainbleau Group A NTE 92,954.70, RR046 Florida Area & Dev Group A, RR047 Florida Area & Dev Group B, RR048 Florida Area & Dev Group C, RR049 Florida Area & Dev Group D, RR172 St. Claude Group G, RR173 St. Claude Group G NTE \$222,000 and construction administration and inspection NTE \$70,000 and GOHSEP and FEMA clauses.

Future amendments are not anticipated. This could change during CNO's construction.

Spending to Date:

Cumulative Contract Amount (as of 4/20/2021)	\$1,992,108.33
Cumulative Contract Spending (as of 3/31/2021)	\$676,755.36

Contractor's Past Performance:

The designer's timeliness of deliverables, conformance to SWBNO policies, procedures, and quality meet expectations. DBE participation will be met by Resident Inspection services during Construction.

PROCUREMENT INFORMATION

Contract Type	Professional Services	Award Based On	Qualified List
Commodity	Public Works Construction	Name & FEMA PW	WLRP & 21031
Contractor Market	Public RFQ with DBE participation		
Compliance with Procurement Laws?	Yes X No	CMRC Date (if nec.):	3/18/2021 & 3/25/2021

BUDGET INFORMATION

Funding	CP 175-13 & CP 317-05	Department	JIRR
System	Water & Sewer	Project Manager	Er. Susan Diehl
Job Number	A1348FEM & C1399XXX	Purchase Order #	PG20196000145

ESTIMATED FUND SOURCE

User	Share%	Dollar Amount	Reimbursible?
Sewer System	42%	\$162,954.70	WIFIA Loan - 100% 21031 - 100%
Water System	58%	\$225,150.00	
Drainage System			
TOTAL		\$388,104.70	

I certify that this contract action complies with all Sewerage and Water Board procurement policies and guidance, ethics rules and meets necessary regulatory requirements, including compliance with financing sources.

Mark D. Van Hala, P.E.
Program Administrator
Joint Infrastructure Recovery Request

Project Delivery Unit
March 2021 Closeout Snapshot

FEMA Public Assistance	# of Project Worksheets	FEMA Obligated	FEMA Revenue Received per LAPA	FEMA Obligation Balance	% Financially Complete	# of Projects Submitted for Closeout	OBLIGATED Value of Projects Submitted for Closeout	POTENTIAL Value of Projects Submitted to Closeout	# of Projects Officially Closed	OBLIGATED Value of Projects Officially Closed	% Submitted	% Closed	# of Projects Submitted but Not Officially Closed	OBLIGATED Value of Projects Submitted but Not Officially Closed
Hurricane Katrina - St. Joseph Headquarters	20	\$ 5,428,537.69	\$ 309,139.46	\$ 5,119,398.23	6%	19	\$ 2,236,513.15	\$ 2,214,148.02	19	\$ 2,236,513.15	95%	95%	0	\$ -
Hurricane Katrina - Central Yard	42	\$ 27,734,255.70	\$ 26,162,402.43	\$ 1,571,853.27	94%	42	\$ 27,734,255.70	\$ 27,456,913.14	32	\$ 22,025,919.44	100%	76%	10	\$ 5,708,336.26
Hurricane Katrina - Wastewater Treatment Plant	128	\$ 90,803,270.37	\$ 83,014,642.92	\$ 7,788,627.45	91%	127	\$ 83,765,860.77	\$ 82,928,202.16	120	\$ 38,257,447.07	99%	94%	7	\$ 45,508,413.70
Hurricane Katrina - Carrollton Water Plant	55	\$ 76,019,829.37	\$ 67,935,783.12	\$ 8,084,046.25	89%	54	\$ 64,304,777.65	\$ 63,661,729.87	51	\$ 13,730,451.46	98%	93%	3	\$ 50,574,326.19
Hurricane Katrina - Distribution Network	27	\$ 194,904,197.06	\$ 177,903,734.00	\$ 17,000,463.06	91%	25	\$ 114,411,682.59	\$ 113,267,565.76	18	\$ 30,028,021.61	93%	67%	7	\$ 84,383,660.98
Hurricane Katrina - Pump Stations	166	\$ 81,286,159.38	\$ 72,539,592.02	\$ 8,746,567.36	89%	160	\$ 79,985,972.17	\$ 79,186,112.45	143	\$ 58,844,680.54	96%	86%	17	\$ 21,141,291.63
Hurricane Gustav	9	\$ 667,553.90	\$ 668,689.06	\$ (1,135.16)	100%	9	\$ 667,553.90	\$ 660,878.36	8	\$ 665,778.64	100%	89%	1	\$ 1,775.26
Hurricane Isaac	8	\$ 1,554,775.01	\$ 955,019.44	\$ 599,755.57	61%	7	\$ 1,554,775.01	\$ 1,539,227.26	2	\$ 7,391.29	88%	25%	5	\$ 1,547,383.72
Tropical Storm Nate	2	\$ 439,728.39	\$ 262,344.66	\$ 177,383.73	60%	2	\$ 439,728.39	\$ 435,331.11	1	\$ 20,939.45	100%	50%	1	\$ 418,788.94
Hurricane Barry	2	\$ 947,134.10	\$ -	\$ 947,134.10	0%	0	\$ -	\$ -	0	\$ -	0%	0%	0	\$ -
Hurricane Sally	1	\$ 14,781.65	\$ -	\$ 14,781.65	0%	0	\$ -	\$ -	0	\$ -	0%	0%	0	\$ -
Total	460	\$ 479,800,222.62	\$ 429,751,347.11	\$ 50,048,875.51	90%	445	\$ 375,101,119.33	\$ 371,350,108.14	394	\$ 165,817,142.65	97%	86%	51	\$ 209,283,976.68

