

SEWERAGE & WATER BOARD OF NEW ORLEANS

FINANCE AND ADMINISTRATION COMMITTEE MEETING

WEDNESDAY, MAY 12, 2021

9:00 AM

[May 2021 Finance Committee Link](#)
[+1 504-224-8698,,577640548#](#) United States, New Orleans
Phone Conference ID: 577 640 548#

PUBLIC COMMENT WILL BE ACCEPTED VIA EMAIL TO
BOARDRELATIONS@SWBNO.ORG. ALL PUBLIC COMMENTS MUST BE RECEIVED PRIOR TO
9:30 AM ON May 12, 2021. COMMENTS WILL BE READ VERBATIM INTO THE
RECORD.

Lynes Sloss Janet Howard
Joseph Peychaud Ralph Johnson Alejandra Guzman

FINAL AGENDA

1. **ROLL CALL**

2. **DISCUSSION ITEM**

- Financial Summary Update

3. **ACTION ITEMS**

- Resolution (R-063-2021) Resolution Granting Approval Authority from the Sewerage and Water Board of New Orleans to the Executive Director for Certain Designated Change Orders and Contract Amendments
- Resolution (R-064-2021) Resolution Authorizing Application to the Louisiana Department of Environmental Quality

GENERAL SUPERINTENDENT'S REPORT

• **BIDS and RENEWALS**

- Resolution (R-045-2021) First Renewal for Contract 3742 between the Sewerage and Water Board of New Orleans and Atakapa Services, LLC for Cleaning and CCTV Inspection of Sanitary Sewer Mains at Scattered Sites within Orleans Parish
- Resolution (R-047-2021) Award of Contract 1417 between the Sewerage and Water Board of New Orleans and Walter J. Barnes Electric Co., Inc. for the Static Frequency Converter Procurement Project

• **CONTRACTS CHANGE ORDERS**

- Resolution (R-042-2021) Ratification of Change Order No. 2 between the Sewerage and Water Board of New Orleans and Cycle Construction Company, LLC for Contract 1403 – Demolition of Abandoned C-7 and C-8 Basins and Other Site Improvements at the Carrollton Water Plant

- Resolution (R-052-2021) Ratification of Change Order No. 12 between the Sewerage and Water Board of New Orleans and M.R. Pittman Group, LLC for Contract 1368 – Hazard Mitigation Grant Program – Oak Street Pumping Station
- Resolution (R-053-2021) Ratification of Change Order No. 1 between the Sewerage and Water Board of New Orleans and M.R. Pittman Group, LLC for Contract 1377 – Water Hammer Hazard Mitigation Program – Claiborne Ave. Pumping Station and Off-Site Improvements

- **CONTRACT AMENDMENTS**

- Resolution (R-037-2021) Authorization of Amendment No. 5 to the Agreement Between the Sewerage and Water Board of New Orleans and ECM Consultants, Inc. for Design and Engineering Services for the Water Line Replacement Program
- Resolution (R-050-2021) Authorization of Amendment No. 1 to the Agreement Between the Sewerage and Water Board of New Orleans and the City of New Orleans for the West Power Complex
- Resolution (R-051-2021) Authorization of Amendment No. 4 to the Agreement Between the Sewerage and Water Board of New Orleans and Black & Veatch Corporation for Design and Engineering Services for Sewer Pumping Station A Structural Rehabilitation

4. PUBLIC COMMENT

Public comments received until 30 minutes after the presentation of the Agenda will be read into the record.

5. INFORMATION ITEMS

- FEMA April Project Worksheet Status
- HR Report
- DBE Participation on Contracts
 - DBE Bid Analysis
 - Construction Review Committee – Goals Setting
 - Staff Contract Review Committee - Goals Setting
 - Final Acceptance of Construction Contracts with DBE Participation.

6. ADJOURNMENT

This teleconference meeting is being held pursuant to and in accordance with the provisions of Section 4 of Proclamation Number JBE 2020-30, extended by Proclamation 79 JBE 2021, pursuant to Section 3 of Act 302 of 2020.

EXECUTIVE SUMMARY

March 2021

A summary analysis of the financial results and other performance goals is attached for your further review.

All Systems (in millions)	March MTD Actual	March MTD Budget	Variance MTD	March YTD Actual	March YTD Budget	Variance YTD	Annual Budget
Operating Revenues	\$22.4	\$22.0	\$0.3	\$66.5	\$63.6	\$2.9	\$261.7
Ad Valorem / Other Revenues	4.9	9.4	(\$4.5)	6.2	11.3	(\$5.1)	67.3
Operating Expenditures	21.4	22.1	0.6	69.1	71.4	2.3	317.9

Revenues Less Adjusted Expenses (March 2021)

	Actual (MTD)	Budget (MTD)	2020 Actual (MTD)	Actual (YTD)	Budget (YTD)	2020 Actual (YTD)	Actual YTD as % of Budget YTD
Revenues							
Water Service	9,574,786	9,848,562	9,906,975	28,900,694	28,298,446	31,057,274	102%
Sewer Service	12,532,719	12,093,932	12,431,423	36,964,785	35,072,568	38,462,818	105%
Non Operating Revenue	4,640,182	9,294,031	19,534,077	5,578,427	11,057,527	19,580,039	50%
Misc Revenues	262,454	82,310	176,457	620,920	232,672	776,425	267%
Total Revenues	27,010,141	31,318,835	42,048,932	72,064,826	74,661,213	89,876,556	97%
	-	-	-	-	-	-	
Operating Expenses							
Water Service	6,811,233	9,802,556	5,415,504	22,190,110	30,544,634	19,599,792	73%
Sewer Service	9,601,687	8,108,780	6,879,219	30,583,759	28,835,076	21,064,864	106%
Drainage Service	5,027,561	4,160,914	5,138,803	16,349,425	12,067,292	15,426,397	135%
Total Expenses	21,440,482	22,072,250	17,433,526	69,123,294	71,447,002	56,091,053	97%
	-	-	-	-	-	-	
Interest Expense	1,933,128	1,880,635	1,710,986	5,799,385	4,853,050	5,132,958	119%
Revenues less Expenses	3,636,531	7,365,950	22,904,419	(2,857,853)	(1,638,839)	28,652,545	174%
Non-Cash Expenses	9,075,079	9,679,352	6,531,486	27,496,648	28,985,696	18,556,078	95%
Revenues less Adjusted Expenses	12,711,610	17,045,302	29,435,905	24,638,795	27,346,857	47,208,623	90%

MONTHLY FINANCIAL REPORT

Cash Collections

Historical Monthly Water and Sewerage System Cash Collections

2021 Water Collections			
Months	Water Service Charges & Fees	Delinquent Fees	Total
January	\$ 7,795,274	\$ 25,951	\$ 7,821,225
February	\$ 7,291,874	\$ 21,589	\$ 7,313,463
March	\$ 8,468,654	\$ 32,902	\$ 8,501,556
Last 12 months (March)			
\$ 95,433,320			
TOTAL	\$ 23,555,802	\$ 80,442	\$ 23,636,244

2020 Water Collections			
Months	Water Service Charges & Fees	Delinquent Fees	Total
January	\$ 9,370,008	\$ 193,867	\$ 9,563,875
February	\$ 8,510,690	\$ 162,949	\$ 8,673,639
March	\$ 8,510,036	\$ 162,272	\$ 8,672,308
April	\$ 6,519,253	\$ 279,649	\$ 6,798,902
May	\$ 7,900,869	\$ 105,513	\$ 8,006,382
June	\$ 7,267,122	\$ 82,830	\$ 7,349,952
July	\$ 8,048,453	\$ 43,613	\$ 8,092,066
August	\$ 8,528,091	\$ 39,029	\$ 8,567,120
September	\$ 8,829,034	\$ 28,497	\$ 8,857,531
October	\$ 7,613,451	\$ 24,426	\$ 7,637,877
November	\$ 7,190,806	\$ 24,456	\$ 7,215,262
December	\$ 9,225,677	\$ 46,307	\$ 9,271,984
TOTAL	\$ 97,513,490	\$ 1,193,408	\$ 98,706,898

2019 Water Collections			
Months	Water Service Charges & Fees	Delinquent Fees	Total
January	\$ 7,269,033	\$ 145,536	\$ 7,414,569
February	\$ 6,261,340	\$ 136,461	\$ 6,397,801
March	\$ 6,835,909	\$ 98,609	\$ 6,934,519
April	\$ 8,024,726	\$ 182,469	\$ 8,207,195
May	\$ 8,128,278	\$ 148,478	\$ 8,276,756
June	\$ 7,547,372	\$ 150,562	\$ 7,697,934
July	\$ 9,662,101	\$ 194,163	\$ 9,856,264
August	\$ 8,483,471	\$ 190,224	\$ 8,673,696
September	\$ 8,246,459	\$ 171,490	\$ 8,417,949
October	\$ 10,133,620	\$ 192,930	\$ 10,326,549
November	\$ 9,064,555	\$ 213,662	\$ 9,278,216
December	\$ 8,126,348	\$ 141,736	\$ 8,268,084
TOTAL	\$ 97,783,213	\$ 1,966,318	\$ 99,749,531

2021 Sewerage Collections			
Months	Sewerage Service Charges & Fees	Delinquent Fees	Total
January	\$ 11,154,460	\$ 32,427	\$ 11,186,887
February	\$ 10,824,014	\$ 27,378	\$ 10,851,392
March	\$ 13,313,818	\$ 39,070	\$ 13,352,888
Last 12 months (March)			
\$ 133,326,919			
TOTAL	\$ 35,292,292	\$ 98,875	\$ 35,391,167

2020 Sewerage Collections			
Months	Sewerage Service Charges & Fees	Delinquent Fees	Total
January	\$ 12,683,216	\$ 247,883	\$ 12,931,099
February	\$ 11,510,258	\$ 213,939	\$ 11,724,198
March	\$ 12,337,081	\$ 207,785	\$ 12,544,866
April	\$ 9,460,656	\$ 149,191	\$ 9,609,847
May	\$ 10,703,694	\$ 136,628	\$ 10,840,322
June	\$ 10,485,228	\$ 105,070	\$ 10,590,298
July	\$ 11,609,615	\$ 54,036	\$ 11,663,651
August	\$ 11,850,512	\$ 47,804	\$ 11,898,316
September	\$ 11,927,583	\$ 35,569	\$ 11,963,153
October	\$ 10,112,092	\$ 29,194	\$ 10,141,285
November	\$ 8,981,302	\$ 29,429	\$ 9,010,731
December	\$ 12,159,920	\$ 58,229	\$ 12,218,149
TOTAL	\$ 133,821,157	\$ 1,314,758	\$ 135,135,915

2019 Sewerage Collections			
Months	Sewerage Service Charges & Fees	Delinquent Fees	Total
January	\$ 10,283,064	\$ 183,074	\$ 10,466,138
February	\$ 8,856,052	\$ 174,247	\$ 9,030,299
March	\$ 10,920,960	\$ 123,706	\$ 11,044,666
April	\$ 11,750,766	\$ 171,101	\$ 11,921,867
May	\$ 11,709,701	\$ 184,362	\$ 11,894,064
June	\$ 10,666,270	\$ 193,564	\$ 10,859,833
July	\$ 12,418,687	\$ 240,120	\$ 12,658,808
August	\$ 11,484,227	\$ 244,491	\$ 11,728,718
September	\$ 11,249,671	\$ 225,192	\$ 11,474,863
October	\$ 13,327,187	\$ 246,420	\$ 13,573,607
November	\$ 7,943,403	\$ 256,514	\$ 8,199,917
December	\$ 11,698,150	\$ 180,495	\$ 11,878,645
TOTAL	\$ 132,308,137	\$ 1,966,318	\$ 134,274,455

MONTHLY FINANCIAL REPORT

Monthly Financial Dashboard (March 2021)

SWBNO Monthly Financial Dashboard

March 2021

	March 2021			March 2020		
	Water	Sewer	Drainage	Water	Sewer	Drainage
1 Operating Revenues by class of service	\$9,574,786	\$12,532,719		\$9,906,975	\$12,431,423	
2 Revenues per Active account	\$69	\$91		\$72	\$91	
3 Cash Collections	\$8,501,556	\$13,352,888		\$8,672,308	\$12,544,866	
4 Ad Valorem - other revenues			\$4,633,693			\$19,515,125
5 Operating & Maintenance (O&M) Expenses	\$6,811,233	\$9,601,687	\$5,027,561	\$5,415,504	\$6,879,219	\$5,138,803
6 O&M cost per Active account	\$49	\$69	\$36	\$40	\$50	\$38
7 Cash, Cash Equivalents and Funds on Deposit	\$29,591,465	\$46,683,859	\$12,080,521	\$27,160,152	\$33,463,476	\$19,025,349
Liquidity						
8 Current ratio (current assets/current liabilities)	1.6	2.8	0.3	1.8	3.3	0.5
9 Days cash on hand (>90 days required)	123	184	n/a	127 ¹	192 ¹	n/a
¹ - as of February 2021						
Leverage						
10 Debt (bonds)	\$204,657,530	\$300,436,178	\$3,969,175	\$209,488,561	\$224,974,346	\$5,902,444
11 Preliminary Debt Service Coverage (>1.25 required)	5.47	5.48	n/a	2.24	1.83	n/a
12 Total Assets	\$796,420,576	\$1,330,551,822	\$1,673,376,979	\$740,472,871	\$1,221,629,060	\$1,583,382,817
13 Net Position	\$437,692,692	\$862,886,801	\$1,227,928,282	\$380,924,225	\$827,243,319	\$1,177,154,030
14 Leverage (total debt/ total assets)	26%	23%	0%	28%	18%	0%
15 Debt/ net position	47%	35%	0%	55%	27%	1%
16 Long term debt per Active Accounts	\$1,480	\$2,172	\$29	\$1,531	\$1,644	\$43
Receivables						
		Water / Sewer			Water / Sewer	
17 Customer Receivables, net of allowance		\$43,622,470			\$39,502,995	
18 Active Customer Receivables past due > 60 Days		\$51,633,057			\$34,614,301	
19 Uncollected Ratio (cash collections / revenues) - last 12 mos		10.8%			8.8%	
20 Total Number of Active Accounts		138,301			136,850	
21 Total Number of Delinquent Active Accounts		24,993			25,176	
22 Total Number of Accounts in Dispute		2,138			2,269	
23 Total Number of New Payment Plans		151			1,099	
24 Total Number Inactive Accounts		34,540			45,382	

Debt Obligations

Debt Information - As of March 31, 2021

	Water	Sewer	Drainage	Total
Debt Outstanding:				
Revenue Bonds*	\$ 222,760,000	\$ 283,000,000		\$ 505,760,000
Limited Tax Bonds			\$ 3,955,000	\$ 3,955,000
DEQ SRF Loans*		\$ 6,571,272		\$ 6,571,272
GoZone Loan		\$ 40,044,839		\$ 40,044,839
Total Debt Outstanding	\$ 222,760,000	\$ 329,616,111	\$ 3,955,000	\$ 556,331,111
Southeast Louisiana Project liability				\$ 287,247,615
Available Borrowed Funds:				
Unspent Bond Proceeds ⁽¹⁾	\$ 8,059,430	\$ 95,611,776		\$ 103,671,206
Available Undrawn DEQ SRF Loan Proceeds (South Shore Basin Project)		\$ 9,029,728		\$ 9,029,728
Total Available Borrowed Funds	\$ 8,059,430	\$ 104,641,505		\$ 112,700,934

(1) As reported by BLCD.

* Included in Debt Service Coverage Tests

Capital

2021 CAPITAL DISBURSEMENTS (As of 3/31/2021)

	YTD	
	Annual Budget Disbursements	
Water	\$ 87,045,733	\$ 18,518,386
Sewer	\$ 188,632,679	\$ 9,993,289
Drainage	\$ 58,367,652	\$ 4,739,766
Total	\$334,046,064	\$ 33,251,441
Water - pending funding sources	\$ 131,444,196	
Total Capital Budget	\$ 465,490,260	

Federal Grant/Funding Status

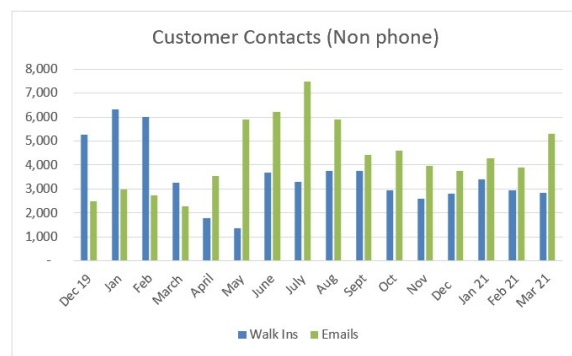
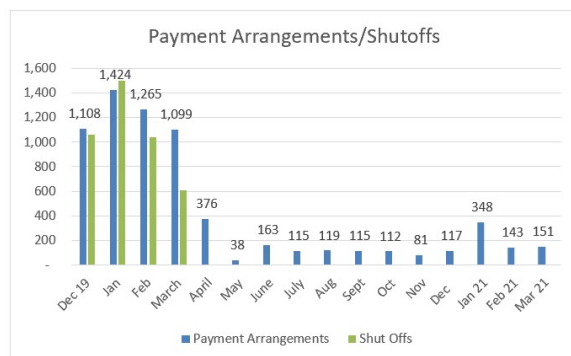
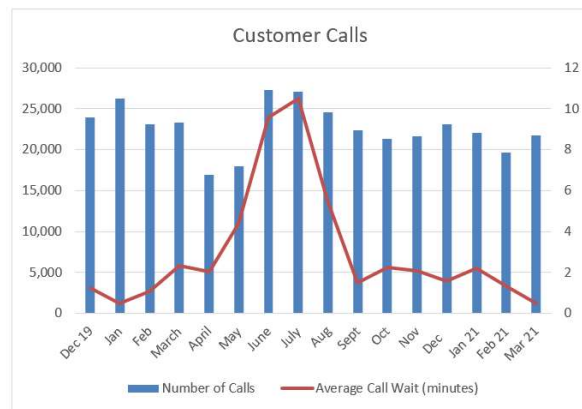
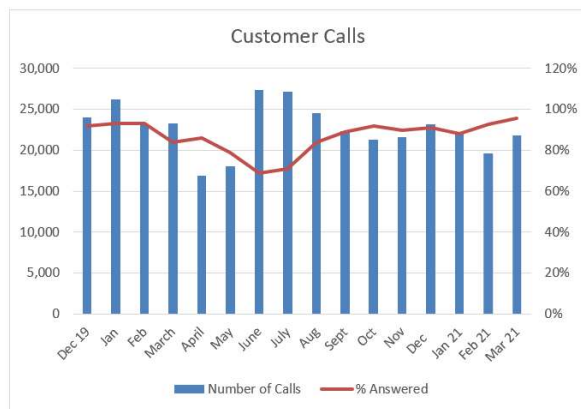
FEDERAL GRANT/FUNDING STATUS (As of 3/31/2021)

	Obligated	Expended	Reimbursed
Hurricane PA Projects	\$ 547,259,635	\$ 517,429,734	\$ 486,903,684
HMGP Projects	\$ 188,871,018	\$ 190,075,995	\$ 146,612,285
JIRR Projects	\$ 268,448,968	\$ 18,710,139	\$ 18,113,651
Total	\$ 1,004,579,621	\$ 726,215,867	\$ 651,629,620

Customer Experience (March 2021):

In March 2021, a total of 41,072 customers were served. These reports do not include actions and responses related to investigations.

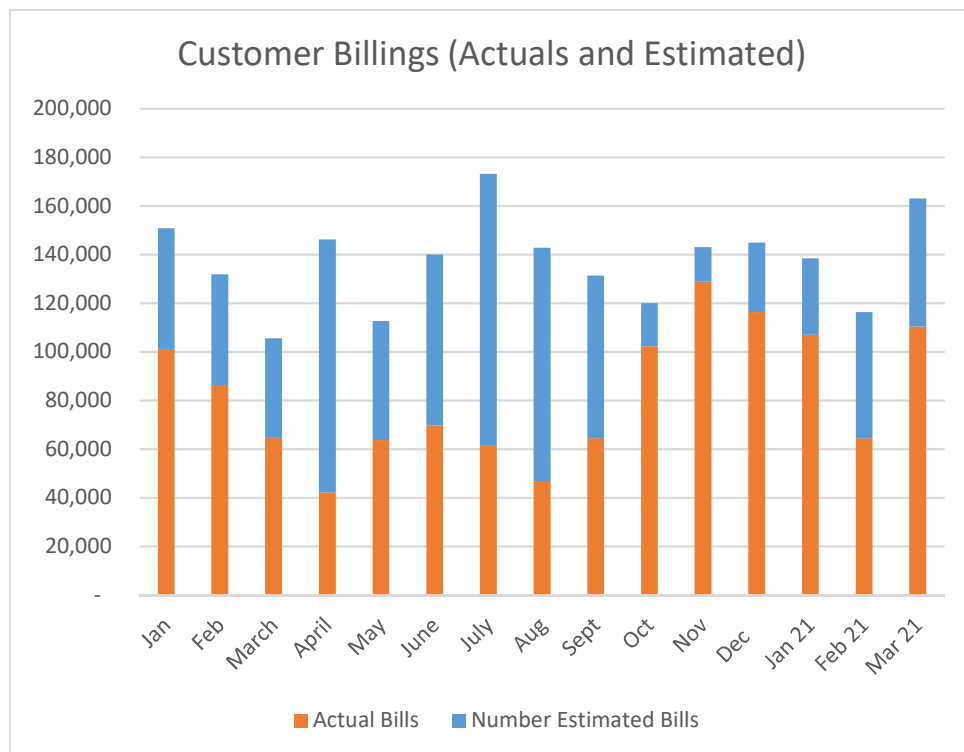
	Total # Calls	Total calls Answered	Total Dropped Calls	Average Call Wait	% Calls Answered
March	21,780	20,808	972	0:47 sec.	96%



Billing Accuracy (March 2021)

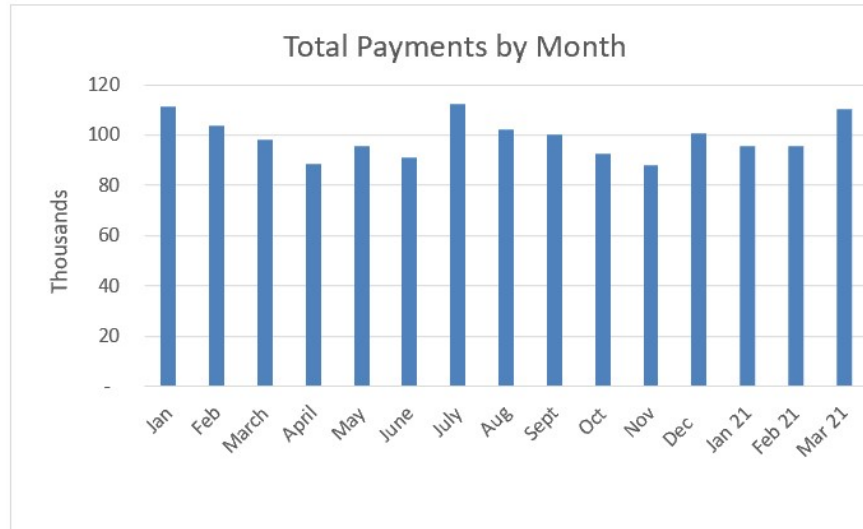
The following metrics are monitored to help management measure and improve the bill accuracy, improve the customer response time and implement best practice collection activity.

The Sewerage and Water Board (SWBNO) suspended water service shut-offs Thursday, March 12, 2020 and will adhere to this policy change for the duration of the Mayor's Declared Emergency proclamation in response to the COVID-19 pandemic.



Collections (March 2021)

A total of 110,446 payments were received in the month. These payments were received via mail, walk-ins, online payments, and the interactive voice response phone system.

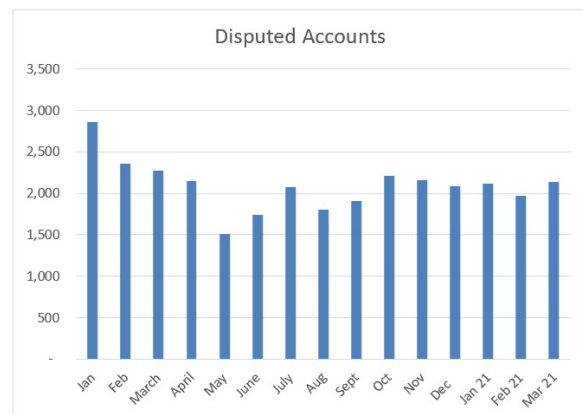
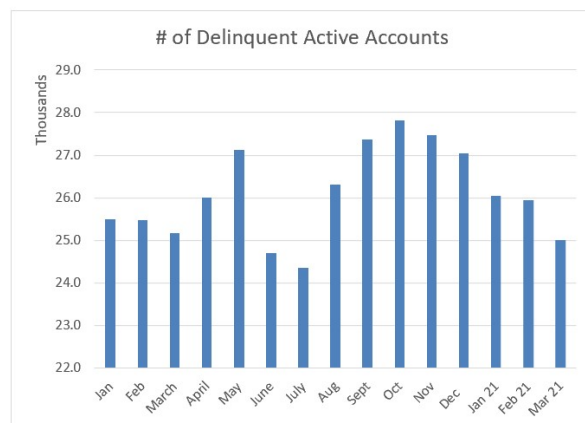


Active Accounts (March 2021)

CUSTOMER ACCOUNT AGING REPORT

As of March 31, 2021

	ACTIVE ACCOUNTS	DELINQUENT ACTIVE ACCOUNTS	PAST DUE \$ AMOUNT (60+ DAYS)	% CHANGE FROM PRIOR MONTH	ACCOUNTS IN DISPUTE	% CHANGE FROM PRIOR MONTH
Residential	121,110	23,070	\$ 39,806,101	1%	1,727	7%
Multi-Family	4,583	595	\$ 2,847,353	2%	112	15%
Commercial	12,577	1,326	\$ 8,979,357	2%	299	14%
Industrial	31	2	\$ 246	-59%	-	-
TOTALS	138,301	24,993	\$ 51,633,057	1%	2,138	9%



The number of past due Inactive accounts totaled 34,540 an increase of 120 accounts over the prior month.

March 2021 Financial Statements (unaudited)

Pages 9-24 as follows:

- 9. All System Funds Statements of Revenues and Expenses and Changes in Net Position with Prior year (PY)
- 10. All System Funds Statements of Revenues and Expenses and Changes in Net Position with Budget
- 11. Water Fund Statements of Revenues and Expenses and Changes in Net Position with PY
- 12. Water Fund Statements of Revenues and Expenses and Changes in Net Position with Budget
- 13. Sewer Fund Statements of Revenues and Expenses and Changes in Net Position with PY
- 14. Sewer Fund Statements of Revenues and Expenses and Changes in Net Position with Budget
- 15. Drainage Fund Statements of Revenues and Expenses and Changes in Net Position with PY
- 16. Drainage Fund Statements of Revenues and Expenses and Changes in Net Position with Budget
- 17-18. All System Funds Statements of Net Position
- 19-20. Water Fund Statements of Net Position
- 21-22. Sewer Fund Statements of Net Position
- 23-24. Drainage Fund Statements of Net Position

SEWERAGE AND WATER BOARD OF NEW ORLEANS
ALL SYSTEM FUNDS
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH PRIOR YEAR COMPARISONS
March 2021 Prelim Financials

	A	B	C	D	E	F	G	H	
	MTD	MTD	MTD		YTD	YTD	YTD		
	Actual	Prior Year	Variance	%	Actual	Prior Year	Variance	%	
Operating revenues:									
1 Sales of water and delinquent fees	9,574,786	9,906,975	(332,190)	-3.4%	28,900,694	31,057,274	(2,156,580)	-6.9%	1
2 Sewerage service charges and del fees	12,532,719	12,431,423	101,296	0.8%	36,964,785	38,462,818	(1,498,033)	-3.9%	2
3 Plumbing inspection and license fees	63,310	31,890	31,420	98.5%	114,040	116,987	(2,947)	-2.5%	3
4 Other revenues	199,144	144,567	54,577	37.8%	506,880	659,438	(152,558)	-23.1%	4
5 Total operating revenues	22,369,958	22,514,855	(144,896)	-0.6%	66,486,399	70,296,517	(3,810,118)	-5.4%	5
Operating Expenses:									
6 Power and pumping	892,219	1,379,521	(487,302)	-35.3%	2,268,510	4,156,962	(1,888,452)	-45.4%	6
7 Treatment	1,823,680	339,095	1,484,585	437.8%	5,223,928	1,932,511	3,291,416	170.3%	7
8 Transmission and distribution	3,096,552	861,040	2,235,512	259.6%	7,267,800	6,281,976	985,825	15.7%	8
9 Customer accounts	400,312	333,173	67,139	20.2%	1,160,084	1,045,947	114,138	10.9%	9
10 Customer service	303,312	495,754	(192,442)	-38.8%	2,205,161	1,375,867	829,294	60.3%	10
11 Administration and general	2,406,211	743,216	1,662,995	223.8%	8,101,089	4,759,987	3,341,102	70.2%	11
12 Payroll related	3,800,387	5,050,043	(1,249,656)	-24.7%	12,649,661	13,464,406	(814,745)	-6.1%	12
13 Maintenance of general plant	(357,272)	1,700,197	(2,057,469)	-121.0%	2,750,412	4,517,319	(1,766,907)	-39.1%	13
14 Depreciation	6,703,430	5,829,069	874,360	15.0%	20,265,747	17,487,207	2,778,540	15.9%	14
15 Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16 Provision for doubtful accounts	2,261,809	626,023	1,635,786	261.3%	6,785,427	1,296,990	5,488,437	423.2%	16
17 Provision for claims	109,841	76,394	33,447	43.8%	445,474	(228,120)	673,593	-295.3%	17
18 Total operating expenses	21,440,482	17,433,526	4,006,956	23.0%	69,123,294	56,091,053	13,032,241	23.2%	18
19 Operating income (loss)	929,477	5,081,329	(4,151,852)	-81.7%	(2,636,895)	14,205,463	(16,842,358)	-118.6%	19
Non-operating revenues (expense):									
20 Two-mill tax	17	383	(366)	-95.5%	126	383	(257)	-67.1%	20
21 Three-mill tax	1,281,307	5,357,644	(4,076,337)	-76.1%	1,350,227	5,357,644	(4,007,416)	-74.8%	21
22 Six-mill tax	1,337,788	5,660,876	(4,323,088)	-76.4%	1,410,314	5,660,876	(4,250,562)	-75.1%	22
23 Nine-mill tax	2,005,259	8,485,445	(6,480,186)	-76.4%	2,113,931	8,485,445	(6,371,514)	-75.1%	23
24 Interest income	15,811	29,729	(13,918)	-46.8%	44,187	75,692	(31,505)	-41.6%	24
25 Other Taxes	-	-	-	0.0%	-	-	-	0.0%	25
26 Other Income	-	-	-	0.0%	659,632	-	659,632	0.0%	26
27 Bond Issuance Costs	-	-	-	0.0%	-	-	-	0.0%	27
28 Interest expense	(1,933,128)	(1,710,986)	(222,142)	13.0%	(5,799,385)	(5,132,958)	(666,427)	13.0%	28
29 Operating and maintenance grants	-	-	-	0.0%	10	-	10	0.0%	29
30 Provision for grants	-	-	-	0.0%	-	-	-	0.0%	30
31 Total non-operating revenues	2,707,054	17,823,091	(15,116,037)	-84.8%	(220,958)	14,447,081	(14,668,039)	-101.5%	31
32 Income before capital contributions	3,636,531	22,904,419	(19,267,889)	-84.1%	(2,857,853)	28,652,545	(31,510,397)	-110.0%	32
33 Capital contributions	1,666,941	3,071	1,663,870	54182.9%	2,454,823	400,977	2,053,846	512.2%	33
34 Change in net position	5,303,472	22,907,490	(17,604,018)	-76.8%	(403,029)	29,053,522	(29,456,551)	-101.4%	34
35 Transfer of Debt Service Assistance Fund loan payable					-				35
36 Net position, beginning of year					2,519,704,920	2,356,268,052	163,436,868	6.9%	36
37 Net position, end of year					2,528,507,775	2,385,321,574	143,186,202	6.0%	37

SEWERAGE AND WATER BOARD OF NEW ORLEANS
ALL SYSTEM FUNDS
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH BUDGET COMPARISONS
March 2021 Prelim Financials

	A	B	C	D	E	F	G	H	
	MTD	MTD	MTD		YTD	YTD	YTD		
	Actual	Budget	Variance	%	Actual	Budget	Variance	%	
Operating revenues:									
1 Sales of water and delinquent fees	9,574,786	9,848,562	(273,777)	-2.8%	28,900,694	28,298,446	602,248	2.1%	1
2 Sewerage service charges and del fees	12,532,719	12,093,932	438,787	3.6%	36,964,785	35,072,568	1,892,217	5.4%	2
3 Plumbing inspection and license fees	63,310	61,521	1,789	2.9%	114,040	152,166	(38,126)	-25.1%	3
4 Other revenues	199,144	20,788	178,356	858.0%	506,880	80,506	426,374	529.6%	4
5 Total operating revenues	22,369,958	22,024,804	345,155	1.6%	66,486,399	63,603,686	2,882,713	4.5%	5
Operating Expenses:									
6 Power and pumping	892,219	1,442,748	(550,529)	-38.2%	2,268,510	3,829,899	(1,561,389)	-40.8%	6
7 Treatment	1,823,680	423,301	1,400,379	330.8%	5,223,928	4,007,722	1,216,205	30.3%	7
8 Transmission and distribution	3,096,552	1,696,579	1,399,973	82.5%	7,267,800	8,032,950	(765,150)	-9.5%	8
9 Customer accounts	400,312	411,465	(11,152)	-2.7%	1,160,084	1,173,052	(12,967)	-1.1%	9
10 Customer service	303,312	461,657	(158,345)	-34.3%	2,205,161	1,313,237	891,924	67.9%	10
11 Administration and general	2,406,211	3,279,264	(873,053)	-26.6%	8,101,089	10,468,272	(2,367,183)	-22.6%	11
12 Payroll related	3,800,387	2,670,922	1,129,465	42.3%	12,649,661	7,493,292	5,156,369	68.8%	12
13 Maintenance of general plant	(357,272)	2,006,962	(2,364,233)	-117.8%	2,750,412	6,142,882	(3,392,470)	-55.2%	13
14 Depreciation	6,703,430	6,668,244	35,185	0.5%	20,265,747	20,004,733	261,014	1.3%	14
15 Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16 Provision for doubtful accounts	2,261,809	2,775,106	(513,297)	-18.5%	6,785,427	8,327,329	(1,541,902)	-18.5%	16
17 Provision for claims	109,841	236,002	(126,161)	-53.5%	445,474	653,634	(208,161)	-31.8%	17
18 Total operating expenses	21,440,482	22,072,250	(631,768)	-2.9%	69,123,294	71,447,002	(2,323,708)	-3.3%	18
19 Operating income (loss)	929,477	(47,446)	976,923	-2059.0%	(2,636,895)	(7,843,317)	5,206,422	-66.4%	19
Non-operating revenues (expense):									
20 Two-mill tax	17	-	17	0.0%	126	-	126	0.0%	20
21 Three-mill tax	1,281,307	1,309,445	(28,139)	-2.1%	1,350,227	1,544,067	(193,839)	-12.6%	21
22 Six-mill tax	1,337,788	2,787,221	(1,449,433)	-52.0%	1,410,314	3,113,024	(1,702,709)	-54.7%	22
23 Nine-mill tax	2,005,259	4,711,447	(2,706,188)	-57.4%	2,113,931	5,176,210	(3,062,279)	-59.2%	23
24 Interest income	15,811	212,226	(196,415)	-92.5%	44,187	546,954	(502,767)	-91.9%	24
25 Other Taxes	-	-	-	0.0%	-	-	-	0.0%	25
26 Other Income	-	245,128	(245,128)	-100.0%	659,632	591,584	68,049	11.5%	26
27 Interest expense	(1,933,128)	(1,880,635)	(52,494)	2.8%	(5,799,385)	(4,853,050)	(946,335)	19.5%	27
28 Operating and maintenance grants	-	28,564	(28,564)	-100.0%	10	85,691	(85,681)	-100.0%	28
29 Provision for grants	-	-	-	0.0%	-	-	-	0.0%	29
30 Total non-operating revenues	2,707,054	7,413,396	(4,706,342)	-63.5%	(220,958)	6,204,477	(6,425,435)	-103.6%	30
31 Income before capital contributions	3,636,531	7,365,950	(3,729,419)	-50.6%	(2,857,853)	(1,638,839)	(1,219,013)	74.4%	31
32 Capital contributions	1,666,941	-	1,666,941	0.0%	2,454,823	-	2,454,823	0.0%	32
33 Change in net position	5,303,472	7,365,950	(2,062,478)	-28.0%	(403,029)	(1,638,839)	1,235,810	-75.4%	33
35 Audit Adjustment									35
36 Net position, beginning of year					2,519,704,920	2,356,268,052	163,436,868	6.9%	36
37 Net position, end of year					2,524,742,967	2,354,629,213	170,113,754	7.2%	37

SEWERAGE AND WATER BOARD OF NEW ORLEANS
WATER SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH PRIOR YEAR COMPARISONS
March 2021 Prelim Financials

	A	B	C	D	E	F	G	H	
	MTD	MTD	MTD		YTD	YTD	YTD		
	Actual	Prior Year	Variance	%	Actual	Prior Year	Variance	%	
Operating revenues:									
1 Sales of water and delinquent fees	9,574,786	9,906,975	(332,190)	-3.4%	28,900,694	31,057,274	(2,156,580)	-6.9%	1
2 Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%	2
3 Plumbing inspection and license fees	63,310	16,280	47,030	288.9%	114,040	58,878	55,162	93.7%	3
4 Other revenues	121,903	109,895	12,008	10.9%	351,966	502,572	(150,606)	-30.0%	4
5 Total operating revenues	9,759,999	10,033,151	(273,152)	-2.7%	29,366,699	31,618,724	(2,252,025)	-7.1%	5
Operating Expenses:									
6 Power and pumping	136,368	220,275	(83,906)	-38.1%	359,762	591,415	(231,653)	-39.2%	6
7 Treatment	587,987	328,359	259,628	79.1%	1,831,922	1,662,518	169,404	10.2%	7
8 Transmission and distribution	1,558,917	(59,331)	1,618,248	-2727.5%	2,903,147	2,734,176	168,971	6.2%	8
9 Customer accounts	199,690	166,109	33,581	20.2%	578,607	521,557	57,050	10.9%	9
10 Customer service	148,719	244,776	(96,056)	-39.2%	1,093,960	678,273	415,687	61.3%	10
11 Administration and general	858,663	305,634	553,029	180.9%	2,826,610	1,819,333	1,007,276	55.4%	11
12 Payroll related	1,383,519	1,895,903	(512,384)	-27.0%	4,641,327	5,014,308	(372,981)	-7.4%	12
13 Maintenance of general plant	(330,361)	943,536	(1,273,897)	-135.0%	1,105,203	2,481,706	(1,376,503)	-55.5%	13
14 Depreciation	1,223,414	1,063,838	159,576	15.0%	3,670,242	3,191,515	478,727	15.0%	14
15 Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16 Provision for doubtful accounts	1,000,380	275,848	724,532	262.7%	3,001,141	827,545	2,173,596	262.7%	16
17 Provision for claims	43,936	30,557	13,379	43.8%	178,189	77,445	100,744	130.1%	17
18 Total operating expenses	6,811,233	5,415,504	1,395,730	25.8%	22,190,110	19,599,792	2,590,318	13.2%	18
19 Operating income (loss)	2,948,766	4,617,647	(1,668,881)	-36.1%	7,176,590	12,018,932	(4,842,342)	-40.3%	19
Non-operating revenues (expense):									
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%	20
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%	21
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%	22
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%	23
24 Interest income	2,305	16,985	(14,680)	-86.4%	6,312	50,846	(44,534)	-87.6%	24
25 Other Taxes	-	-	-	0.0%	-	-	-	0.0%	25
26 Other Income	-	-	-	0.0%	659,632	-	659,632	0.0%	26
27 Bond Issuance Costs	-	-	-	0.0%	-	-	-	0.0%	27
28 Interest expense	(788,854)	(804,046)	15,192	-1.9%	(2,366,563)	(2,412,138)	45,575	-1.9%	28
29 Operating and maintenance grants	-	-	-	0.0%	10	-	10	0.0%	29
30 Provision for grants	-	-	-	0.0%	-	-	-	0.0%	30
31 Total non-operating revenues	(786,549)	(787,061)	512	-0.1%	(1,700,609)	(2,361,292)	660,683	-28.0%	31
32 Income before capital contributions	2,162,217	3,830,586	(1,668,369)	-43.6%	8,373,512	9,657,640	(1,284,128)	-13.3%	32
33 Capital contributions	1,666,941	3,071	1,663,870	54182.9%	1,903,306	73,036	1,830,270	2506.0%	33
34 Change in net position	3,829,158	3,833,657	(4,499)	-0.1%	10,276,818	9,730,676	546,141	5.6%	34
35 Transfer of Debt Service Assistance Fund loan payable						-			35
36 Net position, beginning of year					427,415,874	371,193,548	56,222,326	15.1%	36
37 Net position, end of year					437,692,692	380,924,224	56,768,468	14.9%	37

SEWERAGE AND WATER BOARD OF NEW ORLEANS
WATER SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH BUDGET COMPARISONS
March 2021 Prelim Financials

	A	B	C	D	E	F	G	H	
	MTD	MTD	MTD		YTD	YTD	YTD		
	Actual	Budget	Variance	%	Actual	Budget	Variance	%	
Operating revenues:									
1 Sales of water and delinquent fees	9,574,786	9,848,562	(273,777)	-2.8%	28,900,694	28,298,446	602,248	2.1%	1
2 Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%	2
3 Plumbing inspection and license fees	63,310	31,028	32,282	104.0%	114,040	76,318	37,722	49.4%	3
4 Other revenues	121,903	11,798	110,106	933.3%	351,966	39,576	312,390	789.4%	4
5 Total operating revenues	9,759,999	9,891,389	(131,390)	-1.3%	29,366,699	28,414,340	952,360	3.4%	5
Operating Expenses:									
6 Power and pumping	136,368	227,580	(91,211)	-40.1%	359,762	621,622	(261,860)	-42.1%	6
7 Treatment	587,987	703,639	(115,652)	-16.4%	1,831,922	2,201,852	(369,930)	-16.8%	7
8 Transmission and distribution	1,558,917	622,425	936,492	150.5%	2,903,147	3,202,548	(299,401)	-9.3%	8
9 Customer accounts	199,690	204,627	(4,937)	-2.4%	578,607	583,666	(5,060)	-0.9%	9
10 Customer service	148,719	226,957	(78,238)	-34.5%	1,093,960	647,342	446,618	69.0%	10
11 Administration and general	858,663	1,054,323	(195,660)	-18.6%	2,826,610	3,508,388	(681,778)	-19.4%	11
12 Payroll related	1,383,519	1,123,141	260,378	23.2%	4,641,327	3,118,525	1,522,802	48.8%	12
13 Maintenance of general plant	(330,361)	982,481	(1,312,842)	-133.6%	1,105,203	2,715,044	(1,609,841)	-59.3%	13
14 Depreciation	1,223,414	3,305,977	(2,082,563)	-63.0%	3,670,242	9,917,931	(6,247,688)	-63.0%	14
15 Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16 Provision for doubtful accounts	1,000,380	1,264,255	(263,875)	-20.9%	3,001,141	3,792,766	(791,625)	-20.9%	16
17 Provision for claims	43,936	87,151	(43,215)	-49.6%	178,189	234,950	(56,761)	-24.2%	17
18 Total operating expenses	6,811,233	9,802,556	(2,991,323)	-30.5%	22,190,110	30,544,634	(8,354,524)	-27.4%	18
19 Operating income (loss)	2,948,766	88,833	2,859,933	3219.5%	7,176,590	(2,130,295)	9,306,884	-436.9%	19
Non-operating revenues (expense):									
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%	20
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%	21
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%	22
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%	23
24 Interest income	2,305	89,725	(87,420)	-97.4%	6,312	179,450	(173,138)	-96.5%	24
25 Other Taxes	-	-	-	0.0%	-	-	-	0.0%	25
26 Other Income	-	143,800	(143,800)	-100.0%	659,632	287,600	372,032	129.4%	26
27 Interest expense	(788,854)	(788,854)	(0)	0.0%	(2,366,563)	(1,577,708)	(788,854)	50.0%	27
28 Operating and maintenance grants	-	-	-	0.0%	10	-	10	0.0%	28
29 Provision for grants	-	-	-	0.0%	-	-	-	0.0%	29
30 Total non-operating revenues	(786,549)	(555,329)	(231,220)	41.6%	(1,700,609)	(1,110,658)	(589,950)	53.1%	30
31 Income before capital contributions	2,162,217	(466,497)	2,628,714	-563.5%	8,373,512	(3,240,953)	11,614,465	-358.4%	31
32 Capital contributions	1,666,941	-	1,666,941	0.0%	1,903,306	-	1,903,306	0.0%	32
33 Change in net position	3,829,158	(466,497)	4,295,655	-920.8%	10,276,818	(3,240,953)	13,517,771	-417.1%	33
35 Audit Adjustment	-	-	-	-	-	-	-	-	35
36 Net position, beginning of year	427,415,874	371,193,548	56,222,326	15.1%					36
37 Net position, end of year	438,171,324	367,952,595	70,218,729	19.1%					37