FEMA Joint Infrastructure	# of Project Worksheets	FEMA Obligated	FEMA Revenue Received per LAPA	FEMA Obligation Balance	% Financially Complete	# of Projects Submitted for Closeout	OBLIGATED Value of Projects Submitted for Closeout	POTENTIAL Value of Projects Submitted to Closeout	# of Projects Officially Closed	OBLIGATED Value of Projects Officially Closed	% Submitted	% Closed	# of Projects Submitted but Not Officially Closed	OBLIGATED Value of Projects Submitted but Not Officially Closed
Hurricane Katrina - JIRR settlement	2	\$268,448,968.15	\$ 16,939,614.58	\$ 251,509,353.57	6%	0	\$ -	\$ -	0	\$ -	0%	0%	0	\$ -
Hurricane Katrina - JIRR Donors	53	\$ 67,459,411.95	\$ 54,370,436.50	\$ 13,088,975.45	81%	39	\$ 47,253,372.55	\$ 46,780,838.82	6	\$ 263,742.70	74%	11%	33	\$ 46,989,629.85
Total	55	\$ 335,908,380.10	\$ 71,310,051.08	\$ 264,598,329.02	21%	39	\$ 47,253,372.55	\$ 46,780,838.82	6	\$ 263,742.70	71%	11%	33	\$ 46,989,629.85

FEMA Hazard Mitigation Grant Program	# of Contracts	FEMA Obligated	FEMA Revenue Received per LAHM	FEMA Obligation Balance	% Financially Complete	# of Contracts Completed	OBLIGATED Value of Projects Submitted for Closeout	POTENTIAL Value of Projects Submitted to Closeout	# of Projects Officially Closed	OBLIGATED Value of Projects Officially Closed	% Submitted	% Closed	# of Projects Submitted but Not Officially Closed	OBLIGATED Value of Projects Submitted but Not Officially Closed
Hurricane Katrina - Retrofit of Power House	17	\$166,795,389.00	\$ 126,473,330.97	\$ 40,322,058.03	76%	11	\$ -	\$ -	0	\$ -	0%	0%	0	\$ -
Hurricane Katrina - Flood Mitigation of 9 SPS	9	\$ 19,987,722.00	\$ 19,299,825.10	\$ 687,896.90	97%	9	\$ -	\$ -	0	\$ -	0%	0%	0	\$ -
Hurricane Ike - Five Underpass Generators	1	\$ 988,658.00	\$ 839,129.23	\$ 149,528.77	85%	1	\$ -	\$ -	0	\$ -	100%	0%	0	\$ -
Total	27	\$ 187,771,769.00	\$ 146,612,285.30	\$ 41,159,483.70	78%	21	\$ -	\$ -	0	\$ -	78%	0%	0	\$ -

TOTALS as of 4.6.21	FEMA Obligated	FEMA Revenue Received	FEMA Obligation Balance
	\$ 1,003,480,371.72	\$ 647,673,683.49	\$ 355,806,688.23

March 2021

BOARD'S SAFETY REPORT



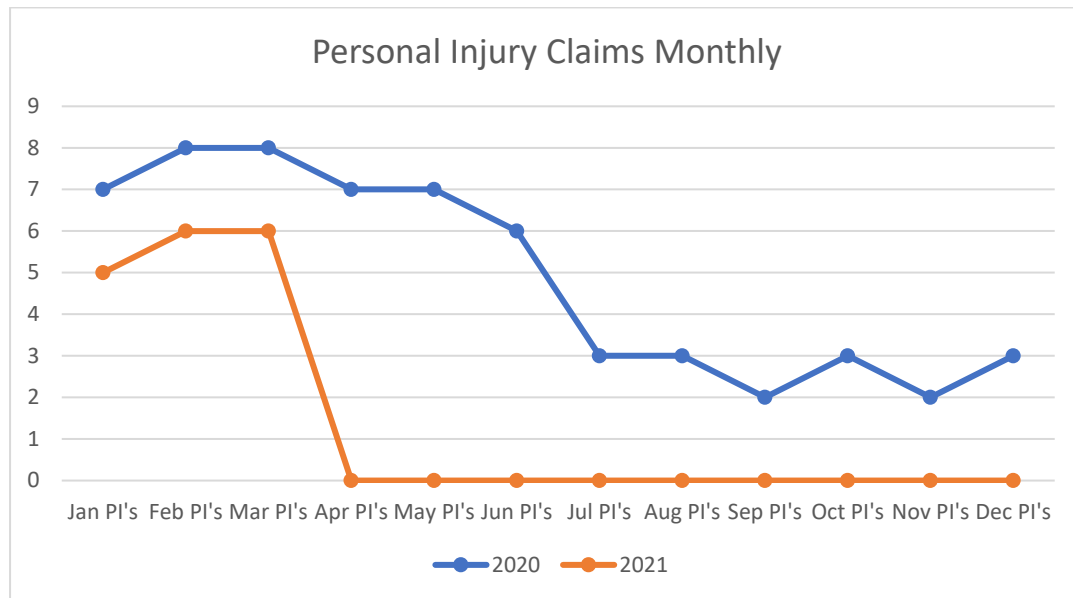
Executive Summary

Personal Injuries

There has been report of 6 personal injuries since last month's report. Compared to last month's report of 6 personal injuries, the incidents have neither increased nor decreased.