SEWERAGE AND WATER BOARD OF NEW ORLEANS
SEWER SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH PRIOR YEAR COMPARISONS
March 2021 Prelim Financials

	A	B	C	D	E	F	G	H	
	MTD	MTD	MTD		YTD	YTD	YTD		
	Actual	Prior Year	Variance	%	Actual	Prior Year	Variance	%	
Operating revenues:									
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%	1
2 Sewerage service charges and del fees	12,532,719	12,431,423	101,296	0.8%	36,964,785	38,462,818	(1,498,033)	-3.9%	2
3 Plumbing inspection and license fees	-	15,610	(15,610)	-100.0%	-	58,108	(58,108)	-100.0%	3
4 Other revenues	68,927	33,371	35,555	106.5%	134,538	152,177	(17,638)	-11.6%	4
5 Total operating revenues	12,601,645	12,480,404	121,241	1.0%	37,099,324	38,673,103	(1,573,779)	-4.1%	5
Operating Expenses:									
6 Power and pumping	223,834	476,146	(252,312)	-53.0%	581,403	1,381,101	(799,698)	-57.9%	6
7 Treatment	1,235,693	10,736	1,224,957	11410.0%	3,392,005	269,993	3,122,012	1156.3%	7
8 Transmission and distribution	1,181,399	604,194	577,204	95.5%	3,381,216	2,493,186	888,030	35.6%	8
9 Customer accounts	199,690	166,109	33,581	20.2%	578,606	521,556	57,050	10.9%	9
10 Customer service	148,719	244,775	(96,056)	-39.2%	1,093,959	678,273	415,687	61.3%	10
11 Administration and general	1,052,274	299,294	752,980	251.6%	3,578,675	1,864,801	1,713,874	91.9%	11
12 Payroll related	1,381,903	1,909,172	(527,269)	-27.6%	4,649,775	5,050,278	(400,502)	-7.9%	12
13 Maintenance of general plant	171,668	442,950	(271,282)	-61.2%	1,106,755	1,221,054	(114,298)	-9.4%	13
14 Depreciation	2,701,143	2,348,820	352,323	15.0%	8,258,888	7,046,460	1,212,428	17.2%	14
15 Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16 Provision for doubtful accounts	1,261,429	346,465	914,963	264.1%	3,784,286	458,317	3,325,969	725.7%	16
17 Provision for claims	43,936	30,558	13,379	43.8%	178,189	79,845	98,344	123.2%	17
18 Total operating expenses	9,601,687	6,879,219	2,722,468	39.6%	30,583,759	21,064,864	9,518,895	45.2%	18
19 Operating income (loss)	2,999,958	5,601,185	(2,601,227)	-46.4%	6,515,565	17,608,239	(11,092,674)	-63.0%	19
Non-operating revenues (expense):									
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%	20
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%	21
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%	22
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%	23
24 Interest income	12,498	3,267	9,231	282.6%	33,692	8,460	25,231	298.2%	24
25 Other Taxes	-	-	-	0.0%	-	-	-	0.0%	25
26 Other Income	-	-	-	0.0%	-	-	-	0.0%	26
27 Bond Issuance Costs	-	-	-	0.0%	-	-	-	0.0%	27
28 Interest expense	(1,144,274)	(906,940)	(237,334)	26.2%	(3,432,822)	(2,720,821)	(712,002)	26.2%	28
29 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%	29
30 Provision for grants	-	-	-	0.0%	-	-	-	0.0%	30
31 Total non-operating revenues	(1,131,776)	(903,674)	(228,103)	25.2%	(3,399,131)	(2,712,360)	(686,770)	25.3%	31
32 Income before capital contributions	1,868,182	4,697,511	(2,829,329)	-60.2%	6,910,338	14,895,879	(7,985,540)	-53.6%	32
33 Capital contributions	-	-	-	0.0%	393,941	234,627	159,314	67.9%	33
34 Change in net position	1,868,182	4,697,511	(2,829,329)	-60.2%	7,304,279	15,130,505	(7,826,226)	-51.7%	34
35 Transfer of Debt Service Assistance Fund loan payable						-			35
36 Net position, beginning of year					855,582,521	812,112,814	43,469,707	5.4%	36
37 Net position, end of year					862,886,801	827,243,319	35,643,482	4.3%	37

SEWERAGE AND WATER BOARD OF NEW ORLEANS
SEWER SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH BUDGET COMPARISONS
March 2021 Prelim Financials

	A	B	C	D	E	F	G	H	
	MTD	MTD	MTD		YTD	YTD	YTD		
	Actual	Budget	Variance	%	Actual	Budget	Variance	%	
Operating revenues:									
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%	1
2 Sewerage service charges and del fees	12,532,719	12,093,932	438,787	3.6%	36,964,785	35,072,568	1,892,217	5.4%	2
3 Plumbing inspection and license fees	-	30,493	(30,493)	-100.0%	-	75,848	(75,848)	-100.0%	3
4 Other revenues	68,927	8,991	59,936	666.7%	134,538	40,930	93,608	228.7%	4
5 Total operating revenues	12,601,645	12,133,415	468,230	3.9%	37,099,324	35,189,346	1,909,978	5.4%	5
Operating Expenses:									
6 Power and pumping	223,834	323,147	(99,313)	-30.7%	581,403	985,448	(404,045)	-41.0%	6
7 Treatment	1,235,693	(280,337)	1,516,031	-540.8%	3,392,005	1,805,871	1,586,135	87.8%	7
8 Transmission and distribution	1,181,399	708,061	473,337	66.8%	3,381,216	3,713,579	(332,363)	-8.9%	8
9 Customer accounts	199,690	205,178	(5,489)	-2.7%	578,606	585,319	(6,714)	-1.1%	9
10 Customer service	148,719	227,818	(79,099)	-34.7%	1,093,959	649,176	444,783	68.5%	10
11 Administration and general	1,052,274	1,535,662	(483,388)	-31.5%	3,578,675	4,755,120	(1,176,445)	-24.7%	11
12 Payroll related	1,381,903	903,314	478,589	53.0%	4,649,775	2,542,022	2,107,753	82.9%	12
13 Maintenance of general plant	171,668	667,946	(496,278)	-74.3%	1,106,755	2,362,714	(1,255,959)	-53.2%	13
14 Depreciation	2,701,143	2,221,876	479,267	21.6%	8,258,888	6,665,629	1,593,259	23.9%	14
15 Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16 Provision for doubtful accounts	1,261,429	1,503,054	(241,625)	-16.1%	3,784,286	4,509,161	(724,876)	-16.1%	16
17 Provision for claims	43,936	93,062	(49,126)	-52.8%	178,189	261,036	(82,847)	-31.7%	17
18 Total operating expenses	9,601,687	8,108,780	1,492,907	18.4%	30,583,759	28,835,076	1,748,683	6.1%	18
19 Operating income (loss)	2,999,958	4,024,635	(1,024,677)	-25.5%	6,515,565	6,354,270	161,295	2.5%	19
Non-operating revenues (expense):									
20 Two-mill tax	-	-	-	0.0%	-	-	-	0.0%	20
21 Three-mill tax	-	-	-	0.0%	-	-	-	0.0%	21
22 Six-mill tax	-	-	-	0.0%	-	-	-	0.0%	22
23 Nine-mill tax	-	-	-	0.0%	-	-	-	0.0%	23
24 Interest income	12,498	108,167	(95,669)	-88.4%	33,692	324,500	(290,808)	-89.6%	24
25 Other Taxes	-	-	-	0.0%	-	-	-	0.0%	25
26 Other Income	-	58,617	(58,617)	-100.0%	-	175,850	(175,850)	-100.0%	26
27 Interest expense	(1,144,274)	(1,084,772)	(59,502)	5.5%	(3,432,822)	(3,254,317)	(178,506)	5.5%	27
28 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%	28
29 Provision for grants	-	-	-	0.0%	-	-	-	0.0%	29
30 Total non-operating revenues	(1,131,776)	(917,989)	(213,787)	23.3%	(3,399,131)	(2,753,967)	(645,164)	23.4%	30
31 Income before capital contributions	1,868,182	3,106,646	(1,238,465)	-39.9%	3,116,434	3,600,303	(483,869)	-13.4%	31
32 Capital contributions	-	-	-	0.0%	393,941	-	393,941	0.0%	32
33 Change in net position	1,868,182	3,106,646	(1,238,465)	-39.9%	3,510,375	3,600,303	(89,928)	-2.5%	33
35 Audit Adjustment	-	-	-	-	-	-	-	-	35
36 Net position, beginning of year	-	-	-	-	855,582,521	812,112,814	43,469,707	5.4%	36
37 Net position, end of year	-	-	-	-	860,955,371	815,713,117	45,242,254	5.5%	37

SEWERAGE AND WATER BOARD OF NEW ORLEANS
DRAINAGE SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH PRIOR YEAR COMPARISONS
March 2021 Prelim Financials

	A	B	C	D	E	F	G	H	
	MTD	MTD	MTD		YTD	YTD	YTD		
	Actual	Prior Year	Variance	%	Actual	Prior Year	Variance	%	
Operating revenues:									
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%	1
2 Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%	2
3 Plumbing inspection and license fees	-	-	-	0.0%	-	-	-	0.0%	3
4 Other revenues	8,314	1,300	7,014	539.5%	20,376	4,690	15,686	334.5%	4
5 Total operating revenues	8,314	1,300	7,014	539.5%	20,376	4,690	15,686	334.5%	5
Operating Expenses:									
6 Power and pumping	532,016	683,100	(151,084)	-22.1%	1,327,345	2,184,446	(857,101)	-39.2%	6
7 Treatment	-	-	-	0.0%	-	-	-	0.0%	7
8 Transmission and distribution	356,237	316,177	40,059	12.7%	983,437	1,054,614	(71,176)	-6.7%	8
9 Customer accounts	933	955	(22)	-2.4%	2,872	2,834	38	1.3%	9
10 Customer service	5,874	6,204	(330)	-5.3%	17,242	19,321	(2,079)	-10.8%	10
11 Administration and general	495,275	138,289	356,986	258.1%	1,695,804	1,075,853	619,951	57.6%	11
12 Payroll related	1,034,965	1,244,968	(210,003)	-16.9%	3,358,559	3,399,820	(41,261)	-1.2%	12
13 Maintenance of general plant	(198,579)	313,711	(512,290)	-163.3%	538,454	814,559	(276,106)	-33.9%	13
14 Depreciation	2,778,872	2,416,411	362,462	15.0%	8,336,617	7,249,232	1,087,385	15.0%	14
15 Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16 Provision for doubtful accounts	-	3,709	(3,709)	-100.0%	-	11,128	(11,128)	-100.0%	16
17 Provision for claims	21,968	15,279	6,689	43.8%	89,095	(385,410)	474,505	-123.1%	17
18 Total operating expenses	5,027,561	5,138,803	(111,242)	-2.2%	16,349,425	15,426,397	923,028	6.0%	18
19 Operating income (loss)	(5,019,247)	(5,137,503)	118,256	-2.3%	(16,329,049)	(15,421,707)	(907,342)	5.9%	19
Non-operating revenues (expense):									
20 Two-mill tax	17	383	(366)	-95.5%	126	383	(257)	-67.1%	20
21 Three-mill tax	1,281,307	5,357,644	(4,076,337)	-76.1%	1,350,227	5,357,644	(4,007,416)	-74.8%	21
22 Six-mill tax	1,337,788	5,660,876	(4,323,088)	-76.4%	1,410,314	5,660,876	(4,250,562)	-75.1%	22
23 Nine-mill tax	2,005,259	8,485,445	(6,480,186)	-76.4%	2,113,931	8,485,445	(6,371,514)	-75.1%	23
24 Interest income	1,008	9,478	(8,469)	-89.4%	4,184	16,386	(12,202)	-74.5%	24
25 Other Taxes	-	-	-	0.0%	-	-	-	0.0%	25
26 Other Income	-	-	-	0.0%	-	-	-	0.0%	26
27 Bond Issuance Costs	-	-	-	0.0%	-	-	-	0.0%	27
28 Interest expense	-	-	-	0.0%	-	-	-	0.0%	28
29 Operating and maintenance grants	-	-	-	0.0%	-	-	-	0.0%	29
30 Provision for claims	-	-	-	0.0%	-	-	-	0.0%	30
31 Total non-operating revenues	4,625,379	19,513,825	(14,888,446)	-76.3%	4,878,782	19,520,733	(14,641,951)	-75.0%	31
32 Income before capital contributions	(393,868)	14,376,322	(14,770,190)	-102.7%	(8,935,818)	4,099,026	(13,034,844)	-318.0%	32
33 Capital contributions	-	-	-	0.0%	157,576	93,314	64,262	68.9%	33
34 Change in net position	(393,868)	14,376,322	(14,770,190)	-102.7%	(8,778,242)	4,192,340	(12,970,582)	-309.4%	34
35 Transfer of Debt Service Assistance Fund loan payable						-			35
36 Net position, beginning of year					1,236,706,524	1,172,961,690	63,744,834	5.4%	36
37 Net position, end of year					1,227,928,282	1,177,154,030	50,774,252	4.3%	37

SEWERAGE AND WATER BOARD OF NEW ORLEANS
DRAINAGE SYSTEM FUND
STATEMENT OF REVENUES AND EXPENSES AND CHANGES IN NET POSITION
WITH BUDGET COMPARISONS
March 2021 Prelim Financials

	A	B	C	D	E	F	G	H	
	MTD	MTD	MTD		YTD	YTD	YTD		
	Actual	Budget	Variance	%	Actual	Budget	Variance	%	
Operating revenues:									
1 Sales of water and delinquent fees	-	-	-	0.0%	-	-	-	0.0%	1
2 Sewerage service charges and del fees	-	-	-	0.0%	-	-	-	0.0%	2
3 Plumbing inspection and license fees	-	-	-	0.0%	-	-	-	0.0%	3
4 Other revenues	8,314	-	8,314	100.0%	20,376	-	20,376	100.0%	4
5 Total operating revenues	8,314	-	8,314	100.0%	20,376	-	20,376	100.0%	5
Operating Expenses:									
6 Power and pumping	532,016	892,021	(360,004)	-40.4%	1,327,345	2,222,829	(895,484)	-40.3%	6
7 Treatment	-	-	-	0.0%	-	-	-	0.0%	7
8 Transmission and distribution	356,237	366,093	(9,856)	-2.7%	983,437	1,116,823	(133,386)	-11.9%	8
9 Customer accounts	933	1,660	(727)	-43.8%	2,872	4,066	(1,194)	-29.4%	9
10 Customer service	5,874	6,882	(1,008)	-14.6%	17,242	16,718	524	3.1%	10
11 Administration and general	495,275	689,280	(194,005)	-28.1%	1,695,804	2,204,765	(508,961)	-23.1%	11
12 Payroll related	1,034,965	644,468	390,497	60.6%	3,358,559	1,832,745	1,525,814	83.3%	12
13 Maintenance of general plant	(198,579)	356,534	(555,113)	-155.7%	538,454	1,065,124	(526,670)	-49.4%	13
14 Depreciation	2,778,872	1,140,391	1,638,481	143.7%	8,336,617	3,421,174	4,915,443	143.7%	14
15 Amortization	-	-	-	0.0%	-	-	-	0.0%	15
16 Provision for doubtful accounts	-	7,797	(7,797)	-100.0%	-	25,401	(25,401)	-100.0%	16
17 Provision for claims	21,968	55,789	(33,821)	-60.6%	89,095	157,648	(68,553)	-43.5%	17
18 Total operating expenses	5,027,561	4,160,914	866,647	20.8%	16,349,425	12,067,292	4,282,133	35.5%	18
19 Operating income (loss)	(5,019,247)	(4,160,914)	(858,333)	20.6%	(16,329,049)	(12,067,292)	(4,261,757)	35.3%	19
Non-operating revenues (expense):									
20 Two-mill tax	17	-	17	0.0%	126	-	126	0.0%	20
21 Three-mill tax	1,281,307	1,309,445	(28,139)	-2.1%	1,350,227	1,544,067	(193,839)	-12.6%	21
22 Six-mill tax	1,337,788	2,787,221	(1,449,433)	-52.0%	1,410,314	3,113,024	(1,702,709)	-54.7%	22
23 Nine-mill tax	2,005,259	4,711,447	(2,706,188)	-57.4%	2,113,931	5,176,210	(3,062,279)	-59.2%	23
24 Interest income	1,008	14,335	(13,326)	-93.0%	4,184	43,004	(38,820)	-90.3%	24
25 Other Taxes	-	-	-	0.0%	-	-	-	0.0%	25
26 Other Income	-	42,711	(42,711)	-100.0%	-	128,134	(128,134)	-100.0%	26
27 Interest expense	-	(7,008)	7,008	-100.0%	-	(21,025)	21,025	-100.0%	27
28 Operating and maintenance grants	-	28,564	(28,564)	-100.0%	-	85,691	(85,691)	-100.0%	28
29 Provision for grants	-	-	-	0.0%	-	-	-	0.0%	29
30 Total non-operating revenues	4,625,379	8,886,714	(4,261,335)	-48.0%	4,878,782	10,069,102	(5,190,320)	-51.5%	30
31 Income before capital contributions	(393,868)	4,725,800	(5,119,668)	-108.3%	(11,450,267)	(1,998,190)	(9,452,078)	473.0%	31
32 Capital contributions	-	-	-	0.0%	157,576	-	157,576	0.0%	32
33 Change in net position	(393,868)	4,725,800	(5,119,668)	-108.3%	(11,292,691)	(1,998,190)	(9,294,501)	465.1%	33
35 Audit Adjustment	-	-	-	-	-	-	-	-	35
36 Net position, beginning of year	-	-	-	-	1,236,706,524	1,172,961,690	63,744,834	5.4%	36
37 Net position, end of year	-	-	-	-	1,225,616,272	1,170,963,500	54,652,771	4.7%	37

SEWERAGE AND WATER BOARD OF NEW ORLEANS
ALL SYSTEM FUNDS
STATEMENTS OF NET POSITION
WITH PRIOR YEAR AND BEGINNING OF YEAR COMPARISONS
March 2021 Prelim Financials

Assets		A	B	C	D	E	F	G	
		Prior Year	Variance	%	Current Year	Variance	%	Beginning of Year	
Noncurrent assets:									
1	Property, plant and equipment	4,406,229,802	216,956,701	4.9%	4,623,186,503	207,942,644	4.7%	4,415,243,859	1
2	Less: accumulated depreciation	1,114,435,948	51,921,113	4.7%	1,166,357,061	69,408,317	6.3%	1,096,948,744	2
3	Property, plant, and equipment, net	3,291,793,854	165,035,588	5.0%	3,456,829,442	138,534,327	4.2%	3,318,295,115	3
Restricted cash, cash equivalents, and investments									
4	Cash and cash equivalents restricted for capital projects	4,572,407	87,180,693	1906.7%	91,753,100	87,536,242	2075.9%	4,216,858	4
5	Debt service	19,100,328	937,404	4.9%	20,037,732	8,287,307	70.5%	11,750,425	5
6	Debt service reserve	32,089,192	1,353,768	4.2%	33,442,960	1,353,768	4.2%	32,089,192	6
7	Health insurance reserve	1,887,000	-	0.0%	1,887,000	0	0.0%	1,887,000	7
8	Total restricted cash, cash equivalents, and investments	57,648,928	89,471,864	155.2%	147,120,792	97,177,316	194.6%	49,943,476	8
Current assets:									
Unrestricted and undesignated									
9	Cash and cash equivalents	66,721,095	8,053,724	12.1%	74,774,819	12,512,355	20.1%	62,262,464	9
Accounts receivable:									
10	Customers (net of allowance for doubtful accounts)	39,502,995	4,119,475	10.4%	43,622,470	9,566,711	28.1%	34,055,759	10
11	Taxes	4,123,123	-	0.0%	4,123,123	(966,013)	-19.0%	5,089,136	11
12	Interest	2,147	(590)	-27.5%	1,557	1,557	0.0%	0	12
13	Grants	15,976,295	6,344,193	39.7%	22,320,488	5,350,849	31.5%	16,969,639	13
14	Miscellaneous	5,500,430	(17,501,269)	-318.2%	(12,000,840)	(17,783,638)	-307.5%	5,782,798	14
15	Due from (to) other internal departments	(79,837)	455,778	-570.9%	375,941	375,941	0.0%	0	15
16	Inventory of supplies	5,137,000	174,052	3.4%	5,311,052	174,053	3.4%	5,136,999	16
17	Prepaid expenses	570,597	287,491	50.4%	858,087	287,490	50.4%	570,597	17
18	Total unrestricted current assets	137,453,843	1,932,854	1.4%	139,386,697	9,519,305	7.3%	129,867,392	18
Other assets:									
19	Funds from/for customer deposits	12,876,567	653,144	5.1%	13,529,711	735,884	5.8%	12,793,827	19
20	Deposits	51,315	-	0.0%	51,315	-	0.0%	51,315	20
21	Total other assets	12,927,882	653,144	5.1%	13,581,026	735,884	5.7%	12,845,142	21
22	TOTAL ASSETS	3,499,824,507	257,093,450	166.7%	3,756,917,957	245,966,832	211.8%	3,510,951,125	22
Deferred outflows or resources:									
23	Deferred amounts related to net pension liability	11,420,057	4,856,843	42.5%	16,276,899	(2,428,422)	-14.9%	18,705,321	23
24	Deferred amounts related to OPEB	31,640,442	(6,824,409)	-21.6%	24,816,033	0	0.0%	24,816,033	24
25	Deferred loss on bond refunding	2,599,743	(261,256)	-10.0%	2,338,487	(261,256)	-10.0%	2,599,743	25
26	TOTAL DEFERRED OUTFLOWS OF RESOURCES	45,660,242	(2,228,822)	-4.9%	43,431,420	(2,689,677)	-5.8%	46,121,097	26
27	TOTAL ASSETS AND TOTAL DEFERRED OUTFLOWS	3,545,484,749	254,864,628	161.8%	3,800,349,377	243,277,155	206.0%	3,557,072,222	27

SEWERAGE AND WATER BOARD OF NEW ORLEANS
ALL SYSTEM FUNDS
STATEMENTS OF NET POSITION
WITH PRIOR YEAR COMPARISONS
March 2021 Prelim Financials