All Major Incidents (injury resulting in hospital admission) with appropriate Safety response are listed below.

None.



Employee Sustained Injuries of 2021 and 2020													
Year	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	Total
2021	5	1	0										6
2020	7	8	8	7	7	6	3	3	2	3	2	3	59

Non-Vehicle Accidents :

There has been report of **55** non-vehicle accidents since last month's report. Compared to last month's report of **45** non-vehicle accidents, the incidents have increased by **10**.

All Major Incidents (Electrical/Gas line incidents or excessive damage to property) with appropriate Safety response are listed below.

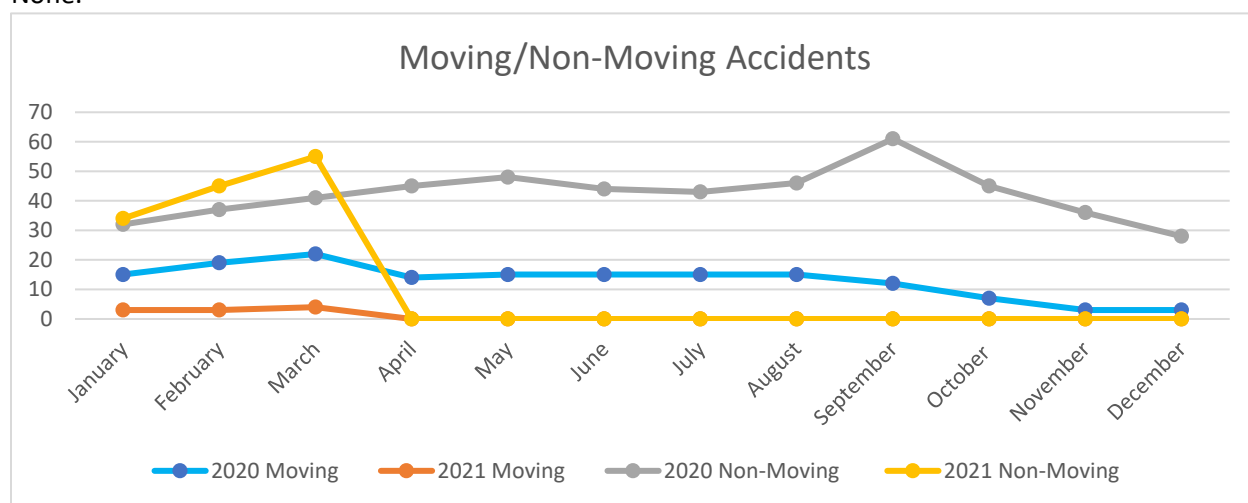
None.

Vehicle Accidents:

There has been report of **4** vehicle accidents since last month's report. Compared to last month's report of **3** vehicle accidents, the incidents have increased by **1**.

All Major Incidents (Vehicle Accidents resulting in injury or excessive damage to Vehicles) with appropriate Safety response are listed below.

None.



Claims Moving/Non-Moving Accidents of 2021 and 2020

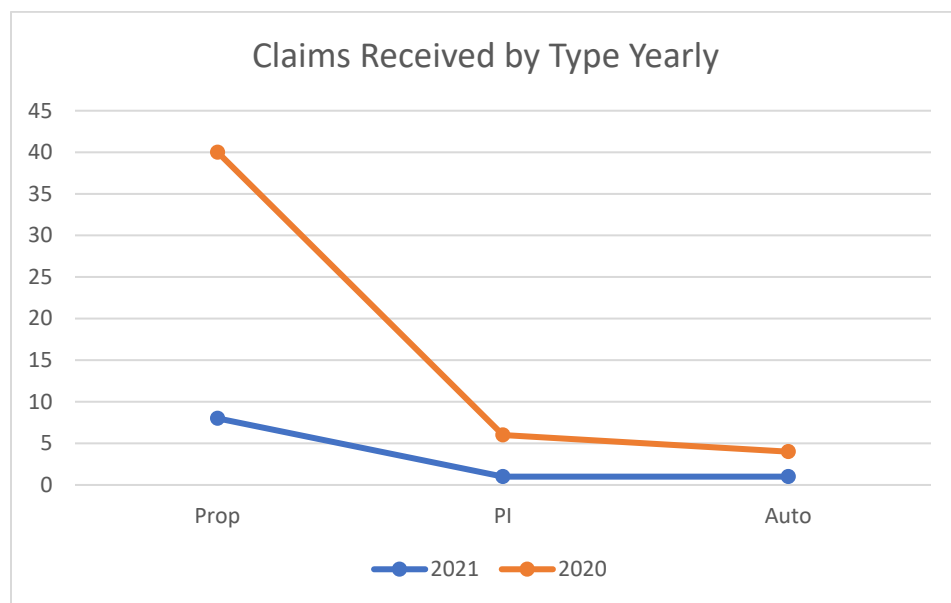
Accident Type	Year	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	Total
Moving	2021	3	3	4										10
Moving	2020	15	19	22	14	15	15	15	15	12	7	3	3	155
Non-Moving	2021	34	45	55										134
Non-Moving	2020	32	37	41	45	48	44	43	46	61	45	36	28	506