	A	B	C	D	E	F	G	
	Prior Year	Variance	%	Current Year	Variance	%	Beginning of Year	
NET ASSETS AND LIABILITIES								
Net position								
1 Net investments in capital assets	2,623,144,247	149,393,544	5.7%	2,772,537,791	140,735,039	5.3%	2,631,802,752	1
2 Restricted for Debt Service	51,189,520	2,291,172	4.5%	53,480,692	9,641,074	22.0%	43,839,618	2
3 Unrestricted	(289,012,194)	(8,498,514)	2.9%	(297,510,708)	21,863,610	-6.8%	(319,374,318)	3
4 Total net position	2,385,321,574	143,186,202	6.0%	2,528,507,775	172,239,723	7.3%	2,356,268,052	4
Long-term liabilities								
5 Claims payable	43,209,163	-	0.0%	43,209,163	-	0.0%	43,209,163	5
6 Accrued vacation and sick pay	9,194,486	745,475	8.1%	9,939,961	871,627	9.6%	9,068,334	6
7 Net pension obligation	99,862,605	3,452,544	3.5%	103,315,149	(1,726,269)	-1.6%	105,041,418	7
8 Other postretirement benefits liability	197,651,649	(9,939,363)	-5.0%	187,712,286	1	0.0%	187,712,285	8
9 Bonds payable (net of current maturities)	422,546,351	69,063,532	16.3%	491,609,883	69,063,532	16.3%	422,546,351	9
10 Special Community Disaster Loan Payable	-	-	0.0%	-	-	0.0%	-	10
11 Southeast Louisiana Project liability	250,343,870	36,903,745	14.7%	287,247,615	36,903,745	14.7%	250,343,870	11
12 Debt Service Assistance Fund Loan payable	40,039,087	(2,773,797)	-6.9%	37,265,290	(2,773,797)	-6.9%	40,039,087	12
13 Customer deposits	12,876,567	653,144	5.1%	13,529,711	735,884	5.8%	12,793,827	13
14 Total long-term liabilities	1,075,723,778	97,452,135	9.1%	1,173,829,057	103,074,722	9.6%	1,070,754,335	14
Current liabilities (payable from current assets)								
15 Accounts payable and other liabilities	22,729,445	9,897,554	43.5%	32,626,999	(35,076,493)	-51.8%	67,703,492	15
16 Due to City of New Orleans	1,734,161	1,298,596	74.9%	3,032,758	2,322,204	326.8%	710,554	16
17 Disaster Reimbursement Revolving Loan	-	-	0.0%	-	-	0.0%	-	17
18 Retainers and estimates payable	3,485,284	3,340,359	95.8%	6,825,643	3,306,697	94.0%	3,518,946	18
19 Due to other fund	228,539	329,852	144.3%	558,390	215,046	62.6%	343,344	19
20 Accrued salaries	1,822,836	(2,371,037)	-130.1%	(548,201)	(2,733,483)	-125.1%	2,185,282	20
21 Claims payable	2,882,675	742,000	25.7%	3,624,675	(0)	0.0%	3,624,675	21
22 Total OPEB liability, due within one year	7,645,811	(129,992)	-1.7%	7,515,819	10	0.0%	7,515,809	22
23 Debt Service Assistance Fund Loan payable	2,650,800	122,997	4.6%	2,773,797	122,997	4.6%	2,650,800	23
24 Advances from federal government	4,656,143	75,231	1.6%	4,731,374	75,867	1.6%	4,655,507	24
25 Other Liabilities	1,046,239	356,950	34.1%	1,403,189	551,014	64.7%	852,175	25
26 Total current liabilities (payable from current assets)	48,881,932	13,662,511	28.0%	62,544,443	(31,216,141)	377.5%	93,760,584	26
Current liabilities (payable from restricted assets)								
27 Accrued interest	2,550,699	67,470	2.6%	2,618,169	67,470	2.6%	2,550,699	27
28 Bonds payable	17,819,000	(366,000)	-2.1%	17,453,000	(366,000)	-2.1%	17,819,000	28
29 Retainers and estimates payable	700,777	(614,336)	-87.7%	86,441	(663,052)	-88.5%	749,493	29
30 Total current liabilities (payable from restricted assets)	21,070,476	(912,866)	-4.3%	20,157,610	(961,582)	-4.6%	21,119,192	30
31 Total current liabilities	69,952,408	12,749,645	18.2%	82,702,053	(32,177,723)	-28.0%	114,879,776	31
32 Total liabilities	1,145,676,186	9,975,848	0.9%	1,256,531,110	70,896,999	6.0%	1,185,634,111	32
Deferred inflows or resources:								
33 Amounts related to net pension liability	7,559,605	(280,865)	-3.7%	7,278,740	140,432	2.0%	7,138,308	33
34 Amounts related to total OPEB liability	6,927,384	1,104,367	15.9%	8,031,751	0	0.0%	8,031,751	34
35 TOTAL DEFERRED INFLOWS OF RESOURCES	14,486,989	(280,865)	-1.9%	15,310,491	140,432	0.9%	15,170,059	35
36 Total Net Position, Liabilities and Deferred Inflows of Resources	3,545,484,748	153,162,050	4.3%	3,800,349,377	243,277,155	6.8%	3,557,072,222	36

SEWERAGE AND WATER BOARD OF NEW ORLEANS
WATER SYSTEM FUND
STATEMENTS OF NET POSITION
WITH PRIOR YEAR AND BEGINNING OF YEAR COMPARISONS
March 2021 Prelim Financials

Assets		A	B	C	D	E	F	G	
		Prior Year	Variance	%	Current Year	Variance	%	Beginning of Year	
Noncurrent assets:									
1	Property, plant and equipment	1,018,095,069	59,810,581	5.9%	1,077,905,650	55,479,665	5.4%	1,022,425,985	1
2	Less: accumulated depreciation	370,427,687	11,002,585	3.0%	381,430,272	14,194,100	3.9%	367,236,172	2
3	Property, plant, and equipment, net	647,667,382	48,807,996	7.5%	696,475,378	41,285,565	6.3%	655,189,813	3
Restricted cash, cash equivalents, and investments									
4	Cash and cash equivalents restricted for capital projects	3,332,331	1,546,957	46.4%	4,879,288	1,787,953	57.8%	3,091,335	4
5	Debt service	4,063,627	744,238	18.3%	4,807,865	(1,700,488)	-35.4%	3,107,377	5
6	Debt service reserve	13,473,550	-	0.0%	13,473,550	-	0.0%	13,473,550	6
7	Health insurance reserve	638,113	-	0.0%	638,113	0	0.0%	638,113	7
8	Total restricted cash, cash equivalents, and investments	21,507,621	2,291,195	10.7%	23,798,816	3,488,441	17.2%	20,310,375	8
Current assets:									
Unrestricted and undesignated									
9	Cash and cash equivalents	14,260,635	1,778,169	12.5%	16,038,804	(4,355,642)	-27.2%	20,394,446	9
Accounts receivable:									
10	Customers (net of allowance for doubtful accounts)	19,083,664	1,527,185	8.0%	20,610,849	3,968,209	19.3%	16,642,640	10
11	Taxes	-	-	0.0%	-	-	0.0%	-	11
12	Interest	-	-	0.0%	-	-	0.0%	-	12
13	Grants	10,049,985	10,262,358	102.1%	20,312,342	9,483,388	87.6%	10,828,954	13
14	Miscellaneous	693,418	(9,424,979)	-1359.2%	(8,731,561)	(9,530,594)	-1192.8%	799,033	14
15	Due from (to) other internal departments	(2,719,550)	590,903	-21.7%	(2,128,647)	2,689,445	-55.8%	(4,818,092)	15
16	Inventory of supplies	2,380,984	6,956	0.3%	2,387,940	6,956	0.3%	2,380,984	16
17	Prepaid expenses	284,829	114,996	40.4%	399,825	114,996	40.4%	284,829	17
18	Total unrestricted current assets	44,033,966	4,855,588	11.0%	48,889,553	2,376,759	5.1%	46,512,794	18
Other assets:									
19	Funds from/for customer deposits	12,876,567	653,144	5.1%	13,529,711	735,884	5.8%	12,793,827	19
20	Deposits	22,950	-	0.0%	22,950	-	0.0%	22,950	20
21	Total other assets	12,899,517	653,144	5.1%	13,552,661	735,884	5.7%	12,816,777	21
22	TOTAL ASSETS	726,108,486	56,607,922	34.3%	782,716,408	47,886,649	34.3%	734,829,759	22
Deferred outflows or resources:									
23	Deferred amounts related to net pension liability	3,806,686	1,618,948	42.5%	5,425,633	(809,474)	-13.0%	6,235,107	23
24	Deferred amounts related to OPEB	10,546,814	(2,274,803)	-21.6%	8,272,011	0	0.0%	8,272,011	24
25	Deferred loss on bond refunding	10,886	(4,362)	-40.1%	6,524	(4,362)	-40.1%	10,886	25
26	TOTAL DEFERRED OUTFLOWS OF RESOURCES	14,364,386	(660,218)	-4.6%	13,704,168	(813,836)	-5.6%	14,518,004	26
27	TOTAL ASSETS AND TOTAL DEFERRED OUTFLOWS	740,472,871	55,947,704	29.7%	796,420,576	47,072,813	28.7%	749,347,763	27

SEWERAGE AND WATER BOARD OF NEW ORLEANS
WATER SYSTEM FUND
STATEMENTS OF NET POSITION
WITH PRIOR YEAR COMPARISONS
March 2021 Prelim Financials

	A	B	C	D	E	F	G	
	Prior Year	Variance	%	Current Year	Variance	%	Beginning of Year	
NET ASSETS AND LIABILITIES								
Net position								
1 Net investments in capital assets	444,702,667	55,664,711	12.5%	500,367,378	51,574,791	11.5%	448,792,587	1
2 Restricted for Debt Service	17,537,177	744,238	4.2%	18,281,415	1,700,488	10.3%	16,580,927	2
3 Unrestricted	(81,315,619)	359,518	-0.4%	(80,956,101)	13,223,865	-14.0%	(94,179,966)	3
4 Total net position	380,924,225	56,768,467	14.9%	437,692,692	66,499,144	17.9%	371,193,548	4
Long-term liabilities								
5 Claims payable	3,879,578	-	0.0%	3,879,578	-	0.0%	3,879,578	5
6 Accrued vacation and sick pay	3,724,080	286,149	7.7%	4,010,229	335,099	9.1%	3,675,130	6
7 Net pension obligation	33,287,535	1,150,848	3.5%	34,438,383	(575,423)	-1.6%	35,013,806	7
8 Other postretirement benefits liability	65,883,883	(3,313,121)	-5.0%	62,570,762	0	0.0%	62,570,762	8
9 Bonds payable (net of current maturities)	205,663,561	(5,011,031)	-2.4%	200,652,530	(5,011,031)	-2.4%	205,663,561	9
10 Special Community Disaster Loan Payable	-	-	0.0%	-	-	0.0%	-	10
11 Southeast Louisiana Project liability	-	-	0.0%	-	-	0.0%	-	11
12 Debt Service Assistance Fund Loan payable	-	-	0.0%	-	-	0.0%	-	12
13 Customer deposits	12,876,567	653,144	5.1%	13,529,711	735,884	5.8%	12,793,827	13
14 Total long-term liabilities	325,315,204	(6,887,155)	-2.1%	319,081,193	(4,515,471)	-1.4%	323,596,664	14
Current liabilities (payable from current assets)								
15 Accounts payable and other liabilities	14,248,617	2,154,903	15.1%	16,403,520	(18,712,926)	-53.3%	35,116,446	15
16 Due to City of New Orleans	1,734,161	1,298,596	74.9%	3,032,758	2,322,204	326.8%	710,554	16
17 Disaster Reimbursement Revolving Loan	-	-	0.0%	-	-	0.0%	-	17
18 Retainers and estimates payable	455,255	2,401,502	527.5%	2,856,756	2,401,502	527.5%	455,254	18
19 Due to other fund	111,624	160,928	144.2%	272,553	46,123	20.4%	226,430	19
20 Accrued salaries	608,600	(1,028,296)	-169.0%	(419,695)	(1,199,655)	-153.8%	779,960	20
21 Claims payable	1,089,171	128,167	11.8%	1,217,338	(0)	0.0%	1,217,338	21
22 Other postretirement benefits liability	2,548,604	(43,331)	-1.7%	2,505,273	-	0.0%	2,505,273	22
23 Debt Service Assistance Fund Loan payable	-	-	0.0%	-	-	0.0%	-	23
24 Advances from federal government	2,679,837	75,231	2.8%	2,755,068	75,866	2.8%	2,679,202	24
25 Other Liabilities	796,271	250,225	31.4%	1,046,496	417,110	66.3%	629,386	25
26 Total current liabilities (payable from current assets)	24,272,141	5,397,926	22.2%	29,670,067	(14,649,776)	-33.1%	44,319,843	26
Current liabilities (payable from restricted assets)								
27 Accrued interest	883,851	(94,997)	-10.7%	788,854	(94,997)	-10.7%	883,851	27
28 Bonds payable	3,825,000	180,000	4.7%	4,005,000	180,000	4.7%	3,825,000	28
29 Retainers and estimates payable	423,455	(344,182)	-81.3%	79,273	(392,898)	-83.2%	472,171	29
30 Total current liabilities (payable from restricted assets)	5,132,306	(259,179)	-5.0%	4,873,127	(307,895)	-5.9%	5,181,022	30
31 TOTAL CURRENT LIABILITIES	29,404,447	5,138,747	17.5%	34,543,194	(14,957,671)	-30.2%	49,500,865	31
32 TOTAL LIABILITIES	354,719,651	(1,748,408)	-0.5%	353,624,387	(19,473,142)	-5.2%	373,097,529	32
Deferred inflows or resources:								
33 Amounts related to net pension liability	2,519,868	(93,622)	-3.7%	2,426,247	1,868,773	78.5%	2,379,436	33
34 Amounts related to total OPEB liability	2,309,128	368,122	15.9%	2,677,250	4,015,877	150.0%	2,677,250	34
35 TOTAL DEFERRED INFLOWS OF RESOURCES	4,828,996	274,501	5.7%	5,103,497	5,884,650	116.4%	5,056,686	35
36 Total Net Position, Liabilities and Deferred Inflows of Resources	740,472,872	55,020,059	7.4%	796,420,576	47,072,813	6.3%	749,347,763	36

SEWERAGE AND WATER BOARD OF NEW ORLEANS
SEWER SYSTEM FUND
STATEMENTS OF NET POSITION
WITH PRIOR YEAR AND BEGINNING OF YEAR COMPARISONS
March 2021 Prelim Financials

Assets		A	B	C	D	E	F	G	
		Prior Year	Variance	%	Current Year	Variance	%	Beginning of Year	
Noncurrent assets:									
1	Property, plant and equipment	1,432,755,930	41,714,665	2.9%	1,474,470,595	42,087,585	2.9%	1,432,383,010	1
2	Less: accumulated depreciation	341,609,444	18,952,498	5.5%	360,561,942	25,998,957	7.8%	334,562,985	2
3	Property, plant, and equipment, net	1,091,146,486	22,762,167	2.1%	1,113,908,653	16,088,628	1.5%	1,097,820,025	3
Restricted cash, cash equivalents, and investments									
4	Cash and cash equivalents restricted for capital projects	858,908	83,287,920	9697.0%	84,146,828	83,268,820	9483.8%	878,008	4
5	Debt service	12,945,319	549,548	4.2%	13,494,867	4,907,251	57.1%	8,587,616	5
6	Debt service reserve	18,615,642	1,353,768	7.3%	19,969,410	1,353,768	7.3%	18,615,642	6
7	Health insurance reserve	603,855	-	0.0%	603,855	0	0.0%	603,855	7
8	Total restricted cash, cash equivalents, and investments	33,023,724	85,191,236	258.0%	118,214,960	89,529,838	312.1%	28,685,122	8
Current assets:									
Unrestricted and undesignated									
9	Cash and cash equivalents	33,445,511	13,220,382	39.5%	46,665,894	13,989,254	42.8%	32,676,640	9
Accounts receivable:									
10	Customers (net of allowance for doubtful accounts)	20,430,459	2,581,162	12.6%	23,011,621	5,598,502	32.2%	17,413,119	10
11	Taxes	-	-	0.0%	-	-	0.0%	-	11
12	Interest	2,147	(590)	-27.5%	1,557	1,557	0.0%	-	12
13	Grants	1,706,935	(1,054,582)	-61.8%	652,353	(1,168,918)	-64.2%	1,821,271	13
14	Miscellaneous	763,285	(4,288,575)	-561.9%	(3,525,290)	(4,387,896)	-508.7%	862,606	14
15	Due from (to) other internal departments	22,459,777	(8,813,605)	-39.2%	13,646,172	(3,904,671)	-22.2%	17,550,843	15
16	Inventory of supplies	1,635,632	111,397	6.8%	1,747,030	111,398	6.8%	1,635,632	16
17	Prepaid expenses	130,248	114,996	88.3%	245,244	114,996	88.3%	130,248	17
18	Total unrestricted current assets	80,573,992	1,870,587	2.3%	82,444,579	10,354,220	14.4%	72,090,359	18
Other assets:									
19	Funds from/for customer deposits	-	-	0.0%	-	-	0.0%	-	19
20	Deposits	17,965	-	0.0%	17,965	-	0.0%	17,965	20
21	Total other assets	17,965	-	0.0%	17,965	-	0.0%	17,965	21
22	TOTAL ASSETS	1,204,762,167	109,823,991	262.4%	1,314,586,158	115,972,687	327.9%	1,198,613,471	22
Deferred outflows or resources:									
23	Deferred amounts related to net pension liability	3,806,686	1,618,948	42.5%	5,425,633	(809,474)	-14.9%	6,235,107	23
24	Deferred amounts related to OPEB	10,546,813	(2,274,803)	-21.6%	8,272,010	(0)	0.0%	8,272,010	24
25	Deferred loss on bond refunding	2,513,395	(245,374)	-9.8%	2,268,021	(245,374)	-9.8%	2,513,395	25
26	TOTAL DEFERRED OUTFLOWS OF RESOURCES	16,866,893	(901,229)	-5.3%	15,965,664	(1,054,848)	-6.2%	17,020,512	26
27	TOTAL ASSETS AND TOTAL DEFERRED OUTFLOWS	1,221,629,060	108,922,761	257.0%	1,330,551,822	114,917,839	321.7%	1,215,633,983	27

SEWERAGE AND WATER BOARD OF NEW ORLEANS
SEWER SYSTEM FUND
STATEMENTS OF NET POSITION
WITH PRIOR YEAR COMPARISONS
March 2021 Prelim Financials

	A	B	C	D	E	F	G	
	Prior Year	Variance	%	Current Year	Variance	%	Beginning of Year	
NET ASSETS AND LIABILITIES								
Net position								
1 Net investments in capital assets	874,077,507	31,800,683	3.6%	905,878,191	32,154,504	3.7%	873,723,687	1
2 Restricted for Debt Service	31,560,961	1,903,316	6.0%	33,464,277	6,261,018	23.0%	27,203,259	2
3 Unrestricted	(78,395,149)	1,939,482	-2.5%	(76,455,667)	12,358,465	-13.9%	(88,814,132)	3
4 Total net position	827,243,319	35,643,482	4.3%	862,886,801	50,773,987	6.3%	812,112,814	4
Long-term liabilities								
5 Claims payable	4,937,099	-	0.0%	4,937,099	-	0.0%	4,937,099	5
6 Accrued vacation and sick pay	3,514,586	323,488	9.2%	3,838,074	375,686	10.9%	3,462,388	6
7 Net pension obligation	33,287,535	1,150,848	3.5%	34,438,383	(575,423)	-1.6%	35,013,806	7
8 Other postretirement benefits liability	65,883,882	(3,313,121)	-5.0%	62,570,761	0	0.0%	62,570,761	8
9 Bonds payable (net of current maturities)	212,875,346	76,067,832	35.7%	288,943,178	76,067,832	35.7%	212,875,346	9
10 Special Community Disaster Loan Payable	-	-	0.0%	-	-	0.0%	-	10
11 Southeast Louisiana Project liability	-	-	0.0%	-	-	0.0%	-	11
12 Debt Service Assistance Fund Loan payable	40,039,087	(2,773,797)	-6.9%	37,265,290	(2,773,797)	-6.9%	40,039,087	12
13 Customer deposits	-	-	0.0%	-	-	0.0%	-	13
14 Total long-term liabilities	360,537,535	71,455,250	19.8%	431,992,785	73,094,298	20.4%	358,898,487	14
Current liabilities (payable from current assets)								
15 Accounts payable and other liabilities	4,436,104	2,236,789	50.4%	6,672,893	(8,087,567)	-54.8%	14,760,460	15
16 Due to City of New Orleans	-	-	0.0%	-	-	0.0%	-	16
17 Disaster Reimbursement Revolving Loan	-	-	0.0%	-	-	0.0%	-	17
18 Retainers and estimates payable	1,371,382	452,431	33.0%	1,823,813	414,487	29.4%	1,409,326	18
19 Due to other fund	52,324	77,189	147.5%	129,513	77,189	147.5%	52,324	19
20 Accrued salaries	711,743	(870,831)	-122.4%	(159,088)	(997,845)	-119.0%	838,757	20
21 Claims payable	1,057,413	125,667	11.9%	1,183,080	0	0.0%	1,183,080	21
22 Other postretirement benefits liability	2,548,604	(43,331)	-1.7%	2,505,273	-	0.0%	2,505,273	22
23 Debt Service Assistance Fund Loan payable	2,650,800	122,997	4.6%	2,773,797	122,997	4.6%	2,650,800	23
24 Advances from federal government	1,976,305	-	0.0%	1,976,305	0	0.0%	1,976,305	24
25 Other Liabilities	233,953	106,725	45.6%	340,677	131,588	62.9%	209,089	25
26 Total current liabilities (payable from current assets)	15,038,628	2,207,636	14.7%	17,246,264	(8,339,150)	-32.6%	25,585,414	26
Current liabilities (payable from restricted assets)								
27 Accrued interest	1,604,260	218,047	13.6%	1,822,307	218,047	13.6%	1,604,260	27
28 Bonds payable	12,099,000	(606,000)	-5.0%	11,493,000	606,000	5.0%	12,099,000	28
29 Retainers and estimates payable	277,322	(270,154)	-97.4%	7,168	270,154	97.4%	277,322	29
30 Total current liabilities (payable from restricted assets)	13,980,582	(658,107)	-4.7%	13,322,475	(658,107)	-4.7%	13,980,582	30
31 TOTAL CURRENT LIABILITIES	29,019,210	1,549,529	5.3%	30,568,739	(8,997,257)	-22.7%	39,565,996	31
32 TOTAL LIABILITIES	389,556,745	73,004,779	18.7%	462,561,524	64,097,041	16.1%	398,464,483	32
Deferred inflows or resources:								
33 Amounts related to net pension liability	2,519,868	(93,622)	-3.7%	2,426,247	46,811	2.0%	2,379,436	33
34 Amounts related to total OPEB liability	2,309,128	368,122	15.9%	2,677,250	0	0.0%	2,677,250	34
35 TOTAL DEFERRED INFLOWS OF RESOURCES	4,828,996	274,501	5.7%	5,103,497	46,811	0.9%	5,056,686	35
36 Total Net Position, Liabilities and Deferred Inflows of Resources	1,221,629,060	108,648,261	8.9%	1,330,551,822	114,917,839	9.5%	1,215,633,983	36

SEWERAGE AND WATER BOARD OF NEW ORLEANS
DRAINAGE SYSTEM FUND
STATEMENTS OF NET POSITION
WITH PRIOR YEAR AND BEGINNING OF YEAR COMPARISONS
March 2021 Prelim Financials

	A	B	C	D	E	F	G	
Assets								
	Prior Year	Variance	%	Current Year	Variance	%	Beginning of Year	
Noncurrent assets:								
1 Property, plant and equipment	1,955,378,803	115,431,455	5.9%	2,070,810,258	110,375,394	5.6%	1,960,434,864	1
2 Less: accumulated depreciation	402,398,817	21,966,030	5.5%	424,364,847	29,215,260	7.4%	395,149,587	2
3 Property, plant, and equipment, net	1,552,979,986	93,465,425	6.0%	1,646,445,411	81,160,134	5.2%	1,565,285,277	3
Restricted cash, cash equivalents, and investments								
4 Cash and cash equivalents restricted for capital projects	381,168	2,345,816	0.0%	2,726,984	2,479,469	1001.7%	247,515	4
5 Debt service	2,091,382	(356,382)	-17.0%	1,735,000	1,679,568	3030.0%	55,432	5
6 Debt service reserve	-	-	0.0%	-	-	0.0%	-	6
7 Health insurance reserve	645,032	-	0.0%	645,032	(0)	0.0%	645,032	7
8 Total restricted cash, cash equivalents, and investments	3,117,583	1,989,433	63.8%	5,107,016	4,159,037	438.7%	947,979	8
Current assets:								
Unrestricted and undesignated								
9 Cash and cash equivalents	19,014,949	(6,944,828)	-36.5%	12,070,121	2,878,743	31.3%	9,191,378	9
Accounts receivable:	-							
10 Customers (net of allowance for doubtful accounts)	(11,128)	11,128	-100.0%	0	0	0.0%	-	10
11 Taxes	4,123,123	-	0.0%	4,123,123	(966,013)	-19.0%	5,089,136	11
12 Interest	-	-	0.0%	-	-	0.0%	-	12
13 Grants	4,219,375	(2,863,583)	-67.9%	1,355,792	(2,963,622)	-68.6%	4,319,414	13
14 Miscellaneous	4,043,727	(3,787,716)	-93.7%	256,011	(3,865,148)	-93.8%	4,121,159	14
15 Due from (to) other internal departments	(19,820,064)	8,678,481	-43.8%	(11,141,584)	1,591,167	-12.5%	(12,732,751)	15
16 Inventory of supplies	1,120,384	55,699	5.0%	1,176,082	55,699	5.0%	1,120,383	16
17 Prepaid expenses	155,520	57,498	37.0%	213,018	57,498	37.0%	155,520	17
18 Total unrestricted current assets	12,845,885	(4,793,321)	-37.3%	8,052,565	(3,211,675)	-28.5%	11,264,239	18
Other assets:								
19 Funds from/for customer deposits	-	-	0.0%	-	-	0.0%	-	19
20 Deposits	10,400	-	0.0%	10,400	-	0.0%	10,400	20
21 Total other assets	10,400	-	0.0%	10,400	-	0.0%	10,400	21
22 TOTAL ASSETS	1,568,953,854	90,661,538	32.5%	1,659,615,391	82,107,496	415.4%	1,577,507,895	22
Deferred outflows or resources:								
23 Deferred amounts related to net pension liability	3,806,686	1,618,948	42.5%	5,425,633	(809,474)	-13.0%	6,235,107	23
24 Deferred amounts related to OPEB	10,546,815	(2,274,803)	-21.6%	8,272,012	0	0.0%	8,272,012	24
25 Deferred loss on bond refunding	75,462	(11,519)	-15.3%	63,943	(11,519)	-15.3%	75,462	25
26 TOTAL DEFERRED OUTFLOWS OF RESOURCES	14,428,963	(667,375)	-4.6%	13,761,588	(820,993)	-5.6%	14,582,581	26
27 TOTAL ASSETS AND TOTAL DEFERRED OUTFLOWS	1,583,382,817	89,994,162	27.9%	1,673,376,979	81,286,503	409.8%	1,592,090,476	27

SEWERAGE AND WATER BOARD OF NEW ORLEANS
DRAINAGE SYSTEM FUND
STATEMENTS OF NET POSITION
WITH PRIOR YEAR COMPARISONS
March 2021 Prelim Financials

	A	B	C	D	E	F	G	
	Prior Year	Variance	%	Current Year	Variance	%	Beginning of Year	
NET ASSETS AND LIABILITIES								
Net position								
1 Net investments in capital assets	1,304,364,073	61,928,150	4.7%	1,366,292,223	57,005,745	4.4%	1,309,286,478	1
2 Restricted for Debt Service	2,091,382	(356,382)	-17.0%	1,735,000	1,679,568	3030.0%	55,432	2
3 Unrestricted	(129,301,426)	(10,797,515)	8.4%	(140,098,940)	(3,718,720)	2.7%	(136,380,220)	3
4 Total net position	1,177,154,030	50,774,253	4.3%	1,227,928,282	54,966,592	4.7%	1,172,961,690	4
Long-term liabilities								
5 Claims payable	34,392,486	-	0.0%	34,392,486	-	0.0%	34,392,486	5
6 Accrued vacation and sick pay	1,955,820	135,838	6.9%	2,091,658	160,842	8.3%	1,930,816	6
7 Net pension obligation	33,287,535	1,150,848	3.5%	34,438,383	(575,423)	-1.6%	35,013,806	7
8 Other postretirement benefits liability	65,883,883	(3,313,121)	-5.0%	62,570,762	0	0.0%	62,570,762	8
9 Bonds payable (net of current maturities)	4,007,444	(1,993,269)	-49.7%	2,014,175	(1,993,269)	-49.7%	4,007,444	9
10 Special Community Disaster Loan Payable	-	-	0.0%	-	-	0.0%	-	10
11 Southeast Louisiana Project liability	250,343,870	36,903,745	14.7%	287,247,615	36,903,745	14.7%	250,343,870	11
12 Debt Service Assistance Fund Loan payable	-	-	0.0%	-	-	0.0%	-	12
13 Customer deposits	-	-	0.0%	-	-	0.0%	-	13
14 Total long-term liabilities	389,871,038	32,884,041	8.4%	422,755,079	34,495,895	8.9%	388,259,184	14
Current liabilities (payable from current assets)								
15 Accounts payable and other liabilities	4,044,724	5,505,862	136.1%	9,550,586	(8,276,000)	-46.4%	17,826,586	15
16 Due to City of New Orleans	-	-	0.0%	-	-	0.0%	-	16
17 Disaster Reimbursement Revolving Loan	-	-	0.0%	-	-	0.0%	-	17
18 Retainers and estimates payable	1,658,648	486,426	29.3%	2,145,074	490,708	29.7%	1,654,366	18
19 Due to other fund	64,590	91,734	142.0%	156,325	91,735	142.0%	64,590	19
20 Accrued salaries	502,493	(471,910)	-93.9%	30,583	(535,982)	-94.6%	566,565	20
21 Claims payable	736,090	488,167	66.3%	1,224,257	(0)	0.0%	1,224,257	21
22 Total OPEB liability, due within one year	2,548,604	(43,331)	-1.7%	2,505,273	10	0.0%	2,505,263	22
23 Debt Service Assistance Fund Loan payable	-	-	0.0%	-	-	0.0%	-	23
24 Advances from federal government	-	-	0.0%	-	-	0.0%	-	24
25 Other Liabilities	16,016	-	0.0%	16,016	2,316	16.9%	13,700	25
26 Total current liabilities (payable from current assets)	9,571,164	6,056,949	63.3%	15,628,112	(8,227,215)	-34.5%	23,855,327	26
Current liabilities (payable from restricted assets)								
27 Accrued interest	62,588	(55,580)	-88.8%	7,008	(55,580)	-88.8%	62,588	27
28 Bonds payable	1,895,000	60,000	3.2%	1,955,000	(60,000)	-3.2%	1,895,000	28
29 Retainers and estimates payable	-	-	0.0%	-	-	0.0%	-	29
30 Total current liabilities (payable from restricted assets)	1,957,588	4,420	0.2%	1,962,008	4,420	0.2%	1,957,588	30
31 TOTAL CURRENT LIABILITIES	11,528,752	6,061,369	52.6%	17,590,120	(8,222,795)	-31.9%	25,812,915	31
32 TOTAL LIABILITIES	401,399,790	38,945,409	9.7%	440,345,199	26,273,100	6.3%	414,072,099	32
Deferred inflows or resources:								
33 Amounts related to net pension liability	2,519,868	(93,622)	-3.7%	2,426,247	46,811	2.0%	2,379,436	33
34 Amounts related to total OPEB liability	2,309,129	-	0.0%	2,677,251	-	0.0%	2,677,251	34
35 TOTAL DEFERRED INFLOWS OF RESOURCES	4,828,997	274,501	5.7%	5,103,498	46,811	0.9%	5,056,687	35
36 Total Net Position, Liabilities and Deferred Inflows of Resources	1,583,382,817	89,719,662	5.7%	1,673,376,979	81,286,503	5.1%	1,592,090,476	36

**RESOLUTION GRANTING APPROVAL AUTHORITY FROM THE SEWERAGE AND
WATER BOARD OF NEW ORLEANS TO THE EXECUTIVE DIRECTOR FOR
CERTAIN DESIGNATED CHANGE ORDERS AND
CONTRACT AMENDMENTS**

WHEREAS, the Sewerage and Water Board of New Orleans (the “Board”) currently awards contract for, including but not limited to, professional services, construction services, goods and services, and construction which may require amendments or change orders from time to time; and

WHEREAS, the Board hereby empowers the Board’s Executive Director, whoever shall occupy that position, with authority to approve and execute certain amendments and change orders that fall within certain defined thresholds as described in the Board’s Procurement Procedures Manual **Table 12-1** (Contract Change Order Tier Summary) and **Table 14-1** (Contract Amendment Tier Summary).

NOW, THEREFORE, BE IT RESOLVED by the Sewerage and Water Board of New Orleans that, effective May 20, 2021, in accordance with the aforementioned Procurement Procedures Manual provisions, the Board’s Executive Director is hereby authorized to approve and execute the amendments and change orders not required to be approved by the Board of Directors.

I, Ghassan Korban, Executive Director
Sewerage and Water Board of New Orleans, do hereby
certify that the above and foregoing is a true and
correct copy of a Resolution adopted at the Regular
Monthly Meeting of said Board, duly called and held,
according to law, on May 19, 2021

**GHASSAN KORBAN, EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS**

Table 12-1. Contract Change Order Tier Summary

Contract Tier	Change Order Parameter	Signature Requirements
Tier One: Contract Aggregate <\$1,000,000		
Change Order Scenario 1	If a change order is below 10% of the original contract value	Executive Director approves and signs a resolution to formally approve the change and SWBNO Board of Directors is notified during monthly meetings
Change Order Scenario 2	If a change order exceeds 10% of the original contract value, and total contract value remains below \$1M	Executive Director approves and signs a resolution to formally approve the change and SWBNO Board of Directors is notified during monthly meetings
Tier Two: Contract Aggregate between \$1,000,000 and \$4,999,999.99		
Change Order Scenario 1	If a change order is less than \$250,000 or 20% of the original contract value (whichever is less considering cumulative amount of change orders)	Executive Director approves and signs a resolution to formally approve the change and SWBNO Board of Directors is notified during monthly meetings
Change Order Scenario 2	If a change order or aggregate of change orders exceeds \$249,999.99 or 20% of the original contract value (whichever is less considering cumulative amount of change orders)	SWBNO Board of Directors approves via F&A Committee. Executive Director signs resolution
Tier Three: Contract Aggregate \$5,000,000 and above		
Change Order Scenario 1	If a change order is less than \$500,000 or 10% of the original contract value (whichever is less considering cumulative amount of change orders)	Executive Director approves and signs a resolution to formally approve the change and SWBNO Board of Directors is notified during monthly meetings
Change Order Scenario 2	If a change order or aggregate of change orders exceeds \$499,999.99 or 10% of the original contract value (whichever is less considering cumulative amount of change orders)	SWBNO Board of Directors approves via F&A Committee. Executive Director signs resolution

Table 14-1. Contract Amendment Tier Summary

Contract Tier	Amendment Parameter	Signature Requirements
Tier One: Contract Aggregate <\$1,000,000		
Amendment Scenario 1	If an Amendment is below 10% of the original contract value	Executive Director approves and signs a resolution to formally approve the change and SWBNO Board of Directors is notified during monthly meetings
Amendment Scenario 2	If an Amendment exceeds 10% of the original contract value, and total contract value remains below \$1M	Executive Director approves and signs a resolution to formally approve the change and SWBNO Board of Directors is notified during monthly meetings
Tier Two: Contract Aggregate between \$1,000,000 and \$4,999,999.99		
Amendment Scenario 1	If an Amendment is less than \$250,000 or 20% of the original contract value (whichever is less considering cumulative amount of Amendments)	Executive Director approves and signs a resolution to formally approve the change and SWBNO Board of Directors is notified during monthly meetings
Amendment Scenario 2	If an Amendment or aggregate of Amendments exceeds \$249,999.99 or 20% of the original contract value (whichever is less considering cumulative amount of Amendments)	SWBNO Board of Directors approves via F&A Committee. Executive Director signs resolution
Tier Three: Contract Aggregate \$5,000,000 and above		
Amendment Scenario 1	If an Amendment is less than \$500,000 or 10% of the original contract value (whichever is less considering cumulative amount of Amendments)	Executive Director approves and signs a resolution to formally approve the change and SWBNO Board of Directors is notified during monthly meetings
Amendment Scenario 2	If an Amendment or aggregate of Amendments exceeds \$499,999.99 or 10% of the original contract value (whichever is less considering cumulative amount of Amendments)	SWBNO Board of Directors approves via F&A Committee. Executive Director signs resolution

**RESOLUTION AUTHORIZING APPLICATION TO THE
LOUISIANA DEPARTMENT OF ENVIRONMENTAL QUALITY**

WHEREAS, beginning in 1899, the Sewerage and Water Board of New Orleans (the “Sewerage and Water Board”) was charged to undertake a comprehensive drainage system for the people of New Orleans; and, that mission has expanded to improve the quality of life of the people of New Orleans by providing safe drinking water, removing waste water for safe return to the environment, and draining stormwater to protect the community reliably, continuously, and at a reasonable cost; and

WHEREAS the Sewerage and Water Board is in need of major sewerage system rehabilitation; and

WHEREAS prior authorization was granted on December 19, 2018 in the amount of \$30,000,000.00 to submit Loan Applications (pre-application and application) which was utilized for application Loan Applications made in 2019 and 2020;

WHEREAS loans and or grants for this project may be available through the State Revolving Fund loan program operated by the Louisiana Department of Environmental Quality:

BE IT RESOLVED by the Sewerage and Water Board of New Orleans that:

1. The Chief Financial Officer of the Sewerage and Water Board (the “Chief Financial Officer”) is hereby authorized to submit additional Loan Applications (pre-application and application) in the maximum amount of \$40,000,000.00 to the Department of Environmental Quality on behalf of the Sewerage and Water Board for the purpose of placing projects on the Project Priority List for funding through its State Revolving Fund loan program.
2. The Chief Financial Officer is further authorized to furnish such additional information as may reasonably be requested in connection with the pre-application(s) and/or application(s).

NOW THEREFORE, BE IT FURTHER RESOLVED the Chief Financial Officer is hereby designated as the Official Project Representative for the District for any project(s) that may result from the submission of the pre-application and/or application.

I, Ghassan Korban, Executive Director,
Sewerage and Water Board of New Orleans,
do hereby certify that the above and foregoing
is a true and correct copy of a resolution adopted
at the Regular Meeting of the said Board,
duly called and held, according to law, on
May 19, 2021

Ghassan Korban
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**GENERAL SUPERINTENDENT RECOMMENDATIONS
FOR THE MAY 12, 2021
FINANCE AND ADMINISTRATION COMMITTEE MEETING**

A listing of the bids, change orders, amendments and final acceptances received during the month of April is included in the following report. A brief summary is attached for your review.

BIDS AND RENEWALS (2)

- Page 4 R-045-2021 First Renewal for Contract 3742 between the Sewerage and Water Board of New Orleans and Atakapa Services, LLC for Cleaning and CCTV Inspection of Sanitary Sewer Mains at Scattered Sites within Orleans Parish
- Page 7 R-047-2021 Award of Contract 1417 between the Sewerage and Water Board of New Orleans and Walter J. Barnes Electric Co., Inc. for the Static Frequency Converter Procurement Project

CONTRACT CHANGE ORDERS (3)

- Page 11 R-042-2021 Ratification of Change Order No. 2 between the Sewerage and Water Board of New Orleans and Cycle Construction Company, LLC for Contract 1403 – Demolition of Abandoned C-7 and C-8 Basins and Other Site Improvements at the Carrollton Water Plant
- Page 14 R-052-2021 Ratification of Change Order No. 12 between the Sewerage and Water Board of New Orleans and M.R. Pittman Group, LLC for Contract 1368 – Hazard Mitigation Grant Program – Oak Street Pumping Station
- Page 16 R-053-2021 Ratification of Change Order No. 1 between the Sewerage and Water Board of New Orleans and M.R. Pittman Group, LLC for Contract 1377 – Water Hammer Hazard Mitigation Program – Claiborne Ave. Pumping Station and Off-Site Improvements

CONTRACT AMENDMENTS (3)

- Page 21 R-037-2021 Authorization of Amendment No. 5 to the Agreement Between the Sewerage and Water Board of New Orleans and ECM Consultants, Inc. for Design and Engineering Services for the Water Line Replacement Program
- Page 27 R-050-2021 Authorization of Amendment No. 1 to the Cooperative Endeavor Agreement Between the Sewerage and Water Board of New Orleans and the City of New Orleans for the West Power Complex

Page 28 R-051-2021 Authorization of Amendment No. 4 to the Agreement Between the
Sewerage and Water Board of New Orleans and Black & Veatch
Corporation for Design and Engineering Services for Sewer Pumping
Station A Structural Rehabilitation

GENERAL SUPERINTENDENT'S RECOMMENDATIONS

BIDS AND RENEWALS

**FIRST RENEWAL FOR CONTRACT 3742 – CLEANING AND CCTV INSPECTION OF
SANITARY SEWER MAINS AT SCATTERED SITES WITHIN ORLEANS PARISH**

WHEREAS, under the provisions of the contract, the Board, with the contractor's concurrence, reserves the right to extend their contract; and

WHEREAS, the Sewerage and Water Board of New Orleans entered into Contract No. 3742 on April 30, 2020 with Atakapa Services, LLC, in the amount of \$793,750.00; and

WHEREAS, the contractor, Atakapa Services, LLC, desires to extend its contract facilitating the continuity of its services, Cleaning and CCTV Inspection of Sanitary Sewer Mains At Scattered Sites Within Orleans Parish; and

WHEREAS, no change orders have been requested for Contract 3742 to date; and

WHEREAS, the DBE participation for this project is 36% and the participation through April 2021 is 16.60%.

WHEREAS, this \$793,750.00 Renewal will extend the contract completion date for an additional 365 days and bring the total contract amount to \$1,587,500.00 and funds for this project are budgeted under the O & M 6621/4411; and

NOW, THEREFORE, BE IT RESOLVED, that the request of Atakapa Services, LLC, to extend its contract through **May 11, 2022**, is hereby approved.

I, Ghassan Korban, Executive Director,
Sewerage and Water Board of New Orleans,
do hereby certify that the above and foregoing
is a true and correct copy of a resolution adopted
at the Regular Meeting of said Board,
duly called and held, according to law, on
May 19, 2021.

Ghassan Korban,
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**Sewerage and Water Board of New Orleans
BOARD OF DIRECTORS CONTRACTOR FACT SHEET**



ACTION REQUESTED

CONTRACT CHANGE ORDER FOR RENEWAL #1

CONTRACT 3742: Cleaning and CCTV Inspection of Sanitary Sewer Mains at Scattered Sites Within Orleans Parish

Approval to modify contract 3742, between the Sewerage and Water Board and Atakapa Services, LLC, in the amount of \$793,750.00

CONTRACTOR/SUB/VENDOR INFORMATION

		DBE PARTICIPATION	
PRIME	SUBS	TARGET	ACTUAL
<i>Atakapa Services, LLC</i>	<i>Blue Flash Sewer Service, Inc</i>	20.00%	10.00%
	<i>GoTech, Inc</i>	16.00%	6.60%
Total		36.00%	16.60%

DESCRIPTION AND PURPOSE

Original Contract Value	\$793,750.00
Previous Change Orders	\$0.00
% Change of Contract To Date	0.0%
Value of Requested Change	\$793,750.00
% For This Change Order	100.0%
<i>Has a NTP been Issued</i>	<i>Yes</i>
Total Revised Contract Value	\$1,587,500.00
% Total Change of Contract	100.0%
Original Contract Completion Date	5/11/2021
Previously Approved Extensions (Days)	0
Time Extension Requested (Days)	365
Proposed Contract Completion Date	5/11/2022

Purpose and Scope of the Contract:

This contract is a maintenance contract required in order to clean sewer mains, manholes, and lateral connections as well as perform CCTV inspection of sewer mains and sewer laterals throughout Orleans Parish as deemed necessary. This contract will be used to maintain a properly functioning sewer collection system throughout the parish.

Reason for Change:

Error/Omission <input type="checkbox"/>	Differing Site Condition <input type="checkbox"/>	Regulatory Requirement <input type="checkbox"/>
Design Change <input type="checkbox"/>	Other <u>Renewal</u> <input checked="" type="checkbox"/>	

The reason for change order is to obtain renewal #1 for contract 3742 and extend the contract's services for an additional year (365 days). This is required in order to continue to inspect and clean sewer and storm sewer systems throughout Orleans Parish to maintain a properly functioning sewer system throughout Orleans Parish.

Spending to Date:

Cumulative Contract Amount (as of 4/12/2021)	\$793,750.00
Cumulative Contract Spending (as of 4/12/2021)	\$656,825.78

Contractor's Past Performance:

The contractor's performance has been satisfactory to date.

PROCUREMENT INFORMATION

Contract Type	Base Bid	Award Based On	Lowest Competitive Bid
Commodity	Public Works Construction	Contract Number	3742
Contractor Market	Public Bid with DBE participation		
Compliance with Procurement Laws?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	CMRC Date (if nec.) :	

BUDGET INFORMATION

Funding	O & M 6621/4411	Department	Networks
System	Sewer	Project Manager	Ashraf Abdelbaqi
Job Number	3742	Purchase Order #	6000096 PG2020

ESTIMATED FUND SOURCE

User	Share%	Dollar Amount	Reimbursable?
Sewer System	100%	\$793,750.00	No
Water System			
Drainage System			
TOTAL		\$793,750.00	

I certify that this contract action complies with all Sewerage and Water Board procurement policies and guidance, ethics rules and meets necessary regulatory requirements, including compliance with financing sources.