Claims :

There has been report of 1 Claim since last month's report. Compared to last month's report of 1 claim, the claims have neither increased nor decreased.

All Major Claims (Claims over an estimated value of 5k in damages) with Safety response are listed below.

None.



Claims Received by Month of 2021 and 2020													
Year	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	Total
2021	2	1	1										4
2020	3	3	3	0	2	6	3	3	1	6	1	4	35

Safety Training

The Safety Department has conducted the following safety training since last month:

OSHA Trainer Course for Construction

TapRoot, Root Cause Analysis Essentials

Slip, trips and falls on walking surfaces

Safety Incident Investigation Report

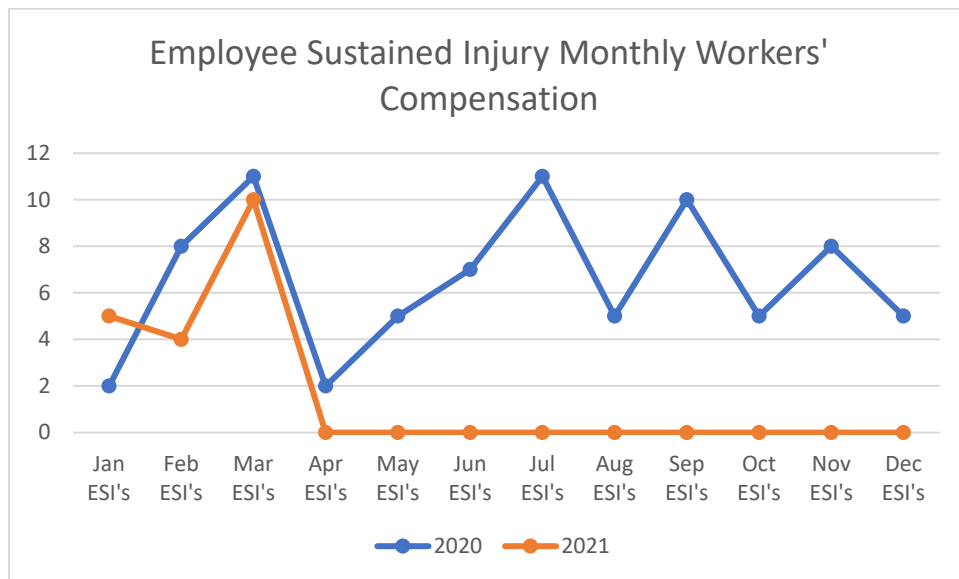
At the end of this report is the Safety Incident Investigation tracking report for incidents that required a Safety Investigation. The report outlines the type of incident, date investigation was closed, and recommended action to reduce the accident from happening again.

Department	IMS Incident#	Date of Accident	Incident Type	Description	Recommended Action	Closed Date
Meter Reading	9946	2/23/2021	injury	Injured walking down the stairs	The recommendation is for the employee to exercise safe walking rules involving maintaining solid contact and firm footing while walking and ensuring the environment is safe for walking.	3/11/2021
Meter Shop	9935	2/10/2021	Injury	Hand injury while moving tools.	Insulate tools to prevent cuts.	3/26/2021
Electric Shop	9970	3/11/2021	Injury	Knee injury while replacing and installing new utility batteries.	Supervisors should conduct a job safety analysis (JSA) prior to leaving the shop, or when the workers get to the assigned worksite.	3/29/2021
Plumbing Dept	9967	3/11/2021	Injury	Elbow injury from falling while walking.	The recommendation is for the employee to exercise safe walking rules involving maintaining solid contact and firm footing while walking and ensuring the environment is safe for walking.	3/26/2021
Networks	9968	3/7/2021	Injury	Puncture hand injury while working in a hole.	The employee followed safety procedures.	3/25/2021



Workers' Compensation 2021 Statistics

The charts below represent claims that were reported to Workers' Compensation where employees sustained injuries (ESI).