Fred Tharp, P.E
Chief of Networks
Networks Department

**CONTRACT 1417 – STATIC FREQUENCY CONVERTER PROCUREMENT
PROJECT**

WHEREAS, the Sewerage and Water Board of New Orleans advertised, according to public bid law, a Request for Bids for Contract 1417 – Static Frequency Converter Project; and,

WHEREAS, two (2) bids were received on Monday, March 29, 2021; and,

NOW, THEREFORE BE IT RESOLVED, that the responsible and responsive low bid is hereby accepted, and contract awarded therefore to **Walter J. Barnes Electric Co., Inc.** in the amount of \$9,878,000.00.

I, Ghassan Korban, Executive Director,
Sewerage and Water Board of New Orleans,
do hereby certify that the above and foregoing
is a true and correct copy of a resolution adopted
at the Regular Meeting of said Board,
duly called and held, according to law, on
May 19, 2021.

Ghassan Korban,
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**Sewerage and Water Board of New Orleans
BOARD OF DIRECTORS CONTRACTOR FACT SHEET**



ACTION REQUESTED

CONTRACT AWARD

1417 - STATIC FREQUENCY CONVERTER PROCUREMENT PROJECT

Approval to execute a contract between the Sewerage and Water Board and Walter J. Barnes Electric Co., Inc., in the amount of \$9,878,000.00

CONTRACTOR/SUB/VENDOR INFORMATION

		DBE PARTICIPATION	
PRIME	SUBS	TARGET	ACTUAL
		0.00%	0.00%
		0.00%	0.00%
		0.00%	0.00%
Total		0.00%	0.00%

DESCRIPTION AND PURPOSE

Original Contract Value	\$9,878,000.00
Has a NTP been Issued	No
Total Contract Value	\$9,878,000.00
Proposed Contract Completion Date	

Purpose and Scope of the Contract:

The Contract CP-1417 Static Frequency Converter Procurement Project includes design, procurement, assembly, testing, delivery and commissioning of one (1) new Static Frequency Converter (SFC) and associated auxiliary equipment, which will provide for an interconnection between the existing 60-Hz Turbine 6 and critical 25-Hz loads throughout the system. Improvements in overall system reliability, safety, operations and maintenance will be achieved.

Spending to Date:

Cumulative Contract Amount (as of 4//2021)	\$0.00
Cumulative Contract Spending (as of 4//2021)	\$0.00

Contractor's Past Performance:

Initial award.

PROCUREMENT INFORMATION

Contract Type	Base Bid	Award Based On	Lowest Competitive Bid
Commodity	Public Works Construction	Contract Number	1417
Contractor Market	Public Bid		
Compliance with Procurement Laws?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	CMRC Date (if nec.):	

BUDGET INFORMATION

Funding	CP 676-16	Department	Civil Engineering
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System	Drainage	Project Manager	Jamie Rowe, PE
Job Number	01417	Purchase Order #	TBD

ESTIMATED FUND SOURCE

User	Share%	Dollar Amount	Reimbursable?
Sewer System	100%		YES
Water System			
Drainage System		\$9,878,000.00	
TOTAL		\$ 9,878,000.00	

I certify that this contract action complies with all Sewerage and Water Board procurement policies and guidance, ethics rules and meets necessary regulatory requirements, including compliance with financing sources.

NAME

TITLE

DEPT

GENERAL SUPERINTENDENT'S RECOMMENDATIONS

CONTRACT CHANGE ORDERS

RATIFICATION OF CHANGE ORDER NO. 2 FOR CONTRACT 1403 – DEMOLITION OF ABANDONED C-7 AND C-8 BASINS AND OTHER SITE IMPROVEMENTS AT THE CARROLLTON WATER PLANT

WHEREAS, on October 27, 2020 the Sewerage and Water Board (S&WB) entered into Contract 1403 with Cycle Construction Company, LLC in the amount of \$7,619,394.00 for the Construction of Carrollton Water Plant West Substation/Demolition of C7 and C8 Basins; and,

WHEREAS, this Change Order addresses the extra cost associated with the additional work to address unanticipated water leaks into the work area from the C5 and C6 Basins, and

WHEREAS, this Change Order authorizes work on a Time and Material Basis with a cost not to exceed \$300,000.00, which amount brings the accumulated Contract change order total to \$300,000.00, or 3.9% of the original Contract value;

NOW THEREFORE BE IT RESOLVED, the approval of Change Order No. 2 for Contract 1403 is ratified by the Sewerage and Water Board of New Orleans.

I, Ghassan Korban, Executive Director,
Sewerage and Water Board of New Orleans,
do hereby certify that the above and foregoing
is a true and correct copy of a resolution adopted
at the Regular Meeting of said Board,
duly called and held, according to law, on
May 19, 2021

Ghassan Korban
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**Sewerage and Water Board of New Orleans
BOARD OF DIRECTORS CONTRACTOR FACT SHEET**



ACTION REQUESTED

CONTRACT CHANGE ORDER NO 2

CN 1403 - Demolition of Abandoned C-7 & C-8 Basins and Other Site Improvements at the Carrollton Water Plant

This Change Order authorizes the contractor to retain Python Corp. to install flowable fill and urethane foam to stop serious intrusion of water from the C5/C6 basins.

CONTRACTOR/SUB/VENDOR INFORMATION

		DBE PARTICIPATION	
PRIME	SUBS	TARGET	ACTUAL
<i>Cycle Construction Company, LLC</i>	<i>Three C's Properties</i>	31.98%	10.03%
	<i>Twin Shores</i>	7.28%	0.00%
	<i>Industry Junction</i>	2.40%	0.59%
Total		41.66%	10.62%

DESCRIPTION AND PURPOSE

Original Contract Value	\$ 7,619,394.00
Previous Change Orders	\$ -
% Change of Contract To Date	0.0%
Value of Requested Change	\$ 300,000.00
% For This Change Order	3.9%
<i>Has a NTP been Issued</i>	<i>Yes</i>
Total Revised Contract Value	\$ 7,919,394.00
% Total Change of Contract	3.9%
Original Contract Completion Date	10/11/2021
Previously Approved Extensions (Days)	0
Time Extension Requested (Days)	0
Proposed Contract Completion Date	10/11/2021

Purpose and Scope of the Contract:

This project converts the abandoned C7 and C8 basins into the new West Power Complex for Carrollton Water Plant. A new Entergy substation will occupy the C8 basin and S&WB generation facilities will occupy the C7 basin. Eventually three combustion gas turbines and three static frequency converters will occupy C7

Reason for Change:

Error/Omission <input type="checkbox"/>	Differing Site Condition <input checked="" type="checkbox"/>	Regulatory Requirement <input type="checkbox"/>
Design Change <input type="checkbox"/>	Other <input type="checkbox"/>	

Water intrusion has been a serious problem for the contractor since December. The source has been established as leaks from the C5 and C6 basins into C7 and C8, but mostly C8, under the abandoned water passage that separates C7/C8 from C5/C6. The contractor will be authorized to retain Python Corp. to install flowable fill and urethane foam below the old effluent channel west of the abandoned passage on a T&M basis not to exceed \$300,000. Approximately 75 - 100 feet will be installed initially to gage success. If successful, the work will be authorized to continue.

Spending to Date:

Cumulative Contract Amount	\$7,619,394.00
Cumulative Contract Spending (as of 2/28/2021)	\$2,756,016.40

Contractor's Past Performance:

Satisfactory

PROCUREMENT INFORMATION

Contract Type	Base Bid + Alt #1	Award Based On	Lowest Competitive Bid
Commodity	Construction	Contract Number	1403
Contractor Market	Public Bid with DBE participation		
Compliance with Procurement Laws?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	CMRC Date (if nec.):	4/1/2021

BUDGET INFORMATION

Funding	610-02	Department	Civil Engineering
System	Multiple	Project Manager	Frank C. Fromherz II
Job Number	1403	Purchase Order #	600174 PG2020

ESTIMATED FUND SOURCE

User	Share%	Dollar Amount	Reimbursable?
Sewer System	40%	\$ 120,000.00	Project is funded through Capital Outlay Program. 75% of eligible costs are reimbursable.
Water System	40%	\$ 120,000.00	
Drainage System	20%	\$ 60,000.00	
TOTAL	100%	\$ 300,000.00	

I certify that this contract action complies with all Sewerage and Water Board procurement policies and guidance, ethics rules and meets necessary regulatory requirements, including compliance with financing sources.

Frank C. Fromherz II, P.E.
Senior Project Manager
Civil Engineering

RATIFICATION OF CHANGE ORDER NO. 12 FOR CONTRACT 1368 – HAZARD MITIGATION GRANT PROGRAM - OAK STREET PUMPING STATION

WHEREAS, the Sewerage and Water Board entered into Contract 1368 with MR Pittman Group in the amount of \$23,092,500.00 for FEMA funded repairs to the Oak Street Pumping Station; and

WHEREAS, the Board by Resolution R-021-2018 approved on February 21, 2018 Change Order No. 1 increasing the contract value by \$1,303,043.27; and

WHEREAS, the Board by Resolution R-046-2018 approved on April 18, 2018 Contract Change Order No. 2 increasing the contract value by \$256,436.17; and

WHEREAS, the Board by Resolution R-114-2018 approved on August 15, 2018 Contract Change Order No. 3 increasing the contract value by \$2,032,389.01; and

WHEREAS, the Board by Resolution R-010-2019 approved on January 16, 2019 Contract Change Order No. 4 increasing the contract value by \$831,352.85; and

WHEREAS, the Board by Resolution R-100-2019 approved on May 15, 2019 Contract Change Order No. 5 increasing the contract value by \$299,261.24; and

WHEREAS, the Board by Resolution R-117-2019 approved on August 21, 2019 Contract Change Order No. 6 increasing the contract value by \$1,228,610.03; and

WHEREAS, the Board by Resolution R-184-2019 approved on December 18, 2019 Contract Change Order No. 7 increasing the contract value by \$174,766.42; and

WHEREAS, the Board by Resolution R-043-2020 approved on April 22, 2020 Contract Change Order No. 8 increasing the contract value by \$176,060.02; and

WHEREAS, the Board by Resolution R-067-2020 approved on May 20, 2020 Contract Change Order No. 9 increasing the contract value by \$87,189.21; and

WHEREAS, the Board by Resolution R-074-2020 approved on June 17, 2020 Contract Change Order No. 10 increasing the contract value by \$423,577.17; and

WHEREAS, the Board by Resolution R-027-2020 approved on March 21, 2021 Contract Change Order No. 11 decreasing the contract value by \$80,345.57. By the same resolution, the Board approved the Final Acceptance of the Contract Work; and

WHEREAS, due to a clerical error, Change Order No. 10 was approved in an amount \$6,000.00 less than the Contractor's proposal; and

WHEREAS, this Change Order, in the amount of a \$6,000.00, brings the accumulated Contract change order total to \$6,738,339.82, or 29.22% of the original Contract value; and

NOW THEREFORE BE IT RESOLVED, that the Sewerage and Water Board of New Orleans hereby ratifies Change Order No. 12 for Contract 1368 in the amount of \$6,000.00 for a total Contract Amount of \$29,830,839.82.

I, Ghassan Korban, Executive Director,
Sewerage and Water Board of New Orleans,
do hereby certify that the above and foregoing
is a true and correct copy of a resolution adopted
at the Regular Meeting of said Board,
duly called and held, according to law, on
May 19, 2021.

Ghassan Korban,
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

RATIFICATION OF CHANGE ORDER NO. 1 FOR CONTRACT 1377 – WATER HAMMER HAZARD MITIGATION PROGRAM – CLAIBORNE AVE. PUMPING STATION AND OFF-SITE IMPROVEMENTS

WHEREAS, on November 20, 2019, by Resolution R-175-2019, the Sewerage and Water Board of New Orleans awarded Contract 1377 to **M.R. Pittman Group, LLC** in the amount of \$35,345,100.00 for Water Hammer Hazard Mitigation Program – Claiborne Ave. Pumping Station and Off-Site Improvements; and,

WHEREAS, this change order addresses FCO-001 through FCO-021, comprising of various changes. FCO-016, FCO-017, and FCO-20, representing 84% of this change order, including the addition of three linestops to isolate the ground storage water lines to continue the Work and the reroute of a feeder that was not identified correctly in record drawings; and,

WHEREAS, this Change Order, in the amount of \$1,161,235.98, 3.3% of the original Contract value, brings the accumulated Contract total to \$36,506,335.98.

NOW THEREFORE BE IT RESOLVED, the approval of Change Order No. 1 for Contract 1377 is ratified by the Sewerage and Water Board of New Orleans increasing the amount of the contract by \$1,161,235.98, bringing the Contract total to \$36,506,335.98.

I, Ghassan Korban, Executive Director,
Sewerage and Water Board of New Orleans,
do hereby certify that the above and foregoing
is a true and correct copy of a resolution adopted
at the Regular Meeting of said Board,
duly called and held, according to law, on
May 19, 2021

Ghassan Korban
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**Sewerage and Water Board of New Orleans
BOARD OF DIRECTORS CONTRACTOR FACT SHEET**



ACTION REQUESTED

CONTRACT CHANGE ORDER NO. 001

CONTRACT No. 1377 Water Hammer Mitigation Program, Claiborne Ave. Pump Station & Offsite Improvements

Approval to modify Contract No. 1377 in the amount of \$1,161,235.98

CONTRACTOR/SUB/VENDOR INFORMATION

		DBE PARTICIPATION	
PRIME	SUBS	TARGET	ACTUAL (as of 03/31/2021)
<i>M.R. Pittman Group</i>	<i>Bayou Concrete Pumping LLC</i>	0.60%	0.00%
	<i>Bayou Construction Group</i>	0.10%	0.10%
	<i>The Beta Group</i>	0.40%	0.10%
	<i>Choice Supply Solutions, LLC</i>	6.00%	2.16%
	<i>EBE Fencing</i>	0.10%	0.01%
	<i>Gainey's Concrete Products</i>	0.50%	0.00%
	<i>JEI Solutions, Inc.</i>	17.00%	8.10%
	<i>Landrieu Concrete & Cement Industries</i>	2.50%	0.50%
	<i>FP Richard, LLC, d/b/a Rue Contractors</i>	6.60%	1.30%
	<i>Thornhill Services, Inc.</i>	3.40%	1.90%
	<i>Waldo Brothers, Inc.</i>	0.10%	0.10%
Total		37.30%	14.27%

DESCRIPTION AND PURPOSE

Original Contract Value	\$35,345,100.00
Previous Change Orders	\$0.00
% Change of Contract To Date	0.0%
Value of Requested Change	\$1,161,235.98
% For This Change Order	3.3%
<i>Has a NTP been Issued</i>	<i>Yes</i>
Total Revised Contract Value	\$36,506,335.98
% Total Change of Contract	3.3%
Original Contract Completion Date	6/27/2022
Previously Approved Extensions (Days)	0
Time Extension Requested (Days)	85
Proposed Contract Completion Date	9/20/2022

Purpose and Scope of the Contract:

The contemplated project consists of general construction of the Claiborne Avenue Pump Station and Off-Site Improvements, rebuilding existing pumps, new electric pump motors with variable frequency drives (VFD's), vacuum priming system, building structures, concrete meter structures, valve and meter vaults, yard piping, site work, earthwork, traffic rerouting, valves and fittings, electrical, control and instrumentation equipment and integration and miscellaneous appurtenances. Work is primarily located at

the Carrollton Water Purification Plant at 8800 S. Claiborne Ave for the Sewerage and Water Board of New Orleans, Owner. Off-site work is located at 8933 Stroelitz Street.

Reason for Change:

Error/Omission	Differing Site Condition	Regulatory Requirement
Design Change	Other <u> X </u>	

FCO-001, WCD-001-Frequency Converter FQC-725 rating requirement change from 300kW to 320kva/256W, Credit. (\$12,054.48)
FCO-002, WCD-003-VFD Building Door Size increase to accomodate increased size of VFD equipment. \$1,393.37
FCO-003, WCD-004-60 Hz VFD rating requirement change from 300A, 60Hz, 4160V to 260A 60Hz, 4160V, Credit. (\$13,851.48)
FCO-004, WCD-005 Cable and Conduit change for VFD space heaters. \$4,831.88
FCO-005, WCD-006-Changing ATS-700 from an automatic switch to a manual switch. Credit (\$5,481.48)
FCO-006, WCD-011-Feeder PFC Emergency 60Hz feeder cable size increase to match exsisting cable. \$2,808.60.
FCO-007, WCD-012-Additional 14 Meter Box installations in the Stroeltitz Street project area. \$8,865.78.
FCO-008, WCD-013-Additional Pump Repair Material for Pump No. 510. Additional repair was needed when the pump was at the manufacture's shop for scanning. \$3,607.41.
FCO-009, WCD-014-Xylem (Pump vendor) Recommendation to provide new packing base rings and shaft sleeves for the new shaft and impeller design on all four (4) pumps P510, P520, P530 and P540. \$25,834.53.
FCO-010, WCD-015-Upgrade iFIX Plus 150-point SCADA pack to iFIX Plus 300-point SCADA pack. \$14,056.78
FCO-011, WCD-016-Asbestos Testing of Seal Water Line. Test was negative. \$780.84
FCO-012, WCD-017-Duplex Sump Pump System Electrical Requirment. \$13,774.13
FCO-013, WCD-018-Update Cable Schedule for the VFDs. \$10,753.16
FCO-014, WCD-019-Fire Alarm Monitoring for VFD Building. \$3,216.38
FCO-015, WCD-020-Diver to inspect balance valve in clear well. \$8,548.16
FCO-016, WCD-022- SWB was unable to isolate ground storage lines for demolition requiring a linestop installation on balance valve line coming from clear well. \$250,000.00
FCO-017, WCD-023- SWB was unable to isolate ground storage lines for demolition requiring a linestop installation on North and South low pressure lines. \$500,000.00
FCO-018, WCD-027-Updated Shoring Post at Valve Vaults. \$34,474.43
FCO-019, WCD-007-Additions to Stroelitz Water Line Improvements. \$84,752.30
FCO-020, WCD-008-A reroute of feeder MDP-1 was required because the ductbank was not located where the record drawings indicated. \$225,054.10, 18 days added
FCO-021, Time Extension for Exploratory Excavation. \$0.00, 67 days added

Spending to Date:

Cumulative Contract Amount (as of 03/31/2021)	\$35,345,100.00
Cumulative Contract Spending (as of 03/31/2021)	\$19,034,664.35

Contractor's Past Performance:

M.R. Pittman Group, LLC. Is work on this project has been acceptable.

PROCUREMENT INFORMATION

Contract Type	Base Bid	Award Based On	Lowest Competitive Bid
Commodity	Public Works Construction	Contract Number	1377
Contractor Market	Public Bid with DBE participation		
Compliance with Procurement Laws?	Yes <u> X </u> No	CMRC Date (if nec.) :	4/29/2021

BUDGET INFORMATION

Funding	CP 175-00	Department	Mechanical Engineering
System	Water	Project Manager	Chris Bergeron
Job Number	01377FEM	Purchase Order #	PG2020600094

ESTIMATED FUND SOURCE

User	Share%	Dollar Amount	Reimbursible?
Sewer System Water System Drainage System FEMA PW 18836 Other TOTAL	100%	\$ 1,161,235.98 \$ 1,161,235.98	Yes

I certify that this contract action complies with all Sewerage and Water Board procurement policies and guidance, ethics rules and meets necessary regulatory requirements, including compliance with financing sources.

Chris Bergeron
Engineering Division Manager
Mechanical Engineering

GENERAL SUPERINTENDENT'S RECOMMENDATIONS

CONTRACT AMENDMENTS

AUTHORIZATION OF AMENDMENT NO. 5 TO THE AGREEMENT BETWEEN THE SEWERAGE AND WATER BOARD OF NEW ORLEANS AND ECM CONSULTANTS, INC. FOR DESIGN AND ENGINEERING SERVICES FOR WATER LINE REPLACEMENT PROGRAM

WHEREAS, the Sewerage and Water Board of New Orleans (“Board”) and ECM Consultants, Inc. (“Consultant”) are parties to an original agreement dated February 27, 2012, authorized by Resolution R-001-2012 approved January 18, 2012 for Consultant with ECM Consultants, Inc to provide engineering and design services for the Water Line Replacement Program (WLRP) for the Read Blvd East, Read Blvd West, Venetian Isles, and Village de L’est neighborhoods (“Original Agreement”); and

WHEREAS, the Original Agreement provided for compensation not to exceed 18% of the cost of construction and duration until construction of the project was complete; and

WHEREAS, the Original Agreement was first amended September 10, 2012, pursuant to Resolution R-142-2012, approved August 15, 2012, to provide for compensation for surveying services at a rate not to exceed \$7 per linear foot in 2012, in accordance with prevailing FEMA recommended policy; and

WHEREAS, by Resolution R-151-2014, approved August 20, 2014, the Board authorized Amendment No. 2, executed September 17, 2014, to include program/project management services, design and inspection services of repair or replacement of drain lines damaged by Katrina as approved and funded by FEMA PWs for the City Department of Public Works, and compensation not to exceed ten (10%) percent of construction costs as negotiated by Board staff and based on amounts approved by FEMA in applicable PWs; and

WHEREAS, by Resolution R-024-2020, approved February 19, 2020, the Board authorized Amendment No. 3 to provide for fixed compensation rather than based on percentage of construction costs, to remove project/program management work from its scope and reduce the costs accordingly, incorporate clauses that allow for Fair and Reasonable fees to be negotiated in accordance with FP&C State Guidelines and Cost Reasonable Analysis, incorporate the latest FEMA and Federal Requirements clauses into the Contract, establish engineering services fees in the amount of \$761,578.60 and establish a contract expiration date of June 30, 2023; and

WHEREAS, by Resolution R-060-2020, approved May 20, 2020, the Board Authorized Amendment No. 4 to provide for engineering construction services residential inspection fees for RR153 Read Blvd West Group C and to add Integrated Logistical Support Inc. as an approved DBE at a fee not to exceed the amount of \$45,000.00; and

WHEREAS, the Board has negotiated fees for the remaining work, RR053 Gentilly Terrace Group C not to exceed (NTE) \$53,120.00, RR058 Gentilly Terrace Group H NTE \$26,560.00, RR148 Read Blvd East Group E, NTE \$16,248.11, RR149 Read Blvd East Group F NTE \$21,701.75, and RR188 Village De L'Est Group B NTE \$19,013.40, and a total amendment NTE \$136,643.26, and the State has requested additional State and Federal clauses be amended into the contract; and

NOW, THEREFORE BE IT RESOLVED, that the President or President Pro-Tem of the Sewerage and Water Board is hereby authorized to execute on behalf of the Sewerage and Water Board of New Orleans Contract Amendment No. 5 to the existing agreement with ECM Consultants, Inc. for JIRR work NTE \$136,643.26, for maximum compensation under the Agreement at an amount NTE \$1,594,201.36, and amend State and Federal contract clauses into the contract.

I, Ghassan Korban, Executive Director,
Sewerage and Water Board of New Orleans,
do hereby certify that the above and foregoing
is a true and correct copy of a resolution adopted
at the Regular Meeting of said Board,
duly called and held, according to law, on
May 19, 2021.