Total number of claims reported in March: 10

Employee Sustained Injuries of 2021 and 2020

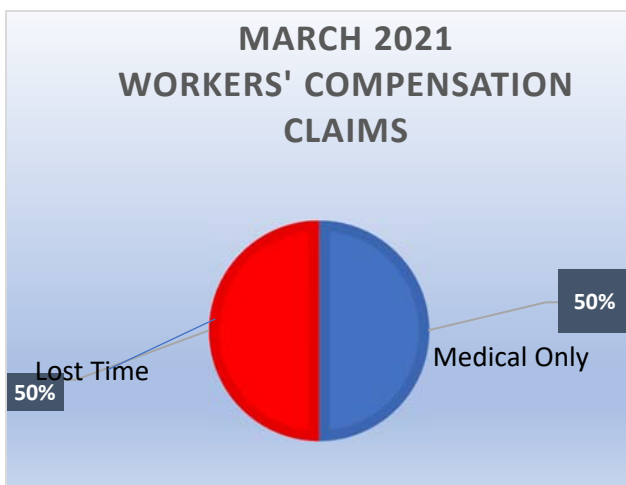
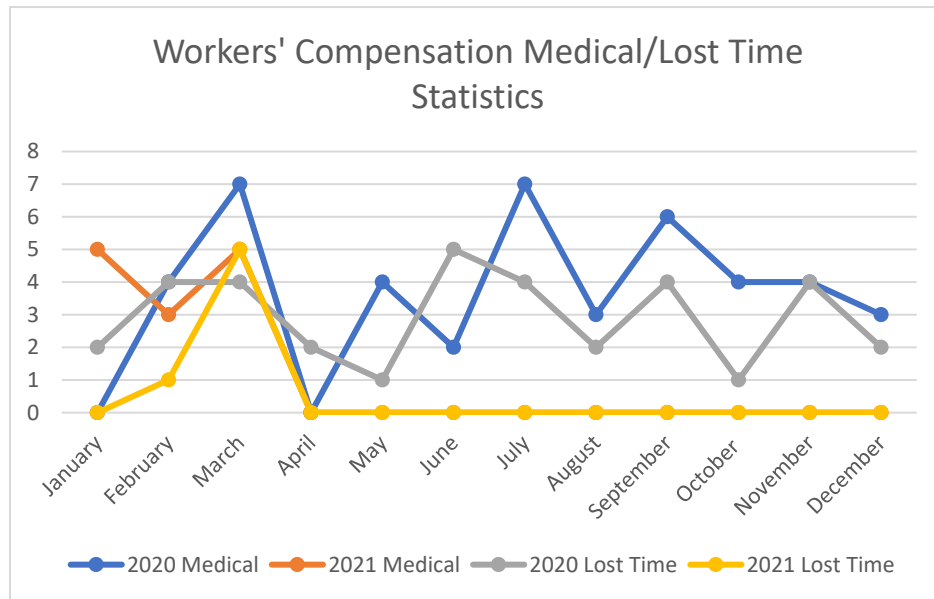
Year	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	Total
2021	5	4	10										19
2020	2	8	11	2	5	7	11	5	10	5	8	5	79

Comparison of 2021 & 2020 ESIs: As of March 2021, injury cases have decreased by an average of 1.6 overall. The overall percentage decline in injuries dropped by 1% or 10 injuries as of March 2021 as compared to 79 injuries as of December 2020.

Goal: To educate and communicate with departments on data found and collaborate on a plan that reduces the amount of injuries and risk for the agency.



Workers' Compensation 2021 Claims Statistics



Medical Only: Of the 10 Claims, five (5) claims required medical treatment only and employees returned to work within a week.

Lost Time: Of the 10 claims, five (5) claims required medical treatment and paid wages as employees were not able to return to work within the week of the injury.

Goal: Safety Department will evaluate claims in a timely manner that ensures proper Personal Protective Equipment (PPE) and safety training is made available to department managers to reduce accidents. Workers' Compensation Unit will evaluate claims in a timely manner that ensures prompt medical treatment so that employees are returned into the workforce as efficiently and medically stable as possible. This will aid in maintaining an active and healthy workforce.



Workers' Compensation Personal Protection Equipment (PPE) 2021 Statistics

Workers' Compensation tracks the use of Personal Protection Equipment (PPE). This safety equipment should be used during work activity to protect against health risks. The information below details the use of PPE as it relates to the "body part" injured as a result of the accident.

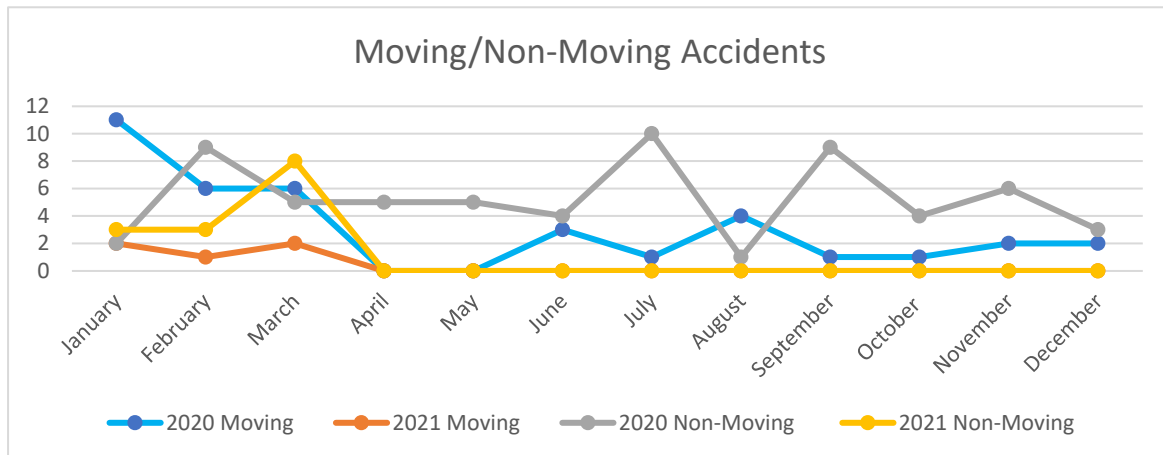
March 2021 Personal Protection Equipment Statistics				
Department	IMS #	Body Part Injured	Accident Type	PPE? Yes/No or N/A
Zone 5	9956	Neck	Vehicle or Equipment Collisions	Yes
Zone 5	9957	Forehead, Forearm, Shoulder, Hand	Vehicle or Equipment Collisions	Yes
Field/Account Review Unit	9960	Left & Right Knee	Slips, Trips and Falls	Yes
Plumbing	9967	Left & Right Elbow	Slips, Trips and Falls	N/A
Zone 5	9968	Left Hand	Cuts and Lacerations	Yes
Meter Reading & Investigations	9969	Left Shoulder	Slips, Trips and Falls	Yes
Communications-Drainage/Sewer	9970	Left & Right Knee	Muscle/Repetitive Strains	Yes
Zone 1	9972	Left Shoulder	Struck by Falling/Flying Objects	N/A
Sewer PS Mtc-Employees	9975	Back	Slips, Trips and Falls	Yes
Meter Reading & Investigations	9977	Forehead	Slips, Trips and Falls	Yes



SWBNO Accident
Types V1 20201013.c



2021 Motor Vehicle & Non-Motor Vehicle Incidents



During 2021, there were a total of four (4) Employed Sustained Incidents caused by Motor Vehicle Incidents. A total of fifteen (15) were Non-Motor Vehicle Incidents.

During 2020, there were a total of eighteen (18) Employee Sustained Incidents caused by Motor Vehicle Incidents. A total of sixty-one (61) were Non-Motor Vehicle Incidents.

*Incident: any occurrence of an event in which Workers' Compensation is notified (minor or serious consequences)

*Motor Vehicle: Incident that involves motorized mobile machinery, (vehicle, riding lawnmower, backhoe machine, etc.)

*Non-Motor Vehicle: Incident that does not involve motorized mobile machinery.

Departmental view of MVI and Non-MVIs reported in 2021

Department	MVI	Non-MVI	Total
Meter Reading & Invest.	1	4	5
Mechanical Maintenance Supt		1	1
Zone 3		1	1
Customer Service Walk-Ins		1	1
Maintenance & Relief MWP		1	1
Zone 6	1		1
Meter Repairs		1	1
Zone 5	2	1	3
Zone 1		1	1
Plumbing		1	1
Field/Account Review Unit		1	1
Communications-Drainage/Sewer		1	1
Sewer PS Mtc-Employees		1	1
Total	4	15	19



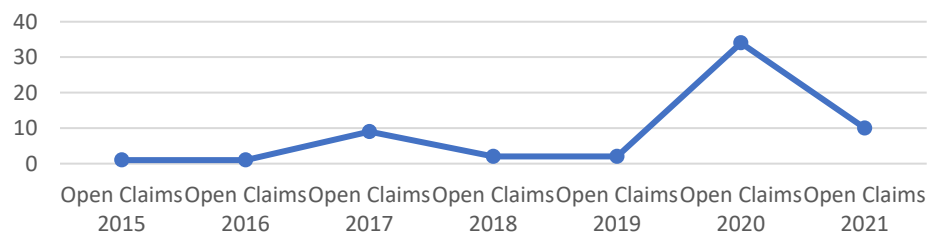
2021 Open and Closed Damage Claims

Month	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	Total
Received Claims	2	1	1										4
Closed Claims	8	8	5										21

2020 Open and Closed Damage Claims

Month	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept	Oct	Nov	Dec	Total
Received Claims	6	10	7	0	3	4	8	2	0	2	1	0	43
Closed Claims	33	21	16	3	8	16	27	5	3	30	19	15	196

Open Claims as of March 2021



Open Claims by Year

Year	Number	Status
2015	1	In Litigation
2016	1	In Litigation
2017	9	In Litigation
2018	2	In Litigation
2019	2	In Litigation
2020	34	In Review
2021	10	Up for Review
Total	59	Total Number of Claims

Open Claims by Type

Number of Claims	Type of Claim
49	Property
6	Personal Injury
4	Auto
59	Total # of Claims



SEWERAGE AND WATER BOARD

Inter-Office Memorandum

Date: March 31, 2021

To: Ghassan Korban, Executive Director

Thru: Irma Plummer, EDBP Director



From: Lyria Hicks, EDBP Compliance Officer

Re: EDBP Department Summary – March 2021

ANALYSES CONDUCTED BY EDBP

For the month of March 2021, the EDBP Department received two (2) Goods and Services contracts to review.