Ghassan Korban,
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**Sewerage and Water Board of New Orleans
BOARD OF DIRECTORS PROFESSIONAL SERVICES FACT SHEET**



ACTION REQUESTED

Amendment to Professional Engineering Services Agreement

ECM Consultants, Inc. WLRP Agreement Amendment No.5 - RR053, RR058, RR148, RR149 and RR188

Request authorization to process WLRP Agreement Amendment No. 5 for RR053 Gentilly Terrace Group C not to exceed (NTE) \$53,120.00, RR058 Gentilly Terrace Group H NTE \$26,560.00, RR148 Read Blvd East Group E, NTE \$16,248.11, RR149 Read Blvd East Group F NTE \$21,701.75, and RR188 Village De L'Est Group B NTE \$19,013.40, and a total amendment NTE \$136,643.26.

CONTRACTOR/SUB/VENDOR INFORMATION

		DBE PARTICIPATION	
PRIME	SUBS	TARGET	ACTUAL
<i>ECM Consultants, Inc.</i>	<i>Trigon Associates, LLC</i>	35.00%	21.14%
	<i>ILSI Engineering</i>		4.95%
	<i>APS</i>		2.88%
Total		35.00%	28.97%

DESCRIPTION AND PURPOSE

Original Contract - Not to Exceed 18% Cost of C	\$0.00
Amendments 1-4	\$1,457,558.10
Value of Requested Amendment	\$136,643.26
Has a NTP been Issued	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
Total Revised Contract Value	\$1,594,201.36
Original Contract Completion Date	End of Construction
Previously Approved Extensions (Days)	
Time Extension Requested (Days)	
Amendment 3 Contract Completion Date	6/30/2023

Purpose and Scope of the Contract:

Per R-001-2012 on 1/18/2012, an agreement for Water Line Replacement Program (WLRP) consultant agreement engineering services for Read Blvd East, Read Blvd West, , Village De L'est, and Viavant was executed 2/27/2012. This WLRP Agreement is FEMA reimbursible. Construction will be reimbursed through the FEMA Project Worksheet (PW) 21031 The Settlement.

Reason for Change:

Negotiate Fees <input checked="" type="checkbox"/>	Differing Site Condition	Regulatory Requirement
Design Change	Other _____	

Amendment No. 5 provides the remaining negotiated fees for RR053 Gentilly Terrace Group C not to exceed (NTE) \$53,120.00, RR058 Gentilly Terrace Group H NTE \$26,560.00, RR148 Read Blvd East Group E, NTE \$16,248.11, RR149 Read Blvd East Group F NTE \$21,701.75, and RR188 Village De L'Est Group B NTE \$19,013.40. Future amendments are not anticipated. This could change during CNO's construction.

Spending to Date:

Cumulative Contract Amount (as of 4/20/2021)	\$1,457,558.10
Cumulative Contract Spending (as of 03/30/2021)	\$838,956.01

Contractor's Past Performance:

The designer's timeliness of deliverables, conformance to SWBNO policies, procedures, and quality meet expectations. To date the consultant has not achieved a DBE participation rate of 35%. Remaining DBE participation will be met by Resident Inspection Services during Construction.

PROCUREMENT INFORMATION

Contract Type	Professional Services	Award Based On	Qualified List
Commodity	Public Works Construction	Name & FEMA PW	WLRP & 21031
Contractor Market	Public RFQ with DBE participation		
Compliance with Procurement Laws?	Yes X No	CMRC Date (if nec.) :	3/11/2021 & 3/18/2021

BUDGET INFORMATION

Funding	CP 175-13; CP 317-06	Department	JIRR
System	Water & Sewer	Project Manager	Susan Diehl
Job Number	A1348FEM; C1399XXX	Purchase Order #	PG20206000109



ESTIMATED FUND SOURCE

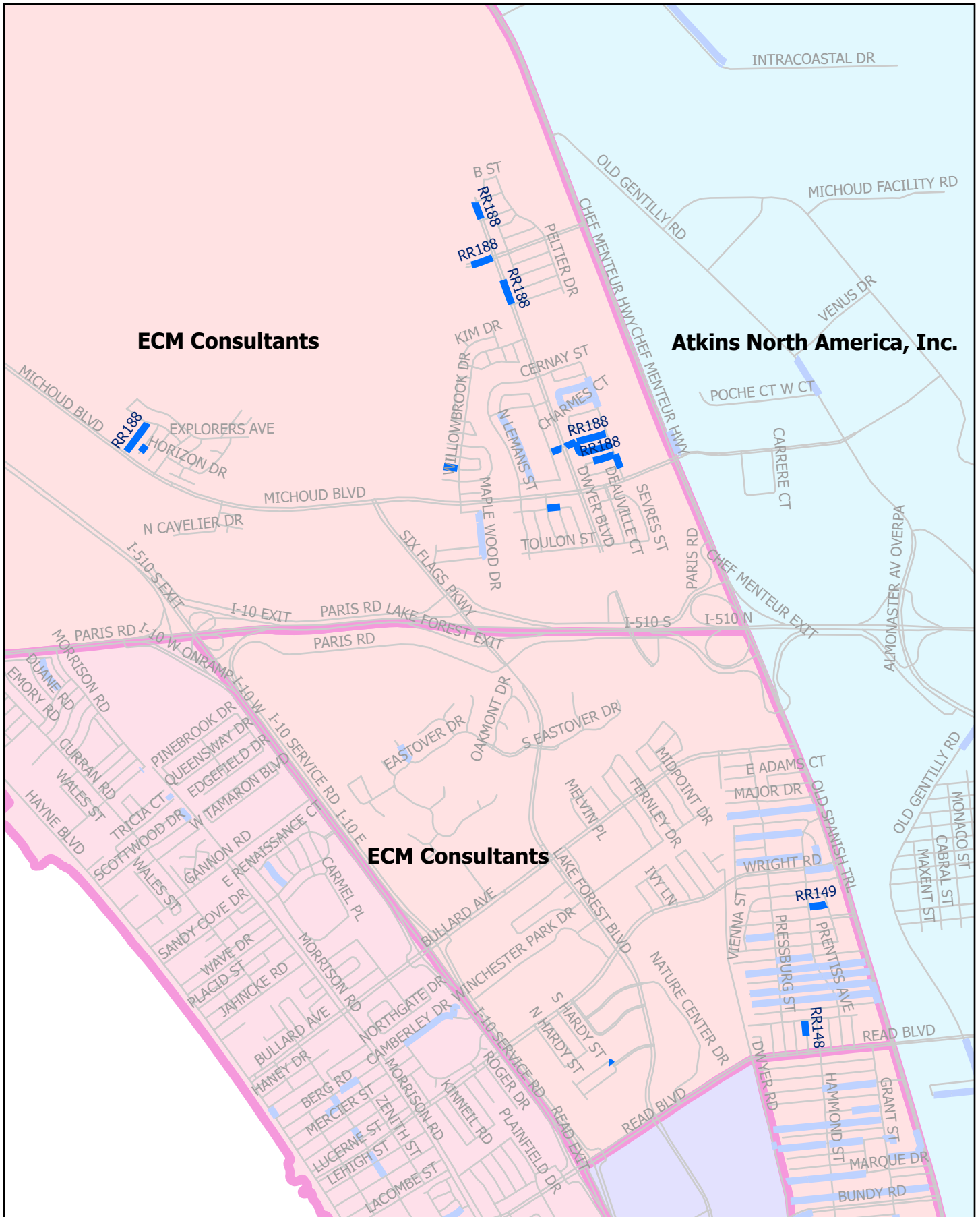
User	Share%	Dollar Amount	Reimbursible?
Sewer System	26%	34,903.11	WIFIA Loan - 100%
Water System	74%	101,740.15	21031 - 100%
Drainage System			
TOTAL		\$ 136,643.26	

I certify that this contract action complies with all Sewerage and Water Board procurement policies and guidance, ethics rules and meets necessary regulatory requirements, including compliance with financing sources.

 Mark D. Van Hala, P.E.
 Program Administrator
 Joint Infrastructure Recovery Request

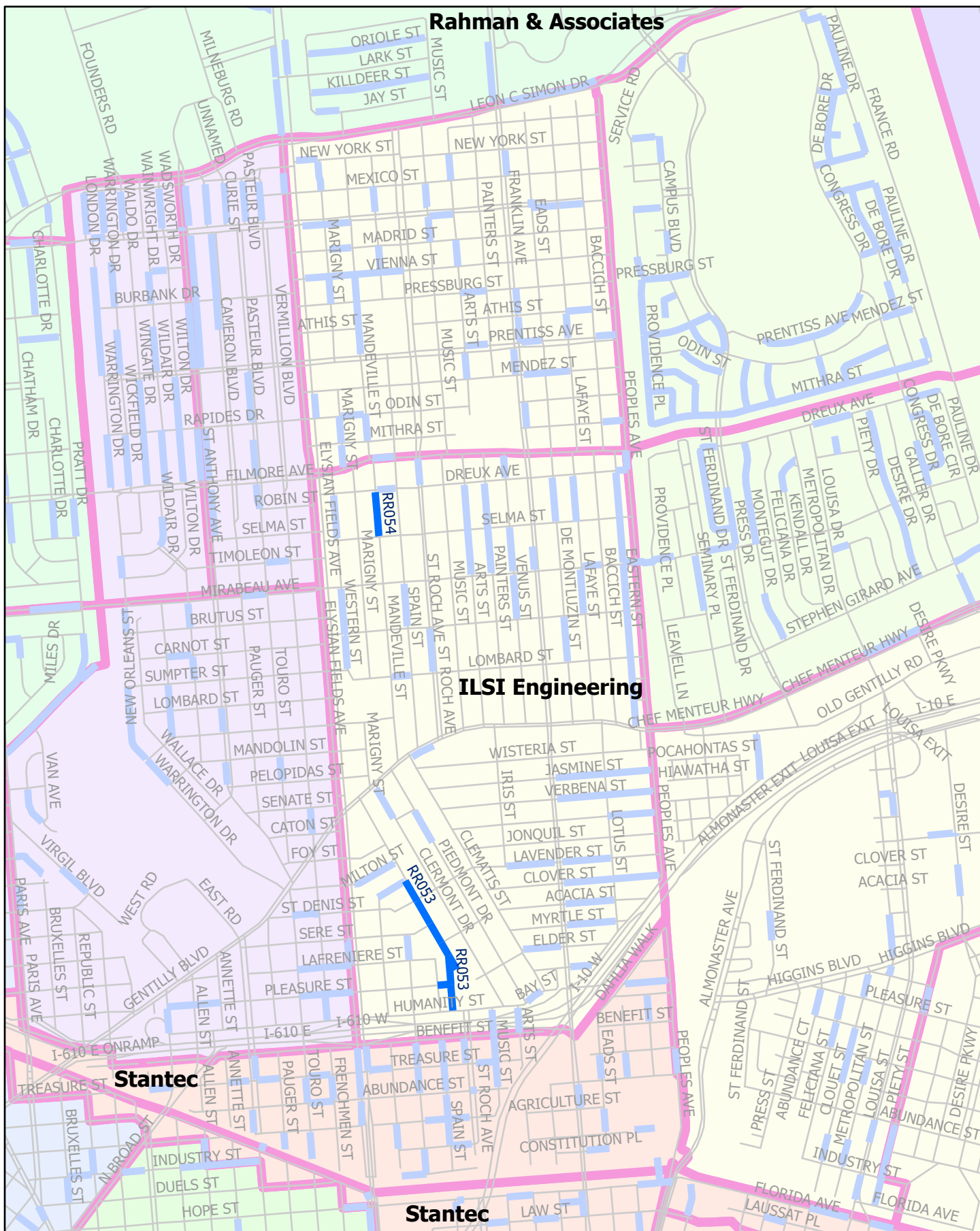
ECM Consultants, Inc.
RR053, RR058, RR148, RR149, RR188

 FEMA Water Line Replacement in listed Project(s)
 FEMA Water Line Replacement (Other Projects)



RR053, RR058, RR148, RR149, RR188

■ FEMA Water Line Replacement (Other Projects)



**AMENDMENT 1 TO THE COOPERATIVE ENDEAVOR AGREEMENT BETWEEN
THE CITY OF NEW ORLEANS AND SEWERAGE AND WATER BOARD FOR THE
WEST POWER COMPLEX**

WHEREAS, the Sewerage and Water Board of New Orleans by Resolution R-193-2019, approved on November 20, 2019, authorized the execution of a Cooperative Endeavor Agreement with the City of New Orleans for the purpose of establishing funding to facilitate the design and construction of Facility Planning & Control Project No. 50-ML3-18-02 to improve Sewerage and Water Board of New Orleans' Carrollton Water Purification Plant facilities and operations by providing adequate power that will enhance services provided to the City of New Orleans and its citizens; and

WHEREAS, the City and the Board desire to enter into an Amendment to increase the funding available to the Board from the State of Louisiana, Division of Administration, Office of Facility Planning and Control; and

WHEREAS, the City's portion of the funding for the project shall be used for reimbursement of construction costs and shall not exceed the original allocation \$7,270,000.00 plus the second allocation of \$12,655,000.00, totaling \$19,925,000.00.

NOW THEREFORE BE IT RESOLVED, that the Sewerage and Water Board of New Orleans authorizes Amendment 1 of the CEA with the City of New Orleans that increases the funding available to the Board from the State of Louisiana, Division of Administration, Office of Facility Planning and Control totaling \$19,925,000.00.

I, Ghassan Korban, Executive Director,
Sewerage and Water Board of New Orleans,
do hereby certify that the above and foregoing
is a true and correct copy of a resolution adopted
at the Regular Meeting of said Board,
duly called and held, according to law, on
May 19, 2021.

Ghassan Korban,
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

RATIFICATION OF CONTRACT AMENDMENT NO. 4 TO THE AGREEMENT BETWEEN THE SEWERAGE AND WATER BOARD OF NEW ORLEANS AND BLACK & VEATCH FOR DESIGN AND ENGINEERING SERVICES FOR SEWER PUMPING STATION A STRUCTURAL REHABILITATION

WHEREAS, the Board and Contractor are parties to a Professional Services Agreement dated April 14, 2015, the (“Original Agreement”) authorized by Resolution R-260-2014 approved December 17, 2014, for Contractor to provide professional services related to the structural rehabilitation of Sewer Pumping Station A (the SPSA Project), as amended and extended as described below by Amendment No. 1 dated July 24, 2017, by Amendment No. 2 dated January 27, 2020, and by Amendment No. 3 dated October 12, 2020 (as amended cumulatively, the “Agreement”); and

WHEREAS, the Original Agreement engaged Contractor to perform the preliminary engineering analysis, including geotechnical and non-destructive testing, for an amount not to exceed \$83,101, for a period of one (1) year subject to extension for five (5) one-year periods, with an established DBE participation goal of 35%; and

WHEREAS, Amendment 1 dated July 24, 2017, pursuant to Resolution R-046-2017 approved May 17, 2017, authorized subsequent phases of professional services necessary to complete Phase II design services, increased the contract value by \$234,143.00 to a total fee of \$317,244.00 and extended the term as provided for therein; and

WHEREAS, construction services are provided by Cycle Construction Company; and

WHEREAS, Amendment 2 dated January 27, 2020, authorized construction administration and inspection services for the increased duration of construction, for a fee of \$270,391.30, increasing the total maximum compensation not to exceed \$587,635.30 and reflecting the exercise of four (4) of the five (5) extensions authorized by the Original Agreement, through and including April 30, 2020; and

WHEREAS, Amendment 3 dated October 12, 2020, extended the Agreement through the anticipated construction completion date of February 28, 2021 due to unforeseen site conditions requiring additional services at additional compensation of \$231,642.00 for a total maximum compensation not to exceed \$819,277.30, through and including February 28, 2021; and

WHEREAS, since that time, additional unforeseen conditions presented during the construction period have further extended the anticipated completion of construction to a date on or before August 31, 2021, creating additional need by the Board for engineering design, management, construction administration and supplemental services including a full-time resident inspector, and Consultant is willing to provide such services for fees in the amount of \$176,140.00 for a total maximum compensation under this Agreement not

to exceed \$995,417.30 from March 1, 2021 through the anticipated construction completion date of August 31, 2021;

NOW THEREFORE BE IT RESOLVED, that the Executive Director of the Board be and is hereby authorized to execute Amendment 4 to the Professional Services Agreement with Black & Veatch to acknowledge and affirm the continual performance by Contractor under the Original Agreement from inception through the date hereof, to authorize additional engineering design, management, construction administration and supplemental services including a full-time resident inspector in connection with the structural rehabilitation of Sewer Pumping Station A, at additional compensation in the amount of \$176,140.00, for a total maximum compensation under this Agreement not to exceed \$995,417.30 from March 1, 2021 through the anticipated construction completion date of August 31, 2021, with the option further to extend the Agreement for no more than one additional one year period.

I, Ghassan Korban, Executive Director,
Sewerage and Water Board of New Orleans,
do hereby certify that the above and foregoing
is a true and correct copy of a resolution adopted
at the Regular Meeting of said Board,
duly called and held, according to law, on
May 19, 2021.

Ghassan Korban,
EXECUTIVE DIRECTOR
SEWERAGE AND WATER BOARD OF NEW ORLEANS

**Sewerage and Water Board of New Orleans
BOARD OF DIRECTORS CONTRACTOR FACT SHEET**



ACTION REQUESTED

CONTRACT AMENDMENT NO. 4

Engineering Services for Sewer Pumping Station A Structural Rehabilitation

Approval to modify the contract between the Sewerage and Water Board and Black & Veatch, in the amount of \$176,140.00

CONTRACTOR/SUB/VENDOR INFORMATION

		DBE PARTICIPATION	
PRIME	SUBS	TARGET	ACTUAL
Black & Veatch	GulfSouth	2.70%	2.50%
	Green Point	34.80%	26.60%
Total		37.50%	29.10%

DESCRIPTION AND PURPOSE

Original Contract Value	\$83,101.00
Previous Change Orders	\$736,176.30
% Change of Contract To Date	885.9%
Value of Requested Change	\$176,140.00
% For This Change Order	212.0%
Has a NTP been Issued	No
Total Revised Contract Value	\$995,417.30
% Total Change of Contract	1097.8%
Original Contract Completion Date	3/3/19
Previously Approved Extensions (Days)	698
Time Extension Requested (Days)	214
Proposed Contract Completion Date	8/31/21

Purpose and Scope of the Contract:

The "Old Boiler Room" of the Sewer Pump Station A (SPS-A) Facility has experienced noticeable cracking of the walls and floor related to settlement of the northeast corner of the building. The purpose of this contract is to strengthen the existing foundation and walls of the structure to replace lost capacity and meet current design standards. Black & Veatch was tasked with engineering a solution to the settlement and wall issues as well as preparing construction documents. Resident inspection was also included for the duration of the construction project.

The original scope of work (SOW) includes installation of new micropiles; reinforcement and encasement of the existing grade beam;

Reason for Change:

Error/Omission <input type="checkbox"/>	Differing Site Condition <input checked="" type="checkbox"/>	Regulatory Requirement <input type="checkbox"/>
Design Change <input checked="" type="checkbox"/>	Other <input type="checkbox"/>	

Additional engineering and resident inspection services to accommodate changes in construction contract 30109 from FCO 12-14. Obstructions were found deep below the foundation. A modification to the design was done to the grade beam, pile caps and steel reinforcement. Helical pile locations were also changed. The interior slab grade beam design was extended to include more of surface area. This was due to cracks extending beyond original limits and the inability to tie into those damaged sections. A finished floor grade was developed to make sure water sloped away from the building. This modification also includes some anticipated changes for construction contract 30109. We plan on raising the steel roof truss back to it's original elevation. It has dropped about 6" in the North and East side causing the steel to buckle and twist in some areas. Furthermore the brick in some areas can not be repaired as the cracks are so large that methods initially intended will no longer work. Some selective demolition will have to take place in these areas.

Spending to Date:

Cumulative Contract Amount (as of 2/26/2021)	\$819,277.30
Cumulative Contract Spending (as of 2/26/2021)	\$674,751.10

Contractor's Past Performance:

The engineer's timeliness of deliverables, conformance to SWBNO policies and procedures, quality meet expectations. To date the consultant has not achieved a DBE participation rate of 35%, because the DBE participation will be met by Resident Inspection Services during Construction.

PROCUREMENT INFORMATION

Contract Type	Request for Proposal	Award Based On	Best Value
Commodity	Professional Services	Contract Number	
Contractor Market	Public Bid with DBE participation		
Compliance with Procurement Laws?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	CMRC Date (if nec.):	

BUDGET INFORMATION

Funding	Capital 326	Department	Civil Engineering
System	Sewer	Project Manager	Daniel Avalos
Job Number	C1348	Purchase Order #	PG2019 6000007

ESTIMATED FUND SOURCE

User	Share%	Dollar Amount	Reimbursable?
Sewer System	100%	\$176,140.00	no
Water System			
Drainage System			
TOTAL		\$ 176,140.00	

I certify that this contract action complies with all Sewerage and Water Board procurement policies and guidance, ethics rules and meets necessary regulatory requirements, including compliance with financing sources.