FURNISHING RECLAIMED ASPHALTIC PAVEMENT - REQ NO. YW21-0010

On Wednesday, February 24, 2021 two (2) bids were received for subject contract. The bid total is as follows:

Imperial Exterminating Company	\$37,989.00
Al's Pest Control Service, Inc.	\$70,008.00

Thirty percent (30%) DBE participation was requested on this contract.

Imperial Exterminating Company, the lowest bidder, submitted Inspector 12, (eligible, certified SLDBE) to provide services. Signed correspondence from the DBE on their own letterhead reaffirming negotiated terms was provided.

Total Participation: \$10,271.70 + 30% of Bird and Termite Control Services– 30%

Al's Pest Control Services, Inc., the second lowest bidder, submitted Safe Spot Pest Control, LLC (Eligible, certified DBE) to provide services. Signed correspondence from the DBE on their own letterhead reaffirming negotiated terms was not provided.

Total Participation: \$28,003.20 – 40%

Based upon analysis of SLDBE participation, the Economically Disadvantaged Business Program recommends the SLDBE participation submitted by Imperial Exterminating Company be accepted as responsive to meeting EDBP bid requirements and recommends the SLDBE participation submitted Al's Pest Control Services, Inc, be accepted as non-responsive to meeting EDBP bid requirements.

FURNISHING RIVER SAND & MASON SAND – REQ NO. YW21-0008

On Friday, March 26, 2021 three (3) bids were received for subject contract. The bid total is as follows:

Beverly Construction Co. LLC	\$401,600.00
Hamp's Enterprises LLC	\$394,000.00
Trucking Innovation LLC	\$348,000.00

Thirty percent (30%) DBE participation was requested on this contract.

Trucking Innovation LLC, the lowest bidder, submitted MST Enterprises, LLC (eligible, certified SLDBE) to provide services. Signed correspondence from the DBE on their own letterhead reaffirming negotiated terms was provided.

Total Participation: \$104,400 – 30%

Hamp's Enterprises LLC, the second lowest bidder, submitted Boines Construction Equipment Company, Inc (eligible, certified SLDBE) to provide services. Signed correspondence from the DBE on their own letterhead reaffirming negotiated terms was provided.

Total Participation: \$120,500 – 30%

Beverly Construction Co. LLC, the highest bidder, submitted The Three C's Properties, Inc, (eligible – certified DBE) to provide services. Signed correspondence from the DBE on their own letterhead reaffirming negotiated terms was provided.

Total Participation: \$120,484– 30%

Based upon analysis of SLDBE participation, the Economically Disadvantaged Business Program recommends the SLDBE participation submitted by Beverly Construction Co LLC, Hamp's Enterprises LLC and Trucking Innovation LLC be accepted as responsive to meeting EDBP bid requirements.

For the month of March 2021, the EDBP Department did not receive any Professional Services contracts to review.

For the month of March 2021, the EDBP Department received one (1) Construction contract to review.

CONTRACT #2151 – ST. BERNARD TRANSMISSION MAIN, RRTM002

On Wednesday, March 17, 2021, three (3) bids were received for subject contract. The bid totals are as follows:

Wallace C. Drennan, Inc.	\$2,093,638.00
Boh Bros. Construction Co., LLC	\$2,134,751.00
Cycle Construction Co., LLC	\$2,445,355.75

Twenty-six percent (26%) DBE participation was established for this project.

The apparent lowest bidder, **Wallace C. Drennan, Inc.**, submitted the following subcontractors: Choice Supply Solutions, LLC (eligible, certified SLDBE) to supply industrial pipe fittings, valves, hydrants, and other associated products and Prince Dump Truck Service, LLC (eligible, certified SLDBE) to finish and spread sand and aggregate.

Total Participation: \$545,000.00 — 26.03%

Correspondence from DBEs on their own letterhead reaffirming negotiated terms was provided.

The apparent second lowest bidder, **Boh Bros. Construction Co., LLC**, submitted the following subcontractors: D. Weber & Sons, LLC (eligible, certified SLDBE) to supply and haul gravel and sand, Prince Dump Truck Service, LLC (eligible, certified SLDBE) to provide hourly haul dump truck service, C&M Construction Group, Inc. (eligible, certified SLDBE) to perform asphalt paving, Landrieu Concrete and Cement Ind. LLC (eligible, certified SLDBE) to provide Ready-Mix concrete manufacturing, Industry Junction, Inc. (eligible, certified SLDBE) to provide water pipe and fittings, and Traffic Control Products Co., of LA (eligible, certified SLDBE) to erect traffic signs.

Total Participation: \$545,000.00 — 26.03%

Correspondence from DBEs on their own letterhead reaffirming negotiated terms was provided.

Based upon analysis of DBE participation, the Economically Disadvantaged Business Program recommends that the DBE participation submitted by **Wallace C. Drennan, Inc.**, and **Boh Bros. Construction Co., LLC** be considered as responsive to meeting EDBP bid requirements.

CONSTRUCTION REVIEW COMMITTEE RECOMMENDATIONS

The Construction Review Committee convened on Thursday, March 11, 2021, and made the following recommendations:

1) Contract #5249: Station D Support Repair/Reinforcement of South Crane Rails

Budget Amount: \$232,980.00
Renewal Term(s): No renewal options
Recommended
Percentage Goal: 36%
Justification: N/A

2) Contract #30237: Restoration of Gravity Flow Sewer Mains by Point Repair

Budget Amount: \$5,000,000.00
Renewal Term(s): Two (2) one-year renewal options
Recommended
Percentage Goal: 36%
Justification: N/A

STAFF CONTRACT REVIEW COMMITTEE RECOMMENDATIONS

The Staff Contract Review Committee convened on Thursday, March 11, 2021 and Thursday March 25, 2021, and made the following recommendations:

OPEN MARKET

1) Request for Furnishing Professional Engineering, Construction Administration and Inspection Services for the West Power Complex at the Carrollton Water Plant

Budget Amount: \$5,000,000.00 to 8,000,000.00
Renewal Term(s): Initial term of Three (3) years with five (5) one-year renewal options
Recommended
Percentage Goal: 35%
Justification: N/A

RENEWAL CONTRACTS

1) Request for Furnishing Claim Services

Budget Amount:	\$50,000.00
Amount Spent:	\$5,192.35
Renewal Option(s):	Fourth and Final
Renewal Cost:	\$50,000.00
Recommended	
Percentage Goal:	0%
Percentage Goal	
Achieved:	N/A
Prime Contractor:	Hammerman & Gainer, LLC

2) Request for Furnishing Armed Security Services

Budget Amount:	\$3,500,000.00
Amount Spent:	\$1,822,562.27
Renewal Term(s):	Fifth and Final
Renewal Cost:	\$3,500,000.00
Recommended	35%
Percentage Goal:	
Percentage Goal	40.76%
Achieved:	
Prime Contractor:	Professional Security Corporation

CONSTRUCTION RENEWAL CONTRACTS with DBE PARTICIPATION

There were no projects with a DBE Participation Goal to review for the month of March 2021.

FINAL ACCEPTANCE CONTRACTS with DBE PARTICIPATION

There were no final acceptance construction contracts with a DBE participation goal to review for the month of March 2021.

SEWERAGE & WATER BOARD OF NEW ORLEANS CONTRACTS WITH DBE PARTICIPATION

There was two (2) contracts with DBE participation awarded for the month of March 2021.

Sewerage & Water Board New Orleans Awarded Projects with SLDBE Participation January 2021 - March 2021

Category	Category Dollar Amount	SLDBE Dollar Value
Construction Projects	\$ 4,638,391	\$ 599,301
Goods & Services Projects	\$ -	\$ -
Professional Services Projects	\$ 4,137,128	\$ 1,436,901
Grand Total	\$ 8,775,519	\$ 2,036,202

Sewerage & Water Board of New Orleans Open Market Bids with DBE Participation January 2021 - March 2021

Construction Projects

Contract No./Description	%DBE Goal	Contract \$	Prime	Sub(s)	% DBE Part (Prime)	\$ Sub Award	Award date
Cont #6267: Turbine 5 Exhaust System Fabrication and Installation	10%	\$ 2,218,500.00	M.R. Pittman Group, LLC	JEI Solutions, Inc.	0.68%	\$ 15,000.00	1/20/2021
				GreenPoint Engineering, LLC	3.49%	\$ 77,355.00	
				The Three C's Properties, Inc.	5.58%	\$ 123,840.00	
				Industry Junction, Inc.	0.58%	\$ 12,906.00	
				Total	10.33%	\$ 229,101.00	
Cont #2152; Gert Town Waterline Replacement Program – S. Dupree St, TM004, PW21031	15%	\$ 2,419,891.00	BLD Services, LLC	C&M Construction Group, Inc.	13.17%	\$ 318,700.00	3/17/2021
				Prince Dump Truck Service, LLC	2.13%	\$ 51,500.00	
				Total	15.30%	\$ 370,200.00	
Total Construction Projects		\$ 4,638,391.00			12.92%	\$599,301.00	

Sewerage & Water Board of New Orleans Open Market Bids with DBE Participation January 2021 - March 2021

Goods & Services Projects

Contract No./Description	%DBE Goal	Contract \$	Prime	Sub(s)	% DBE Part (Prime)	\$ Sub Award	Award date
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**Total Goods & Services
Projects**

\$0.00

0%

\$0.00

Sewerage & Water Board of New Orleans Open Market Bids with DBE Participation January 2021 - March 2021

Professional Services Projects

Contract No./Description	%DBE Goal	Contract \$	Prime	Sub(s)	% DBE Part (Prime)	\$ Sub Award	Award date
Consulting Services for the Managing the Preparation, Selection, and Implementation of An Advance Metering Infrastructure Solution	35%	\$4,105,431.00	Jacobs Engineering Group, Inc.	1) Bright Moments 2) Gaea Consultants, LLC 3) Integrated Logistical Support, Inc. DBA ILSI Engineering	35.00%	\$1,436,900.85	2/10/2021
Construction Materials Testing and Observation Services South Shore Sewer Rehabilitation No.1 (Contract 302131)	25%	\$31,696.95	Eustis Engineering, LLC		25.00%		3/9/2021
Total Professional Services Projects	30%	\$4,137,127.95			30%	\$1,436,900.85	