Daniel Avalos, P.E.
Senior Engineer
Civil Engineering

Project Delivery Unit
April 2021 Closeout Snapshot

FEMA Public Assistance	# of Project Worksheets	FEMA Obligated	FEMA Revenue Received per LAPA	FEMA Obligation Balance	% Financially Complete	# of Projects Submitted for Closeout	OBLIGATED Value of Projects Submitted for Closeout	POTENTIAL Value of Projects Submitted to Closeout	# of Projects Officially Closed	OBLIGATED Value of Projects Officially Closed	% Submitted	% Closed	# of Projects Submitted but Not Officially Closed	OBLIGATED Value of Projects Submitted but Not Officially Closed
Hurricane Katrina - St. Joseph Headquarters	20	\$ 5,601,694.51	\$ 3,091,039.46	\$ 2,510,655.05	55%	19	\$ 2,236,513.15	\$ 2,214,148.02	19	\$ 2,236,513.15	95%	95%	0	\$ -
Hurricane Katrina - Central Yard	42	\$ 27,734,255.70	\$ 26,162,402.43	\$ 1,571,853.27	94%	42	\$ 27,734,255.70	\$ 27,456,913.14	32	\$ 22,025,919.44	100%	76%	10	\$ 5,708,336.26
Hurricane Katrina - Wastewater Treatment Plant	128	\$ 90,803,270.37	\$ 83,014,642.92	\$ 7,788,627.45	91%	127	\$ 83,765,860.77	\$ 82,928,202.16	120	\$ 38,257,447.07	99%	94%	7	\$ 45,508,413.70
Hurricane Katrina - Carrollton Water Plant	55	\$ 76,019,829.37	\$ 67,935,783.12	\$ 8,084,046.25	89%	54	\$ 64,304,777.65	\$ 63,661,729.87	52	\$ 13,889,561.05	98%	95%	2	\$ 50,415,216.60
Hurricane Katrina - Distribution Network	27	\$ 194,904,197.06	\$ 177,903,734.00	\$ 17,000,463.06	91%	25	\$ 114,411,682.59	\$ 113,267,565.76	18	\$ 30,028,021.61	93%	67%	7	\$ 84,383,660.98
Hurricane Katrina - Pump Stations	166	\$ 81,286,159.38	\$ 73,138,856.55	\$ 8,147,302.83	90%	160	\$ 79,985,972.17	\$ 79,186,112.45	143	\$ 58,844,680.54	96%	86%	17	\$ 21,141,291.63
Hurricane Gustav	9	\$ 667,553.90	\$ 668,689.06	\$ (1,135.16)	100%	9	\$ 667,553.90	\$ 660,878.36	8	\$ 665,778.64	100%	89%	1	\$ 1,775.26
Hurricane Isaac	8	\$ 1,554,775.01	\$ 955,019.44	\$ 599,755.57	61%	7	\$ 1,554,775.01	\$ 1,539,227.26	2	\$ 7,391.29	88%	25%	5	\$ 1,547,383.72
Tropical Storm Nate	2	\$ 439,728.39	\$ 346,207.41	\$ 93,520.98	79%	1	\$ 439,728.39	\$ 435,331.11			50%	0%	1	\$ 439,728.39
Hurricane Barry	2	\$ 947,134.10	\$ -	\$ 947,134.10	0%		\$ -	\$ -	0	\$ -	0%	0%	0	\$ -
Hurricane Sally	1	\$ 14,781.65	\$ 14,781.65	\$ -	100%	1	\$ 14,781.65	\$ 14,781.65	1	\$ 14,781.65	0%	100%	0	
Total	460	\$ 479,973,379.44	\$ 433,231,156.04	\$ 46,742,223.40	90%	445	\$ 375,115,900.98	\$ 371,364,889.79	395	\$ 165,970,094.44	97%	86%	50	\$ 209,145,806.54

FEMA Joint Infrastructure	# of Project Worksheets	FEMA Obligated	FEMA Revenue Received per LAPA	FEMA Obligation Balance	% Financially Complete	# of Projects Submitted for Closeout	OBLIGATED Value of Projects Submitted for Closeout	POTENTIAL Value of Projects Submitted to Closeout	# of Projects Officially Closed	OBLIGATED Value of Projects Officially Closed	% Submitted	% Closed	# of Projects Submitted but Not Officially Closed	OBLIGATED Value of Projects Submitted but Not Officially Closed
Hurricane Katrina - JIRR settlement	2	\$268,448,968.15	\$ 18,132,742.94	\$ 250,316,225.21	7%	0	\$ -	\$ -	0	\$ -	0%	0%	0	\$ -
Hurricane Katrina - JIRR Donors	53	\$ 67,119,705.74	\$ 54,370,436.50	\$ 12,749,269.24	81%	39	\$ 46,913,666.34	\$ 46,444,529.68	6	\$ 263,742.70	74%	11%	33	\$ 46,649,923.64
Total	55	\$ 335,568,673.89	\$ 72,503,179.44	\$ 263,065,494.45	22%	39	\$ 46,913,666.34	\$ 46,444,529.68	6	\$ 263,742.70	71%	11%	33	\$ 46,649,923.64

FEMA Hazard Mitigation Grant Program	# of Contracts	FEMA Obligated	FEMA Revenue Received per LAHM	FEMA Obligation Balance	% Financially Complete	# of Contracts Completed	OBLIGATED Value of Projects Submitted for Closeout	POTENTIAL Value of Projects Submitted to Closeout	# of Projects Officially Closed	OBLIGATED Value of Projects Officially Closed	% Submitted	% Closed	# of Projects Submitted but Not Officially Closed	OBLIGATED Value of Projects Submitted but Not Officially Closed
Hurricane Katrina - Retrofit of Power House	17	\$166,795,389.00	\$ 128,533,358.47	\$ 38,262,030.53	77%	11	\$ -	\$ -	0	\$ -	0%	0%	0	\$ -
Hurricane Katrina - Flood Mitigation of 9 SPS	9	\$ 19,987,722.00	\$ 19,299,825.10	\$ 687,896.90	97%	9	\$ -	\$ -	0	\$ -	0%	0%	0	\$ -
Hurricane Ike - Five Underpass Generators	1	\$ 988,658.00	\$ 839,129.23	\$ 149,528.77	85%	1	\$ -	\$ -	0	\$ -	100%	0%	0	\$ -
Total	27	\$ 187,771,769.00	\$ 148,672,312.80	\$ 39,099,456.20	79%	21	\$ -	\$ -	0	\$ -	78%	0%	0	\$ -

TOTALS as of 5.3.21	FEMA Obligated	FEMA Revenue Received	FEMA Obligation Balance
	\$ 1,003,313,822.33	\$ 654,406,648.28	\$ 348,907,174.05

HR/Payroll Report



Areas of focus:

Benefits/Pension

Recruitment,
Classification,
Compensation

Employee Relations

Training

Payroll

SWBNO's Benefits Department manages three separate areas of HR:

1. Benefits Administration
2. Pension Administration
3. Leave Management

Benefits Administration

The Health Insurance Portability and Accountability Act (HIPAA) is a privacy law that, in part, protects medical information relating to healthcare coverage. SWBNO offers a self-funded healthcare plan, which requires the storage of protected health information. Typically, the third-party administrator for the self-funded plan, who manages and pays claims on behalf of the plan, stores claims and other health information.

SWBNO must ensure that third-party vendors assisting with the management of the self-funded plan have existing policies established that address the maintenance and protected health information. HIPAA privacy violations are managed by the U.S. Department of Health and Human Services-Office for Civil Rights.

Pension Administration

The Employees' Retirement System of the Sewerage & Water Board of New Orleans ("pension rules") defines Credited Service as "service for which a Member is entitled to receive a Retirement Allowance, Disability Retirement Allowance, or separation retirement allowance...". There are certain circumstances that prevent employees from earning Credited Service, such as Leave Without Pay (LWOP). LWOP occurs when an employee is absent from work and does not receive compensation for some or all of the employee's pay.

The pension rules allow active Members to purchase certain hours of LWOP, such as 1.) Disaster LWOP; 2.) Military LWOP and 3.) Workers' Compensation LWOP. Each LWOP category has specific eligibility requirements to qualify for restoration of Service Credits and must be restored using a lump sum payment method. Active Members enrolled in an IRS 457 Plan may opt to use available funds to restore Credited Service.

Current Elected Members:

Employee Members

Christopher Bergeron *Term expires August 31, 2021*
Latressia Matthews *Term expires August 31, 2022*
Adam Kay *Term expires August 31, 2024*

Retiree Member

Harold Heller, Jr. *Term expires August 31, 2023*

April 2021 Benefits Administration Reporting

- 3,042
Current Group
Healthcare Plan
enrollment
(employees,
pensioners,
dependents)
- 2,620
Employer-Sponsored
life insurance
enrollment

April 2021 Pension Administration Reporting

- 831
Active Pensioners &
Beneficiaries
- 77
Active DROP
Participants

Leave Management

SWBNO employees who are placed on a military leave of absence for military duty or training are entitled to receive payment of up to 120 hours annually (not to exceed 15 days) of their base salary. Employees must provide advance notice of the military leave to their employer.

The Uniformed Services Employment and Reemployment Rights Act (USERRA) was established to provide certain re-employment and health insurance protections to employees who are in the uniformed services. USERRA also provides protection against discrimination and retaliation for these employees. USERRA, however, does not require employers to pay the employee for a military leave of absence. Louisiana established paid military leave through Louisiana R.S. 42:394.

Paid Parental Leave

Pursuant to the City of New Orleans' initiative to provide paid leave to eligible employees following the birth of a child or the adoption of a child, SWBNO provides up to eight (8) weeks of paid Parental Leave. This alleviates the financial impact on employees and provides paid time off for bonding with a newborn child or placement of an adoptive child.

Leave Donation

City employees can donate days of accrued Sick Leave and Annual Leave to eligible employees who are approved for a medical leave of absence. Donated leave can be for either the employee's medical leave of absence or the employee's immediate family member's illness.

Military Leave

SWBNO pays up to fifteen (15) days of paid Military Leave per year to employees who enter into active military duty with the U.S. Armed Forces. Employees can use accumulated Sick Leave and Annual Leave beyond the fifteen (15) days of paid Military Leave.

April 2021 Leave Management Reporting

- 1
Employee who received
paid Parental Leave
- 267
Hours of leave donated to
three (3) employees on
Medical Leave



Recruitment, Classification & Compensation

Vacancies available: 98; departments are actively recruiting for some of the available positions.

We would like to Welcome the following new hires as they begin their SWBNO careers.

- ❖ Vernell Kelly
- ❖ Derek Gorsuch
- ❖ Joseph Watkins
- ❖ Donminick Dedmond
- ❖ Lesabra Ventress
- ❖ Lynesha Davis
- ❖ Donald Reid, Jr
- ❖ Conrad Bailey
- ❖ Lawrence Johnson
- ❖ Ebynie Snowden

We would also like to Congratulate the follows employees on their promotions:

- ❖ Kenneth Lewis
- ❖ Ronjae Taylor
- ❖ Jasmine Lawrence
- ❖ Aaron Harvey
- ❖ Cedrica sartin
- ❖ Hank Robateau
- ❖ Marvin Jones
- ❖ Ambia Pete
- ❖ Kevin Clay
- ❖ Anthony Joseph
- ❖ Lenford Brown
- ❖ Jeron Wheeler
- ❖ Marquita Magee
- ❖ Nancy Dumas

What's New and Exciting in Recruitment & Compensation !!!

The recruitment, classification & compensation department is very excited to begin electronic requisitions.

A requisition is the document that initiates HR functions such as new hires, transfers and promotions. Currently, a paper form is completed and sent via inter-office mail between departments.

Moving this process online is a major step forward for the agency and will encourage total transparency between managers, department heads, executive leadership and civil service.

All stakeholders will be able to track the life of a requisition in real time and we're excited



Employee Relations & Training

What is Employee Relations?

Employee Relations is a division of the Human Resources department that provides direction and oversight for employee related matters like time-off, formal and informal employee complaints, investigation of harassment and discrimination claims, termination of employees, unemployment compensation claims and much more. Employee relations also coordinates workplace educational opportunities and EEOC position statements.

What Employee Relations Does?

Employee relations are the relationships among SWBNO's employees and between the employees and the company itself. Every individual has multiple relationships with their colleagues at work – relationships with their peers, managers, and other employees. The relationship with each is good, mediocre, or bad. The Employee Relations Office manages these relationships. Employee Relations manages many responsibilities related to employees including policy development and interpretation, liaison between different employees, performance management, and training employees.

Some other responsibilities Employee Relations takes on:

- It is the first point of contact for upper and lower level employees with questions or who need assistance regarding workplace conflicts, internal policy interpretations, and general consultations related to work. Employee Relations focuses on customer-service and expanding workplace communication.
- The department represents the interests of the company as a whole and makes decisions based on what is right for the entire organization.
- Employee Relations facilitates communications between management and lower level employees concerning workplace decisions, grievances, conflicts, and problem resolutions.
- The department provides a place for employees to confidentially talk about their questions and concerns without fear of negative consequences. With very few exceptions, like when a danger is posed by the information provided or a law is being broken, conversations with Employee Relations staff are kept confidential.
- Employee Relations manages workplace educational opportunities to help enhance positive workplace interaction among employees, job satisfaction, and employee retention.

New ER initiatives!

- Quarterly Employee Relations Newsletter – dissemination April 1st
- Increase employee engagement by attending departmental meetings to answer questions and to familiarize our customers with the ER team
- Visitor feedback surveys – to promote continuous improvement
- Policy Updates: Leave, Grievance, Progressive discipline, Attendance and Suspension
- Quarterly Q/A sessions for employees – to provide a better understanding of policies and services ER provides
- Departmental workplace climate meetings - as needed

Disciplinary Actions	9
Terminations	4
Resignations	9
Grievances	0
EEOC Complaints	0
Grievance Reports	0
Harassment Complaints	0
Mediations	0
Consultations	16

Training

The Training Unit is responsible for supporting the learning and development of all SWBNO employees ensuring communication compliance with training mandates.

Our goal is to assist employees in the pursuit of their career potential, whether they aspire to advance in their current career path, explore a change of career within the organization, or simply become an expert in their current role.

All SWBNO employees are required to complete the following mandatory annual training:

- Customer Service (30- minutes)
- Diversity and Inclusion (1- hour)
- Ethics (1-hour)
- Harassment Prevention (1- hour)
- Safety (30 minutes, minimum)
- KnowBe4 – Cybersecurity Training – (30-minutes)

The monthly training newsletter includes additional remote Training and Development Opportunities such as:

- PERFORM Training
- Business Software Application Training
- Antiracism Information and Resources
- Growth and Development Opportunities
- TED talks
- The Muse

New Training initiatives!

- Increase mandatory training outreach – to promote agency wide compliance
- Refine virtual training offerings
- In-person mandatory training offerings are available upon request
- Development of toolbox talks program in collaboration with the Safety Dept.
- CDL training program development in collaboration with the CDL training unit personnel – Feb. 2022



Payroll

The Payroll Department is an In-House process that runs payroll for all active employees, pensioners, as well as Quadro recipients.

Responsibilities of In-House Payroll system:

- Collect timesheets
- Calculate hours
- Input timesheets
- Manage/pay garnishments
- Pay federal and state taxes
- Process the payroll
- Print reports
- Create ACH Files for Direct Deposits
- Ensuring that pay stubs are issued to employees

The Payroll departments works with all departments to ensure data is received for audits, public records requests and FEMA reimbursements.

Social Security Taxable Earnings for 2020 has been released, all updates have been processed in the system to reflect the taxable earnings for 2021. If you wanted more information you can review at [2021 Social Security Changes \(ssa.gov\)](https://ssa.gov)

Upcoming Holidays:

Memorial Day 5/31/2021

Information Updates!

Social Security 2021 Changes

Cost-of-Living Adjustment (COLA)

- Social Security and Supplemental Security Income (SSI) beneficiaries will receive a 1.3 percent COLA for 2021

Maximum Taxable Earnings

- 2020 (OASDI only) \$137,700
- 2021 (OASDI only) \$142,800

Social Security Federal Payment Standard

- 2020 Individual \$783/mo.
- 2021 Individual \$791/mo.
- 2020 Couple \$1,175/mo.
- 2021 Couple \$1,191/mo.





SEWERAGE AND WATER BOARD

Inter-Office Memorandum

Date: April 31, 2021

To: Ghassan Korban, Executive Director

Thru: Irma Plummer, EDBP Director



From: Lyria Hicks, EDBP Compliance Officer

Re: EDBP Department Summary – April 2021

ANALYSES CONDUCTED BY EDBP

For the month of April 2021, the EDBP Department did not receive any Goods and Services contracts to review.

For the month of April 2021, the EDBP Department received one (1) Professional Services contract to review.

REQUEST FOR PROPOSAL: CONSULTING SERVICES FOR UTILITY STRATEGIC PLANNING

On Friday April 23, 2021, five (5) proposals were received for subject project. The respondents are as follows:

Raftelis Financial Corporation	96.96 pts
Westin Technology Consultants	96.00 pts
Baker Tilly US LLP	78.81 pts
Bernhard Capital Partners	11.96 pts
Schumaker & Company	9.85 pts

The highest evaluated score was from **Raftelis Financial Corporation** with a total composite score of 96.96 points.

A five percent (5%) DBE participation goal was requested on subject proposal.

Raftelis Financial Corporation submitted Square Button Consulting, LLC (eligible, certified SLDBE) to provide senior staff to participate in various aspects of the development of Sewerage and Waterboard's strategic plan. Square Button Consulting, LLC provided signed correspondence on their respective letterhead reaffirming negotiated terms.

Total participation: 9.33%

Westin Technology Solutions submitted The Hawthorne Agency (eligible, certified SLDBE) to conduct focus groups, communication plan development, and strategy planning workshops. The Hawthorne Agency provided signed correspondence on their letterhead reaffirming negotiated terms.

Total participation: 8.00%

Baker Tilly US, LLP submitted The Hawthorne Agency (eligible, certified SLDBE) to support stakeholder and employee involvement, facilitate group meetings with the SWBNO staff and external stakeholders, and provide local insight into important goals. The Hawthorne Agency provided signed correspondence on their letterhead reaffirming negotiated terms.

Total participation: 20.00%

Bernhard Capital Partners submitted ILSI Engineering (eligible, certified SLDBE) to provide engineering services and construction management and planning. ILSI Engineering provided signed correspondence on their letterhead reaffirming negotiated terms.

Total participation: 20.00%

Schumaker & Company, Inc. submitted Schumaker & Company, Inc. (ineligible, uncertified DBE). As stated during the mandatory prehearing conference, a DBE submitting a proposal may not list their own company for the purposes to meeting the established DBE goal.

Total participation: 0.00%

Schumaker & Company, Inc. did not provide a notarized affidavit stating why the goal could not be met.

Based on analysis of the DBE participation submitted, the Economically Disadvantaged Business Program recommends the DBE participation submitted by Raftelis Financial Corporation, Westin Technology Solutions, Baker Tilly US, LLP, and Bernhard Capital Partners be considered as responsive while the DBE participation submitted by Schumaker & Company, Inc. be considered as nonresponsive to meeting EDBP proposal requirements.

For the month of April 2021, the EDBP Department did not receive any Construction contracts to review.

CONSTRUCTION REVIEW COMMITTEE RECOMMENDATIONS

The Construction Review Committee convened on Thursday, March 11, 2021, and made the following recommendations:

- 1) Contract #1376: Water Hammer Hazard Mitigation Program: Pump Room Pump Station, and Panola Pump Room Improvements**

Budget Amount:	\$15,219,800.00
Renewal Term(s):	N/A
Recommended	
Percentage Goal:	25%
Justification:	N/A
- 2) Contract #30227: Mid-City Basin – Sewer Rehabilitation No. 3**

Budget Amount:	\$2,748,300.00
Renewal Term(s):	N/A
Recommended	
Percentage Goal:	36%
Justification:	N/A
- 3) Contract #30228: Mid-City Basin – Sewer Rehabilitation No. 4**

Budget Amount:	\$7,407,000.00
Renewal Term(s):	N/A
Recommended	
Percentage Goal:	36%
Justification:	N/A
- 4) Contract #30229: Carrollton Basin – Sewer Rehabilitation No. 1**

Budget Amount:	\$2,954,700.00
Renewal Term(s):	N/A
Recommended	
Percentage Goal:	36%
Justification:	N/A

5) Contract #30236: Carrollton Basin – Sewer Rehabilitation No. 6

Budget Amount:	\$2,227,400.00
Renewal Term(s):	N/A
Recommended Percentage	
Goal:	36%
Justification:	N/A

STAFF CONTRACT REVIEW COMMITTEE RECOMMENDATIONS

There were not any new Goods and Services or Professional Services projects presented to EDBP, therefore the Staff Contract Review Committee did not convene for the month of April.

CONSTRUCTION RENEWAL CONTRACTS with DBE PARTICIPATION

There were no projects with a DBE Participation Goal to review for the month of April 2021.

FINAL ACCEPTANCE CONTRACTS with DBE PARTICIPATION

There were no final acceptance construction contracts with a DBE participation goal to review for the month of April 2021.

SEWERAGE & WATER BOARD OF NEW ORLEANS CONTRACTS WITH DBE PARTICIPATION

There were four (4) contracts with DBE participation awarded for the month of April 2021.

Sewerage & Water Board New Orleans Awarded Projects with SLDBE Participation January 2021 - April 2021

Category	Category Dollar Amount	SLDBE Dollar Value
Construction Projects	\$ 4,638,391	\$ 599,301
Goods & Services Projects	\$ -	\$ -
Professional Services Projects	\$ 5,470,433	\$ 1,436,901
Grand Total	\$ 10,108,824	\$ 2,036,202

Sewerage & Water Board of New Orleans Open Market Bids with DBE Participation January 2021 - April 2021

Construction Projects

Contract No./Description	%DBE Goal	Contract \$	Prime	Sub(s)	% DBE Part (Prime)	\$ Sub Award	Award date
Cont #6267: Turbine 5 Exhaust System Fabrication and Installation	10%	\$ 2,218,500.00	M.R. Pittman Group, LLC	JEI Solutions, Inc.	0.68%	\$ 15,000.00	1/20/2021
				GreenPoint Engineering, LLC	3.49%	\$ 77,355.00	
				The Three C's Properties, Inc.	5.58%	\$ 123,840.00	
				Industry Junction, Inc.	0.58%	\$ 12,906.00	
				Total	10.33%	\$ 229,101.00	
Cont #2152; Gert Town Waterline Replacement Program – S. Dupree St, TM004, PW21031	15%	\$ 2,419,891.00	BLD Services, LLC	C&M Construction Group, Inc.	13.17%	\$ 318,700.00	3/17/2021
				Prince Dump Truck Service, LLC	2.13%	\$ 51,500.00	
				Total	15.30%	\$ 370,200.00	
Total Construction Projects		\$ 4,638,391.00			12.92%	\$599,301.00	

Sewerage & Water Board of New Orleans Open Market Bids with DBE Participation January 2021 - April 2021

Goods & Services Projects

Contract No./Description	%DBE Goal	Contract \$	Prime	Sub(s)	% DBE Part (Prime)	\$ Sub Award	Award date
Total Goods & Services Projects							
		\$0.00			0%	\$0.00	

Sewerage & Water Board of New Orleans Open Market Bids with DBE Participation January 2021 - April 2021

Professional Services Projects

Contract No./Description	%DBE Goal	Contract \$	Prime	Sub(s)	% DBE Part (Prime)	\$ Sub Award	Award date
Consulting Services for the Manging the Preparation, Selection, and Implementation of An Advance Metering Infrastructure Solution	35%	\$4,105,431.00	Jacobs Engineering Group, Inc.	1) Bright Moments 2) Gaea Consultants, LLC 3) Integrated Logistical Support, Inc. DBA ILSI Engineering	35.00%	\$1,436,900.85	2/10/2021
Construction Materials Testing and Observation Services South Shore Sewer Rehabilitation No.1 (Contract 30213)	25%	\$31,696.95	Eustis Engineering, LLC		25.00%		3/9/2021
Bayou Saint John Green Infrastructure Demonstration Project	5%	\$361,000.00	Dana Brown and Associates, Inc.	Adaptation Strategies	15.00%		4/7/2021
H2O Academy - Employee Development and Training	35%	\$872,305.00	Fazande Consulting, LLC	The Hackett Group; The Caulfield Consulting Group	35%		4/23/2021
Professional Services Agreement	15%	\$50,000.00	Mouledoux, Bland, Legrand & Brackett, LLC		15%		4/20/2021
Professional Services Agreement	15%	\$50,000.00	Butler Law Firm, LLC		15%		4/23/2021
Total Professional Services Projects	22%	\$5,470,432.95			23%	\$1,436,900.85	